

ATF O 3310.4B  
2/8/89  
Includes chgs. 1-18

## FIREARMS ENFORCEMENT PROGRAM

### FOREWORD

To: All Law Enforcement Personnel

1. PURPOSE. This order provides guidelines for the implementation of the Bureau's Firearms Enforcement Program under the Gun Control Act of 1968 (GCA), as amended, and the National Firearms Act (NFA), as amended
2. CANCELLATION. ATF O 3310.4A, Firearms Enforcement Program, dated March 25, 1981; ATF O 7520.1, Procedures for Tracing Firearms, dated August 2, 1976; ATF O 3310.5B, Guidelines Regarding Federal Firearms Licensee Investigations and Investigations at Gun Shows and Flea Markets, dated July 18, 1985; ATF O 7500.1, Firearms Technology Branch Procedures, dated May 16, 1977; ATF O 7540.2, Classification of Belgian FN Light Automatic Carbine (CAL), Caliber 5.56mm (.223), dated June 24, 1982; and ATF O 7540.1A, Classification of Belgian FN Light Semiautomatic Rifle (FAL), Caliber 7.62mm, dated October 29, 1979, are canceled.

Stephen E. Higgins  
Director





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## CHAPTER A. FIREARMS ENFORCEMENT POLICY

### 1. POLICY.

- a. It is the policy of the Bureau of Alcohol, Tobacco and Firearms to enforce the Gun Control Act of 1968 (GCA), as amended, and to regulate the firearms industry in a professional manner consistent with the intent of the Congress as stated in the preamble of the act. This policy is equally applicable to compliance inspectors carrying out the regulatory and compliance aspects of the legislation and to the special agents enforcing the criminal statutes and supporting other Federal, State, and local enforcement agencies.
- b. The congressional intent in the enforcement of this legislation is clearly presented in the preamble to the act, which states, "Congress hereby declares that the purpose of this title is to provide support to Federal, State, and local law enforcement officials in their fight against crime and violence, and it is not the purpose of this title to place any undue or unnecessary Federal restrictions or burdens on law-abiding citizens with respect to the acquisition, possession, or use of firearms appropriate to the purpose of hunting, transporting, target shooting, personal protection, or any other lawful activity, and that this title is not intended to discourage or eliminate the private ownership or use of firearms by law-abiding citizens for lawful purposes, or provide for the imposition by Federal regulations of any procedures or requirements other than those reasonably necessary to implement and effectuate the provisions of this title."
- c. In order to ensure effective and equitable enforcement of firearms laws and regulations of the firearms industry, ATF has developed specific policy in the following areas:
  - (1) Regulation through licensing, inspection, and education.
  - (2) Enforcement of Federal firearms laws
  - (3) Cooperation with and support to Federal, State, and local agencies (including gun tracing).
  - (4) Firearms seizure policy.

### 2. REGULATION POLICY.

- a. The purpose of regulation is to ensure that applicants meet all requirements for obtaining a license and that licensees are aware of their rights and responsibilities for conducting their businesses which include maintaining the necessary records for firearms tracing and other law enforcement purposes in accordance with the GCA of 1968, as amended.
- b. It is therefore the ATF firearms regulation policy that:

- (1) Available resources are used to ensure that applicants meet all requirements of the act.
  - (2) Licenses to all qualified applicants are issued promptly and within a 45-day period.
  - (3) Applicants and licensees are advised of their rights and responsibilities as firearms licensees.
  - (4) A program of licensee education by inspection, seminars, distribution of printed material, and periodic correspondence identifying potential problem areas is administered to reinforce the concept that compliance with the act is an integral part of the nationwide crime control effort.
  - (5) Any evidence of criminal involvement by applicants or licensees is referred for criminal investigation.
- c. It is not the policy of ATF to artificially control or otherwise limit the number of complying dealers as that is not the policy reflected in existing statutes.

### 3. ENFORCEMENT POLICY.

- a. ATF authority for firearms enforcement is derived from the Federal firearms statutes. The purpose of the legislation is to prevent crime and violence, to halt illegal international and interstate trafficking of firearms, and to keep firearms from the hands of criminals and prohibited persons.
- b. It is therefore the ATF firearms enforcement policy to:
  - (1) Enforce the applicable Federal firearms statutes in a professional manner consistent with the intent of the Congress as expressed in the preamble to the GCA as amended.
  - (2) Emphasize those violations that have the greatest potential to impact on crime and to disrupt illegal firearms activity, which include the following:
    - (a) Armed drug traffickers/organizations and violent criminals identified as being actively involved in violent criminal activities.
    - (b) Criminal firearms traffickers who are significant firearms sources to the criminal element.
    - (c) International Trafficking in Arms (ITAR), which includes the illicit movement of firearms into and out of the United States.

- (d) Illegal acquisition of firearms by prohibited persons through the knowing falsification of firearms records or straw purchases.
    - (3) Cooperate with other Federal, State, and local enforcement agencies in firearms enforcement, provided the request for assistance is consistent with the cooperation policy outlined below.
  - c. Professional and effective enforcement of the firearms laws requires the application of resources to those functions that are of primary importance and have the potential for providing maximum results. The priorities outlined above are consistent with this philosophy.
4. FEDERAL, STATE, AND LOCAL COOPERATION POLICY.
- a. Effective firearms enforcement and regulation cannot be accomplished by ATF alone. In fact, the primary responsibility for the reduction of violent street crime, the illegal intrastate trafficking in firearms, and the enforcement of local gun control statutes is with State and local authorities. At the Federal level, responsibility for firearms enforcement is shared among agencies such as the FBI, Customs, and the Department of State. Good management, common sense, and good law enforcement practices demand the cooperation of all law enforcement organizations at every level to curb illegal trafficking in firearms and to minimize the availability of firearms to the criminal element.
  - b. It is therefore the ATF policy on cooperation with other agencies to:
    - (1) Provide technical support to all jurisdictions on a timely basis with particular emphasis on gun tracing.
    - (2) Utilize the unique ATF authority in firearms enforcement to assist other Federal, State, and local authorities, including the U.S. attorney, in their fight against violent crime and organized crime.
    - (3) Cooperate with other Federal agencies and other countries in the fight to suppress illegal international and interstate trafficking of firearms to the extent ATF has jurisdictional authority.
    - (4) The cooperation policy outlined above will ensure the proper coordination and best application of resources at every level of Government. Services and capabilities of ATF will be available to other jurisdictions in their efforts to accomplish their assigned responsibilities where appropriate and when consistent with the overall ATF policy. If requests for ATF cooperation and assistance are in conflict with ATF policy or



priorities and the issue cannot be resolved at the local level, the question should be referred to Headquarters.

5. FIREARMS SEIZURE POLICY.

- a. In the execution of its firearms enforcement responsibilities, ATF has occasion to seize firearms as evidence and for forfeiture. Title 18 U.S.C. chapter 44 section 924(d), as amended, establishes certain conditions and elements that must be satisfied when ammunition or firearms are seized for forfeiture. These essentials vary according to the offense and include firearms involved, used, or intended to be used in violations that are committed knowingly and/or willfully. (Refer to ATF O 3400.1, Property Taken Into Bureau Custody, for information on seized property.)
- b. It is therefore the ATF firearms seizure policy to:
  - (1) Handle and maintain all seized firearms in such a manner as to ensure the preservation of their original condition prior to seizure.
  - (2) Seize only those firearms particularly identified as involved or used in criminal offenses. The seizure of firearms based upon an intent that they may be used in an offense shall be made only where the offense is among those specified by section 924(d)(3) and where such intent is demonstrated by clear and convincing evidence. The wholesale seizure of all of the accused defendant's firearms is discouraged, and only those firearms or quantity of ammunition particularly named and individually identified as involved in or used in a violation may be seized.
  - (3) Initiate proceedings for judicial or administrative forfeiture of firearms and ammunition within 120 days of such seizure, in compliance with 18 U.S.C. section 924(d).
  - (4) Adopt seizures from requesting outside agencies pursuant to 18 U.S.C. section 924(d). The seizure shall not be adopted if more than 75 days have elapsed from when the agency took the firearms into custody.

- c. Further, in the execution of our enforcement responsibilities with regard to 26 U.S.C. chapter 53 section 5842, ATF may seize any firearm involved in violation of the provisions of the chapter.

However, in the absence of criminal intent, other available alternatives should be followed:

- (1) Voluntary abandonment of the firearms to ATF for disposition.
- (2) Allow for the modification of the firearm to remove it from the NFA classification when such modification is done with prior

approval of ATF but at the individual's expense. Machineguns are excluded from this provision.

- (3) Donation of the firearm to a Federal, State, or local government agency, museum, or historical society for display purposes, provided the museum or historical society is an instrument of a Federal, State, or local government agency involved in criminal investigations. This is also done at the expense of the organization.
- (4) Refusal to comply with one of the options listed above results in the seizure of the firearm by ATF.

6 - 10 RESERVED

## CHAPTER B. FIREARMS ENFORCEMENT PROGRAM

### 11. INTRODUCTION.

#### a. General.

- (1) ATF is mandated in the preamble to the GCA, as amended, to support State and local law enforcement in their fight against crime and violence.
- (2) According to all sources, firearms continue to be the dominant weapon used in the commission of violent crimes throughout the United States. Firearms are being utilized throughout the United States to commit individual acts of violence, to further criminal enterprises, and as a commodity in organized crime and narcotics related activities. Outside the United States, American firearms are being utilized in acts of international terrorism and major narcotics trafficking. This is certainly a growing concern. ATF is capable of identifying various types of firearms trafficking operations and impacting on these operations by continuing to aggressively enforce the GCA, as amended.
- (3) Historically, ATF has earned a leadership role in the day-to-day fight against violent street crime, working hand-in-hand with State and local law enforcement. This leadership role continues to be demonstrated through such activities as firearms tracing, firearms technology, laboratory examinations, specialized training, and membership on task force and strike force units. ATF alone cannot investigate all firearms violations. The Bureau, however, dramatically impacts on the criminal misuse of firearms by targeting for prosecution violent and dangerous criminals or organizations, armed drug traffickers, interstate and interjurisdictional traffickers of firearms, and international traffickers of firearms. Of particular interest to ATF is the current crime problem caused by the recent proliferation of converted machineguns and silencers.

#### b. Purpose and Objectives.

- (1) The purpose of the Firearms Enforcement Program is to implement both the GCA and the NFA.
- (2) The long-range objective of the ATF Firearms Enforcement Program is to reduce the criminal misuse of firearms and to assist State and local law enforcement agencies in their efforts to suppress crime and violence. Through appropriate application of ATF resources, maximum law enforcement effort is focused on those crimes, criminals, or criminal

organizations that pose the greatest threat to American society.

c. Achieving Program Objectives.

(1) The major strategy that ATF utilizes to implement the long-range objectives of the ATF Firearms Enforcement Program is the Crime Impact Program (CIP). Through CIP, specific firearms crime problems are assessed and evaluated. The available ATF resources are then focused on the identified firearms crime problems in such a manner as to significantly impact on crime and violence in specific localities.

(2) Law enforcement activities under CIP are reflected in five major firearms subprograms:

(a) Dangerous and Special Offenders or Organizations.

1 Through Project Achilles, the subprogram directs applicable firearms statutes against those persons and groups identified as being actively involved in drug trafficking and violent criminal activities. The greatest emphasis is placed on armed and major drug dealers and organizations, terrorists, members of violent extremist groups, violent criminal gangs, and outlaw motorcycle gangs that illegally use or possess firearms. Convictions under 18 U.S.C. sections 924(c) and (e) carry mandatory and enhanced penalties, respectively.

2 Through Project Achilles, this subprogram also concentrates law enforcement efforts on the Organized Crime Drug Enforcement Task Force (OCDETF) targets, DEA Class I violators, major and armed narcotics traffickers, organized crime members and associates, outlaw motorcycle organizations, terrorist/hate groups, felons, recidivists, and violent criminals.

3 Guidelines for the conduct of 18 U.S.C. section 924(c) investigations and reporting procedures for both 18 U.S.C. section 924(c) and (e) are detailed in Chapter D.

(b) Criminal Firearms Traffickers. This subprogram coordinates the identification and pursuit of significant firearms sources for the criminal element. This subprogram also concentrates law enforcement

efforts on interstate thefts, major firearms traffickers, stolen firearms vendors, burglary/fencing operations, and illicit machinegun manufacturers/converters or traffickers.

(c) International Traffic in Arms (ITAR). This subprogram oversees the illegal movement of firearms in international traffic. Since ATF has the responsibility in this country for the enforcement of the Federal firearms laws, the Bureau maintains an aggressive commitment and effort aimed at neutralizing the illicit movement of firearms into and out of the United States. Special emphasis is placed on the international terrorists' and narcotics traffickers' illegal firearms transactions.

(d) Technical Assistance.

1 This subprogram tests and evaluates firearms, ammunition, and implements of war for proper classification under Federal law; gives technical advice to State, local, and other Federal law enforcement agencies on the technical aspects of firearms; traces firearms to assist Federal, State, and local law enforcement authorities; and provides training on firearms-related investigations to all facets of law enforcement.

2 The Firearms Technology Branch, the National Firearms Tracing Center, and various types of firearms training schools comprise the subprogram of Technical Assistance.

(e) Restoration of Firearms and Explosives Privileges.

1 This final subprogram provides for the restoration of firearms privileges under certain circumstances. The GCA provides that a prohibited person can make application to the Secretary of the Treasury for relief from the disabilities imposed by Federal laws with respect to the receipt, transportation, shipment, or possession of firearms.

2 A field investigation into the merits of each application is made and, based on the results of the investigation, the Director either grants or disapproves the request for restoration of firearms privileges.

- d. Firearms Programs Coordination and Assessment. In an effort to further coordinate the firearms enforcement mission and to ensure that Headquarters and field CIP guidelines are compatible, Headquarters provides input to district offices on case report reviews, operational guidelines, and CIP assessments. Because of multidistrict coordination, Headquarters oversight adds uniformity, as well as a team approach, to an otherwise localized CIP process. This input into district office CIP assessments by Headquarters on specific firearms enforcement programs, such as Top Traffickers, Armed Narcotics Traffickers, Outlaw Motorcycle Gangs, and Terrorist/Hate Groups, assists in providing overall coordination to effectively interdict the flow of firearms to the criminal element. In addition to the conventional law enforcement approaches, ATF continues its public affairs campaign to warn the law enforcement community, as well as the general public, of potential firearms safety and legal problems.
- e. Interagency Cooperation. Recognizing the need for cooperation among various agencies, the Bureau's Firearms Enforcement Program maintains open lines of communication throughout various agencies and organizations that have a vital role in the suppression of crime. Participation on various Federal, State, and local committees and task forces has proven to be an excellent means for ATF to demonstrate this cooperation and provide assistance to agencies having similar interests and goals. ATF also maintains contacts with foreign law enforcement agencies, provides firearms tracing and firearms technology, and exchanges information involving international traffickers of arms.
- f. Public Advisory Practice and Determination.
- (1) State and local law enforcement agencies, the general public, and representatives of the firearms industry are to be encouraged to seek advice and guidance at the local level. Questions relating to the Firearms Enforcement Program are to be resolved, if possible, at the district level on the basis of the applicable laws and regulations and the established policies and procedures of the Bureau.
  - (2) If in some material aspect any problem presented in a given criminal case is unique or the proper application of laws, regulations, policies, or procedures is doubtful, the matter should be referred through administrative channels to the Associate Director (Law Enforcement) (ADLE) for advice and guidance. Where such a referral is made, complete information to formulate a decision is required.
  - (3) A decision by the Director that reflects the position of the Bureau, with respect to a given situation that establishes the

basis for administrative handling of similar matters, is usually made the subject of an ATF ruling and is duly published. Published rulings, while in force, must be considered as precedents for determining proper administrative action in all similar situations.

- (4) 27 C.F.R. section 178.26 provides that the determination of curios and relics under the provisions of the GCA, as Amended, are to be made by ATF. This authority has been delegated to the Associate Director (Compliance Operations). Destructive device determinations are also made by the Associate Director (Compliance Operations) (27 C.F.R. sections 178.27 and 179.24).
- (5) It is incumbent upon special agents to provide substantial evidence that the "combination of parts" (26 U.S.C. section 5845(f)(3)) is designed and/or intended for use as a destructive device. No criminal prosecution is recommended unless these elements of the offense are clearly established by the evidence.
- (6) Experts are available at Headquarters for the identification and classification of firearms. These experts are available for opinions and consultations. Special agents can make use of these experts during criminal investigations if a satisfactory determination cannot be made at a local or district office level. Experts giving testimony on such in criminal investigations referred for prosecution MUST possess and be able to furnish the necessary credentials. All official ATF classifications are made at Headquarters.

## 12. LEGAL AUTHORITY.

- a. Laws and Regulations. The Firearms Enforcement Program is based upon the following laws and regulations:
  - (1) 18 U.S.C. chapter 44, the Gun Control Act of 1968, as amended; 27 C.F.R. part 178, Commerce in Firearms and Ammunition.
  - (2) 26 U.S.C. chapter 53, National Firearms Act, as amended; 27 C.F.R. part 179, Machineguns, Destructive Devices, and Certain Other Firearms.
  - (3) 49 U.S.C. chapter 11 and 27 C.F.R. part 72, the Act of August 9, 1939.
  - (4) 27 C.F.R. part 47, Importation of Firearms and Implements of War.

- b. Miscellaneous Laws. The following laws are applicable to the Firearms Enforcement Program:
- (1) 18 U.S.C. section 1001, False or Fraudulent Statements. Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies or conceals a material fact; makes false, fictitious, or fraudulent statements or representation; or makes or uses a false writing or document shall be fined not more than \$10,000, imprisoned not more than 5 years, or both.
  - (2) 26 U.S.C. section 7207, Fraudulent Documents. Any person who willfully delivers or discloses to the Secretary any list, return, account, statement, or other document known to him to be fraudulent or to be false as to any material matter shall be fined not more than \$10,000 (\$50,000 in the case of a corporation), imprisoned not more than 1 year, or both.
  - (3) 26 U.S.C. section 7206(2), Abetting Fraudulent or False Documents. Any person who willfully aids, assists, procures, counsels, or advises the preparation or presentation under or in connection with any matter arising under the internal revenue laws, a return, affidavit, claim, or other document under this title that is fraudulent or false as to any material matter, whether or not such falsity or fraud is with the knowledge or consent of the person authorized or required to present such return, affidavit, claim, or document, shall be guilty of a felony and, upon conviction thereof, shall be fined not more than \$100,000 (\$500,000 in the case of a corporation), imprisoned not more than 3 years, or both, together with the costs of prosecution.
  - (4) 18 U.S.C. section 371, Conspiracy. If two or more persons who conspire to commit any offense against the United States defraud the United States or any agency thereof in any manner or for any purpose, and one or more of such persons do any act to effect the object of the conspiracy, each shall be fined not more than \$10,000, imprisoned not more than 5 years, or both.

13. PROSECUTORIAL CRITERIA.

- a. Unlicensed Dealer. It is the Bureau's intent to encourage persons engaged in a firearms business to obtain a license and to comply with the laws and regulations promulgated under the GCA, as amended. Those individuals who may be engaged in the sale of firearms without a license but who lack obvious criminal intent are to be encouraged to apply for and obtain a firearms license



wherever possible. Those individuals who can be shown to have a criminal intent to violate the law, who demonstrate a flagrant disregard for the law, and who represent a substantial source of firearms to the criminal element are to be investigated pursuant to the normal investigative procedures of this Bureau. This decision rests with the individual special agent but is subject to review by his/her supervisor and the special agent in charge (SAC). All investigative reports and any criminal case reports are to document the criminal intent of the unlicensed firearms dealer to violate the law. (Special agents should refer to paragraph 168 of this order for further instructions.)

- b. Firearms Seizure Guidelines. These guidelines pertain to licensee and nonlicensee violations.
- (1) Policy. The Bureau's discretionary seizure policy is directed at the following areas of concern:
- (a) Licensed Firearms Dealers. Only those firearms needed as evidence that clearly can be shown to be involved in or used in any knowing or willful violation of the GCA; those firearms clearly can be shown to be subject to forfeiture as stated in Chapter A.5.b., any contraband or stolen firearms, or any firearms carried, used, or intended to be used to commit violent or drug trafficking crimes are to be seized. Compliance Operations shall be notified of the circumstances involved in all investigations of licensed dealers.
  - (b) Unlicensed Firearms Dealer. Only those firearms specifically offered for sale by the unlicensed dealers that clearly can be shown to be involved in or used in any knowing or willful violation of the GCA, any contraband or stolen firearms, or firearms carried, used or intended to be used to commit violent or drug trafficking crimes are to be seized.
  - (c) Prohibited Persons. All firearms found to be in the possession or control of a prohibited person under the act are subject to seizure. However, special agents are to exercise discretion in determining the need to retain or seize for forfeiture. Lack of criminal intent, the nature of a previous conviction, and the length of time since the last conviction may be considerations. If determined appropriate, individuals will be allowed to divest themselves of firearms while applying for relief from disabilities.

- (2) Other Evidence. Nothing contained in this order precludes special agents from taking into custody or documenting other evidence relative to violations of the law, e.g., ATF F 4473 (5300-9), Firearms Transaction Record, business receipts, firearms disposition records, and contraband.
- (3) Controls. Exceptions of the above seizure policy must have the prior approval of the Chief, Firearms Enforcement Division.
- (4) Firearms Disposition Guidelines. Firearms taken into Bureau custody from individuals or dealers who are acquitted or where charges are dismissed other than upon motion of the Government are not subject to forfeiture unless there are grounds for forfeiture other than those that are the basis of the criminal charge. These firearms must be returned to the owner/possessor of his/her delegate if the owner/possessor is a prohibited person. Under the GCA, as amended, ATF must initiate forfeiture proceedings within 120 days of the date the firearms are taken into Bureau custody. However, the signing of the declaration of forfeiture, with respect to administratively advertised firearms, will not be accomplished until final disposition of the criminal case. Authority for the Bureau to maintain custody in those circumstances is vested in the AD (Enforcement), and approval must be obtained from his/her delegate, the Chief, Firearms Enforcement Division. (For detailed procedures, see ATF O 3400.1, Property Taken Into Bureau Custody.)

14. ACCEPTANCE OF REFERRED/ADOPTED CASES (FEDERAL-STATE ASSISTANCE CASES) AND THE VIOLENT CRIME COORDINATOR.

- \* a. Definitions. For purposes of this chapter the following definitions are applicable.
- (1) Adopted Case. A case that is brought to ATF by another law enforcement agency for purposes of having an ATF special agent pursue firearms-related charges in Federal court AND where both of the following two conditions apply.
    - (a) The defendant has already been arrested for charges related to the case that is being recommended for adoption.
    - (b) The processing of the adopted case only involves minimal preparation such as testfiring firearms held as evidence, interviewing the defendant, proving interstate nexus on the firearm, and reviewing the recommending law enforcement agency's reports.

NOTE: Except as delineated in 14b (below), only violent crime coordinators (VCC) are to process adopted cases.

- \* (2) Referred Case. A case that is brought to ATF by another law enforcement agency for purposes of having an ATF special agent pursue firearms-related charges in Federal court AND where the processing of that case involves additional work, above and beyond the routine work required in an adopted case, to be prepared for Federal prosecution (e.g., the development of additional defendants, the collection of additional evidence for laboratory submission, interviews of multiple witnesses/victims/defendants, crime scene reconstruction, additional surveillance or undercover, service of search warrants, use of an investigative grand jury, analysis of telephone tolls, etc.).

NOTE: Any special agent may process a referred case as referred cases are often an effective method for special agents to establish working relationships with other law enforcement personnel. \*

b. Designation of the Violent Crime Coordinator.

- (1) In instances where a State, local, or other Federal agency arrests an armed career criminal, armed drug trafficker, or individual who uses a firearm during a Federal crime of violence and requests ATF assistance to have the case prosecuted in Federal court, that case should be processed by the designated VCC.
- \* (2) The number and location of designated VCC in a field division shall be determined by the SAC in consultation with the Deputy Associate Director, Operations. The designation of VCCs should be based on the investigative and prosecutorial priorities and demands within the field division's judicial districts and balanced with efforts to generate investigations in support of ATF's strategic goals.
- (3) The SAC should ensure that only those special agents designated to accept and prepare adopted cases are in fact performing this duty. Exceptions to this follow.
- (a) In field offices or groups consisting of three or less special agents, the SAC may choose not to designate a VCC and instead allow all special agents to accept and prepare adopted cases as needed.
- (b) The SAC may allow non-VCC designated special agents to process adopted cases in instances where substantial followup work and additional investigation

are required, e.g., the adoption can be developed to include additional defendants involved in violations of Federal law, processing the adoption(s) is a planned precursor to a much larger investigation into a criminal organization, processing of the adoption will assist in the success of another ongoing investigation, or processing the adoption will assist in the development of additional original cases. \*

c. Violent Crime Coordinator Duties.

- \* (1) Establish threshold prosecution levels with the U.S. attorney's office to ensure only those adoption cases which the U.S. attorney's office will prosecute federally are pursued by the VCC in order to best utilize limited resources. This will reduce time spent on preparing cases that will not be prosecuted. \*
- \* (2) Evaluate and intake all firearms-related cases recommended for prosecution by other local, State, or Federal agencies and determine which judicial system is best suited for that case based on the threshold levels of prosecution previously determined. This will require establishing good rapport and working relationships with the various State, local, and other Federal agencies in the VCCs' areas of jurisdiction. \*
- \* (3) The VCC may require State and local officers to assist in case preparation on cases those officers are recommending for prosecution; however, maintaining the integrity of the Federal firearms laws by ensuring that each State or local officer recommending a case for prosecution in Federal court has met all the elements of proof, thus avoiding the chance of unfavorable case law, is of paramount importance. \*
- \* (4) Gather and exchange intelligence derived from observed trends and from defendants. This should be coordinated with the intelligence officer in each field division. Also, interview all adopted case defendants, when legally viable, for illegal firearms trafficking/firearms source information and refer any developed information and any cooperating defendants back to the ATF field offices or the appropriate law enforcement agency for followup investigative action. During these interviews, emphasis should be placed on the source of the defendant's firearms and illegal firearms trafficking sources in the community. \*

- \* (5) Ensure firearms from all adopted cases are traced, thus enhancing the ability of Project LEAD to generate information on illegal firearms trafficking. \*
- \* (6) In cities with CEASEFIRE Program capabilities, ensure firearms from all adopted cases are testfired and that the shell casings and projectiles are subjected to Integrated Ballistic Identification System (IBIS) testing, thus enhancing the IBIS data base and increasing the likelihood of ballistic matches. Firearms submitted for testfiring should be testfired by the laboratory personnel, and submission of the firearm should be conducted in accordance with evidence handling procedures found in ATF O 3400.1, Property Taken Into Bureau Custody. Exceptions to this testfiring mandate are listed in this ATF O 3310.4B, chapter B, paragraph 17. This responsibility should be coordinated with the field division CEASEFIRE coordinator.
- (7) Assist in the identification of additional candidates for the Violent Offender Program (VOP) in that area/jurisdiction. This is intended to supplement the submission of VOP candidates to the field division VOP coordinator. The VCC does not take the place of the VOP coordinator.
- (8) Assist in training new or less experienced special agents in case preparation, interviewing, and courtroom demeanor. The VCC will be participating in, or coordinating, a great number of interviews, reports, and trials that will provide tremendous practical training forums for new or less experienced special agents.
- (9) With SAC approval, the VCC may work on other original criminal investigations if the volume of adopted cases are not sufficient to keep the VCC fully occupied.

d. Procedural Guidelines for the Acceptance of a Referred or Adopted Case By Any Special Agent.

- \* (1) Special agents are not to consider the acceptance of a criminal case where they have knowledge or reason to believe that the defendant's constitutional rights have been violated by the Federal, local, or State officers in their procurement of the evidence involved. \*
- (2) Upon receiving a request to accept a firearms case from a State/local agency, special agents are to obtain complete information relative to the facts and circumstances involved by are not to make any commitment of formal acceptance until the preliminary findings reported by the local officers are evaluated and verified. In addition, the special agent

must be assured that the case being referred is, in fact, a Federal violation and that evidence to prove each element of the violation is available.

- (3) Special agents are not to accept a seized vehicle when the property's sale value is obviously less than the storage and advertising cost that will be entailed, unless the acceptance of such seizure meets with the approval of the SAC.
- (4) In accepting cases, special agents are not to seize any firearms for Federal forfeiture that the seizing agency desires to keep for official use. Once a firearm is seized for Federal forfeiture, it cannot be returned to the originating seizing agency but must be disposed of according to the procedures set out in ATF directives.
- (5) Firearms need not be seized for Federal forfeiture from other law enforcement agencies if the necessary arrangements are made to ensure that they are available at the proper time as evidence. Such arrangements can include a voluntary detention agreement.
- (6) Where the seizing agency desires to make disposition of a firearm involved in an accepted case, a mutual agreement should be reached that after its use as evidence:
  - (a) The seizing agency retains custody of the firearm pursuant to authorization to that effect by a court.
  - (b) The seizing agency will make other disposition of the firearm in accordance with its lawful authority and legal procedures.
- (7) If the referring agency seized firearms and ammunition under authority of the GCA, proceedings for the forfeiture of the firearms and ammunition must be commenced within 120 days of the seizure. ATF shall be notified as soon as possible because our administrative timeframe for initiating forfeiture proceedings within a 120-day period. In situations where, because of the date of the seizure this timeframe cannot be met, the special agent shall not take custody of the seizure and shall inform the seizing agency that the firearms and ammunition cannot be forfeited under the GCA, as amended.

15. INTERNATIONAL TRAFFIC IN FIREARMS.

- a. Most firearms are included in the U.S. Munitions List, and importation and exportation of the listed firearms are subject to the provisions of paragraph 38 of the Arms Export Control Act (22 U.S.C. § 2778). This act, with the exception of the import

provisions, is administered by the Office of Munitions Control, Department of State, and is enforced by the U.S. Customs Service (USCS).

- b. Information concerning the illegal exportation of firearms will be reported immediately to the USCS at the local level and investigative efforts coordinated. However, if the information received concerns a suspect illegally exporting NFA weapons, then dual jurisdiction with USCS exists. Evidence should be developed to corroborate the exportation allegation. No unilateral investigation of the export weapon violation will take place once that violation is confirmed. A 1978 memorandum of agreement with USCS states that, in dual jurisdictional matters, the initiating bureau is to invite participation in a joint investigation. The inviting agency is the lead agency.
- c. Importation procedures are detailed in ATF P 5300.4, Federal Firearms Regulations Reference Guide.
- d. Information received at any time that involves matters having direct or immediate affect upon national security shall be reported immediately to the AD(E) through the SAC.
- e. Investigations and reports on international illegal trafficking of firearms are detailed in Chapter J, International Traffic in Arms (ITAR).

16. IDENTIFICATION OF FIREARMS.

- a. When any firearm without a manufacturer's or importer's serial number is brought to the attention of a special agent, it will be inspected to determine whether there is any indication that the serial number originally was stamped but has been altered, obliterated, or removed. Some firearms were legally manufactured without serial numbers and are currently not required to have them.
- b. Where evidence exists that a serial number has been altered, obliterated, or removed from a firearm involved in an ATF violation, the firearm shall be submitted to an ATF laboratory for examination.
- c. When an investigation establishes that no ATF violation is involved, such as the firearm has not affected interstate commerce, the special agent shall determine if there is a State or local prohibition against possession of an unserialized firearm. If there is a prohibition, the matter shall be discussed with the proper authorities. If action is taken at the State or local level, the matter will be considered a referral.
- d. If no action is taken by State or local authorities, or a violation of Federal law has occurred, the special agent will investigate the acquisition and possession of the firearm by the owner to

determine if any Federal firearms laws were violated. If the investigation shows no criminal intent to violate the law on the part of the owner of the firearm, the special agent shall:

- (1) Advise the owner of the firearm of State or local law, if any.
  - (2) Advise the owner that it is a violation of 18 U.S.C. § 922(k) to transport, ship, or receive, in interstate or foreign commerce, any firearm which has had the serial number removed, obliterated, or altered, or to possess or receive any firearm which has had the serial number removed, obliterated, or altered and has, at any time, been shipped or transported in interstate or foreign commerce.
  - (3) With the concurrence of the special agent's immediate supervisor, recommend that the owner have the original serial number, if known, restamped on the firearm along with an ATF serial number issued by the field division. It is not ATF's responsibility to ensure that the firearm's original serial number is restamped on the firearm.
  - (4) If the original serial number cannot be determined, recommend that an ATF serial number be stamped on the firearm. A serial number is not required if the firearm was originally manufactured without a serial number.
  - (5) If an ATF serial number is to be assigned, the special agent will request on an ATF F 3270.2, Report of Investigation, that the SAC assign an ATF serial number to the firearm, specifically identifying the firearm in the request.
  - (6) After the owner has completed the placement of the serial number on the firearm, the special agent will verify the information by inspecting the firearm. The results of this inspection will be reported to the SAC on ATF F 3270.2. In the case of a previously registered NFA firearm, a copy of the ATF F 3270.2 will be forwarded to the NFA Branch, ATF Headquarters.
  - (7) Advise the owner of the firearm that if he/she refuses to have a serial number stamped on the firearm, the firearm is contraband and is subject to seizure and forfeiture by the U.S. Government under 18 U.S.C. chapter 44. If the owner continues to refuse to have a serial number stamped on the firearm, the firearm should be seized and forfeiture proceedings initiated.
- e. When the owner of the firearm with a removed, obliterated, or altered serial number remains in possession of a firearm in order to have a serial number restamped on the firearm, the SAC shall provide the owner of the firearm with written authorization to



receive and possess that firearm in order to arrange for a serial number to be restamped.

- f. No serial number will be stamped on a firearm that is:
  - (1) Intended for use as evidence.
  - (2) To be destroyed.
- g. Each unmarked firearm required to bear a serial number under the provisions of Federal law must be stamped or restamped with a serial number when:
  - (1) The firearm's retained for evidentiary use but is no longer needed for that purpose and remains in Bureau custody for official use.
  - (2) The firearm is to be transferred to an agency outside the Bureau for official use.
  - (3) The firearm is retained for official use by a seizing agency other than the Bureau.
- h. The SAC is authorized to assign and stamp firearms with ATF serial numbers. The serial number shall be composed of the organizational segment code for the issuing division office followed by a sequential number, e.g., Los Angeles Division, ATF 93100-001. A division office file containing these ATF-issued serial numbers assigned by the division to any firearm is immediately entered into the firearms data base by that division's TECS investigative clerk.
- i. There are some ATF serial numbers that were issued by the regions. Records of those serial numbers issued have been relocated to the National Tracing Center. In the event a trace of a weapon with an ATF serial number is initiated and the serial number does not correspond with a number issued as outlined above, the trace shall be referred to the National Tracing Center for a search of the regional files.

#### 17. TESTFIRING.

- a. Where criminal prosecution of a firearms violation is anticipated, the firearms involved shall be testfired to establish their capability of expelling a projectile by means of an explosive. There are exceptions to this policy. If the case agent believes or has reason to believe that the firearm has been altered, converted, or is unsafe to fire, or that the testfiring will so damage the firearm or destroy its value as evidence, the firearm shall not be testfired. In the case of a large seizure of firearms, every firearm need not be testfired. A representative or random sampling is sufficient. Firearms not

testfired are to be examined to determine their capability of expelling a projectile by means of an explosive.

- \* b. Special agents often seize, purchase, or otherwise acquire items alleged to be silencers. True silencers are classified as firearms. To refute possible defense contentions that they are not silencers, they shall NOT be testfired in the field. Field testfiring silencers also renders any later laboratory gunshot residue test invalid. Suspected silencers must be forwarded to the Bureau's Firearms Technology Branch for testfiring. \*
- c. Testfiring shall be accomplished with ammunition containing a known propellant. Commercially purchased or forfeited ammunition may be used for testfires, provided that it is in good condition and is not handloaded ammunition. In the past, some AK-47 military ammunition was found to be boobytrapped by containing a high explosive rather than a propellant. Special agents shall be alert to the possibility that the same could be true of other ammunition.
- d. Testfiring and examination shall be accomplished under controlled conditions by an individual familiar with that weapon who will be available, if required, to testify concerning the condition and capability of the firearm.
- e. The results of the testfiring and/or examination shall be included in the case report.

18. BARREL-LENGTH MEASUREMENTS. The length of the barrel on a rifle or shotgun can determine whether it is a firearm as defined. A special agent in the field can accurately determine the barrel length of a weapon as follows:

- a. Examine and ensure that the weapon is unloaded.
- b. Close the breech, breechlock, or bolt.
- c. Cock the weapon to withdraw the firing pin.
- d. Insert a straight rod down the muzzle end of the barrel until contact is made with the face of the bolt, breech, or breechlock.
- e. Mark the rod at the muzzle end to denote the true barrel length. Removable barrel extensions, poly-chokes, flash hiders, etc., are not a part of the measured barrel length; however, permanently affixed chokes are considered part of the barrel.
- f. Withdraw the rod and measure.

19. MEASUREMENT OF THE OVERALL LENGTH OF A FIREARM. The overall length of a rifle or shotgun can also determine whether or not it is a firearm as defined. The following method of measuring the overall length of rifles and shotguns is the method approved by the Bureau:

- a. Examine and ensure that the firearm is unloaded.
- b. Close the action, if the firearm is of the "break-open" type.
- c. Lay the firearm to be measured on its side on a table or desk, with the butt of the stock on line with an edge. Keeping the butt against one edge, bring the barrel(s) of the weapon in line and next to the right-angle edge.
- d. Mark the length of the true muzzle(s) of the barrel(s).
- e. The overall length of the firearm can now be measured from the muzzle mark to the right-angle corner nearest the butt of the stock. (See Exhibit 1, Federal Firearms Laws.)

20. ENTRY-OF FIREARMS INTO THE TECS DATA BASE.

- a. To allow for the assessment of the various sources of firearms for criminal misuse and to provide investigatory leads, all firearms falling into the following categories are to be entered into the firearms data base of TECS:
  - (1) All firearms used in crimes or where the investigation reveals evidence of criminal involvement. (Entry SFA.) (Example: During a local police homicide investigation, a crime gun is traced by ATF. The trace reveals that a resident of New York utilizing fictitious identification purchased the firearm in Miami, Florida, along with six other firearms. All firearms are then entered.)
  - (2) All firearms recovered from prohibited persons or documented as being purchased by a prohibited person and not recovered. (Entry 065.)
  - (3) All firearms recovered from or illegally acquired by suspected top firearms traffickers. (Entry 069.)
  - (4) All firearms acquired through "straw purchases" and suspected of being provided to the criminal element. (Entry 070.)
  - (5) All firearms traced to or acquired at gun shows or flea markets and where the investigation reveals evidence of criminal involvement. (Entry GSF--Flea market and GSG--Gun Show.)
  - (6) All firearms seized, retained, purchased undercover, and abandoned. (Entry SEZ, RET, UCP, 109, respectively.)
- b. It is the responsibility of the district office determining the status of the firearms to enter those firearms into the firearms data base. If an entry is made as a result of a trace or theft report, the additional information shall be entered with a short narrative. (Example:

Firearm used in murder of police officer in New York in 1988, traced to Miami gun show in 1987, no further trace possible.) Instances of major significance shall be documented on ATF F 3270.2 Report of Investigation, and addressed to the SAC, Firearms Enforcement Branch.

- \* 21. REPORT OF LOSS 0113 THE - OF MILITARY MUNITIONS.
- a. in accordance with a memorandum of understanding (MOU) between ATF and the Department of Defense (DOD), the following reporting procedures regarding the theft or other loss of DOD munitions in relation to firearms (Exhibit 18) are to be utilized. The MOU stipulates that DOD will report a theft of other loss of munitions to ATF within 72 hours of discovery or notification. ATF will provide information regarding trends relating to thefts or losses of munitions that may require corrective or other appropriate action by DOD.
  - b. A KSAR must be submitted when military firearms and ammunition are recovered. The KSAR must contain any identifying information that is available. A KSAR is not required for military handguns and rifles that are traced and found not to be on record with the Military Central Weapon Registry because they were removed from inventory records prior to March 1975.

22 - 30 RESERVED

CHAPTER C. THE GUN CONTROL ACT OF 1968 (AS AMENDED)

31. PURPOSES OF THE STATUTE. The main purpose of the GCA are to:
- a. Deny the acquisition of firearms by certain persons considered by law to be a threat to society.
  - b. Regulate the manner in which firearms dealers, manufacturers, importers, and collectors conduct their businesses and restrict the type of person who engages in the business of dealing in firearms.
  - c. Provide support to Federal, State, and local law enforcement officials in their fight against crime and violence by regulating importation and interstate traffic of firearms.
  - d. Provide for the investigation, apprehension, and prosecution of persons who willfully violate the provisions of the act and ensure the seizure of firearms used, or intended to be used, in violation of the same.
32. STATUTORY AUTHORITY. The GCA as amended is codified as 18 U.S.C. chapter 44 sections 921 through 930, and is implemented in 27 C.F.R. part 178.
33. OFFENSES RELATING TO THE GUN CONTROL ACT, AS AMENDED.
- a. Exhibit 1, Federal Firearms Laws, is a comparison of definition under the GCA and NFA.
  - b. Exhibit 2, Statutes Violated--Case Report, Gun Control Act of 1968, as amended, is a charge sheet of various offenses under the GCA.
  - c. Exhibit 3, Prohibited Acts of Licensed Dealers, is a listing of prohibited acts by licensed dealers, individuals, and prohibited persons.
  - d. Exhibit 4, The Gun Control Act of 1968, is an index of the elements of offenses for violations of the GCA.
34. MULTIPLE SALES OF PISTOLS AND REVOLVERS. The GCA requires that each licensee prepare an ATF F 3310.4, Report of Multiple Sales or Other Disposition of Pistols and Revolvers, whenever the licensee sells or otherwise disposes of, at one time or during any 5 consecutive business days, two or more pistols or revolvers to an unlicensed person. The report is then forwarded to the office specified in ATF P 5300.15, Federal Firearms Licensee Information, no later than close of business on the day that the multiple sale or other disposition occurs (18 U.S.C. S 923(g)(3)). A report is not required to be made where the pistols and/or revolvers are returned to the same person from whom they were received, e.g., pawn redemption or return or repaired pistols/revolvers. When completed, ATF F 3310.4 provides information regarding the transferee's name, address, date of birth, and the quantity and description of the firearms purchased. It also bears the licensee's name, address, Federal firearms license

number, and the date the report was completed. The form contains manifold copies so that the dealer may retain a copy of the form for his/her files although maintenance of this form by the licensee is not required by law.

- a. Examples. The following are some examples of transactions covered by this reporting requirement.
- (1) A licensee sells two pistols OR two revolvers OR a pistol and a revolver in one transaction to an unlicensed person. This is a multiple sale and must be reported no later than the close of business on the date of the transaction.
  - (2) A licensee sells a pistol on Monday and a revolver on the following Friday to the same unlicensed person. This is a multiple sale and must be reported no later than the close of business on that Friday. If the licensee then sells another pistol or revolver to the same unlicensed person on the following Monday, this constitutes an additional multiple sale and must also be reported.
  - (3) A licensee maintaining business hours ONLY on Saturday sells a revolver to an unlicensed person on Saturday and then sells that same unlicensed person another revolver or pistol on Saturday, 2 weeks later. This constitutes a multiple sale as the sales took place within 5 consecutive business days. Business days are based on those established by the licensee making the sale.
  - (4) A licensee maintaining business hours on Monday through Saturday sells a revolver to an unlicensed person on Monday and sells another revolver to the same unlicensed person on the following Saturday. This does NOT constitute a multiple sale and does not need to be reported since the sales did not occur during 5 consecutive business days.
- b. Use of Multiple Sales Information. In some instances, information contained on ATF F 3310.4 will provide investigative leads regarding those persons who are engaged in the business of dealing in firearms without a license, illegally transporting/selling firearms in interstate commerce, or illegally supplying firearms to the criminal element or juveniles. Incoming multiple sales forms should be reviewed at the receiving office location to determine whether the multiple purchase warrants further investigation. Consideration should be given to the number of firearms purchased, the type of firearms purchased, previous multiple sales reported involving the same purchaser, reputation of the purchaser, and any other information available through intelligence systems or

Project LEAD, which may indicate that the purchaser may be involved in violations of Federal law.

c. Filing And Retention Procedures.

- (1) A copy of ALL multiple sales forms received by field divisions and field offices MUST be forwarded to the National Tracing Center (NTC) within 5 business days of their receipt. The NTC will then enter this information into the Firearms Tracing System (FTS) and Project LEAD. The entry of all multiple sales information into the FTS and Project LEAD will significantly enhance the ability to identify recurring patterns and trends indicative of illegal firearms trafficking. Further, the entry of multiple sales information into the FTS results in faster firearms trace completion time. When firearms are recovered in a crime and traced, the FTS automatically compares the serial number and description of that firearm to multiple sales information previously entered into the FTS. If a match occurs then the result is a trace time consisting of minutes rather than days or weeks. At the discretion of the SAC, multiple sales forms may be collected at the field division for weekly bulk mailings to the NTC or the SAC may require each field office to send copies of the multiple sales forms directly to the NTC.
- (2) Copies of those multiple sales forms that have been forwarded to the NTC may also be kept at the field office or field division level for local reference, at the discretion of the SAC. In this event, the following filing and retention procedures should be followed:
  - (a) Multiple sales forms/information should be kept in a manner that facilitates easy retrieval, reference, and investigative use.
  - (b) Completed multiple sales forms should be retained for at least 5 years from the date of execution and are to be stored in a locked metal file cabinet or equally secure container.
  - (c) Any disposition of multiple sales forms shall be accomplished by destroying the forms in a manner that the information is illegible and irretrievable.
- (3) A copy of ANY multiple sales forms received at the NTC directly from an FFL will be provided to the appropriate field division within 48 hours.

d. Investigative Activity Reporting. When opening a formal investigation as a result of multiple sales information, or when multiple sales information is used during a formal investigation, the

special agent shall place the appropriate code denoting use of multiple sales information on ATF F 3100.7, Investigative Case Summary.

e. Coordination With Regulatory Enforcement.

- (1) The multiple sales reporting requirement was passed by Congress with the specific intent of providing criminal investigators with information that may be useful in detecting violations of Federal law committed by illegal firearms traffickers. If a licensee mistakenly forwards any ATF F 3310.4 to a Regulatory Enforcement office, that office shall immediately forward this form to the nearest Criminal Enforcement office that is responsible for the area in which the reporting licensee is located.
- (2) If during the review of any multiple sales forms by Criminal Enforcement personnel it is determined that a licensee may be committing noncriminal errors, omissions, or violations, this information should be referred to the appropriate Regulatory Enforcement official.
- (3) Referrals between Criminal Enforcement and Regulatory Enforcement shall be made on an ATF F 5000.21, Referral of Information. In the case of a referral by a special agent, the SAC is the approving official prior to transmittal. Any pertinent information that cannot be placed on the referral form may be attached to the referral form.

35 – 38 DELETED

39. TREATMENT OF ATF F 4473 (5300.9). FIREARMS TRANSACTION RECORD, FOR INVESTIGATIVE PURPOSES. During investigations under the GCA, the special agent often finds that, in order to prove a prohibited person unlawfully acquired a firearm or committed other violations, the licensed firearms dealer from whom the firearm was purchased must be contacted, interviewed, and his/her records examined for the proof necessary to establish the violation. Usually, ATF F 4473 must be obtained from the licensed dealer by the special agent to allow handwriting analysis, palm print analysis, or other examinations to be conducted. In other instances, the special agent may have reason to believe that if the document remains in custody of the licensed dealer, it is likely to be lost, misplaced, or destroyed. This chapter outlines the procedures to be employed by the special agent for placing into custody, transmitting for examination, safeguarding, and returning such documents. Regulatory Enforcement inspectors will refer the suspected ATF F 4473 violations to Criminal Enforcement.
40. AUTHORITY. 27 C.F.R. SS 178.23 and 178.121(b) provide that special agents and inspectors can enter the premises of licensed firearms



manufacturers, importers, and dealers for the purpose of examining documents and records required to be kept by the licensee under this part.

41. VOLUNTARY RELINQUISHMENT OF ATF F 4473 (5300.9) BY LICENSED DEALER.

- a. When the special agent encounters an ATF F 4473 that contains material evidence of a violation, the agent is to secure the document and any substantiating statements from the licensed dealer in order to prove the elements of the violation. Most licensed firearms dealers cooperate with special agents in actions of this type. Upon obtaining voluntary relinquishment of the document from the dealer, the special agent shall:
- (1) Execute ATF F 3240.1, Document Receipt, and fully describe the documents. ATF F 3240.1 is to be placed in the licensed dealer's files in the sequential order of the ATF F 4473 that is being removed. Photocopies of the form are to be forwarded to the dealer. These copies are to be designated as such and dated and initialed by the case agent.
  - (2) If it is deemed necessary to examine the document [REDACTED]  
[REDACTED]  
[REDACTED]
  - (3) Treat the document as "retained" and follow the custodial procedure prescribed in ATF O 3400.1, Property Taken Into Bureau Custody.
  - (4) If the document is needed for evidentiary purposes, it shall be submitted, as prescribed, to an appropriate laboratory for the analysis needed. A precise record of the chain of custody will be maintained.
  - (5) Upon the return of ATF F 4473 from the laboratory, the document shall be returned to the licensed dealer and replaced in the dealer's normal business records, pending any legal proceedings where the dealer might be required to produce the document. The document shall be photocopied prior to its return and the copy placed in the field office investigative file. However, if the special agent has reason to believe that the document would be better protected as evidence in the custody of the Bureau, the special agent is to retain custody and follow the instructions in ATF F 3400.1.
  - (6) At the conclusion of all legal actions and the appeal period, the special agent responsible for the retention of the document shall return ATF F 4473 to the licensed dealer from whom it was obtained, execute the "Acknowledgement

of Return of Documents" portion of ATF F 3240.1, and place the receipt in the field office investigative file.

- b. 27 C.F.R. S 178.124 provides that the dealer must maintain ATF F 4473s in his/her files as a permanent record. Therefore, it is mandatory that the special agent return ATF F 4473 to the licensed dealer when it is no longer needed for examination or evidence. The return of the document must be done in accordance with ATF O 3400.1.

42. SEIZURE OF ATF F 4473 (5300.9) FROM AN UNCOOPERATIVE DEALER.

- a. When a possible violation is discovered and the licensed dealer is unwilling to voluntarily relinquish ATF F 4473, the special agent shall consider obtaining a search warrant in order to seize ATF F 4473 as evidence. In such cases, the special agent shall:
- (1) Document the refusal of the licensed dealer to relinquish the record for examination.
  - (2) Prepare the affidavit and obtain a search warrant for the document.
  - (3) Search for and seize the document as "retained for evidence" and return a photocopy to the dealer. (See ATF O 3240.1A, Searches and Examinations.)
  - (4) Maintain a record of the chain of custody
  - (5) The SAC may, at his/her discretion, authorize the case agent to continue the investigation without providing copies of the seized ATF F 4473s to the dealer. In each investigation, the disposition book shall be copied and returned to the dealer.
  - \* (6) Upon obtaining SAC authorization, the case agent shall notify the SAC, National Tracing Center, that his/her field office has retained the firearms transaction records. This notification shall be made in writing within 3 days. \*
  - \* (7) The seizing field office may be required to assist in the trace of firearms if the situation arises. \*

- b. When the document is no longer needed as evidence, the return of the document must be in accordance with ATF O 3400.1.

43. INTERSTATE NEXUS. An interstate movement or nexus of firearms is a necessary element of proof of a violation under most portions of the GCA.

- a. Prosecutions in GCA cases have successfully proven the commerce element through the use of out-of-business records of Federal firearms licensees (FFL) maintained by ATF in conformance with legal requirements (27 C.F.R. §178.127). The

cases of United States v. Johnson, 722 F2d 407 (8 Cir. 1983) and United States v. Veytia-Bravo, 603 F2d 1187 (5 Cir. 1979) found that the out-of-business records were admissible as evidence.

- b. The business records of a licensed manufacturer, importer, or dealer that are authenticated by the testimony of a records custodian or other qualified witness can be used to prove the commerce element. It is often unnecessary for ATF to prove the complete chain of custody of a firearm. The records of a local firearms dealer alone may be sufficient to prove the commerce element. This method will be used only in those cases where there is no other option available, and the licensee is in close proximity to the trial and will be minimally inconvenienced.
- c. The testimony of an expert from the Firearms Technology Branch can also be used to prove this element.
- d. The testimony of a special agent qualified as an expert on where firearms are manufactured is the preferred alternative to the use of the firearms trace forms. It is the most cost-effective and efficient of all the options.

44. CHARGES FOR UNLAWFUL POSSESSION OR TRANSFER OF MACHINEGUNS MADE AFTER MAY 19, 1986. The Department of Justice has notified ATF that all cases involving the unlawful possession or transfer of a machinegun made after May 19, 1986, should be charged under 18 U.S.C. § 922(o) and not under the provisions of the National Firearms Act.

45 - 50 RESERVED

## CHAPTER D. ACHILLES PROGRAM

51. OBJECTIVES. The objectives of this program are to reduce armed violent crime and the cost of this crime to the American public by identifying, investigating, and recommending for prosecution in Federal court those individuals who use or carry firearms and are armed career criminals, are actively involved in violent criminal activities and/or drug trafficking, and those individuals who illegally traffic firearms to these criminals. In addition, this program seeks to utilize the criminal intelligence generated through the arrests of numerous armed career criminals and armed drug traffickers to create or enhance illegal firearms trafficking leads. Furthermore, it is the intent of this program to increase State/local awareness of the Federal statute that is aimed at maximizing the sentencing exposure of a three-time convicted felon apprehended in possession of a firearm.

52. INVESTIGATIVE GUIDELINES FOR 18 U.S.C. § 924(c).

- \* a. Overall Goal for 18 U.S.C. 4 924(c): The identification, investigation, and prosecution of criminally active organizations or violent individuals who use/carry firearms during and in relation to crimes of violence or drug trafficking crimes. ATF's primary interest is with firearms violations that are committed during and in relation to crimes of violence or drug trafficking crimes. It is important that every investigation into violations of 18 U.S.C. § 924(c) has a clear nexus to firearms from the outset of the case. Jurisdiction for the drug trafficking crime or drug trafficking conspiracy rests with the appropriate Federal agency (DEA, U.S. Customs Service, or the FBI).
- b. Specific Objectives.
- (1) To identify, investigate, and recommend Federal prosecution of those individuals who use/carry firearms during and in relation to violent crimes or drug trafficking crimes.
  - (2) To develop a coordinated effort with Federal, State, or local law enforcement agencies for the purpose of developing information, identifying suspect individuals or organizations, and developing informants for the initiation of Achilles investigations.
  - (3) To identify, through our National Tracing Center, Project LEAD, and defendant debriefings, the source of supply for the firearms recovered during Achilles investigations.
  - (4) To investigate and recommend for prosecution those individuals found to be illegally trafficking firearms to drug traffickers and/or violent criminals.

(5) To refer all narcotics trafficking information received or developed where no firearms are involved to DEA, U.S. Customs Service, or local law enforcement agencies, as appropriate. Refer to ATF O 3210.7B, Investigative Priorities, Procedures, and Techniques, for specific informational reporting requirements.

c. Investigation Initiation. The guidelines set forth below in c(1) have been established to allow for adequate control and application of ATF's limited resources. In general, ATF O 3210.7B continues to provide overall guidance for conducting criminal investigations; however, enforcement of 18 U.S.C. § 924(c) requires additional guidelines, training, and increased awareness on the Part of special agents and all levels of management to ensure proper utilization of resources and safe operations. When initiating a 924(c) investigation, the special agent shall place priority on establishing the element of using a firearm during the commission of the violent crime or narcotics trafficking crime. The secondary, although essential, requirement will be establishing that the violent crime or narcotics offense has been committed.

(1) Special Agent Procedures for Initiating an Investigation.

(a) All individual suspects are to be completely identified, when possible. Identification information will include the subject's name, address, physical description, date of birth, and criminal history. Narcotics trafficking locations shall be identified by providing the business/location name, owner's name, and reputation/background of the establishment. Persons known to frequent that location shall be completely identified as well. This information will be documented on ATF F 3270.2, Report of Investigation, and entered into TECS.

(b) Undercover contact is permissible with individual suspects to assist in identification and to determine the level of firearms violations. Narcotics trafficking locations may also be surveilled or contacted for the purpose of obtaining identification and firearms violation information. **HOWEVER, EXPENDITURES OF ATF FUNDS FOR NARCOTICS WILL NOT BE MADE, NOR WILL ANY SEARCH WARRANTS BE OBTAINED, UNTIL THE NECESSARY IDENTIFYING INFORMATION CONCERNING THE PRESENCE OF FIREARMS HAS BEEN ESTABLISHED.** The SAC may waive the requirement to gather identification

information if, after reasonable attempts are made, the information cannot be obtained.

- (c) The arrest and conviction record of the individual suspect will be known before the undercover purchase takes place. WHEN AN ARMED DRUG TRAFFICKING INVESTIGATION IS TO BE OPENED ON A SINGLE DEFENDANT RATHER THAN FROM AN ARMED DRUG TRAFFICKING ORGANIZATION OR GANG, THE SINGLE DEFENDANT SHALL BE A PREVIOUSLY CONVICTED FELON. This requirement does not apply to cases involving 18 U.S.C. § 924(c) charges for use of a firearm during a crime of violence nor does it apply to adopted/referred cases processed by a designated VCC. In addition, the following information will be documented on an ATF F 3270.2 and placed in the investigative file: number and types of firearms present (pistols, fully automatic, etc.), types of narcotics, size of distribution (quantity, estimated street value), and reputation (violence prone, narcotics user, impact on community/ neighborhood, etc.). SAC approval is required to open an investigation that does not meet these standards.
- (d) Ascertain if another law enforcement agency is presently conducting an investigation on the suspect/location. Coordination of efforts with Federal agencies having 21 U.S.C. authority is essential.

(2) Supervisor/Management Control at Field Level.

- (a) The supervisor is responsible for the special agent's adherence to the standard criteria. SPECIAL EMPHASIS SHALL BE PLACED ON ENSURING EACH INVESTIGATION OPENED HAS A CLEAR INVOLVEMENT WITH FIREARMS FROM THE OUTSET. IN ADDITION, WHERE THE INVESTIGATION IS OF A SINGLE DEFENDANT RATHER THAN MULTI DEFENDANTS OF AN ARMED DRUG TRAFFICKING ORGANIZATION OR GANG, THE SUPERVISOR SHALL ENSURE THE SUSPECT MERITS FEDERAL ATTENTION AND THIS IS AN APPROPRIATE USE OF ATFIS LIMITED RESOURCES.
- (b) The RAC/GS is responsible for ensuring that resources, investigative policies, procedures, and techniques are applied correctly and appropriately.

RAC/GS approval is required prior to expending ATF funds or obtaining search/arrest warrants in any investigation where a suspect has not been fully identified as required in 52c(1)(a).

- (c) When ATF is requested by the U.S. attorney's office to include a substantive 21 U.S.C. violation in the case report/recommendation for prosecution report, the SAC is to ensure that DEA or U.S. Customs Service is offered the opportunity to participate in the investigation.

d. Procedural Guidelines.

- (1) Drug Trafficking Crimes. For the purpose of this section of law, "drug trafficking crimes" mean any felony violation that is prosecutable in a Federal court involving the distribution, manufacture, or importation of any controlled substances. Possession of drugs with the intent to illegally distribute, manufacture, or import and attempts or conspiracies to illicitly distribute, manufacture, or import drugs are also included. Our attention is specifically directed to the DISTRIBUTION or possession with the intent to DISTRIBUTE controlled substances as defined in 21 U.S.C. § 802. (See Schedule of Controlled Substances, 21 CFR § 31308.11 et. seq.)
- (2) Investigation Targets. Investigations shall identify and investigate narcotics dealers or organizations that use or carry firearms during and in relation to the distribution or possession with the intent to distribute narcotics.
- (3) Special Agent Procedures. Special agents and their supervisors shall keep abreast of all significant case law that may affect the methods used to prove violations of 18 U.S.C. § 924(c) in their judicial districts and nationwide. upon receipt of information that meets current case law standards and the criteria set forth herein, the special agent shall verify the existence of firearms allegedly used/carried in relation to a drug trafficking operation or on the person of a drug trafficker. Establishing the presence of firearms used or carried during and in relation to drug trafficking may be accomplished through direct observation, incriminating suspect conversation, the actual possession/purchase/trade of firearms, or any other investigative technique that conforms to all of ATF's policies. The "drug trafficking" element of proof for 18 U.S.C. § 924(c) violations should be established through investigative techniques that conform to

ATF policies, which include, but are not limited to the following.

- (a) The purchase of narcotics by an undercover operative or confidential informant.
  - (b) The undercover operative's receipt of narcotics from the armed drug trafficker where no money is exchanged. (A free sample).
  - (c) A proven, reliable affidavit of a confidential informant (CIs). This statement is to be based on what the CI observed inside a drug location or during conversation with a drug dealer.
  - (d) A taped conversation by an undercover CI or agent with drug traffickers discussing price, quantity, quality of the controlled substance, etc.
  - (e) Surveillance by agents of suspected drug trafficking operations or drug traffickers. This technique shall be used to determine the scope of the operation but shall be considered only for those persons or locations where the presence and use of firearms is prevalent or the suspects are known firearms violators. It serves no purpose to try to observe all narcotics suspects or locations.
  - (f) The ordering of a quantity of narcotics from a firearms violator. Caution must be exercised in establishing that the suspect is predisposed to distribute narcotics.
- (4) Authorizations for the use of ATF funds to purchase narcotics in an investigation are as follows:
- (a) For an undercover purchase of a substance alleged to be narcotics, the approval of the RAC/GS is required when ATF funds are to be expended.
  - (b) The SAC can authorize narcotics purchases in any amount up to \$2,000 for a single suspect in a given investigation. This limit applies to single and/or cumulative purchases.
  - (c) In an umbrella investigation with multiple suspects, the SAC can authorize agent cashier expenditures, including narcotics, up to \$10,000. In this situation, the narcotics expenditure limit of \$2,000 for each suspect remains in effect.
  - (d) Narcotics purchases, single or cumulative, exceeding \$2,000 for each suspect in a given investigation



require the approval of the Chief, Firearms Enforcement Division, for concurrence and then forwarded to the Chief, Special Operations Division, for final approval.

- (e) Requests to exceed \$10,000 in cumulative agent cashier funds in a firearms/narcotics investigation shall be routed to the Chief, Firearms Enforcement Division, for final approval.

e. Narcotics Evidence Testing, Custody, Control, and Storage.

(1) Special Agent Field Testing Procedures.

- (a) Probable cause to believe that suspected narcotics evidence is a controlled substance is an essential element [REDACTED] Preliminary analysis to determine the identity of a suspected controlled substance may be conducted through the utilization of field test kits. All field divisions were issued test kits, and these should be utilized. If more test kits are needed, they may to be obtained from law enforcement supply stores, or they may be obtained from other law enforcement agencies that investigate narcotics violations on a routine basis. [REDACTED] [REDACTED] [REDACTED] \*
- (b) Field tests are useful for on-the-spot investigative planning and providing probable cause, but they are not conclusive. Suspected controlled substances shall be retained, even if the field test is negative. In those instances when the substance cannot be identified through field testing, a sample will be transmitted to the nearest available laboratory for analysis and identification. Refer to 52f(3) of this order for laboratory transmittal procedures.
- (c) Federal, State, and local narcotics investigators who work jointly with ATF are often considered to be experts in the identification of various controlled substances. Special agents may utilize their expertise to establish probable cause in this area. The AUSA can provide further direction in this matter.
- (d) In those instances where ATF is the only investigating agency involved in an 18 U.S.C. § 924(c) violation investigation, field tests will be conducted by the case

agent, control agent, or undercover agent and shall be witnessed by at least one other special agent.

- (e) Identification of suspected controlled substances, such as forms of hallucinogens, pills, tablets, capsules, and marijuana shall be attempted through visual comparison with narcotics reference books.
- (f) A memorandum to the investigative file will be used to document each preliminary testing and identification of controlled substances. In this report, the special agent shall include the date, time, location, and personnel performing and witnessing the testing.

(2) Special Agent Procedures for the Purchase or Retention of Narcotics.

- (a) The case agent is responsible for ensuring the CI and vehicle, if applicable, are searched for contraband prior to the informant's purchase of physical evidence or gathering of recorded evidence.
- (b) The serial numbers of currency to be used to purchase narcotics during an undercover operation shall be documented/recorded, and the information shall be placed permanently in the field office investigative file.
- (c) Surveillance shall be maintained when an agent or informant is making contact with a suspected narcotics trafficker or operation for purposes of purchasing/receiving narcotics. Close visual surveillance is mandatory if it is not feasible to wear electronic surveillance equipment. RAC/GS approval is required if electronic surveillance equipment will not be used during undercover contacts.
- (d) The special agent is responsible for ensuring the informant and vehicle, if applicable, are searched for contraband immediately after the informant returns from purchasing narcotics. The evidence shall be turned over to the control agent who shall maintain custody of the evidence until it is processed and stored. The informant will then be debriefed in regard to the purchase and observations he/she made during the purchase.
- (e) Subsequent to completion of an undercover purchase or execution of a search warrant that results in the retention of narcotics, the evidence shall be returned to the field office, where it shall be field tested and

processed as soon as possible. Transmittal to a laboratory for analysis and identification should be accomplished if warranted.

- (f) The special agent is responsible for ensuring the security of the narcotics is constantly maintained. Narcotics evidence shall not be left unattended for any period of time while in the custody and control of the special agent. Adherence to courtroom presentation procedures and final destruction procedures, as previously described in this paragraph, must be maintained.

(3) RAC/Group Supervisor Responsibilities.

- (a) The RAC/GS shall ensure that special agents are aware of and use the proper procedures for handling narcotics evidence obtained as the result of a search warrant execution or undercover purchase.
- (b) The RAC/GS is responsible for physical maintenance of the evidence vault and narcotics evidence safe. Narcotics evidence must be maintained in the narcotics evidence safe separately from other forms of evidence.
- (c) The RAC/GS shall ensure that the office weighing scales are calibrated on a yearly basis and are maintained as required. Special agents shall be trained in the proper use of weighing scales.
- (d) The RAC/GS is responsible for conducting an annual accounting/reconciliation for all narcotics in ATF custody and control at his/her respective field office or group. The documentation of this accounting and the results shall be completed via memorandum to the appropriate administrative files.
- (e) The RAC/GS shall monitor evidence handling procedures to ensure compliance with Bureau policy and guidelines.

- (4) Handling Narcotics. Evidence of narcotics violations may consist of drugs, precursor chemicals, equipment, packaging, documents, fingerprints, money, or any other tangible property used to establish a violation of law. Once retained, physical evidence must be handled, controlled, stored, presented, and eventually disposed of in such a manner that will ensure its accountability and integrity. Special agents shall adhere strictly to the procedures for handling narcotics evidence.

(5) Processing Evidence. Narcotics evidence will, in most narcotics investigations, be taken into custody by the participating agency that has seizure authority for narcotics and will be processed by that agency. It is strongly recommended that the participating agency take custody of any narcotics evidence if feasible. All narcotics evidence in ATF custody will be purchased or retained. ATF DOES NOT HAVE SEIZURE AUTHORITY FOR NARCOTICS. When ATF has complete control of an investigation in which suspected controlled substances are purchased or retained during the execution of a search/arrest warrant, the following procedures for handling narcotics shall be used.

- (a) Following field testing, narcotics evidence will be inventoried to determine the gross weight of the evidence to include the substance and container. If the evidence consists of tablets or capsules, a total count will be made. If the evidence consists of legitimately manufactured drugs in factory-sealed containers and tampering is not evident, the count on the label will suffice. The gross quantity of liquids will be reported by volume, which will be derived in the most accurate and appropriate manner possible. The weights and count totals, along with a description of the evidence, will be entered on the appropriate ATF property form.
- (b) Following completion of the inventory, the evidence and its container or wrapper will be placed in a clear plastic bag that has been designated for evidence. The evidence bag shall then be sealed by a heat sealing device. The sealing will be witnessed by the supervisor or senior special agent and will be documented on the appropriate ATF property form by entering the date, time, location, and persons present. To ensure chain of custody integrity, the appropriate signature will be placed on the ATF property form. Prior to storage, a copy of the completed ATF property form will be taped to the sealed evidence bag.
- (c) Prior to sealing, the special agent shall mark each exhibit for identification with the initials of the agent who acquired the exhibit and the agents or other law enforcement official who witnessed the acquisition or handled the exhibit in any manner, the exhibit number, the investigation number, and the date. The

markings, where possible, will be made directly on the exhibit with a permanent marker.

- (d) The evidence custodian and special agent will enter narcotics evidence into the narcotics safe located in the evidence vault for the field office. Entry of the evidence will be documented in the evidence vault entry control log and on the ATF property form. Removal of evidence will be effected in the same manner.

(6) Transmittal of Evidence

- (a) All substances taken into ATF custody will be submitted for laboratory analysis or identification. It is essential to know what the substance is that has been taken into custody. Field tests are not conclusive. If it is known that the substance will not be used as evidence, it will be submitted to the laboratory for identification only. Timely transmittal of evidence is advisable to prevent delays in prosecutive action.
- (b) The special agent shall utilize DEA, State, or local law enforcement laboratories that specialize in the analysis of controlled substances and offer these services. Before transmitting evidence, the special agent shall contact the laboratory to obtain information on its transmittal requirements and the sample size required for analysis of the controlled substance.
- (c) Narcotics evidence may be delivered to a laboratory, via registered mail (return receipt), commercial carrier, overnight service, or hand-carried.
- (d) When selecting a method of delivery, the special agent should consider factors to include proximity of the laboratory, availability of personnel to hand deliver, urgency for analytical results, weight and bulk of evidence, and attractiveness of the evidence to theft.

- (7) Opening and Resealing of Evidence. Normally, once narcotics evidence has been sealed, it should not be opened except by laboratory personnel or as required in court proceedings. Proper opening and resealing procedures will ensure the integrity and chain of custody of narcotics evidence. The following procedures will be used when narcotics evidence is opened and resealed:

- (a) Evidence shall be opened in the presence of another special agent or in the absence of an ATF agent, by a law enforcement officer of another agency. The only exceptions to this shall be the opening of evidence in court at the direction of court officers or if no law enforcement witness is available and the evidence must be opened, the special agent may do so after telephonically advising the RAC of the need to open the evidence and RAC approval is granted.
  - (b) Care shall be taken to prevent contamination or spillage of the evidence.
  - (c) Upon completing the action for which the evidence bag was opened, the special agent shall place the evidence and all parts of the opened evidence bag into a new evidence bag, which shall be resealed following the appropriate sealing procedures.
  - (d) The purpose for opening the evidence, the names of persons present when opened, and the date will be documented on the ATF property form.
  - (e) In the event that evidence returned from a laboratory is not sealed, the sealing procedures previously described in 52e(6) will be used to reseal the returned evidence.
- (8) Producing Evidence in Court.
- (a) All evidence required for trial shall be made available at the appropriate time. Coordination with the prosecutor will be maintained to allow for presentation of evidence.
  - (b) Further coordination should be accomplished with the prosecutor regarding the court appearance of the chemist to testify. In lieu of the chemist's appearance, the prosecutor may seek a defense stipulation as to the analysis of the narcotics evidence.
  - (c) Custody of the evidence will be transferred to the court via the appropriate ATF property form. If the clerk of the court takes custody of the evidence but does not sign the ATF property form, the case agent will have another agent or responsible person document that he/she witnessed the transfer of evidence. The case agent will also request that the transfer of evidence be entered in the court record. If the court will not formally accept custody of the

evidence, the case agent will maintain security of the evidence.

- (d) Procedures for the return of narcotics evidence from court custody vary among jurisdictions. To ensure proper disposal of evidence upon completion of judicial proceedings, its return to ATF should be encouraged. The case agent is responsible for retrieving drug evidence from the court, receipted by an ATF property form. If the court official does not witness and sign the ATF property form, the case agent will have another agent or responsible person document the form as having witnessed the transfer of evidence.
  - (e) If the evidence has been opened during court proceedings, the case agent will inventory the contents in the presence of the court official who is returning the evidence. Upon returning to the field office, the case agent will verify the gross weight of the evidence and reseal the evidence bag by using the proper procedures.
  - (f) The evidence will be returned promptly to the narcotics evidence safe until final disposal.
- (9) Annual Evidence Inventories and the Reporting of Discrepancies. ATF O 3400.1, Property Taken Into Bureau Custody, requires that annual evidence audits be conducted for all property under custody and control of ATF. These procedures also will apply to all narcotics evidence retained by ATF. Unresolved discrepancies shall be reported immediately to the SAC. The SAC will then report the facts to his/her respective Deputy Associate Director and the Assistant Director, Office of Inspection. Refer to ATF O 3400.1 for detailed instructions related to annual evidence inventories.
- (10) Disposal of Narcotics Evidence.
- (a) Emphasis must be placed on the disposing of narcotics as soon as they are no longer needed as evidence. The determination to dispose of narcotics evidence may be made administratively when evidence has been purchased. The prosecutor may determine that the evidence may be disposed of by concluding that the case is not prosecutable or that adjudication is complete and the time for filing an appeal has elapsed.

- (b) In those cases where an appeal has been filed, the case agent will contact the prosecutor every 90 days to ascertain whether the appellate court has ruled on the case. The circumstances of the contact will be documented on ATF F 3270.2 or via a memorandum to the investigative file.
- (c) Upon receiving an approved disposition request, final disposition of retained narcotics evidence will be accomplished by releasing the evidence to a law enforcement agency that has narcotics seizure authority. (Most investigations will involve a participating narcotics investigation agency that may take custody of the evidence at the time of seizure). The release of evidence to another agency shall be documented via the appropriate ATF property forms.
- (d) In those investigations where ATF purchases narcotics, final disposition and destruction may be accomplished by ATF personnel. Upon final adjudication, approval to destroy purchased narcotics will be requested from the SAC. After approval has been received, controlled substances shall be destroyed by burning in a suitable incinerator, complying with State and local environmental requirements. Certain drug processing chemicals and byproduct chemicals require special disposal methods. Contact should be made with the local DEA or EPA office to determine proper disposal methods. The destruction of all narcotics evidence should be witnessed by two special agents.
- (e) Refer to ATF O 3400.1 for further instructions pertaining to the release and disposition of property.

f. Execution of Arrest/Search Warrant

- (1) Investigative experience has shown that those violent criminals and narcotics traffickers who use firearms during the commission of illegal activities have displayed little or no respect for the lives and well-being of those who interfere with their illegal activities. Law enforcement personnel have often been among those who have been assaulted when confronting such violent offenders. With this in mind, the safety of law enforcement personnel and innocent bystanders cannot be overemphasized when violence-prone offenders are the subjects of an arrest warrant or search warrant.



- (2) Search warrants and arrest warrants are necessary and invaluable investigative tools. Their planning and execution should be carefully carried out. Such planning and execution demand strict compliance with Bureau guidelines and policy by all participating personnel.
- (3) Evidence Collection.
- (a) Latex gloves should be worn at all times when handling processing narcotics evidence in order to avoid unnecessary contact with the skin.
  - (b) During a search warrant execution, suspected narcotics evidence should be photographed, if practical, in its original location before being removed for processing. The special agent shall process the photographs as documentary evidence.
  - (c) If suspected narcotics evidence is loose or is in a badly damaged or untenable container, it will be placed in a substitute container. Caution must be taken to ensure that the substitute container is uncontaminated and fully contains and safely preserves the evidence. If a substitute container is used, include the original container as part of the exhibit.
  - (d) When searching a location or conveyance, it may be productive to vacuum sweep the immediate area for narcotics residue.
  - (e) Liquid evidence may be hazardous or nonhazardous depending on the nature of the liquid. The hazardous nature of the liquid must be presumptively identified prior to submission to a laboratory. Consultation with the laboratory or arranging for a chemist to determine the hazardous nature of a liquid may be necessary.
  - (f) Preservation of narcotics evidence for latent print analysis and development should be contemplated before the evidence is handled.
  - (g) The only items that may be seized pursuant to a search warrant are items described in the search warrant, items that are evidence of crimes, items that are circumstantial evidence that a crime has been committed, items that are unlawful to possess, items used to commit the crime, and items that are the fruits of a crime.
- (4) Special Agent Arrest/Search Warrant Procedures.

- (a) The case agent is responsible for coordinating, obtaining, planning, and executing ATF search/arrest warrants relative to the investigation at hand. Coordination with the undercover agent, CI, and other participating law enforcement officers/agencies is essential to this process and shall be accomplished.
  - (b) The undercover agent shall not attempt to arrest violators during a buy/bust operation. Arrests of violators during a buy/bust operation shall be accomplished by fully identified law enforcement personnel. Ideal situations call for the undercover agent not being present when arrest or search warrants are being executed.
  - (c) U.S. currency retained as evidence as the obvious proceeds of narcotics trafficking shall be carefully counted by the special agent at the location where it is found in the presence of the owner, if possible. **AS ATF CANNOT FORFEIT U.S. CURRENCY, THE SPECIAL AGENT SHALL COORDINATE FORFEITURE WITH A LAW ENFORCEMENT AGENCY THAT HAS FORFEITURE AUTHORITY.** The Internal Revenue Service will be notified of large seizures of currency. Refer to ATF O 3400.1 for specific guidelines concerning the retention or seizure of currency.
- (5) RAC/Group Supervisor Responsibilities.
- (a) The RAC/GS shall authorize the execution of ATF-obtained arrest and search warrants and assign a search leader who is at least at the journeyman level. (NOTE: Exceptions to this requirement may require SAC authorization in sensitive/significant investigations.)
  - (b) The RAC/GS shall review and approve the warrant execution plan and ensure that ATF F 3210.7, Operational Risk Assessment and Plan, is used.
  - (c) The RAC/GS is to ensure the presence of a DEA, State, or local chemist on all search warrants involving clandestine laboratories. Refer to ATF O 3210.7B for specific guidelines concerning clandestine labs.
- g. Seizure and Forfeiture of Evidence. Narcotics, drug paraphernalia, currency, and vehicles involved in violation of the narcotics laws are subject to seizure and forfeiture. **ATF DOES NOT HAVE**

SEIZURE AUTHORITY FOR NARCOTICS OR CURRENCY/VEHICLES/OTHER PROPERTY GENERATED BY, OR DERIVED FROM, NARCOTICS PROCEEDS. Any of these items encountered by ATF in exercising its statutory jurisdiction may, however, be retained/held by ATF. DEA, FBI, U.S. Customs Service, IRS, or State/local authorities having authority to seize and forfeit these items should be notified immediately when these items are held. These items should be turned over to the appropriate authorities for seizure and forfeiture. Authority for seizure of firearms used/carried during and in relation to drug trafficking crimes is 18 U.S.C. chapter 44. Authority for seizure of NFA weapons is 26 U.S.C. chapter 53. Refer to ATF O 3400.1 for specific guidelines on the retention or seizure of property.

- h. Coordination of Narcotics Information Referrals.
- (1) Arrests made pursuant to violations of 18 U.S.C. § 924(c) inevitably produce information regarding drug sources. Receipt of information concerning ONLY narcotics violations shall be referred to DEA, U.S. Customs Service, FBI, or State/local agencies. Information received concerning a source of narcotics supply that utilizes firearms necessitates our establishing close coordination with these agencies. This coordination allows the agency with 21 U.S.C. authority to determine the entire scope of an illegal drug trafficking organization.
  - (2) Upon receipt of information concerning narcotics violations, the special agent will inform the RAC/GS. The special agent shall notify DEA or the appropriate agency and prepare a memorandum to the investigative file documenting the information referred and the date and the name of the notification.
  - (3) If the information being referred was derived from a CI or cooperating defendant, the agency may request use of that individual. If the decision is made to allow the CI or cooperating witness to be used by another agency, the special agent shall accompany the source of information when interviewed by the agency. Coordination is to be established to ensure our involvement if it is later uncovered during the other department's subsequent narcotics investigation that firearms violations are identified.
  - (4) Upon receipt of information involving the "drug connection" of an ATF defendant alleged to use/carry firearms, the special agent shall notify DEA or the appropriate agency and allow for the establishment of a joint investigation.

- (5) The RAC/GS shall forward the original referral memorandum to the field division office. A copy of the referral shall be maintained in the group/field office investigative files.
- i. Violent Crimes Identified as Within Our Purview as a Result of 18 U.S.C. § 924(c).
    - (1) Violent Crime.
      - (a) For purposes of this section of law, a crime of violence is any felony violation of Federal law that has as an element, the use, attempted use, or threatened use of physical force against the person or property of another, or that involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense.
      - (b) Coordination must be established with other agencies that are also responsible for enforcement efforts relating to Federal crimes of violence. This will ensure the proper notification to ATF whenever a firearm is used during or in relation to a crime of violence when a violation of 18 U.S.C. § 924(c) and/or other firearms or explosives violations can be charged.
    - (2) State and Local Officers.
      - (a) State and local officers may contact ATF with information regarding the arrest of individuals for violent crimes who are in possession of firearms. If the crime meets the Federal equivalent, such as carjacking, ATF may pursue prosecution of the firearms charge in Federal court. See paragraph 54 of this chapter for the guidelines on processing referred/adopted cases.
      - (b) When evidence in an ATF case is retained by an outside agency and that evidence may be needed in Federal court, the special agent must notify that agency in writing to hold the evidence. Upon completion of all judicial proceedings, the special agent will notify that agency in writing that they may dispose of the evidence. (See ATF O 3400.1 for guidance on the proper notification format.)
  - j. Federal Crimes of Violence.
    - (1) 18 U.S.C. § 111 - Assaulting, resisting, or impeding certain officers or employees.
    - (2) 18 U.S.C. § 112 - Protection of foreign officials.

- (3) 18 U.S.C. § 1201 - Kidnaping
  - (4) 18 U.S.C. § 1203(a) - Hostage taking.
  - (5) 18 U.S.C. § 2112 - Robbery of personal property of the United States.
  - (6) 18 U.S.C. § 2113 - Bank robbery and incidental crimes.
  - (7) 18 U.S.C. § 2115 - Post office break in.
  - (8) 18 U.S.C. § 2117 - Breaking or entering carrier facilities. (May be useful in investigating interstate theft cases.)
  - (9) 18 U.S.C. § 2118 - Robberies and burglaries involving controlled substances.
  - (10) 18 U.S.C. § 2119 - Carjacking.
  - (11) 18 U.S.C. § 844(f) - Arson
- k. Definitions for purposes of 18 U.S.C § 924(c).
- (1) Firearm - Any weapon including a starter gun that is designed to or can be readily converted to expel a projectile by the action of an explosive, the frame or receiver of any such weapon, any firearm muffler or firearm silencer, or any destructive device. This term does not include an antique firearm.
  - (2) Drug Trafficking Crime - Any distribution, manufacture, or importation of any controlled substance that constitutes a felony violation under the Controlled Substances Act (21 U.S.C. 801 et. seq.), the Controlled Substances Import and Export Act (21 U.S.C. 951 et. seq.), or the Maritime Drug Law Enforcement Act (46 U.S.C. App. 1901 et. seq.). (18 U.S.C. § 924(c) (2).)
  - (3) Distribute - Delivering, other than by administering or dispensing a controlled substance, as defined in 21 U.S.C. § 802(11).
  - (4) Manufacture - (21 U.S.C. § 802) The production, preparation, propagation, compounding, or processing of a drug or other substance either directly or indirectly by extracting from substances of natural origin, by independent means of chemical synthesis, or by a combination of extraction and chemical synthesis, and includes any packaging or repackaging of the substance or labeling or relabeling of its container. This term does not include the preparation, compounding, packaging, or labeling of a drug or other substance in conformity with applicable State or local law by a practitioner as incident to his/her

administration, or dispensing of such drug or substance in the course of his/her professional practice. The term "manufacturer" means a person who manufactures a drug or other substance (21 U.S.C. § 802(15)).

- (5) Importation - With respect to any article, any bringing in or introduction of such article into any area, whether or not such bringing in or introduction constitutes an importation within the meaning of the tariff laws of the United States, as defined in 21 U.S.C. § 951(a)(1)
- (6) Controlled Substance - A drug or other substance or immediate precursor that is included in schedules I, II, III, IV, and V of 21 U.S.C. § 802.
- (7) Schedules of Controlled Substances - Refer to 21 CFR 1308.11 et seq. for list of controlled substances.
- (8) Violent Crime - Any Federal crime of violence.
- (9) Statutes relating to Federal Drug Trafficking Violations.
  - (a) 21 U.S.C. § 841 - Unlawful manufacture and distribution of controlled substances.
  - (b) 21 U.S.C. § 952 - Unlawful importation of controlled substances.

53. THE 18 U.S.C. 4 924(e) INVESTIGATION.

- a. The most effective approach to this statute is through coordination with State and local authorities in identifying potential targets. A three-time convicted felon with prior convictions for a violent felony, (e.g., burglary, arson, extortion, or crimes involving conduct that presents a serious potential risk of physical injury to another), or a serious drug trafficking offense, or both, who receives or possesses a firearm is eligible for enhanced sentencing under this section. The predicate offenses must be committed on separate occasions.
- b. Coordination with the local AUSA is advisable to determine his/her willingness to seek enhanced sentencing of defendants under this statute.
- c. A person must be convicted under 18 U.S.C. § 922(g) before the enhanced sentencing provisions of 924(e) are applicable.

54. DUAL PROSECUTION. ATF special agents working closely with State/local authorities present a situation requiring clarification. In recommending cases for Federal prosecution, bear in mind the Department of Justice's dual prosecution policy. This policy precludes the initiation or continuation of a Federal prosecution following a State prosecution for substantially the same act or acts unless there is a

compelling Federal interest. While the crimes committed may appear distinct (e.g., a State narcotics violation and a Federal firearms violation), they may be subject to this policy. In any investigation where this situation may exist, the case agent will advise the AUSA of the situation as soon as possible and allow the AUSA to make a determination.

55. REPORTING PROCEDURES. Reporting statistics for the Achilles Program require that a facsimile message be forwarded from the reporting field division SAC to the Chief, Firearms Enforcement Division, when sentencing occurs. In order to properly capture all pertinent sentencing and investigation information, a standard reporting format for all Achilles sentencing reports will be used. (See exhibit 4-1.) The subject of the facsimile message will be listed as "ACHILLES SENTENCING." Below the subject of the message should appear the investigation number and title. A brief advisory stating this message relates to the sentencing of a specific defendant(s) is to be placed in the body of the message under the caption "Description of Activity." In addition, this message shall include the name(s) of the defendant(s), charges for which the defendant(s) were convicted and sentenced, and the date and location (judicial district) of sentencing, under a caption entitled "SYNOPSIS." A brief overview of the overall investigation that culminated in the sentencing and a listing of all of the defendant's prior felony convictions and/or criminal reputation shall be listed under a caption entitled "NARRATIVE." Sentencing information must be broken down by the length of time sentenced in months for each defendant and for each charge. Sentencing of multiple defendants in the same investigation may be reported on one message. Only sentencing information directly relating to the charge of 18 U.S.C § 924(c) and 924(e) needs to be reported via this format. (See exhibit 4-1 for an example.) Each field division office is responsible for faxing all "ACHILLES SENTENCING" facsimile messages to the Chief, Firearms Enforcement Division.
56. INFORMATION DEVELOPMENT. The Achilles Program offers a unique opportunity to gather and develop information/ intelligence on the most violent and active armed career criminals and armed drug traffickers in American society. In order to maximize the potential for gathering this intelligence and enhance other assets unique to ATF the following shall be accomplished:
- (a) Every firearm recovered in an Achilles investigation (referred or original case) must be traced through the National Tracing Center. Trace request procedures, and exceptions to this tracing mandate, are listed under chapter F of this order. Tracing Achilles defendant firearms will add important information to the Project LEAD/firearms trafficking data base, which will in turn yield leads to help identify the illegal firearms traffickers who are supplying the Achilles defendants with firearms.

- (b) When legally possible, all Achilles defendants should be Mirandized and interviewed as to the source of their firearms and any knowledge they may have relating to illegal firearms trafficking activity.
- (c) AUSAs assigned to prosecute Achilles cases should be urged to include a formal debriefing requirement into any plea agreement that an Achilles defendant may enter into. During the debriefing, special agents should question the defendant as to the source of his/her firearm(s) and knowledge he/she may have with respect to illegal firearms trafficking activity.
- (d) In those cities with laboratories having CEASEFIRE/Integrated Ballistic Identification System (IBIS) capabilities, all firearms recovered in Achilles cases shall be submitted to those laboratories for spent projectile and casing analysis. This will enhance Achilles investigations and produce leads in unsolved shooting incidents when ballistic matches are made between an Achilles defendants firearm and prior shooting incidents. Exceptions to this requirement and procedures for the submission of the firearm for test firing and analysis at the CEASEFIRE/IBIS laboratory should adhere to ATF O 3400.1.

57 - 60 RESERVED



CHAPTER E. REPORT OF RESTORATION OF FIREARMS AND EXPLOSIVES PRIVILEGES

61. HEADQUARTERS PROCESSING OF APPLICATIONS AND APPLICATION INVESTIGATIONS.

- a. A person may apply for restoration of firearms and/or explosives privileges pursuant to 18 U.S.C., chapter 44, section 925(c) and 18 U.S.C., chapter 40, section 845(b). Persons inquiring about restoration of privileges shall be advised to write to the Associate Director (Law Enforcement), P.O. Box 784, Ben Franklin Station, Washington, DC 20044.
- b. A person making application for restoration of privileges must submit ATF F 3210.1, Application for Restoration of Firearms and/or Explosives Privileges, in duplicate; ATF F 3210.3, Authority for Release of Information; and FD-258, Fingerprint Identification Card-Applicant (FBI), in duplicate, to the ADLE. Applications for restoration are not considered if a completed ATF F 3210.3 is not submitted by the applicant.
- c. An application is to include the following:
  - (1) In the case of an applicant who is an individual, a written statement recommending the granting of restoration from each of three references who are not related to the applicant by blood or marriage and who have known the applicant for at least 3 years.
  - (2) In the case of an applicant under indictment, a copy of the indictment or information concerning the indictment.
  - (3) In the case of an applicant convicted of a crime punishable by imprisonment for a term exceeding 1 year, a copy of the indictment or information on which the applicant was convicted; the judgment of conviction or record of any plea of nolo contendere plea of guilty, or finding of guilty by the court; and, in the case of an application under chapter 44 any pardon, expunction, setting aside, or other record purporting to show that the conviction was negated or that civil rights were restored.
  - (4) In the case of an applicant adjudicated a mental defective or committed to a mental institution, copy of the court order or other entity that made the adjudication or ordered the commitment, any petition that sought to have the applicant so adjudicated or committed, any medical records that reflect the reasons for commitment and/or the diagnoses of the applicant, and any court order or other record that shows the applicant's discharge from commitment and the restoration of his/her mental competency rights.

- (5) In the case of an applicant discharged from the Armed Forces under dishonorable conditions, a copy of the applicant's Summary of Service Record (DD Form 214), Charge Sheet (DD Form 458), and final court-martial order.
  - (6) In the case of an applicant who has renounced his/her U.S. citizenship, a copy of the formal renunciation of nationality executed before a diplomatic or consular officer of the United States in a foreign state or an officer designated by the Attorney General when the United States was in a state of war.
- d. Upon receipt of the completed ATF F 3210.1, ATF F 3210.3, and FD-258, the ADLE reviews the ATF F 3210.1 for disabilities that are not susceptible to restoration. If these disabilities are present, the ADLE prepares a letter for the Director's signature which advises the applicant that restoration cannot be granted.
  - e. For the remaining eligible applicants, the ADLE has TECS and NCIC queried. Criminal history record checks are made through NCIC and/or through submission of fingerprint cards to the FBI for each eligible applicant. The ADLE has each applicant's name queried through the U.S. Secret Service intelligence files.
  - f. The ADLE determines, when possible, if the applicant is one who does not need restoration because the offense is not defined within 18 U.S.C. sections 921(a)(20) or 841(1), or in the case of an application under section 925(c), where there has been a pardon, expunction, setting aside, or restoration of civil rights and the applicant is not ineligible to receive or possess any type of firearm under the law of the jurisdiction where the proceedings were held. An application for restoration received from such a person is returned with a letter advising the applicant that he/she is not under disabilities.
  - g. The ADLE determines, when possible, if the person making application is on probation or parole. If so, the applicant is notified that restoration cannot be granted while he/she is under the supervision of the court, unless a **COMPELLING NEED** can be shown. Mitigating circumstances that would allow examination of the application while the applicant is under parole or probation include: persons who require a firearm for his/her CURRENT livelihood; e.g., federally licensed firearms dealers, police officers, security officers, trappers, hunting guides, etc. Additionally, applications for restoration are not considered for 2 years from the date of termination of parole or probation, unless a **COMPELLING NEED** can be shown. Persons desiring restoration in order to go hunting or to teach their family members firearms safety do not fall under the definition of a **COMPELLING NEED**. Most persons on

probation or parole are required to adhere to all Federal, State, and local laws and regulations as conditions of their probation or parole under threat of immediate revocation and possible imprisonment. A 2-year evaluation period after the termination of probation or parole is to be utilized by the investigating special agent in order to assess the actual rehabilitation of the applicant.

- h. The application for restoration under chapter 40 or 44 is also reviewed to determine if the applicant is, or may be, subject to a State law that would prohibit possession of explosives or firearms. (See ATF P 5300.5, State Laws and Published Ordinances (Firearms).) On the basis of public interest, restoration under chapter 44 is denied an applicant who cannot possess any type of firearm in his/her State of residence. No investigation is initiated when it is clear that the applicant is subject to a total ban on possessing firearms. The same is true with respect to restoration applications under chapter 40. Applications submitted by persons subject to a partial ban are forwarded for completion.
- i. If, after the preliminary examination, the ADLE determines that an application investigation is required, an ATF F 1325.19, Assignment Control, is prepared by the Firearms Enforcement Branch. The form reflects the title of the investigation, the investigation number assigned by the Firearms Enforcement Branch, and a 90-day due date. The SAC, Firearms Enforcement Branch, forwards the assignment control form, two copies of the application, the original ATF F 3210.3, and any necessary supporting documents to the SAC of the field division in which the applicant resides. The ADLE also requires that a TECS entry for the new applicant be made.
- j. The ADLE transmits the completed report of investigation and his/her recommendation, along with a letter for the applicant, to the Director for signature. The letter advises the applicant of the decision to grant or deny restoration. The appropriate TECS modification is then made.

## 62. FIELD PROCESSING OF APPLICATION INVESTIGATION.

- a. The application is assigned to the field division in whose jurisdiction the applicant resides and is not transferred to another field division without authorization from the SAC, Firearms Enforcement Branch. The field division SAC maintains the ATF F 1325.19 in a tickler file to ensure compliance with the 90-day due date assigned by Headquarters (HQS). A separate due date, within the timeframe assigned by HQS, may be assigned by the SAC. The application package is then forwarded to the appropriate field office (FO) for investigation. A copy of the applicant's ATF F 3210.1, ATF F 3210.3, the FBI report, and any other available information is

furnished to the special agent. The special agent obtains the facts concerning the circumstances surrounding the applicant's disability and any facts relating to his/her reputation or character. The facts are obtained to enable the Director to establish, to his/her satisfaction, that the circumstances of the applicant's conviction, record, and reputation indicate that he/she is not likely to act in a manner dangerous to public safety and that the granting of the restoration is not contrary to the public interest. If a collateral investigation is required in order to complete the application investigation, it will be initiated by the assigned FO.

- b. The RAC/GS immediately assigns restoration investigations upon receipt of the package from the SAC. The RAC/GS is responsible for ensuring that the due date assigned by the SAC is met.
  - c. The special agent determines if the applicant is subject to any State law that imposes a total or partial ban on the applicant's possession of firearms and/or explosives. If the applicant is under a total ban, the investigation will be terminated. If the applicant is under a partial ban, the investigation shall be completed. The agent must cite the State statute and the type of State disability.
  - d. If, at any time during the investigation, the special agent determines that an applicant is subject to disabilities not subject to restoration, he/she shall terminate the investigation. Upon the termination of such an investigation, the special agent shall return the file to the SAC with a closing report on ATF F 3270.2.
  - e. Upon completion of the field investigation, ATF F 3270.2 will be routed through normal channels to HQS. In the event that the 90-day due date cannot be met, the SAC is to ensure that the assigned special agent prepares and submits a status report on ATF F 3270.2 to the SAC, Firearms Enforcement Branch. The narrative shall justify the delay and identify the date the field investigation was initiated, the amount of time already expended on the investigation, and the date the assignment was last worked. The closing statement shall indicate the expected completion date of the assignment. HQS requests for additional investigation will be completed within 30-days of the request date.
63. Restoration of Privileges Report Format. The special agent will obtain the facts concerning the circumstances surrounding each conviction of a felony or a crime of violence by the applicant and facts relating to his/her reputation and character. The facts obtained will be sufficient to enable the Director to establish, to his satisfaction, that the circumstances regarding the conviction and the applicant's record and reputation are such that the applicant will or will not be likely to act in a manner dangerous to public safety and that the granting of relief would or would not be contrary to the public interest. Every effort will be made to

personally interview the applicant at the start and the end of the restoration investigation. The results of restoration investigations will be reported on ATF F 3270.2 and ATF F 3270.3 in the following manner:

- a. Block 1 will normally be checked "Routine." On some occasions, restoration of privileges investigations meet the criteria for being designated a "sensitive" or "significant" investigation. (See ATF O 3210.7B for a detailed description of situations meeting the sensitive and/or significant requirements.)
- b. Block 2 will be addressed to the Director, Washington, DC, on all final reports of restoration of privileges investigations, including those that are terminated early.
- c. Block 3 will contain the full name of the special agent conducting the restoration of privileges investigation and the field division to which he/she is assigned.
- d. Block 4 will contain the full name of the applicant being investigated.
- e. Block 5 will contain the HQS assigned case number. There are no instances where the FO case number will be used to report a restoration of privileges investigation. should a restoration investigation evolve into a criminal investigation, the restoration investigation will be closed with a full explanation and a FO case number assigned to cover the criminal matter.
- f. Block 6 - the appropriate item(s) from the column will be checked.
- g. Block 7 - the appropriate LEMIS code(s) will be entered in this block. Restoration of privileges can be under the firearms program, explosives program, or both at the same time. This will be dictated by the type of restoration requested by the applicant.
- h. Block 8 - reporting special agent will check "Other" and type in "Restoration" in the space provided.
- i. Block 9 will be completed using the following statement and in the order shown:

- (1) General Statement. This statement is not identified by caption. The following statement with the applicable selections will be the general statement:

This report relates to an investigation into the merits of an application for restoration of (firearms and/or explosives) privileges as filed under (Title 18 U.S.C. chapter 44 and/or Title 18 U.S.C. chapter 40) by (applicant's full name), (mailing address), (home telephone number), and (work telephone number).

- (2) Pre-investigation Interview of Applicant. The applicant will be contacted in person and the application discussed with him/her. If possible, this contact should be conducted at the applicant's residence. In the case of a corporate applicant, the corporate official submitting the application will be contacted in person. The special agent conducting the interview will cover the following topics with the applicant:
- (a) The applicant will be asked for his/her version of the conviction(s) for which he/she is seeking restoration of privileges. This information, along with the official version(s), will be reported under the caption in paragraph (3) below.
  - (b) The applicant will be asked if he/she has received or possessed any firearms and/or explosives since the date the disability was imposed. If the applicant is in possession of firearms and/or explosives, he/she will be instructed to immediately divest themselves of same. If information is developed that the applicant has been in possession of firearms and/or explosives at any time during the period of his/her disability, a thorough investigation will be conducted to determine the facts of his/her possession. This investigation should include documentation of the complete history of any firearms and/or explosives they are found to be in possession of, and/or any firearms and/or explosives they received or possessed during the period of his/her disability.
  - (c) The applicant will be asked if he/she is taking any prescribed medication(s) in connection with a physical or mental condition. All information pertaining to the applicant's use of prescription drugs or of any controlled substance(s) will be thoroughly documented.
  - (d) The applicant should be advised that the nature of his/her firearms and/or explosives disabilities will be disclosed to all affected individuals.
  - (e) Any omissions on the applicant's application should be determined, as well as changes of address, employer, etc. that have taken place since the date the application was filed. There is normally a time lag prior to the investigation being assigned to a special agent, and frequently, a great deal of information has changed on the application.

- (3) Conviction(s) for Which Restoration is Sought. Under this caption, information surrounding the applicant's conviction(s) is reported. For all felony convictions, the sample paragraph below will be completed and a narrative of the official version and the applicant's version of the felony reported.

A certified copy of conviction will be submitted by HQS. If a certified copy of the conviction is not forwarded from HQS, a regular copy of the conviction may be obtained, or the investigating agent may obtain necessary information by telephone or through personal examination of the records. If a copy of the conviction is not obtained, list the name and title of the record keeper supplying the information:

On \_\_\_\_\_, \_\_\_\_\_ was  
 (date) (applicant's name)  
 convicted of a felony, \_\_\_\_\_, a  
 (type of felony)  
 violation of \_\_\_\_\_ in \_\_\_\_\_,  
 (cite statute) (location of court)  
 docket/court number \_\_\_\_\_. The applicant  
 (number)  
 was sentenced to \_\_\_\_\_.  
 (state sentence given)

On \_\_\_\_\_ the applicant was finally released  
 (date)  
 from prison/probation/parole.

- (4) Other Arrests and Convictions. When additional arrests and/or convictions are detected during the course of the investigation, a summary paragraph for each will be entered under this caption. While they may not be disabling in themselves, the total criminal activity of the applicant should be considered in making a recommendation to grant or not to grant restoration. The applicant's version of these incidents may also be included here, if known.
- (5) Interviews of Character References. If a character reference, neighbor, or any other person interviewed during the restoration investigation requests confidentiality, have the following caption typed immediately after the person's name in capital letters: "CONFIDENTIALITY REQUESTED."<sup>\*</sup>





perspective. Specifically ask each person interviewed if they recommend restoration of firearms and/or explosives privileges to applicant.

- (d) All references should be interviewed in person and asked if they recommend restoration of the applicant's firearms and/or explosives privileges.
- (6) Current Employment Check.
- (a) Complete name, address, and type of business where applicant is employed. Interview of employer and/or supervisor.
- (b) Personnel file, if one is maintained by employer, must be reviewed.
- (c) Statement as to whether or not the use of, carrying of, or access to firearms and/or explosives is required for applicant's job.
- (7) Employment History. If applicant has been employed by his/her present employer for less than 2 years, the previous employer will be contacted and information (as outlined in paragraph 63i(6) above) obtained.
- (8) Neighborhood Investigation. The confidentiality caption should be used for those who request their names not be used. The following will preface each interview narrative:

On \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_,  
(date) (name) (vocation)

at \_\_\_\_\_, \_\_\_\_\_,  
(address) (telephone)

was interviewed. Mr./Mrs./Ms. \_\_\_\_\_, advised  
(name)

\_\_\_\_\_ (text will include time known)

- (a) Attempts to locate the applicant's residence(s) and interview neighbors should be made covering the last 5 years, or the period of time from the applicant's last felony conviction, or release from a penal institution, whichever is the shorter period of time.
- (b) Specifically ask neighbors if they recommend restoration of firearms and/or explosives privileges to the applicant.

- (c) Provide a statement as to whether the applicant's income (determined in 63i(6) and (7) above) appears adequate to support his/her lifestyle.

- (9) Law Enforcement Record Checks. Special agents will conduct interviews of law enforcement officers in the area in which the applicant currently resides to determine if the applicant is presently under investigation for any crimes. These interviews will include questions regarding any information of the applicant's involvement with firearms, drugs, alcohol abuse, or violent abusive behavior. The following will preface each interview narrative:

On \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_,  
 (date) (name) (vocation)

at \_\_\_\_\_, \_\_\_\_\_,  
 (address) (telephone)

was interviewed. Mr./Mrs./Ms. \_\_\_\_\_, advised  
 (name)

---

(text of interview)

- (a) A record search of State, county, and local police agencies will be made covering the geographic areas where the applicant has resided for the past 5 years or since his/her last felony conviction. These checks will also include contact with the appropriate court to determine if the applicant has ever been adjudicated mentally incompetent.
- (b) The name and address of applicant will be run through the intelligence files of Federal agencies, including the U.S. Secret Service and EPIC, and State, county, and local agencies in the geographic areas where the applicant has resided during the last 5 years for any intelligence of criminal activity engaged in by applicant. \*
- (c) The applicable probation/parole officer(s) will be interviewed, if possible. A review of the file(s) will also be conducted to obtain additional information about the applicant's conviction(s). Ask the officer(s) if he/she recommends restoration of the applicant's firearms and/or explosives privileges.

- (d) The prosecutor, case agent, and/or arresting officer for each disabling felony will be interviewed, if possible, and asked if he/she recommends restoration of the applicant's firearms and/or explosives privileges.
- (e) The victim, if applicable, and/or any of the applicant's criminal accomplices in the disabling offense(s) will be interviewed, if possible. Specifically ask each of them if he/she recommends restoration of the applicant's firearms and/or explosives privileges.

(10) Mental Competency.

- (a) A mental competency check will be conducted on all applicants. If any mental incompetency is discovered, complete facts concerning any commitment or adjudication of incompetency should be reported. Obtain documents showing the date, proceedings, name and location of the court, and present status of applicant, if discharged. If a commitment was not made by a court, show full facts incident to the commitment. If possible, obtain medical or psychiatric reports concerning the applicant's condition, progress, etc.
- (b) An interview of the applicant's psychiatrist and/or attending physician will be conducted. The special agent will request him/her to provide a letter regarding his/her recommendation of the applicant's suitability for restoration of firearms and/or explosives privileges.
- (c) If the applicant is currently committed or adjudicated mentally incompetent, the investigation should be terminated and a report will be forwarded with the documentation attached.
- (d) If no evidence is discovered, the following statement will be entered: \*

"On \_\_\_\_\_, the records of \_\_\_\_\_,  
 (date) (agency name)

at \_\_\_\_\_, \_\_\_\_\_,  
 (address) (telephone)

were checked by Mr./Mrs./Ms. \_\_\_\_\_,  
 (name)

and no evidence of mental incompetency was discovered pertaining to the applicant in this investigation."

(11) Additional Information.

- (a) Ascertain if the applicant is/is not under any State or local disability. If the applicant is under a State or local disability, describe the type of disability and cite the law covering the disability.
- (b) Frequently, applicants indicate that they have served in the armed services. In those instances where the special agent has reason to believe that the applicant may have been convicted of a military crime or dishonorably discharged, he/she will make every effort to verify the information through military record checks. The applicant should be requested to provide copies of his/her military discharge documents.
- (c) Information developed dealing with the military service of the applicant, and/or pertinent information that does not fit any of the other captions, should be shown under this caption.

(12) Post-Investigation Applicant Interview.

- (a) The special agent will conduct a followup personal interview of the applicant to clear up any inconsistencies or conflicting information uncovered during the investigation. The applicant should be afforded the opportunity to have his/her questions answered, with certain restrictions:
  - 1 special agents will not speculate as to whether the application will be approved or denied.
  - 2 Special agents have no idea how long it will take for a final decision to be made on the application; therefore, they should not volunteer a time estimate if asked by the applicant.
  - 3 Information received by the special agent from interviews conducted during the investigation should not be discussed with the applicant. No information should be disclosed which might identify a confidential source.

(b) At a minimum, the date and place of interview will be shown under this caption.

(13) Recommendation: The **ONLY** recommendation regarding the applicant's suitability for restoration of firearms and/or explosives privileges will be made by the SAC as follows:

"I do/do not recommend that the applicant's firearms and/or explosives privileges be restored."

\_\_\_\_\_  
(signature)

- j. Blocks 10, 11, and 12 will be completed by the special agent who conducted the investigation.
- k. Blocks 13, 14, and 15 will be completed by the RAC/GS who reviewed the report.
- l. Blocks 16, 17, and 18 will be completed by the SAC who approved the report and made the recommendation in block 9.

64. APPROVAL, DENIAL, TERMINATION, AND REAPPLICATION CRITERIA.

- a. Investigations shall be terminated and a closing ATF F 3270.2 forwarded through channels by the assigned special agent with an explanation of the reasons for termination in the following instances:
  - (1) Applicant is seeking restoration of explosives privileges under 18 U.S.C., chapter 40, section 845(b), which only provides for restoration of privileges to those persons who are convicted felons or who are under a felony indictment.
  - (2) Restoration of privileges under chapter 40 will not be granted if the applicant falls within any of the following categories:
    - (a) A fugitive from justice.
    - (b) An unlawful user of, or addicted to, marijuana or any depressant, stimulant, or narcotic drug, as defined in the Controlled Substance Act, 21 U.S.C. section 802.
    - (c) A person adjudicated a mental incompetent or mental defective or committed to a mental institution. A person committed for observation or a person who voluntarily committed himself/herself is not considered a mental incompetent or mental defective.
  - (3) Title 18, U.S.C., section 925(c) provides that any

person who is prohibited from possessing, shipping, transporting, or receiving firearms can make application for restoration of privileges. However, in the interest of public safety, restoration of privileges, under chapter 44, will not be granted if the applicant falls within any of the following categories:

- (a) A fugitive from justice.
  - (b) An alien illegally or unlawfully in the United States.
  - (c) An unlawful user of, or addicted to, any controlled substance, as defined by 21 U.S.C. section 802, Controlled Substance Act. (See 21 CFR Part 1308.11, Schedule of Controlled Substances.)
- (4) If an application is forwarded to the field and the investigating special agent discovers that the applicant is subject to a State law that totally prohibits the applicant from possessing firearms and/or explosives, the investigation shall be terminated with a closing ATF F 3270.2. The ATF F 3270.2, addressed to the Director, shall completely detail the reasons and laws that prohibit the person from possessing firearms and/or explosives. Application investigations shall be completed for persons only partially prohibited from possessing firearms and/or explosives.
- (5) Restoration investigations can be terminated if the investigating special agent finds the applicant unavailable for interview. The investigating agent shall attempt to contact the applicant by telephone, if feasible, at various times of the day for 2 weeks. If no contact is established, the agent shall send a certified letter to the applicant return receipt requested, requesting that the applicant contact the agent within 5 days of the letter's receipt. The application investigation can be terminated with a closing ATF F 3270.2 documenting the above attempts to contact the applicant.
- b. SACs are to ordinarily recommend denial:
- (1) If, in the case of an applicant for restoration of explosives privileges, the applicant is under another Federal disability; e.g., fugitive from justice. Title 18 U.S.C. section 845(b) pertains only to applicants who have been indicted for or convicted of a crime punishable by imprisonment for a term exceeding 1 year.
  - (2) If the applicant is still imprisoned.

- (3) If the applicant is still on probation or parole, and no **COMPELLING NEED** (see paragraph 61(g)) is shown for restoration of privileges.
- (4) If the applicant's final and complete release from prison, parole, or probation arising from conviction is less than 2 years prior to his/her application, and no **COMPELLING NEED** (see paragraph 61(g)) is shown for restoration of privileges.
- (5) If the applicant is a recidivist, unless at least 10 years have elapsed since the applicant's last conviction or imprisonment, and there is no indication that the applicant might return to criminal activities.
- (6) If the applicant's record and reputation are such that a reasonable doubt exists as to whether the applicant can possess a firearm and/or explosive without a likelihood of acting in a manner dangerous to the public safety or that the granting of his/her restoration of privileges would be contrary to the public interest.
- (7) If the applicant's disabling conviction was for a violent crime, sex offense, serious drug offense, or for violation of the Federal firearms laws, a complete investigation will be done unless other factors are discovered that support termination of the investigation. The recommendation for approval for a restoration applicant in one of these categories must be based on a thorough investigation establishing the following:
  - (a) The nature of the crime, the facts and circumstances surrounding the crime, and the degree of the applicant's participation are not of such an egregious nature to warrant a permanent denial of restoration.
  - (b) Substantial evidence of rehabilitation, including positive evidence that the applicant has become a responsible, law-abiding citizen who will not return to criminal activity is evident.
  - (c) There is no indication of transgressions subsequent to the disabling conviction.
- (8) If the applicant knowingly made a false statement of a material fact in the application.
  - (a) Title 18 U.S.C. sections 842(a)(2) and 924(a) make it an offense for any person to knowingly make false statements or representation in applying for restoration of privileges under the provisions of 18 U.S.C. chapter 40 or chapter 44.

- (b) If, during the course of the investigation of the merits of the application the special agent uncovers evidence that the applicant knowingly made a false statement of a material fact, such evidence shall be included in the report.
- \* c. SACs are to ordinarily recommend granting restoration of privileges if the offense for which the applicant was convicted or the degree of participation therein is not indicative of a violent crime or serious drug offense, the evidence of rehabilitation is **UNASSAILABLY FAVORABLE**, and the applicant has satisfactorily met all requirements and conditions of his/her sentence, including the full payment of any fines imposed by the court. \*
- d. If, during the conduct of the application investigation, the applicant decides to withdraw the application, his/her reapplication for restoration within a period of 1 year from the date of withdrawal would not ordinarily be accepted. Withdrawals are accomplished by obtaining a signed and dated letter or affidavit from the applicant and forwarding the withdrawal, along with a closing ATF F 3270.2, through channels to HQS.
- \* e. If an application for restoration is denied by the Director, the reapplication within a period of 2 years from the date of denial would not ordinarily be permitted. \*

65. EFFECTS OF PARDONS, THE YOUTH CORRECTIONS ACT, FEDERAL JUVENILE DELINQUENCY ACT, EXPUNCTIONS, AND RESTORATION OF CIVIL RIGHTS PROCEEDINGS ON RESTORATION APPLICATIONS.

- a. Presidential Pardons. Under 27 CFR section 178.142, a pardon granted by the President of the United States regarding a conviction for a crime punishable by imprisonment for a term exceeding 1 year shall remove any disability that otherwise would be imposed by the provisions of this part with respect to that conviction.
  - (1) Proclamations 2676 (60 Stat. part 2, 1335) and 3000 (67 Stat. c.23) grant a general pardon to certain persons who served in the Armed Forces during a specified period of World War II and the Korean War. The person must have been discharged or separated from this active duty under honorable conditions. The pardons granted by the proclamations apply only to Federal convictions for crimes committed prior to entry on qualifying active duty, except on qualifying active duty, except for the crime of impeachment and convictions for violations committed during active duty in the Armed Forces. To qualify for the pardons granted by



these proclamations, a person must have served on active duty for a period of 1 year or more, and part of this service time must come within the dates prescribed by the proclamation. The dates are as follows:

- (a) World War II: July 29, 1941, to December 24, 1945
  - (b) Korean War: June 25, 1950, to December 24, 1952
- (2) In addition to paragraph 65(a)(1) above, Proclamation 4483 (42 Fed. Reg. 4391 (1977)) grants full, complete, and unconditional pardon for all persons who may have committed and were thereafter convicted (irrespective of the conviction date) of any offense between August 4, 1964, and March 28, 1973, in violation of the Military Selective Service Act. All persons convicted of or who may have committed any offense that involved force or violence in violation of the Military Selective Service Act and/or connected with duties or responsibilities arising out of employment as agents, officers, or employees of the Military Selective Service System are not pardoned under this proclamation.

b. Governor's Pardons and Expunction of State Convictions.

- (1) Explosives. A Governor's pardon or expunction of a State conviction does not remove explosives disabilities imposed by chapter 40 upon persons having been convicted of a felony.
- (2) Firearms. A pardon granted by the Governor of a State or other State pardoning authority with respect to a conviction or an expunction of a State conviction removes any disability which was imposed by the provisions of chapter 44 on the convicted person unless:
  - (a) The pardon or expunction expressly provides that the person may not ship, transport, possess, or receive firearms.
  - (b) The person is prohibited by the law of the jurisdiction in which the criminal proceedings were held from receiving or possessing any firearms.

c. Restoration of Civil Rights.

- (1) Explosives. A restoration of civil rights does not remove explosives disabilities imposed by chapter 40 upon persons having been convicted of a felony.
- (2) Firearms. A person's Federal firearms disabilities under chapter 44 will be removed only pursuant to a "restoration of civil rights" if the person lost civil rights in the State in which

the person was convicted and such rights were restored under a State restoration statute, either automatically or by affirmative action imposed by a court, board, or other authority AND the person is not otherwise prohibited by the law of that State from receiving or possessing firearms. Also, a "restoration of civil rights" requires the restoration of ALL civil rights, excluding de minimus rights such as the right to hold an occupational license. If the person did not lose any civil rights or firearms rights as a result of the conviction or the State has no procedure for restoration of these rights, Federal firearms disabilities may only be removed by obtaining restoration from ATF. In those States where restoration of firearms rights is automatic e.g., the lapse of a prescribed period of time, a permit is required to receive or possess firearms which may be withheld because of the prior conviction or the circumstances thereof, the person's Federal firearms disabilities would not be removed until the permit is obtained.

- d. Federal Youth Corrections Act. Under 18 U.S.C. section 5021, a person whose conviction is set aside under this act is not considered to have been convicted for purposes of Federal firearms and/or explosives laws. This applies only to convictions set aside under the Federal Youth Corrections Act (YCA). Persons whose convictions are set aside or expunged under State law will still be considered to have been convicted for purposes of Federal explosives laws. (NOTE: The YCA was repealed by the Comprehensive Crime Control Act of 1984 (pub L. 98-473). However, the repeal of the act does not change the status of those who had his/her conviction(s) set aside while that act was in force.)
- e. Federal Juvenile Delinquency Act.
- (1) The Federal Juvenile Delinquency Act (FJDA), 18 U.S.C. section 5031, defines a juvenile as any person who has not attained his/her 18th birthday. Juvenile delinquency is defined as a violation of the law(s) of the United States committed by a juvenile that is not punishable by death or life imprisonment.
  - (2) Unless the Attorney General's discretion expressly determines otherwise, a juvenile who allegedly committed one or more acts in violation of a Federal law, not punishable by death or life imprisonment, is to be proceeded against as a Juvenile delinquent, if he/she consents to such procedure. In that event, he/she shall be proceeded against by information, and no criminal prosecution will be instituted for the alleged violation.

- (3) An individual adjudicated as a juvenile delinquent under the FJDA does not incur the disabilities imposed by the Federal firearms and/or explosives laws. For purposes of enforcing the Federal firearms and/or explosives laws, an FJDA conviction does not constitute a conviction of a crime punishable by imprisonment for a term exceeding 1 year.

66 - 70 RESERVED

## CHAPTER F. PROCEDURES FOR TRACING FIREARMS

71. PURPOSE. This chapter establishes procedures for all ATF personnel to follow when obtaining a firearms trace. The objective of this chapter is to ensure the uniform use of the National Tracing Center (NTC).
72. BACKGROUND. A "gun trace" is the transfer history of a firearm from the manufacturer, through the importer, and wholesale and retail dealer, to an individual. This is accomplished by proper identification of the firearm and the serial number. The NTC is equipped for and capable of quick, nationwide access to these records. The needed information can usually be obtained very quickly due to the regulations as they relate to recordkeeping under the GCA. In October 1972, all tracing of firearms was centralized at ATF Headquarters. The instructions provided that field personnel not contact manufacturers, wholesalers, or retail dealers directly to trace a firearm, unless the NTC's efforts direct such a contact or unless the firearm is traced to a retail dealer in their immediate area.
- \* 73. PROCEDURES. All firearms trace requests are to be made in the following manner:
- a. ATF F 7520.5, Request for Tracing Firearms, dated September 1992, shall be used as a worksheet to record identifying and descriptive information on the firearm to be traced and to record the results of the trace (see Exhibit 6). Information in all parts of ATF F 7520.5 shall be as complete as possible and submitted in the same order as shown on the form. In all cases, the name of the special agent or other ATF official originating the trace, the field office, and the organizational code must be included in the request. Obsolete forms are not to be used, as they are not processed by the NTC. \*
  - \* b. Before making the firearms trace request, the special agent MUST initiate an inquiry with NCIC and TECS. NCIC inquiries are not made by NTC personnel. \*
  - \* c. For statistical purposes, the actual originator of the trace request must be furnished. If the trace is made at the request of a police department, Section A of ATF F 7520.5 must be completed by the ATF office submitting the trace, and Section B should be completed to identify the other agency that actually requested the trace.
  - d. All requests for tracing firearms shall be made to the NTC. Special agents and other law enforcement officials may contact the NTC through (1) fax, (2) the TECS system, or (3) directly by an 1180011 telephone number when urgent circumstances warrant. Generally, no more than four urgent requests are accepted. Mailed trace requests must be properly documented on ATF F 7520.5 in order for each firearm to be traced. No other written form of request is honored unless a request is made in writing to the SAC, NTC.

- e. The NTC will advise the special agent, who is submitting a request, of the results of the trace. It is the responsibility of the requester to make the necessary contact with the industry in his/her area to conclude the investigation.
- f. Non-ATF Law Enforcement Trace Requests.
  - (1) The NTC will directly advise non-ATF law enforcement officials, who are submitting requests, of the results of EXPEDITE and ROUTINE traces. This includes those cases where police departments make EXPEDITE and ROUTINE firearms trace inquiries directly to the NTC, via the National Law Enforcement Telecommunication System (NLETS), telephone, or fax.
  - (2) The NTC will transmit, via fax, all URGENT traces, submitted by non-ATF law enforcement officials, to the appropriate field division to ensure proper notification to the requesting agency. This includes those cases where police departments make URGENT firearms trace inquiries directly to the NTC, via the National Law Enforcement Telecommunication System (NLETS), telephone, or fax. This is being done in an effort to provide an avenue for joint participation in investigative matters of jurisdictional interest to ATF.
  - (3) When transmitting an URGENT trace result, the NTC firearms tracing technician will notify the appropriate field division of the URGENT trace request result by contacting the division operations officer, assistant special agent in charge, or special agent in charge, respectively in that order.
- g. Whenever possible, the requesting agent/officer is to personally inspect firearms to provide as complete a description as possible. In some cases, an exporter or importer's name is on a firearm instead of the actual manufacturer's name. This must be determined before a firearm can be traced. Sometimes the manufacturer's name is provided under the grips, or it may be determined by the barrel length, cylinder capacity, or model designation found on the firearm.
- h. The NTC will provide, at the request of the field division SAC, the results of all non-ATF trace requests for police departments in the SAC's area of responsibility. \*

\* 74. RETENTION OF FIREARMS PURCHASER DATA AT THE NATIONAL TRACING CENTER. The National Tracing Center maintains the following information, which enables ATF to provide leads to further criminal investigations:

- a. Firearms Tracing System (FTS). The FTS contains crime gun trace information, reports of firearms stolen from FFL inventories, reports of

firearms stolen in interstate shipment, suspect guns, data from licensees issued demand letters, and reports of multiple sales. These records, which are contained in a data base, enable the National Tracing Center to complete firearms trace requests from local, State, Federal, and international law enforcement agencies. The data is also used to identify individuals who may be involved in illegal firearms trafficking schemes.

- b. Out-of-Business Licensee Records. The National Tracing Center refers to out-of-business licensee records to complete firearms trace requests. Records are retrieved manually on microfilm and cannot be accessed by a purchaser's name.

75. DISPOSITION OF RECORDS.

- a. Records of purchaser names from multiple sales reports will be maintained for 2 years unless the records have been linked to a criminal investigation. In such instances, records of purchaser names will be maintained for 8 years.
- b. Out-of-business firearms licensee records will be maintained indefinitely.
- c. All other records of purchaser names will be maintained for 8 years.
- d. Demand letter information, which does not contain purchaser names, will be maintained indefinitely.
- e. A copy of the FTS will be submitted to the National Archives every 2 years in accordance with the established records retention schedule. \*

74 - 80 RESERVED

## CHAPTER G. NATIONAL FIREARMS ACT (NFA)

81. NATIONAL FIREARMS ACT (NFA) AND RELATED STATUTES. The purpose of the National Firearms Act (NFA) is to provide strict controls over so-called "gangster-type" weapons. Those weapons are defined under 26 U.S.C. sections 5845 (a), (b), (c), (d), (e), and (f). It is based on the Federal taxing power. The act levies a special occupational tax on all persons engaged in business as an importer, dealer, or manufacturer of these weapons. It also imposes a tax on the "making" and "transferring" of such firearms. These controls are implemented by the registration requirements for these firearms
- a. Statutory Authority. 26 U.S.C. chapter 53 and 27 C.F.R. part 179. Prosecutive discretion is delegated by 26 U.S.C. section 5557(a) which authorizes agents to investigate violations and recommend prosecution where it appears warranted.
  - b. Investigative Priorities. In order to implement and carry out the intent of the law, priority for investigation of alleged violations involving NFA firearms shall be assigned as specified in ATF O 3210.7B, Investigative Priorities, Procedures and Techniques.
  - c. Seizure of Firearms. A firearm involved in any NFA violation or the regulations issued thereunder is subject to seizure and forfeiture under 26 U.S.C. section 5872 and 18 U.S.C. section 924(d). (See Chapter A.)
  - d. Seizure of Vehicles. Any vessel, vehicle, or aircraft used to transport, carry, convey, conceal, or possess any firearm that is in violation of any NFA provision, or the regulations issued thereunder is subject to seizure and forfeiture under 49 U.S.C. section 781(a).
82. REGISTRATION OF NATIONAL FIREARMS ACT FIREARMS.
- a. Amnesty Period Registration. Section 207 of Title II of the GCA provides that any person who possesses a firearm, as defined in 26 U.S.C. section 5845(a), that is not registered to him/her in the National Firearms Registration and Transfer Record (NFRTR), is to register each firearm with the Secretary of the Treasury or his/her delegate during the period of November 2 through December 1, 1968.
  - b. Post-Amnesty Registration.
    - (1) Unregistered firearms in the possession of any person after the amnesty period or subsequently acquired or made by him/her in violation of the NFA cannot be registered and must be abandoned or seized. In certain nonwillful technical violations, permission to alter the weapon may be granted and the weapon removed from the firearm category of the NFA.

- (2) In the event a request to register a firearm is made by a person who, at the time, has the firearm in his/her possession, the special agent is to advise the person of ALL the following options prior to requiring him/her to make a decision as to which course to follow:
  - (a) Voluntarily abandon the firearm to ATF for disposition.
  - (b) Request modification of the firearm to remove it from the NFA at his/her expense.
  - (c) Donate the firearm to a Federal, State, or local government agency or museum for display purposes at his/her expense. (See paragraphs 82(b)(5)(a) and 82(b)(6).)
  - (d) Seize the firearm as a violation of the NFA.
  - (e) Refusal by the person to comply with one of the options listed in (a), (b), or (c) above results in the seizure of the firearm by the special agent as listed in option (d) above.
- (3) In the event a request to register a firearm is not made in person (e.g., telephone inquiry) or if it is made in person but the firearm is not in his/her possession, the special agent is to advise the person of ALL the options described in paragraph 82(b)(2) above. In no instance is information relative to the registration of a Title II firearm to be given telephonically. If the person refuses to comply with options (a), (b), or (c) of paragraph 82(b)(2), he/she is to be warned that continued retention of the firearm is a violation of law and he/she may be subject to civil and criminal penalties. The refusal by the owner to voluntarily abandon, modify, or donate an unregistered firearm should not signal the initiation of an investigation unless the type of firearm he/she allegedly possesses and other pertinent facts known to the special agent dictate that an investigation is warranted.
- (4) Occasionally, a special agent can determine through an investigation that the acquisition or possession of a firearm bears no prosecutive merit. In such instances, the special agent is to contact the immediate supervisor who renders a decision whether to seize for forfeiture or obtain an abandonment. The RAC/GS may desire to contact an assistant U.S. attorney to obtain a verbal declination. The agent is to note the attorney's name and the date he/she obtained the declination and is to convey this information to the case agent for inclusion in his/her report.



- (5) Occasionally, cases that lack prosecutive appeal involve a firearm proven to be of historical significance or suitable for official use.
- (a) The person in possession of the firearm may request permission from ATF to donate the firearm to a museum or historical society. In this instance, the person is to be told which organizations are entitled to receive the donation. The definition of such organizations are contained in 27 C.F.R. section 179.104, which states, "Any State, any political subdivision thereof, or any official police organization of such a government entity engaged in criminal investigations . . . ."
  - (b) ATF recognizes ONLY those historical societies and museums that are an instrument of a Federal, State, or political subdivision. A NONGOVERNMENTAL museum or historical society does not fall within this classification.
- (6) If the firearm is to be donated for display to a Federal, State, or political subdivision thereof, such as a Federal, State, or county museum or an official police organization, the following procedure is to be followed:
- (a) The firearm shall be taken into custody and ATF F 3400.1, Notice of Abandonment of Property, completed with the addition of a phrase added after the section 11. . . in order that appropriate disposition may be made thereof by the Bureau of Alcohol, Tobacco and Firearms." The additional phrase is to read "to the following recipient a State or political subdivision or approved organization." (See Exhibit 7.)
  - (b) If the donation is to a State or political subdivision thereof or an official police organization, forward a copy of ATF F 3400.1 and ATF F 10 (7560.10) (Exhibit 16), Application for Registration of Firearms Acquired by Certain Governmental Entities, to the recipient advising them that they are the designated beneficiary of the donor. The recipient is to be advised to complete the ATF F 10 and file it with ATF, Firearms and Explosives Division (Compliance), NFA Branch, along with the copy of ATF F 3400.1. The NFA Branch is to notify the SAC when registration is

effected by forwarding the approved ATF F 10 to the district concerned.

- (c) Upon receipt of ATF F 10, the SAC is to advise the recipient of where and when the approved ATF F 10 and the firearm can be claimed. The original and copies of ATF F 3400.1 are to be marked with the inscription:

Figure 1. Inscription

<p>"This firearm, registered with ATF on _____, has been donated to _____ on this date."  _____ Signature of receiving official--date (donee)</p>
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- (d) If the firearm is to be donated to a FEDERAL museum or agency, there is no requirement that it be registered. The SAC, when requesting disposition of the firearm, is to recommend it be disposed of to the recipient designated on ATF F 3400.1.
- (7) If, for some reason, the Government entity refuses to accept the firearm or ATF denies approval of the donation, the special agent will inform the donor of these facts. He/she is then to execute a new ATF F 3400.1 following normal procedures. If the donor objects and refuses to execute the ATF F 3400.1, the special agent shall seize the firearm and retain it pending formal forfeiture proceedings.
- (8) Any State or political subdivision or any entity of the same which is engaged in criminal investigations that acquires a firearm by abandonment or forfeiture and desires the firearm for official use must register the firearm to that State or political subdivision by filing ATF F 10 (27 C.F.R. section 179.104). The firearm shall be registered to that governmental agency "For Official Use Only" and is not subject to transfer into commercial channels.

83. REMOVAL OF A WEAPON FROM THE "FIREARMS" NFA CATEGORY.

- a. Under 26 U.S.C. section 5845(a), the Director is authorized to remove certain firearms from the NFA that are found to be primarily collector's items and are not- likely to be used as weapons. An NFA firearm can also be removed from the act by conducting a permanent modification on the firearm so that it no longer is a firearm as defined in section 5845(a). Such a modification can be

allowed to be conducted on unregistered firearms where the facts and circumstances are such that the violation is nonwillful, and criminal prosecution is not warranted.

- b. When a person desires to modify an NFA weapon, he/she shall be instructed to submit the request and describe in detail the proposed modification and the reason why to the Chief, Firearms Technology Branch. The Chief, Firearms Technology Branch, will contact the SAC having jurisdiction who is to follow the below procedures.
- c. Unregistered firearms to be modified and removed from the purview of the NFA are to be retained in conformance with ATF O 3400.1. They are not to be left in the custody of the possessor in the interim period, nor are they to be returned to the owner until after modification procedures are approved and modification has been completed. All arrangements and payment for the modification of the firearms are the sole responsibility of the owner. These arrangements shall be made within a reasonable period of time after the detention. The designated licensee with whom the owner arranged the modification procedure must obtain the firearm from ATF and, after the modification is completed, the firearm shall be returned to ATF for inspection by the ATF agent charged with the custody of the firearm. If the modification is completed to the satisfaction of the ATF agent, the firearm may be returned to the owner and a receipt obtained.
- d. Complete and accurate documentation justifying removal of such firearms in the case of nonwillful violations, must be obtained. Care and discretion shall be used in selecting those nonwillful cases in which removal authorization may be warranted.
- e. Where the facts and circumstances warrant, a firearm may be removed from the "firearms" classification under the NFA. Removals may be accomplished in one of the following manners:
  - (1) Complete destruction
  - (2) Alteration by removing the feature or features that cause the weapon to be classified as an NFA firearm.
  - (3) The modification of a device (including bombs, grenades, artillery shells) so that it no longer fits within the definition of a "destructive device" or becomes a device which the Secretary finds is not likely to be used as a weapon.
- f. Removal of objectionable features may include:
  - (1) The removal of M-16 parts, e.g., bolt carrier assembly, hammer, 3-position safety selector lever, trigger, and disconnecter, or combination of those parts and auto sear from an AR-15 rifle.

- (2) The replacement of a short barrel or addition of a permanent extension to a short barrel. Acceptable means of permanently attaching an extension to a barrel are to be listed, such as high temperature silver, solder, gas, or electric steel-seam weld. Alterations are to be made so that the overall length is not less than 26 inches and the barrel(s) is/are not less than 16 inches for rifles or 18 inches for shotguns.
  - (3) The reaming of a chamber on a pistol, for example, the reaming of an H&R Handy Gun. A rifled sleeve can be silver soldered or welded into the barrel so that a conventional pistol cartridge can be used, provided the original shotgun chamber is reamed out prior to sleeving. This reaming of the chamber precludes removal of the sleeve and reuse of the weapon with a shotgun shell.
  - (4) Removal of the attaching lug or the welding closed of the attaching slot on certain handguns to prevent the attaching of a shoulder stock if the shoulder stock is available.
  - (5) Separation of the shoulder stock from the firearm and the disposition of either the weapon or the shoulder stock by sale, or otherwise, to another person.
  - (6) There is no restriction against possessing components of ammunition for destructive devices.
  - (7) Mortars and certain other destructive devices can be removed from the provisions of the NFA by the following means:
    - (a) Cut a hole equal to the diameter of the bore through one side of the barrel on a 90-degree angle to the axis of the bore. The hole is to be drilled in the chamber or high pressure area of the barrel.
    - (b) Weld the barrel to the receiver or breech ring, by means of a gas or electric steel seam weld.
    - (c) Weld an obstruction into the barrel so as to preclude the introduction of a round of ammunition.
- g. Weapons removed from the purview of the NFA are no longer subject to any of the registration or transfer requirements of the act; they may continue to be firearms under Title I of the GCA, however.
- h. Registered firearms or destructive device requests are to be treated as follows:
- (1) Any person who desires to avail himself/herself of this removal privilege must submit a written request to the

Director, Attn: Chief, Firearms Technology Branch. The weapon must be described fully and the proposed alterations shown. If the Chief, Firearms Technology Branch, is satisfied that the proposed alterations remove the weapon from the purview of the NFA, he/she is to approve the request and have the weapon examined by a special agent upon completion of the alteration. A special agent's report certifying that the firearm is removed from purview must be forwarded to the NFA Branch to document the NFRTR. Notification to the owner that the record has been noted should be requested in the agent's report.

- (2) It is to be the sole responsibility of the owner to make such modifications as are necessary and to notify ATF, so that the weapon can be examined and certified by a special agent.

84. EVIDENCE OF REGISTRATION.

- a. A person who possesses an NFA firearm that is registered as required MUST be able to produce evidence of the registration.
- b. When a possessor of an NFA firearm claims it is registered to him/her but cannot produce official registration, the firearm shall be retained and a request for a record search made to determine the status of the involved firearm.
- c. A registered NFA firearm with an incorrect serial number must be brought to the attention of the NFA Branch for corrective action.

85. NATIONAL FIREARMS REGISTRATION AND TRANSFER RECORD.

- a. The statute authorizes and requires that a central record be set up and maintained for registrations of firearms not in the possession or control of the United States. This central record is located and maintained in Bureau Headquarters, NFA Branch, Firearms and Explosives Division.
- b. The record contains the official data regarding lawful registrations and transfers of NFA firearms to persons or entities. An official certification from this record or an official certification in the absence of a record is admissible in the U.S. courts for evidentiary purposes under Fed R. Crim. P. 27 and Fed R. Civ. P. 44. The introduction into evidence of such certification does not require the personal appearance of a custodian of the NFA Registration and Transfer Record. For certifications of nonregistration of NFA firearms, see ATF O 5320.1, National Firearms Act Branch.
- c. The record is set up with the capability of determining if a described firearm is registered or if any firearm is registered to a specifically named party. A complete, accurate description of the firearm

and/or the correct spelling of the name of the party is critical to an accurate determination of registration status.

86. NATIONAL FIREARMS REGISTRATION AND TRANSFER RECORD SEARCHES AND NFA CERTIFICATION REQUESTS.

- a. Record Searches. The National Firearms Registration and Transfer Record (NFRTR) can be searched by name or serial number. The search can be made by a district office via an automated query or through a telephone request to the NFA Branch. All requests to the Branch are to be handled as routine unless otherwise specified by the requestor. A verbal response to requests will be provided and, subsequently, a copy of ATF F 7520.6, NFA Records Search, containing the results of the search is to be furnished. The copy of the records search is sufficient for a case report.
- b. Name Search.
  - (1) For the NFA Branch to conduct a thorough name search, in addition to the complete name (including variant spellings), the information listed below should be included:
    - (a) Date of birth.
    - (b) Aliases.
    - (c) Trade name.
    - (d) Address.
    - (e) Social security number.
    - (f) Place of business or employment.
  - (2) If the result of the name search is negative, the requestor will be advised accordingly. If there is a "hit" or possible name match determined, then the requestor will be furnished with whatever information is found. By providing a complete name and date of birth, name matches can be eliminated, especially in common surnames. These matches occur because of the type of information that is contained in the NFRTR. Many of the older registration documents may contain only initials for the first and middle names and do not include any dates of birth.
- c. Serial Number Search.
  - (1) For the NFA Branch to conduct a thorough search, the information listed below, if available, shall be included with the serial number: (NOTE: Special agents should be aware that some NFA weapons registered prior to 1968 may not bear a serial number. This is especially true in the case of

silencers or suppressors. The lack of a serial number does not automatically mean that the firearm is not registered in the NFRTR.)

- (a) Type of firearm.
  - (b) Manufacturer.
  - (c) Model.
  - (d) Caliber/gauge.
  - (e) Barrel length.
  - (f) Overall length.
  - (g) Any other identifying information.
- (2) The requestor is to be advised of the results of the search. If a record(s) is found, the information about the firearm(s), including the name of the registrant(s), will be furnished.

d. District Office Searches.

- (1) Any district office with the appropriate equipment and passwords can access the NFRTR for a name or serial number search through the DATATRIEVE procedures. Procedures and guidelines are available through the Office of Law Enforcement.
- (2) A name search request via DATATRIEVE results in a listing of any names in the NFRTR within the boundaries established by the requestor. The listing identifies the registrant by name, city, and State, and identifies the type of firearm and any additional information concerning the firearms, e.g., sales sample, official use only. It also contains any special information about the registrant, such as a monitor or trade name cross reference. The date of birth or other personal identifying information is not retrievable via an automated query.
- (3) The serial number search request provides the same information, except the listing is of all firearms registered under the specified serial number.
- (4) The NFA Branch must be contacted to determine if any further information is available.

e. Records Searches Outside Duty Hours.

- (1) A telephone recording system is available to accept messages concerning routine priority record searches during nonduty hours. If sufficient information is contained in the message, the search will be conducted the next business day and the results furnished. If there is insufficient

information, contact will be made the next business day with the requestor.

- (2) NFA Branch personnel are available outside normal duty hours to conduct record searches that require immediate response. The duty officer may be reached by contacting the ATF Communications Center. The Communications Center then will contact the duty officer at home and forward the requestor's call. In the event of this type of request, the requestor is to expect an hour's response time.

#### 87. CERTIFICATIONS.

- a. As custodian of the NFRTR, the NFA Branch provides certifications pertaining to the registration status of a person or a firearm for use in Federal court. The certificate is made when the case is ready to go to trial. Until that time, the copy of the records search suffices.
- b. The use of the information in the certification is restricted as outlined in paragraph 88.
- c. A request for a certificate may be made by telephone to the NFA Branch. The requestor shall provide the complete name(s) of the person(s), any aliases, the information pertaining to the firearm(s), and the charge so that the certificate is correctly worded.
- d. Because of limitations in our system, we cannot certify as to the payment of the making or transfer tax or as to the filing of an application.
- e. Certifications as to payment of Special Occupational Taxes may be obtained from the Special Occupational Tax Processing Unit, P.O. Box 2655, Cincinnati, Ohio, 45201. Special Occupational Taxes paid prior to July 1, 1987, were paid to Internal Revenue Service and not ATF. Certifications covering this period must be addressed to the disclosure office of the appropriate service center.

#### 88. DISCLOSURE OF INFORMATION.

- a. The disclosure of information from the NFRTR is severely restricted by the provisions of 26 U.S.C. section 6103. Disclosure may be made only to Federal agencies and then only for official duties.
- b. All requests from Federal agencies for NFA registration information shall be handled by the district office SAC responsible for the area where the request originated. Upon receipt of requests for an NFA check, the SAC will initiate a query through the DATATRIEVE system at the district office. If he/she is unsuccessful, the NFA Branch will be contacted. If the DATATRIEVE approach is successful or the NFA Branch furnishes the requested information, the SAC shall notify the requesting Federal agency. Because of the restrictions contained in 26 U.S.C. section 6103, the SAC must



instruct the requesting Federal agency that disclosures to State or local agencies are prohibited.

- c. The requesting Federal agency must also provide written assurances of compliance with the safeguard requirements enumerated in 26 U.S.C. 6103(p)(4).
- d. Specifically, the requesting Federal agency must assure that it establishes and maintains a permanent system of standardized records with respect to any request, the reason for the date of such a request, and any disclosure of return or return information made by or to it. The agency shall establish and maintain a secure area in which such returns or return information shall be stored and restrict access to the returns of information to only those persons whose duties or responsibilities require such access and to whom disclosure can be made under the Internal Revenue Code. The agency shall furnish a report to the Secretary, at such time and containing such information as the Secretary may prescribe, that describes the procedures established and utilized by the requesting Federal agency for ensuring the confidentiality of returns and return information. Finally, the requesting Federal agency must return the information (along with any copies made therefrom) upon completion of use, or otherwise make such returns or return information undisclosed, or ensure that all safeguard requirements continue to be met.

89. REFERRAL OF NFA CASES TO STATE/LOCAL AGENCIES.

- a. NFA cases may be referred to State and local authorities for prosecution so long as no reference is made in the case report as to the registration status of the firearm or to a particular NFA violation; the only reference in the report will be the State or local law violated.
- b. In those States where registration of the firearm with the Bureau is an affirmative defense to a possession violation under State law, it is desirable that the case be referred without checking the registration status of the firearm in the NFRTR. In such a case, the State or local authorities will be advised that the registration status of the firearm has not been determined. In those cases where the registration status is checked, the State and local authorities will be advised that the special agent is precluded by law and regulation from revealing the registration status of the firearm.
- c. Under no circumstances should a case be referred for State prosecution in a firearms possession case where the special agent has learned the subject is in possession of the NFA firearm through the NFRTR rather than through independent investigations.

90. NATIONAL FIREARMS ACT OFFENSES. Exhibit 8, National Firearms Act Offenses, provides a list of various NFA offenses. Exhibit 9, Statutes Violated--Case Report, lists the primary elements of proof. Several of the sections of the statute are listed more than once to more clearly describe the several offenses involved and define the elements of proof as to each.
91. NATIONAL FIREARMS ACT INVESTIGATIONS REPORTING REQUIREMENTS. If a person or firm who is the target of an NFA investigation is suspected by the case agent of attempting to transfer or dispose of weapons to others to avoid prosecution, the following steps are to be observed:
- a. The GS/RAC will notify the SAC. The SAC shall utilize a TECS message to notify the NFA Branch of the investigation and request that no transfer or other dispositions be approved during the period of the criminal investigation.
  - \* b. The notification shall be addressed to the Chief, Firearms and Explosives Regulatory Division, ATTN: Chief, NFA Branch, INFO: Special Agent in Charge, Firearms Enforcement Branch. \*
  - c. The notification will provide enough identifying and background data that the NFA Branch can determine the identity and license status of each person or firm involved.
  - d. The GS/RAC shall utilize a TECS message to notify the NFA Branch when there is no longer a need to restrict the approval of the transfers or other dispositions of NFA weapons involved in the criminal investigation.
92. EXCEPTIONS. The National Firearms Act is generally inapplicable to Puerto Rico. This is based upon 48 U.S.C. § 734, which provides that the statutory laws of the United States have the same force and effect in Puerto Rico as in the United States, except for the Internal Revenue Laws and 26 U.S.C. § 7701(a)(9), which includes only the States and the District of Columbia in the term "United States." However, 26 U.S.C. § 5844, which limits the importation of weapons into the United States or territories thereof, and § 5853, which concerns transfer taxes and exemptions, does apply in Puerto Rico.
- \* 93. POLICY CONCERNING REGISTERED NFA FIREARMS, WHICH ARE NOT PROPERLY IDENTIFIED. This situation was created as a result of the enactment of 18 U.S.C. § 922(o) that consequently led to a substantial number of NFA firearms being registered prior to the May 19, 1986, effective date. Many investigations have resulted from unscrupulous manufacturers who took advantage of the opportunity to register NFA firearms before the effective date of the law and later made "paper transfers" of these firearms through transferring only the serial numbers to other Class II Federal firearms licensees.

- a. Special agents who encounter an NFA weapons that does not match the description(s) on the ATF F 1, Application to Make and Register a Firearm, or ATF F 2, Notice of Firearms Manufactured or Imported, as contained in the National Firearms Registration and Transfer Record, should seize the firearm(s), and documents relating to the firearm(s), under the provisions of 26 U.S.C. § 5872 and institute forfeiture procedures as prescribed in ATF O 3400.1, Property Taken Into Bureau Custody.
- b. However, if the circumstances surrounding the individual's acquisition or possession of the firearm(s) indicate that prosecution would not be warranted, special agents should first consider taking the firearm(s) through an abandonment procedure. The modification of a firearm to remove it from its NFA status, as provided for earlier in this chapter, is not an option as this procedure only pertains to unregistered NFA firearms.
- c. Inspectors who encounter NFA firearms that do not match the description contained on ATF F 1 or ATF F 2 should immediately refer the information to law enforcement, via telephone, and should followup this action with ATF F 5000.21, Referral of Information.

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94 - 100 RESERVED

## CHAPTER H. FIREARMS INTERSTATE THEFT PROGRAM

101. OBJECTIVES. The objectives of this program are to detect and prevent thefts of firearms and ammunition while in interstate commerce, to deny the criminal element potential sources of stolen firearms, and to perfect criminal cases and obtain court convictions against those individuals or groups responsible for such thefts.
102. JURISDICTION.
- a. ATF derives its jurisdiction for the investigation and prosecution of thefts of firearms and/or ammunition from 18 U.S.C. § 922(j), which states, "It shall be unlawful for any person to receive, conceal, store, barter, sell, or dispose of any stolen firearm or stolen ammunition, or pledge or accept as security for a loan any stolen firearm or stolen ammunition, which is moving as, which is a part of, which constitutes, or which has been shipped or transported in, interstate or foreign commerce, knowingly or having reasonable cause to believe that the firearm or ammunition was stolen." The Crime Control Act of 1990 amends the commerce element to only require proof that the firearm at some prior time moved in interstate commerce. Additionally, our jurisdiction is expanded if the interstate theft involved a violation of 18 U.S.C. § 2117, breaking or entering of carrier facilities, and/or robbery of federally licensed premises, and the violator used or carried a firearm. These elements satisfy the definition of "violent crime" under 18 U.S.C. § 924(c).
  - b. Other Federal agencies, such as the U.S. Postal Service, USCS, and FBI, also have jurisdiction in thefts from interstate or foreign commerce.
    - (1) The U.S. Postal Service has jurisdiction in thefts from the mails.
    - (2) The USCS often has jurisdiction in thefts from shipments moving in foreign commerce.
    - (3) The FBI has jurisdiction in thefts from interstate or foreign commerce under 18 U.S.C. § 659 and other statutes.
  - c. ATF may investigate thefts of firearms and/or ammunition from the mail if requested by, and in coordination with, the U.S. Postal Service. Field division SAC approval is required for such an investigation.
  - d. Conflicts involved with the investigation of thefts of firearms and/or ammunition in which ATF has concurrent jurisdiction with USCS or the FBI are to be handle on a SAC-to-SAC basis. Relatively few ATF interstate theft investigations fall within the purview of USCS laws.

- e. At a meeting of the directors of ATF and FBI, held in October 1973, it was agreed that both bureaus were to be able to conduct investigations and perfect cases involving thefts of firearms and ammunition from interstate shipments without incurring any conflict. The General Crimes Section, Department of Justice, favorably reviewed ATF's Firearms Interstate Theft Project and stated that it does not conflict with the jurisdiction provinces of the above-mentioned Federal agencies.

103. INVESTIGATIVE PROCEDURES AND REPORTING REQUIREMENTS.

- \* a. Bureau Headquarters. Within the Firearms Division, the Firearms Interdiction Program Manager (IPM), Firearms Enforcement Branch, provides oversight for the following procedures:
  - (1) Theft reports from FFLs will be received either through the local ATF field office or by the Intelligence Division, National Communications Center, via an assigned toll-free number. The National Communications Center will immediately notify the responsible field division when an exigent situation has been identified, such as a homicide that involves the robbery of an FFL or a major loss of firearms.
  - (2) Upon receipt from an FFL or an interstate carrier of a report of theft, the IPM is to review the report to ensure that the data is accurate and complete. The reports are then transmitted to the assigned intelligence clerk for inclusion in the files.
  - (3) The intelligence clerk assigns an investigation number (IN) to the investigation and enters the data from the ATF F 3310.6, Interstate Firearms Shipment Report of Theft/Loss, into TECS and NCIC. Subsequently, the IN file will contain all investigative reports relative to a particular firearms theft. An initial case summary, ATF F 3100.7, Case Summary, will be prepared by the intelligence clerk, then reviewed and approved by the IPM.
  - (4) After the entry of the data into TECS and NCIC, a fax message is prepared by the intelligence clerk. The fax message is then reviewed and approved by the IPM notifying the appropriate field division of the theft. If the firearms reported stolen or lost are from an interstate shipment, the fax message will be addressed to the field division where the shipment originated. If the location of the theft is known, the matter shall be referred to the SAC having jurisdiction for the area. Fax messages that identify thefts from FFLs will be forwarded to the field division having jurisdiction for the area where the dealer is located.

- (5) The IN log book, which is maintained by the intelligence clerk, contains the Headquarters IN, the name of the carrier or FFL, the date assigned, and the number of firearms involved.
  - (6) Upon receipt of firearms theft-related reports from the field divisions, the IPM reviews the documents for information and forwards the reports to the intelligence clerk for inclusion in the firearms theft file. \*
- b. Special Agent in Charge. The field division SAC is responsible for the following:
- (1) Upon receipt of notification, by fax message, of a firearms theft or loss, the SAC will initiate, if warranted, an investigation by referring the matter to the appropriate RAC/GS.
    - (a) If a reported theft is proven erroneous, the investigating special agent will report his/her findings, via ATF F 3270.2, Report of Investigation, and prepare a case summary, ATF F 3100.7, closing the investigation with a disposition of 01 in block 11. The ATF F 3270.2 will be forwarded to the IPM requesting the reported stolen firearms to be removed from NCIC and TECS.
    - (b) If a theft of less than 10 firearms is verified, an investigation is not required unless the SAC determines an investigation is warranted by the circumstances. \*
    - (c) A theft of 10 firearms or more requires that the SAC initiate an investigation, reporting his/her findings, via ATF F 3270.2, within 30 days.
    - (d) The amount of investigative time expended is determined by the investigative leads developed. All initial case summaries, TECS and NCIC entries, and removals of thefts reported under this program are performed at Headquarters.
  - (2) Initial investigative activity will be reported using the assigned Headquarters IN. However, a field division IN may be issued whenever it is deemed appropriate by the SAC. The special agent will reference the Headquarters IN in the opening paragraph of the ATF F 3270.2 in order that cross-referencing of reports may occur. \*

- (3) The SAC will receive from the Systems Support Branch quarterly reports of investigations assigned to his/her division. The purpose of these reports will be to aid the SAC in controlling the timeliness of required reports and disclose possible patterns or trends as they relate to the various FFLs, carriers, shippers, and/or consignees.
  - (4) In cases where a field division receives information of firearms thefts directly from FFLs, carriers, consignees, or shippers, the division will accept the report.
    - (a) An ATF F 3310.6 shall be requested as a followup from the reporting party when the theft is from an interstate shipment.
    - (b) When the theft/loss is reported directly to the field division from an FFL, the field division may provide a report to the IPM or refer the caller to the toll-free telephone number to report the theft/loss.
    - (c) Theft reports taken by the field divisions are to be forwarded to the IPM, who will assign an IN number upon receipt. The assigned Headquarters IN will be referenced when reports are forwarded to Bureau Headquarters in relation to firearms theft investigations.
  - (5) Reports of investigations shall be reviewed by the SAC for completeness and inclusion of the Headquarters IN and forwarded to Bureau Headquarters, Firearms Enforcement Branch, Attn: Firearms Interdiction Program Manager.
- c. Special Agent. The special agent is responsible for the following:
- (1) Upon being assigned an investigation of a theft or loss of firearms or ammunition, the special agent shall substantiate that the firearms were actually stolen.
    - (a) If suspects are identified, the agent will conduct an investigation in an effort to perfect a criminal case against those responsible for the theft.
    - (b) If the theft cannot be substantiated, the special agent will report his/her findings via ATF F 3270.2, prepare ATF F 3100.7 and close the investigation with a disposition of 01 in block 11, and request, via ATF F 3270.2, that the firearms be removed from NCIC and TECS.
  - (2) Upon receipt of notification of an NCIC "hit" by another agency, the special agent in the division where the firearm was discovered shall contact the locating agency within 3

BUSINESS DAYS to ascertain the circumstances surrounding this recovery.

- (3) The recovering field division shall be notified of the recovery by the Headquarters Communication Center, via fax message.
- (a) An informational fax message shall be sent to the field division where the firearm was initially reported stolen, if not the same as the recovering division.
- (b) If it appears that a violation of the GCA occurred, the special agent shall substantiate the findings. If no leads are developed and the recovery report shows that the theft occurred over 5 years ago, the special agent shall terminate the investigation. The recovering law enforcement agency will be notified that the firearm is not needed by ATF, and the firearm may be disposed of in accordance with their established policy.
- 1 In each instance, the agent shall prepare an ATF F 3270.2 outlining the investigative activity and results.
- 2 This ATF F 3270.2 will be forwarded to the Headquarters IPM. A copy of the ATF F 3270.2 shall also be forwarded to the attention of the SAC in the field division where the theft was initially reported. The ATF F 3270.2 shall contain the Headquarters IN.
- \* (4) The local police department has jurisdiction in all criminal activities occurring within their geographic territory. Therefore, if the local police department was not previously notified, the special agent is to notify them of reports of thefts or losses received by ATF from FFLs and carriers.
- (5) Special agents are often contacted directly by individuals wishing to report a theft or loss of firearms from an interstate shipment. The agent shall take the information and provide the individual with an ATF F 3310.6 where an interstate theft is involved and request that the information be mailed directly to the IPM. In the case of a theft from an FFL, the agent will take the information and provide a detailed report, via ATF F 3270.2, to the IPM. The IPM will assign an IN, which will be cross referenced in any future reports. \*
- (6) Reports of investigations shall be completed within assigned due dates and shall contain the Headquarters IN.



\* 104. FIREARMS TRAFFICKING.

a. Objectives. The objectives to be achieved through firearms trafficking investigations are to identify and eliminate illegal sources of firearms, deny access to firearms by the criminal element, perfect criminal cases and obtain court convictions against those individuals and groups responsible for the illegal trafficking in firearms. \*

b. Jurisdiction.

(1) The GCA is the recognized definitive legislation that is directed toward firearms-related violence and illegal firearms trafficking. In the preamble of the GCA, Congress clearly stated that this Nation's policy was "to provide support to Federal, State, and local law enforcement officials in their fight against crime and violence." ATF derives its jurisdiction for the investigation and prosecution of firearms trafficking violations from the GCA, including amendments.

(2) Many State and local agencies have enacted legislation aimed at limiting access to firearms by prohibited persons and those who would profit by circumventing these prohibitions. Frequently, however, the firearms are trafficked into these areas with strict gun control laws.

c. Investigative Procedures and Reporting Requirements.

(1) Bureau Headquarters. Within the Firearms Division, the IPM provides oversight for the following procedures:

(a) The IPM will receive, from the Intelligence Division, Systems Support Branch, the quarterly statistical reports indicating firearms trafficking patterns and trends.

(b) The IPM reviews the reports to ensure the data is accurate and complete. The reports will then be transmitted to the SAC of the field division having responsibility for the area indicated on each report. Information on any national patterns that should be of concern to a particular SAC will be identified and transmitted along with this report.

(2) Special Agent in Charge. The field division SAC is responsible for the following:

(a) Upon receipt of the quarterly statistical report, he/she will review it for patterns and other intelligence information within his/her area of responsibility.

- (b) The SAC will initiate, if warranted, an investigation by referring the matter to the appropriate RAC/GS.
- (3) Special Agent. The special agent is responsible for the following:
- (a) When assigned or upon initiating a firearms trafficking investigation, the special agent will make an effort to perfect a criminal case against those responsible for the illegal firearms trafficking.
  - (b) The special agent will ensure that all reports are complete and accurate including ATF F 3100.7, Field 16, identifying firearms source State and/or country involved in the trafficking investigations. In many cases, the number of firearms, type, receiving (target) State may not be known at the time the investigation is initiated. This information will be reported as updated information when acquired, on the ATF F 3100.7 and ATF F 3270.2. The statistical data will provide intelligence information identifying source States, receiving States, number of firearms involved and problem areas.

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105 - 110 RESERVED

CHAPTER I. ARMS EXPORT CONTROL ACT OF 1976 (AS AMENDED)

121. PURPOSES OF THE STATUTE. The main purposes of the act are to:
- a. Control the import and export of defense articles and services.
  - b. Provide foreign policy guidance to persons of the United States involved in the export and import of these articles and services.
  - c. Designate those articles and services on the U.S. Munitions List.
  - d. Disseminate regulations for the import and export of those items on the list.
  - e. Regulate manufacturers, exporters, or importers of defense articles and services.
  - f. Provide penalties for those who willfully violate the provisions of the act and guidance for seizure and forfeiture of articles in violation of the act.
122. STATUTORY AUTHORITY. The Arms Export Control Act of 1976, as amended, is codified as 22 U.S.C. section 2778 and is implemented in 27 C.F.R. part 47. ATF administers only the import provisions of the act.
123. OFFENSES RELATING TO THE ACT. Exhibit 10, Arms Export Control Act Offenses, provides a charge sheet of various offenses under the act. Exhibit 11, Arms Export Control Act, As Amended, Offenses, provides the elements of proof.
124. SEIZURE AUTHORITY. Merchandise imported into the United States in violation of the act, or the value thereof, shall be forfeited to the United States under the authority of 18 U.S.C. section 545. Forfeiture is not dependent upon conviction. The geographical term "United States" includes the 50 States, the District of Columbia, Puerto Rico, and its possessions.
- 125 - 130 RESERVED

## CHAPTER J. INTERNATIONAL TRAFFIC IN ARMS (ITAR)

131. PROGRAM DESCRIPTION. The International Traffic in Arms (ITAR) Program is a continuing endeavor by ATF to combat the illegal movement of firearms, explosives, and ammunition in international traffic. These firearms, explosives, and ammunition are smuggled from the United States and used throughout the world to commit acts of international terrorism, to subvert restrictions imposed by other nations on their residents, and as a commodity in organized crime and narcotics-related activities. The ITAR Program is an aggressive commitment and effort to neutralize the illegal movement of firearms, explosives, and ammunition out of the United States.
132. PROGRAM OBJECTIVES. ATF recognizes that its jurisdiction is limited and that its activities may often be merely supportive of other Federal agencies. Within the Bureau's authority, the objectives of the ITAR Program are to:
- a. Sensitize all sources of criminal intelligence to the need for continuous information relative to illegal international trafficking in firearms, explosives, and ammunition.
  - b. Establish close personal liaison with foreign, Federal, State, county, and local law enforcement agencies, particularly those most likely to encounter information relative to illegal international trafficking, e.g., U.S. Customs Service, Federal Bureau of Investigation, and Drug Enforcement Administration intelligence, terrorists, and narcotics units.
  - c. Debrief information sources and report relative information on ATF F 3270.2 and/or ATF F 3270.1, Personal History/TECS Input.
  - d. Identify and investigate persons, licensees, and unlicensed dealers whose acts relate to the illegal movement of firearms, explosives, and ammunition in international traffic.
  - e. Utilize the Bureau's capability in tracing firearms and explosives to develop investigative leads for the benefit of Federal, State, county, local, and foreign law enforcement agencies:
    - (1) By tracing firearms and explosives relating to this program that are recovered in the United States.
    - (2) By tracing firearms and explosives referred to ATF when recovered in foreign countries.
  - f. Develop criminal cases against traffickers identified as foreign nationals who are acquiring firearms for illegal exportation, either in person or through U.S. citizens acting as straw purchasers, and develop cases against the straw purchasers, or Federal firearms dealers, where illegal involvement can be established.

- g. Develop criminal cases against individuals who have been identified as top traffickers.
- h. Cooperate with foreign law enforcement officials in developing cases to be tried in foreign countries.
- i. Refer nonjurisdictional violations and information to the appropriate agencies.

133. REPORTING PROCEDURES AND RESPONSIBILITIES. All special agents involved in investigations of international trafficking of firearms, explosives, and ammunition are to be aware of the importance of properly reporting ITAR information to ensure that it is accurately tracked in the LEMIS system.

- a. When preparing an ATF F 3270.2 for an ITAR investigation, the special agent is to identify it as such by checking the proper box in section 8 of the form.
- b. When preparing an ATF F 3100.6 to open an ITAR investigation, the special agent shall enter Bureau project code 75 in column 47.
- c. When preparing ATF F 3270.1, Personal History/TECS Input, for an ITAR suspect, the special agent shall include the applicable profile codes in block 18 of the form.
- d. When completing ATF F 3100.7, Investigative Case Summary, for the submission of an ITAR case report, the special agent shall include the profile code for international trafficker (IT) in Field 11, "Defendant Profile."
- e. When a foreign national is arrested or detained as a result of an ATF investigation, including ITAR investigations, the SAC is responsible for immediately informing the ADLE of this action. Additional instructions regarding incidents involving foreign nationals are contained in ATF O 3210.7A, Investigative Priorities, Procedures and Techniques.

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\* CHAPTER K. FIREARMS TRAFFICKING

141. BACKGROUND. Firearms-related violence is one of this Nation's primary concerns. ATF, in response to this problem and the fact that illegal firearms trafficking investigations often exceed the jurisdictional boundaries' expertise and resources of other State, local, and Federal agencies, employs a comprehensive national firearms trafficking effort designed to impact armed violent crime rates by reducing the illegal supply of firearms available to violent criminals, gang offenders, and juveniles. This effort complements ATF's long standing efforts to reduce armed violent crime through direct investigation and prosecution of armed violent criminals. Striking a balance between the two approaches will best serve both efforts. As information from the firearms recovered during the arrests of armed violent criminals and gang offenders is entered into Project LEAD (the illegal firearms trafficking information system), the illegal firearms trafficking effort will increase in effectiveness. Conversely, as the illegal firearms trafficking efforts becomes more effective, the availability of secondary market firearms to the violent criminals, gang offenders, and juveniles will be reduced.
142. OBJECTIVES. The objectives of the illegal firearms trafficking project are to reduce armed violent crime rates by imprisoning those illegal firearms traffickers who make firearms available to violent criminals, gang offenders, and juveniles. This is accomplished through the use of the Bureau's unique assets (Project LEAD, the NTC, firearms licensing, CEASEFIRE, firearms import information, inspection information, and the National Firearms Registration and Transfer Record) to focus efforts and limited resources in areas where the maximum impact can be achieved. This includes the identification; investigation; and recommendation for prosecution of those individuals who are illegally supplying firearms to violent criminals, gang offenders, and juveniles as well as interdiction efforts.
143. DEFINITIONS.
- a. Acquisition/Disposition Log. A log or bound book maintained by all Federal firearms licensees (FFLs) which records the receipt (date and source) and disposition (date and transferee) of all firearms as well as a complete description of the firearms.
  - b. ATF Form 3310.4, Multiple Sales Report. A form completed by all FFLs whenever they transfer two or more handguns within a 5-business day period to the same individual. The form contains full identifying information concerning the purchaser, the firearms, the date of transfer, and the FFL. FFLs are required by Federal law (**18 U.S.C. § 923(g)(3)**) to forward this form to ATF and the designated chief law enforcement official in that area.

- c. ATF Form 4473, Firearms Transaction Record. A form maintained by all FFLs that is completed to document the transfer of a firearm(s) to an unlicensed individual. The form is completed by both the purchaser and the FFL and contains full identifying information about the purchaser, the firearm, the date of transfer, and the FFL number.
- d. ATF Form 5300.35, Statement of Intent to Obtain a Handgun(s). A form maintained by all FFLs to document a handgun purchaser's personal identifying data to be used for a criminal records and background check where the chief law enforcement officer of an area chooses to do so.
- e. Comprehensive Tracing. This is the systematic tracing of ALL recovered crime guns in a geographic area (e.g., town, county, or metropolitan area). Comprehensive tracing allows for thorough crime gun trend analysis and firearms trafficking pattern analysis.
- f. Crime Gun. For purposes of firearms tracing, a crime gun is any firearm that is illegally possessed, used in a crime, or suspected to have been used in a crime. This may include firearms found abandoned if it is suspected they were used in a crime or illegally possessed.
- g. Engaged in the Business. A person who devotes time, attention, and labor to dealing (manufacturing/importing/repairing/pawnbrokering) firearms as a regular course of trade or business with the "principal objective of livelihood and profit" through that labor. This does not include occasional sales/purchases of firearms for enhancement of a personal collection or for hobby. **(Defined in 18 U.S.C. § 921(a)(21).)**
- h. Federal Firearms Licensee (FFL). An FFL is any person, partnership, or business entity holding a valid license issued by ATF under the authority of 18 U.S.C. chapter 44, that allows them, to "engage in the business" of dealing, manufacturing, importing, repairing, or pawnbrokering firearms. By law, all FFLs must keep records of their firearms transactions.
- i. Firearms Dealer. Any person (licensed or unlicensed) "engaged in the business" of dealing, manufacturing, importing, repairing, or pawnbrokering firearms/ ammunition. **(Defined in 18 U.S.C. § 921(a)(11).)**
- j. Firearms and Explosives Licensing Center. Located in Atlanta, Georgia, the ATF Firearms Licensing Section receives and processes requests for FFLs and contains information on all active licenses, active license addresses, responsible persons associated with the license, doing business as (d.b.a.) names, corporate

names, licensee telephone numbers, FFL photographs, and fingerprints for recent applicants or renewals, etc.

- k. Firearms Trace. The tracking of a recovered crime-related firearm's history from its source (manufacturer/ importer) through the chain of distribution (wholesaler/ retailer) to the individual who procures the firearm. Firearms trace requests may be submitted to the NTC on an ATF Form 3312.1, Crime Gun Information Referral/Request Form.
- l. Firearms Trafficking Corridor. A route of transportation that is frequently used by illegal firearms traffickers to transport/move firearms from a source area to a market area. This may include interstate highways; car, busline, other common carriers such as UPS, DHL, Fed Ex; U.S. mail; railways; or air routes.
- m. Firearms Trafficking Gateway. A border crossing point, port of entry, airport, busport, or train station that is frequently passed through by illegal firearms traffickers during their transportation of firearms from a source area to a market area.
- n. Firearms Trafficking Study. A study and analysis of firearms information (e.g., trace information) pertaining to recovered crime guns in a specific geographic location, over a set period of time, to determine trends and patterns in firearms crimes, firearms recovery locations, and illegal firearms trafficking indicators that can be used to formulate enforcement strategies and focus resources. These studies are performed using data from ATF's NTC.
- o. Flea Market. A one-time or recurring event that provides a public exhibition, forum, or market place for general merchandise, second-hand articles, antiques, and other miscellaneous commercial goods where the primary purpose is the sale of merchandise other than firearms but whose merchandise may include new and/or used firearms, ammunition, and firearms accessories.
- p. Gun Show. Any exhibition of firearms, ammunition, and accessory items at a fairground, convention hall, or similar setting (e.g., local armory). Such shows are usually publicized as gun shows or are in connection with some other lawful activity such as a coin and gun show. The shows may be conducted under the auspices of a commercial venture or formalized by the national, State, or local associations/organizations of firearms collectors or individuals. They are often open to the public, and an admission fee may be charged. Gun shows generally benefit those concerned by providing them with a common ground for the display of firearms, ammunition, and accessories in exchange for trade, purchase, or sale; or they may be devoted to the competitive or other sporting



use of firearms in the community. A flea market is not a gun show.  
**(Defined in 27 CFR § 178.100 (b).)**

- q. Illegal Firearms Diversion. The movement of a firearm(s) from the legal to illegal marketplace, through an illegal method or for an illegal purpose. This definition includes international; interstate and intrastate firearms trafficking; stolen firearms cases; illegal NFA weapon transfers; straw purchases; unlicensed transactions; and unrecorded or misrecorded sales by a licensed manufacturer, retailer, or wholesaler/ distributor.
- r. Illegal Firearms Trafficking. Illegal firearms diversion that is done for purpose of profit, power, prestige, or supplying firearms to the criminal element or juveniles.
- s. Illegal Firearms Trafficking Indicator. A unique factor or circumstance that is believed to be associated with illegal firearms diversion or trafficking. Examples of indicators include frequency of involvement in a crime-related firearms trace by an address, individual, or FFL; time-to-crime rates for an FFL; frequent reports of firearms thefts by an individual or FFL; time-to-sale rates for an FFL; or possession of firearms by juveniles.
- t. Inspection Warrant (FFL). An inspection warrant is necessary in those instances when an FFL refuses to permit a lawful inspection of his/her business. An inspection warrant is obtained under ATF's statutory authority contained in the Gun Control Act (GCA) **(18 U.S.C. § 923(g)).**
- u. Market Area. An area where firearms acquired in a source area are unlawfully marketed and/or transferred to the criminal element/prohibited persons/juveniles. Factors that foster a market area include lack of FFLs/flea markets/gun shows in an area and strict or thorough State/local gun laws that limit firearms possession and availability. (NOTE: A MARKET AREA'S SOURCE MAY BE IN THE SAME CITY/COUNTY/STATE OR IT MAY BE IN A DIFFERENT CITY/COUNTY/STATE. FIREARM SOURCES/MARKETS ARE DEFINED AS AREAS, NOT STATES.)
- v. National Tracing Center (NTC). The ATF NTC is the only facility/operation in the world that traces the history of firearms recovered in crimes and from juveniles for any Federal, State, or local law enforcement agency in the United States or abroad; stores information concerning the multiple sale of firearms, suspect guns, stolen firearms, and firearms with obliterated serial numbers; and is also the only repository for all FFL out-of-business records.
- w. Notice of Unlicensed Firearms Dealing Violation. An ATF notice of unlicensed firearms dealing violation may be served by a special agent on an individual who may unknowingly be engaged in the

business of dealing in firearms without a license. Service of this notice satisfies the willful/knowingly requirement of the dealing in firearms without a license statute should a criminal case need to be perfected against an individual who continues to engage in unlicensed firearms dealing after being served notice.

- x. Principal Objective of Livelihood and Profit. The intent of the sale or disposition of firearms is predominantly one of obtaining livelihood and pecuniary gain, as opposed to other intents, such as liquidating a personal firearms collection. **(Defined in 18 U.S.C. § 921(a)(22).)**
- y. Probable Cause Warrant (for an FFL). A probable cause warrant is necessary for the premises of an FFL in those instances where a special agent has probable cause to believe that evidence of a violation of law exists on the premises of an FFL. A probable cause warrant may be obtained under rule 41 of the Federal Rules of Criminal procedure.
- z. Project LEAD. ATF's automated illegal firearms trafficking information system that provides investigative leads to investigators by analyzing crime gun trace data, suspect gun information, stolen firearms information, and multiple sales information to identify recurring trends and patterns that may indicate illegal firearms trafficking.
- aa. Query Management Facility (QMF) Run. An inquiry/run of the data contained in ATF NTC's Firearms Tracing System (FTS) mainframe computer that can extract criminal trace information/data relating to FFLs in formats specified by the requester. Field personnel may request QMF runs through the NTC. QMF runs are more suited to determining trends and patterns in firearms activity by FFLs where as Project LEAD is more useful in determining trends and patterns of firearms activity by unlicensed persons. QMF information can be particularly valuable to inspectors for use in identifying inspection needs and to special agents conducting a criminal investigation involving an FFL.
- bb. Reasonable Cause Warrant (for an FFL). A reasonable cause warrant is necessary to conduct an FFL inspection in those instances where a special agent has less than probable cause but more than mere suspicion to believe that evidence of a violation of law exists on the premises of an FFL.
- cc. Secondary Source or Market. This is a source of used firearms that may be legal or illegal. Secondary sources often include gun shows, flea markets, pawn shops, and unlicensed/private sales and transfers. Secondary source firearms are more difficult to trace due to multiple unrecorded transfers.

- dd. Source Area. An area where illegal firearms traffickers have easy access to large numbers of firearms that they can readily acquire and transport to other locations for unlawful resale and/or transfer to the criminal element/ prohibited persons/juveniles. Factors that foster a source area include abundance of FFLs/flea markets/gun shows in an area, relaxed or nonexistent State/local gun laws, and proximity to urban centers or other locations where guns are sought commodities.
- ee. Straw Purchase. The acquisition of a firearm(s) from an FFL by an individual (the "straw") done for the purpose of concealing the identity of the true intended receiver -of the firearm(s).
- ff. Suspect Gun. An uncovered firearm(s) that is suspected to have been illegally trafficked or diverted (e.g., multiple sales reports and FFL records indicate that an individual has purchased 25 firearms, 15 of which have been recovered in crimes, traced, and entered into Project LEAD, and 10 of which have not been recovered; therefore, they are listed as suspect guns. It is suspected that these remaining firearms have also been illegally trafficked.)
- gg. Suspect Guns Data Base. A data base maintained by ATF's NTC that contains identifying information relative to firearms suspected of being illegally trafficked but have not been recovered as of yet. This information is submitted by special agents/investigators during their investigations. Should a suspect gun be recovered and traced, the Suspect Guns Data Base, which is interfaced with the FTS, will alert the NTC which in turn will contact the investigator who entered the firearm as a suspect gun and provide him/her with the contact telephone number of the individual who recovered and traced the firearm. The investigator is then able to contact the trace requester and obtain information surrounding the firearm's use in a crime and recovery.
- hh. Time to Crime. The period of time (measured in days) between a firearm's acquisition from a retail market and law enforcement's recovery of that firearm during use, or suspected use, in a crime. A shorter time to crime usually means the firearm will be easier to trace, and when several short time-to-crime traces involve the same individual or FFL, this can be an indication of illegal trafficking activity.
- ii. Time to Sale. The period of time (measured in days) between the shipment of a firearm from a wholesaler to a retail FFL and the sale of the firearm by that retail FFL. A shorter time to sale, particularly when a number of inexpensive or identical handguns are involved, may indicate the FFL is specifically ordering the firearms at the request of an illegal trafficker or is directly involved with illegal trafficking.

#### 144. JURISDICTION.

- a. The GCA is the Federal legislation that is directed toward firearms-related violence and illegal firearms trafficking. In the preamble of the GCA, Congress clearly stated that this Nation's policy was "to provide support to Federal, State, and local law enforcement officials in their fight against crime and violence." ATF derives its jurisdiction for investigation of illegal firearms trafficking violations from the GCA and its ~ various statutory enhancements and amendments that have been enacted through the passage of a number of crime bills, such as the Violent Crime Control and Law Enforcement Act of 1994. Statutes particularly useful in the investigation of illegal firearms trafficking violations include, but are not limited to, 18 U.S.C. §§ 922 and 924. For a detailed description of the various statutes and their elements of proof, see exhibit 4 of this order. Additionally, 18 U.S.C. §§ 2 (aiding and abetting), 371 (conspiracy), 1715 (unlicensed person shipping concealable firearms through the U.S. mail), 1001 (false statements and entries, e.g., false entries in dealer records or false statements to special agents or inspectors), and 2117 (breaking and entering at a carrier facility) are also useful in illegal firearms trafficking investigations.
- b. The National Firearms Act (NFA), 26 U.S.C. chapter 53, also provides several statutes that are useful in illegal firearms trafficking cases involving the unlawful transfer/trafficking of NFA weapons.
- c. Many State and local bodies of government have enacted ordinances and statutes with civil and criminal provisions designed to limit access to firearms by juveniles, prohibited persons, and potential illegal firearms traffickers. Frequently, however, the presence of strong State or local ordinances and statutes will result in creating an illegal secondary market fed by illegal firearms traffickers who will unlawfully bring firearms to that area from a market area for unlawful resale/transfer.

#### 145. GENERAL INVESTIGATIVE PROCEDURES, DUTIES, AND RESPONSIBILITIES (FIREARMS TRAFFICKING COORDINATOR/PROJECT LEAD COORDINATOR).

- a. Special Agent In Charge (SAC) Responsibilities.
  - (1) Each SAC should, at his/her discretion, meet with his/her respective U.S. attorneys and reaffirm the importance of ATF's illegal firearms trafficking efforts, ensure their support and participation, and keep them apprised of new developments regarding trafficking trends and patterns within the field division. (This duty may be delegated to an ASAC, RAC, or G/S.) SACs are encouraged to bring a

representative from the office of Assistant Chief Counsel and their respective district director (DD) to this meeting. Assistant U.S. attorneys who are unfamiliar with the prosecution of illegal firearms trafficking cases should be encouraged to contact ATF Assistant Chief Counsel Offices for more insight into proving these cases in court. Should the U.S. attorney's office request training on illegal firearms trafficking, inquiries as to available training or training materials should be directed to the Office of Training and Professional Development, Bureau Headquarters. In addition, all SACs should encourage their respective U.S. attorneys to require that before a defendant is allowed to enter a guilty plea in any firearms violation case, the defendant must be debriefed by an ATF special agent for illegal firearms trafficking intelligence.

- (2) Each SAC should, at his/her discretion, meet with his/her law enforcement counterparts at the State/local level to coordinate illegal firearms trafficking efforts, ensure their support and participation, keep them apprised of new developments in trafficking trends and patterns within the field division, and encourage the tracing of every firearm recovered in a crime or from a juvenile. (This duty may be delegated to an ASAC, RAC, or G/S.) SACs are encouraged to bring the affected DD to this meeting. Should any law enforcement officials request training on illegal firearms trafficking or assistance in establishing a system to more efficiently trace firearms, inquiries for assistance should be directed to the Office of Training and Professional Development, Bureau Headquarters, or the NTC.
- (3) Each field division SAC will designate one ASAC in his/her field division to be the firearms trafficking coordinator (FTC). Upon any change in designation of the FTC, the SAC shall provide the new FTC designation information to the Chief, Firearms Enforcement Division via memorandum.

b. ASAC/FTC Duties And Responsibilities Are As Follows:

- (1) The FTC should continuously assess illegal firearms trafficking trends within his/her field division utilizing Project LEAD information, NTC information, State/local police department intelligence, and RE intelligence and determine the proper course of action to address the problem (e.g., form a firearms trafficking task force (FTTF), a trafficking group, or dedicate one or two special agents to the problem in low intensity firearms trafficking areas).

- (2) The FTC should coordinate the dissemination and follow up on all outgoing and incoming collateral requests or leads regarding illegal firearms trafficking from other field divisions or the NTC. Should problems regarding collateral response time on illegal firearms trafficking leads develop between divisions, the involved FTCs should make contact and resolve the situation.
- (3) The FTC should coordinate the CE effort with the area RE efforts through the RE FTC. This coordination may include assignment of inspectors to firearms trafficking task forces to perform FFL inspections where necessary and where legally appropriate; provide RE with any information that may assist them in identifying specific FFLs for inspection (e.g., FFLs who have high rates crime-related firearms traced to them); and keep them apprised of the FFLs that RE intends to inspect to avoid the unwanted inspection of an FFL currently under criminal investigation and to provide RE with pertinent information which may aid an inspector during those scheduled inspection. All information referred to RE should be forwarded via an ATF F 5000.21, Referral of Information.

c. RAC/GS Duties And Responsibilities:

- (1) The RAC/GS should ensure that all special agents under his/her supervision are aware of and adhere to their responsibilities for the proper coordination of illegal firearms trafficking intelligence and investigations, the proper reporting and coding procedures associated with the completion of ATF F 3100.7, Case Summary, and the importance of firearms tracing.
- (2) The RAC/GS should ensure that all illegal firearms trafficking information developed by the designated field office/group VCC, during the processing of adopted cases, is appropriately disseminated and/or followed up. (See chapter B of this order for more information on adopted/referred cases and the duties of the VCC.)

d. Special Agent Duties And Responsibilities:

- (1) The case special agent, upon identifying an individual suspected of illegal firearms trafficking (someone trafficking in GCA, NFA, or stolen firearms), or an address associated with illegal firearms trafficking activity, is to conduct a records check on the individual or address in TECS to determine if an open investigation already exists. This is essential to prevent safety hazards created should multiple special agents open an investigation, each unaware of existing parallel investigations, and to prevent duplication of effort.

- (a) Should TECS checks reveal no open investigations on the individual or address in question and the special agent intends to open an investigation, the special agent is to ensure each suspect and/or suspect address is entered into TECS as soon as they are identified.
  - (b) Should TECS checks reveal an open investigation exists relative to the suspect individual or address, the special agent is to contact the case agent or receiver of the referral and coordinate investigative efforts. Coordination of efforts and sharing of intelligence are essential to special agent safety, effective investigations, and the efficient use of resources.
- (2) The case special agent is responsible for knowing and properly using the Bureau Program Codes, Case Profile Codes, and Investigative Technique Codes appearing on the ATF F 3100.7 so proper tracking of illegal interstate/intrastate firearms trafficking cases, Project LEAD use, and other-statistical data important to the Bureau's ability to measure performance and impact can be accomplished.
  - (3) Special agents should attempt to debrief, when legally possible, all arrested defendants regarding their knowledge of illegal firearms trafficking activities. Special agents should urge all case AUSAs to require that a defendant agree to a full debriefing concerning the defendant's knowledge of illegal firearms trafficking activity prior to the signing of a plea agreement.
  - (4) Special agents should use all resources available to determine if firearms suspected of being illegally trafficked have been recovered in crimes. This may include the use of the Suspect Gun File as well as checks for firearms recovery information in Project LEAD or the NCIC recovered gun file. This information should be provided to the case AUSA to assist in case preparation and later for sentencing consideration.
  - (5) In the event a special agent refers an FFL for prosecution and the U.S. attorney's office declines to pursue prosecution, the special agent will forward an ATF F 5000-21 through the field division office to the RE DD's Office having jurisdiction over that FFL for their appropriate follow up. The referral should include all pertinent information regarding the alleged violations committed by the FFL. The field division office shall forward a copy of the

referral of information to the Chief, Firearms Enforcement Division who will subsequently disseminate this information to the Chief, Firearms and Explosives Regulatory Division.

146. PROJECT LEAD SECURITY. Project LEAD is the exclusive property of ATF. Distribution of Project E-LEAD software outside of ATF is strictly prohibited. All offices are re encouraged to work with and share information/investigative leads with other Federal, State, and local law enforcement agencies; however, Project LEAD software and data extract disks are the property of ATF and cannot be released outside the agency. When Project LEAD disks are not in use, the RAC/GS or Project LEAD coordinator shall ensure all disks are stored in a locked file. Computers with Project LEAD information shall not be left on while unattended for any period of time. Information concerning private individuals is contained in Project LEAD, and this information must be protected against unwarranted dissemination.
147. COORDINATION OF PROJECT LEAD INFORMATION WITH REGULATORY ENFORCEMENT. Project LEAD primarily focuses on recurring trends and patterns that may indicate illegal firearms trafficking activity by unlicensed individuals, however, should any CE operator of Project LEAD discover information concerning licensed firearms dealers that may have value to RE's inspection efforts, this information should be forwarded to the area RE FTC via ATF F 5000.21 as soon as possible. This information may be useful to RE when used in conjunction with more detailed FFL information available through a QMF run.
148. "WEAPONS TRANSFERS".
- a. Considerations. During the course of illegal firearms trafficking investigations, special agents may become aware of, observe, or encounter situations where an individual(s) will take delivery of firearms, or transfer firearm(s) to others. In these instances, the special agent may exercise the following options:
- (1) In cases where probable cause exists to believe a violation of law has occurred and the special agent determines there is a need to intervene in the weapons transfer (e.g., the recipient of the firearms is a known felon; it is known the firearms will be used in crime of violence), the special agent shall do so but should place concerns for public safety and the safety of the involved special agents as the primary determining factor in exercising this option.
  - (2) In other cases, immediate intervention may not be needed or desirable, and the special agent may choose to allow the transfer of firearms to take place in order to further an investigation and allow for the identification of additional coconspirators who would have continued to operate and



illegally traffic firearms in the future, potentially producing more armed crime.

- b. Alternative Intervention Methods. In the event it is determined by the special agent that a weapons transfer should not take place, the special agent may consider alternative methods of intervention other than arrest and/or search warrants that will prevent the culmination of the weapons transfer but allow the investigation to continue undetected. These alternative methods are considered to be a course of action that must be approved by the RAC/GS or SAC as previously noted. These alternative interventions may include, but are not limited to:
- (1) A traffic stop (supported by probable cause to search or supported by a traffic violation allowing for plain view observations) by a State or local marked law enforcement vehicle that would culminate in the discovery and retention of the firearms. This would prevent the weapons transfer from fully occurring and may in turn produce new investigative leads. Should the occupants of the vehicle be new/unknown participants in the organization under investigation, they may be fully identified which in turn will yield additional information for followup investigation. Should the occupants of the vehicle be known participants in the investigation, requesting telephone tolls for these individuals (or if a Penn Register/T-III interception order is in use) for the period shortly after the traffic stop may show calls and yield identifying information relating to the intended receivers of the firearms.
  - (2) If the firearms are being shipped out of the United States via a common carrier via checked luggage or freight, the firearms may be seized/or recovered by the special agents, in coordination with the U.S. Customs Service under border search authority, without detection by the traffickers. This would prevent the weapons transfer from fully occurring and may in turn produce new investigative leads. The investigation may then continue with the traffickers led to believe the shipment of firearms was stolen in transit. Requesting telephone tolls for these individuals (or if a Pen Register/T-III interception order is in use) for the period shortly after the recovery of the firearms may show calls and yield identifying information relating to the intended receivers of the firearms.
  - (3) If the firearms are being shipped via the U.S. mail, coordination with the U.S. postal inspectors will assist the special agents in recovery of the firearms without detection

by the traffickers. This would prevent the weapons transfer from fully occurring and may in turn produce new investigative leads. The investigation may then continue with the traffickers led to believe the shipment of firearms was stolen in transit. Requesting telephone tolls for these individuals (or if a Penn Register/T-III interception order is in use) for the period shortly after the recovery of the firearms may show calls and yield identifying information relating to the intended receivers of the firearms.

- (4) If the special agent chooses to arrest the individual(s) with the firearm(s), the possibility exists that a debriefing of this individual will yield other members of the organization, or the individual(s) will agree to participate in a controlled delivery of the firearm(s) to the original intended receivers.

149. FEDERAL FIREARMS LICENSEES (FFLS). This paragraph sets forth policy and procedures for obtaining licensee warrants and conducting criminal investigations of licensed persons, their agents or employees, and business entities engaged in the firearms business.

- a. In passing the GCA, as amended, Congress declared that the purpose of the act was to provide support to Federal, State, and local law enforcement officials in their fight against crime and violence while not placing undue Federal restrictions or burdens on law-abiding citizens with respect to the acquisition, possession, or use of firearms for lawful activity.
- b. Most persons licensed to engage in the firearms business are pursuing their interests for lawful purposes. It is the policy of ATF to initiate criminal investigations of licensees only when there is reason to believe that they are engaged in criminal activity such as dealing in stolen/contraband firearms or ammunition, knowingly supplying firearms to criminals, terrorists, gang offenders, or juveniles, or engaged in such willful and flagrant violations that it can be reasonably assumed that the firearms will find their way into the hands of criminals, terrorists, gang offenders, or juveniles.
  - (1) The GCA provides misdemeanor penalties for licensees who make false statements or representations with respect to information required in their records (18 U.S.C. § 922(m)). The successful use of misdemeanor charges enhances licensee denials or revocations. In cases of flagrant and willful violations of recordkeeping requirements that can be shown to pose a genuine threat to the public safety, the licensee should be recommended for felony prosecution under 18 U.S.C. § 922(b)(5) and/or 18 U.S.C. § 1001.

- (2) Where evidence supporting prosecution does not meet established prosecutorial thresholds or the violations are minor or technical in nature, the information shall be referred, through the SAC, to the appropriate RE DD for administrative action.
  - (3) Where CE is notified by RE that it has attempted to acquire the records of an FFL who has terminated his/her business, failed to renew his/her license, or failed to respond to official correspondence for license renewal, CE efforts should be made and coordinated with RE to obtain the FFL's records and forward them to the NTC Out-of-Business Records Center in Falling Waters, West Virginia.
- c. Most licensees cooperate with ATF in exercising lawful inspection access to their premises. When a licensee refuses voluntary inspection and requires a warrant, the special agent shall obtain one in conformance with this chapter. If, after the warrant is obtained, the licensee continues to refuse ATF access to records and inventory, the special agent shall advise the licensee of the following statutes:
- (1) 18 U.S.C. § 1509 Obstruction of court orders (misdemeanor).
  - (2) 18 U.S.C. § 111 Obstruction of a Federal agent (felony).
  - (3) 18 U.S.C. §§ 923(g)(1)(B) and 924(a)(1)(D) Obstructing the examination of inventory and records (felony).
- d. Where the licensee continues to refuse admittance to the business premises, the special agent is precluded from using force to gain entrance under an Inspection or Reasonable Cause Warrant. However, the special agent may summarily arrest the licensee or choose to initiate contempt proceedings and/or coordinate a licensee revocation with RE at a later date. The possibility of summary arrest must be discussed with the SAC prior to execution of an Inspection or Reasonable Cause Warrant.

#### 150. WARRANTLESS INSPECTIONS.

- a. The GCA places certain restrictions on ATF's ability to inspect the inventory and records of a licensee, whether at the licensed premises or authorized gun shows. A warrant is required to conduct an inspection EXCEPT under the following conditions:
  - (1) Inspection to Ensure Compliance With the Recordkeeping Requirement. This inspection may be conducted without prior notice, but ATF is limited to only ONE INSPECTION FOR ANY 12-MONTH PERIOD DURING BUSINESS HOURS.

- (a) Prior to any desired inspection, the RAC/GS must contact the appropriate RE area supervisor to ensure that an annual regulatory inspection has not been conducted during the previous 12 months.
  - (b) Efforts must be made to have an RE inspector conduct the desired inspection with a special agent. The special agent is to obtain from the inspector a completed copy of the inspection report package or any other documents relating to the inspection for inclusion in the field office investigation file.
  - (c) In the event no inspector is available and after advising the area supervisor of such, a special agent is to conduct the inspection. However, A LICENSEE INVESTIGATION AUTHORIZATION IS NECESSARY BEFORE CRIMINAL ENFORCEMENT ASSUMES THIS ANNUAL INSPECTION RIGHT. The RAC/GS is to verbally recontact the area supervisor and relate the results of the regulatory inspection. The special agent must complete an inspection report package in a timely manner. This report shall be forwarded by the RAC/GS to the area supervisor for inclusion in the licensee's file. A copy of this report shall be maintained in the field office investigation file.
- (2) Inspection During Business Hours in the Course of a Criminal Investigation of a Person Other Than the Licensee. ATF F 5000.21, which documents the nature of this licensee contact, shall be forwarded by the RAC/GS to the area supervisor for inclusion in the licensee's folder. For example, an informant alleges that a terrorist bought a handgun in the fall of last year. A survey of XYZ's records is undertaken. ATF F 5000.21 (Figure 2) shall reflect the licensee's name, address, and narrative.

Figure 2. Notification

<p>"Inspection conducted in the course of a criminal investigation of a person other than the licensee (IN, if available) on ____ (date) ____ by Special Agent ____"</p>
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- (3) Inspection During Business Hours in the Course of Firearms Trace. ATF F 5000.21, which documents the nature of this licensee contact, shall be forwarded by the RAC/GS to the area supervisor for inclusion in the licensee's folder. For example, an urgent and confidential firearms trace shows

the ABC Company shipped the firearm to XYZ Company. A special agent must obtain the trace information in person. The ATF F 5000.21 is to reflect the licensee's name, address, and narrative as shown in Figure 3. THIS NOTIFICATION APPLIES ONLY TO TRACES CONDUCTED IN PERSON ON THE PREMISES AND NOT TRACES CONDUCTED BY TELEPHONE.

Figure 3. Notification

<p>"Inspection conducted in the course of tracing <u>(firearm description)</u> on <u>(date)</u> by Special Agent _____ <u>(name)</u>_____."</p>
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- b. In the event a licensee refuses to permit a lawful inspection, the special agent is to withdraw from the licensee's premises and obtain an INSPECTION WARRANT.
  - c. Where an inspector is refused lawful access, the RAC/GS shall honor the direct request from the affected area supervisor for assistance in obtaining an INSPECTION WARRANT. Procedures outlined in paragraph 151 shall be followed.
151. **INSPECTION WARRANT.** Circumstances may be encountered where a licensee refuses to allow a lawful inspection of his/her records or inventory. This requires that ATF obtain an INSPECTION WARRANT based on the statutory authority of the GCA. Other circumstances may require the obtaining of a REASONABLE CAUSE WARRANT where reasonable cause exists to believe that there is evidence of violations of the GCA on the licensee's premises. Prior to obtaining these types of warrants for a licensee's premises where the licensee is neither a suspect as defined in paragraph 149b nor the focus of the criminal investigation, approval for a "LICENSEE WARRANT" must be obtained from the SAC. THE GUIDELINES IN THIS PARAGRAPH DO NOT APPLY WHEN CONDITIONS PERMIT THE WARRANTLESS OR CONSENSUAL INSPECTION OF A LICENSEE AS OUTLINED IN PARAGRAPH 150.
- a. A verbal request for authorization is to be submitted by the RAC/GS to the SAC containing the following information:
    - (1) Complete identification of the licensee.
      - (a) Name and trade name.
      - (b) Address.
      - (c) Federal license number.
      - (d) Business hours

- (2) Type of warrant to be obtained, e.g., INSPECTION or REASONABLE CAUSE.
  - (3) Facts supporting the need for the warrant
  - (4) Judicial district where the warrant will be obtained.
  - (5) Projected time and date the warrant will be executed.
  - (6) Investigation number.
  - (7) Anticipated problems.
- b. If the SAC concurs with the request, following execution of the warrant, the special agent shall complete a Report of Investigation, and place the words "LICENSEE WARRANT" under the "Description of Activity" section of the report. The report shall include the following information. A copy of the warrant is to accompany this report.
- (1) Items 1, 3, and 4 as listed in paragraph 150a.
  - (2) Date and time warrant authorized by assistant U.S. attorney (identify).
  - (3) Date and time of authorization by U.S. magistrate or U.S. district court judge (identify).
  - (4) Date and time of execution of warrant.
  - (5) Date and time of exit from licensed premises.
  - (6) Evidence taken into Bureau custody from licensed premises.
  - (7) Participating special agents, inspectors, and other law enforcement officers.
  - (8) Licensee/employees present during execution of warrant.
  - (9) Incident/problems encountered.
  - (10) Miscellaneous information of relevance, but which cannot be listed on the report of investigation, should be listed in an accompanying memorandum.
- c. In the case of a significant incident occurring during execution of the warrant, it is incumbent upon the special agent, through the RAC/GS, to verbally apprise the SAC of the incident. Any incident reported must be transmitted by SAR to the Chief, Intelligence Division (ATTN: Investigations Tracking Branch). The special agent shall thoroughly document the incident in item 9 of paragraph 151b.
- d. If information is developed indicating that the licensee is involved in criminal activity, the procedure for obtaining licensee investigation authorization shall be followed.

- e. When a licensee refuses to comply with a lawful inspection request, this requires that the special agent obtain a licensee warrant. A copy of the report of investigation prepared as directed under paragraph 151b shall be referred by the SAC to the RE DD for possible administrative action.
152. REASONABLE CAUSE WARRANT. The GCA provides for the issuance of a search warrant based on reasonable cause where there is evidence to believe a violation of the GCA has occurred and that this violation may be found on the licensee's premises.
- a. In cases where the licensee is not a suspect or focus of the criminal investigation, the procedures described in paragraph 150 shall be followed.
  - b. Where the licensee is a criminal suspect or focus of an investigation, the procedure for obtaining licensee investigation authorization shall be followed prior to following paragraph 150.
  - c. The REASONABLE CAUSE WARRANT is obtained when the special agent has less than probable cause but more than mere suspicion. Where probable cause does exist that the licensee is involved in criminal activity, a PROBABLE CAUSE WARRANT under Rule 41 of the FRCP is always obtained.
153. INITIATING A LICENSEE INVESTIGATION-AUTHORIZATION. An investigation of a licensee may be initiated when there is reason to suspect that the licensee is engaged in criminal activity. The purpose of the investigation is to verify such information and determine if criminal prosecution should be pursued. A written request seeking authority to pursue a licensee investigation must be submitted and approved by the SAC before any significant investigative activity occurs.
- a. A memorandum requesting authorization must be prepared and shall contain the following information:
    - (1) Complete identification of the licensee, including his/her Federal license number.
    - (2) Concise statement of the alleged violations.
    - (3) Source of the information, as well as any background information known concerning the reliability of the source.
    - (4) Any other pertinent information that may appear in TECS or in the licensee's files, such as allegations of previous violations of laws or regulations.
    - (5) The words "FIREARMS LICENSEE INVESTIGATION" will be placed at the top of the memorandum, which requests approval.

- (6) If time does not permit a written request, a telephonic request to the SAC, followed by a written request (memorandum), is permitted.
  - b. The memorandum requesting authorization must be accompanied by an ATF F 5000.21 and addressed to the appropriate RE DD. The referral shall include:
    - (1) Names and identifying data concerning the subject of the investigation.
    - (2) Request for copies of all reports relating to the licensee.
    - (3) Request for notification from the licensing supervisor of any official contact with the licensee.
  - c. Where the request does not justify an investigation, the SAC shall return it to the field office for clarification.
  - d. Where justified, authorization for a FIREARMS LICENSEE INVESTIGATION shall be given by the SAC, which is evidenced by his/her endorsement at the bottom of the request. The SAC then forwards the ATF F 5000.21 to the RE DD. As indicated earlier, the SAC may telephonically authorize an investigation before receiving a written request.
154. LICENSEE INVESTIGATION – REPORTING.
  - a. When conducting a licensee investigation, the assigned special agent shall prepare a report of investigation within 5 days of any reportable investigative activity. The words "FIREARMS LICENSEE INVESTIGATION" will be placed at the top of the report of investigation. Further, if no reportable investigative activity occurs within a 30-day period, the assigned special agent shall provide input to the RAC for status notes to be placed in the management log.
  - b. Quarterly status notes are required to be placed in the management log for investigations for which an ATF F 3200.14, Recommendation for Prosecution report, has been prepared and submitted, or for investigations that have been adjudicated and are under appeal.
  - c. The SAC shall ensure timely reporting via SAR to the Chief, Intelligence Division (ATTN: Investigations Tracking Branch) of any significant/sensitive enforcement activity, e.g., making arrests, executing warrants, or any significant judicial activity.
  - d. Upon initiating an FFL investigation, the SAC will notify the Firearms and Explosives Licensing Center (with an informational copy to the National Tracing Center), via telecommunications message, that an investigation is being conducted. The message



should contain the FFL's name, business name, FFL number, investigation number, and case agent. (See Exhibit 19.) Note that THE NATIONAL TRACING CENTER WILL CONTINUE TO CONTACT THE LICENSEE FOR TRACE INFORMATION. IF THIS WILL JEOPARDIZE THE INVESTIGATION, NOTIFY THE SAC, NATIONAL TRACING CENTER, AND EXPLAIN, IN WRITING, THE REASON NOT TO CONTACT THE LICENSEE. THE NTC FAX NUMBER IS 1-800-578-7223.

155. LICENSEE INVESTIGATION -- CLOSING.

- a. The assigned special agent may recommend closing an investigation at any time a determination is made that the allegations against the licensee cannot be substantiated. As with any other investigation, the SAC is the approving official for the closing of the investigation.
- b. Where violations of law by the licensee are substantiated, the assigned special agent may recommend:
  - (1) Misdemeanor or Felony Prosecution. Requires SAC approval.
  - (2) Referral for Administrative Action. Requires approval of the SAC and recommendation to the RE DD
- c. Upon learning of the FFL's final conviction, or closing of the investigation, the SAC will prepare a telecommunications message addressed to the Firearms and Explosives Licensing Center with an informational copy to the National Tracing Center, advising that the FFL has been convicted and/or the investigation has been closed. In addition to the name of the FFL and case number, the telecommunications message will also include the licensee's FFL number. (See exhibits 20 and 21.)
- d. The SAC shall also ensure that the RE DD is aware of the closed status of the licensee investigation.

156. GUN SHOWS, FLEA MARKETS, AND UNLICENSED FIREARMS DEALERS. This paragraph formalizes policy and establishes procedures for conducting criminal investigations of unlicensed firearms dealers, including those that conduct such illegal business at gun shows or flea markets. ATF's policy is to target for investigation only those persons who are engaged in criminal activity such as dealing in stolen or contraband firearms/ ammunition, knowingly supplying firearms to criminals, terrorists, gangs, and juveniles, or dealing in such a willful and flagrant manner that reason dictates there is a high probability the firearms will work their way unrecorded into the hands of criminals, terrorists, gang offenders, and juveniles.

157. GUN SHOW/FLEA MARKET INVESTIGATIONS - AUTHORIZATIONS AND REPORTING.

A flea market or gun show investigations present situations that are normally not encountered in licensee investigations. Whereas an FFL has one primary location from which he/she does business, a person illegally selling firearms at a gun show or flea market may travel to several of these markets or shows on a regular basis. Additionally, some flea markets or gun shows are scheduled on a recurring basis for one particular day of the week or month.

- a. Upon obtaining verbal authorization from their G/S or RAC, special agents may attend gun shows and flea markets for the purposes of observing potential violations of the Federal firearms laws that merit further investigation. When seeking this authorization, the special agent shall advise the G/S or RAC of the name and location of the flea market or gun show as well as the date the special agent plans to attend.
  - (1) If authorization is granted and attendance at a gun show or flea market determines investigation is warranted of a subject that is known to be a licensed firearms dealer, authorization by the SAC to conduct a licensee investigation must be obtained. See paragraph 153 of this chapter for authorization procedures.
  - (2) If authorization is granted and attendance at a gun show or flea market determines investigation is warranted of a subject that is not a licensed firearms dealer, the special agent shall prepare an opening report of investigation, with the words "GUN SHOW INVESTIGATION" or "FLEA MARKET INVESTIGATION" appearing at the top of the report, within 5 days of any reportable investigative activity.
- b. The SAC is the approving official for all significant/ sensitive enforcement activities such as issuing notices, summonses or subpoenas, making arrests, or executing warrants on the gun show or flea market premises, or their adjacent parking lots. If exigent situations require immediate action and SAC approval has not been obtained, such actions should be taken only when there is a potential threat to public safety, where subsequent action is impractical, e.g., an armed, violent felon. If immediate arrest or seizure is necessary to preserve evidence or protect public safety, every consideration should be given to taking such action in areas of minimal attendance, e.g., parking lots or outside of exhibit halls to reduce the potential for injury to civilians. The SAC shall be notified, as soon as possible, of any such enforcement action with a followup notification to the Chief, Intelligence Division (ATTN: Investigations Tracking Branch).

158. GUN SHOW/FLEA MARKET INVESTIGATION-CLOSING.

- a. The assigned special agent may recommend closing an investigation after all investigative activity has been concluded at the gun show/flea market. Once a criminal violation is established regarding a subject of the titled gun show/flea market investigation, a separate investigation number is to be obtained. As with any other investigation, the SAC is the approving official for the closing of the investigation.
- b. Where violations can be proven, the SAC may recommend the case for prosecution.
- c. The closing report/memorandum will include the identity of any persons who are suspected to be involved in illegal activities, including FFLs, but no evidence could be obtained. The closing report/memorandum should also include all persons who were issued notices as proscribed in paragraph 159. The closing report will also transmit ATF F 5000.21 addressed to the appropriate RE DD, referring those FFLs observed or suspected of not complying with regulations.

159. NOTICE OF UNLICENSED FIREARMS DEALING VIOLATION.

- a. Due to the willful aspect of dealing in firearms without a license, the SAC may authorize the warning and documentation of unlicensed dealers under circumstances where:
  - (1) Persons who clearly are ignorant of the law, lack criminal association, intent, or history.
  - (2) Unlicensed dealers who engage in a firearms dealing business but fail to provide admission of willfulness, or the investigation did not otherwise establish willfulness.
- b. When such warnings are appropriate, prepare a Notice of Unlicensed Firearms Dealing Violations similar to the recommended wordage in exhibit 12. Exhibit 13, ATF F 7, Application for License under 18 U.S.C. Chapter 44, Firearms, is an attachment to the notice. The SAC shall sign the original and may delegate authority to sign such notice as appropriate. A copy of the original shall be served by an assigned special agent who shall use the utmost tact and discretion. The special agent shall obtain the signature of the unlicensed dealer on the copy of the notice acknowledging receipt. Where a subject declines to sign, the special agent shall so indicate on the copy. The original shall be left with the subject and a copy attached to the Report of investigation for inclusion in the field office investigative file. The suspected unlicensed dealer will be listed as suspect 02, 03, etc., under the authorized gun show IN unless a prior IN on the suspect exists, or preponderance of evidence exists to justify a separate IN assignment.

- c. The names of all suspected unlicensed dealers are to be checked in Project LEAD, multiple sale records, TECS, licensing, and other appropriate data bases maintained by the Intelligence Division.
- d. All of those served shall be fully identified for entry into TECS. All vehicles known to be used by the subject are to be included. The narrative of the TECS entry is to summarize the unlicensed dealing allegation and contain the caption as shown in Figure 4.

Figure 4. Caption

<p>SUBJECT SERVED WITH NOTICE OF UNLICENSED  FIREARMS DEALING VIOLATION ON _____ (date) _____  BY SPECIAL AGENT _____ (name) _____ AT  _____ (location) _____.</p>
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- e. Several ways in which the notice may be used include:
- (1) Referral-Notification. Where a general complaint is received from the public or industry and there is no criminal intelligence to warrant indepth investigative activity, a special agent may simply be permitted to contact the subject and serve notice.
  - (2) Offer-Notification. Where undercover negotiations showed the dealer lacked criminal intent by offering noncontraband firearms, a special agent is authorized to identify himself or herself and serve notice.
  - (3) Buy-Notification-Buy. Where a special agent made undercover buys but the unlicensed dealer failed to give admissions or where the investigation did not otherwise establish willfulness, consideration is to be given to introducing a second undercover agent who would make a buy and give notice. The original undercover agent would then attempt to make a buy subsequent to notification.
- f. Every effort, to include recommendation for prosecution, needs to be expended on those persons who continue to deal without a license once notice is given.
160. INTERDICTION PROCEDURES AND TECHNIQUES. One of the stated objectives of ATF's illegal firearms trafficking efforts is to deny violent criminals, gang offenders, and juveniles access to firearms by reducing the availability of illegal secondary market firearms. In most instances, this objective is more effectively attained through criminal investigations; however, there are instances where the combination of investigative and interdictive efforts, or solely interdictive efforts may be more appropriate for attaining this objective. In those instances where the majority of unlicensed firearms dealers do not meet Federal prosecution threshold levels, and in those geographic areas where prosecution of illegal firearms traffickers is limited due to factors such as jury appeal, interdiction efforts designed to impact illegal firearms trafficking should be pursued. Some interdictive techniques that may be used are as follows:
- a. The field division SACs or their designees may provide a listing of area FFLs to State/local law enforcement officials for their

- information and to assist in ensuring the licensees are in compliance with State/local tax, zoning, and other laws.
- b. Individuals who appear to be engaged in the business of dealing in firearms without a license should be served with a "Notice of unlicensed Firearms Dealing Violation" (see exhibit 12) in accordance with the provisions and requirements of paragraph 159 of this chapter.
  - c. In areas where gun shows and/or flea markets are a supply for illegal secondary market firearms sales, a RAC/GS or senior special agent may request, via memorandum, SAC approval to meet with a gun show or flea market owner/manager/operator/promoter to enlist his/her cooperation in pursuing an interdictive course of action. The authorization request shall identify the gun show or flea market, the name of the owner/manager/operator/promoter, the nature of the current problem at the gun show or flea market (this may be a general statement that does not identify any specific instances of violations or suspected violators) and the proposed course of action or discussion. Interdictive courses of action may include, but are not limited to the following:
    - (1) Establish open lines of communication with the owner/manager/operator/promoter to foster a cooperative atmosphere between ATF and the designated event and to promote a better understanding of the Federal firearms laws as they apply to gun shows/flea markets and the duty of ATF to enforce those laws.
    - (2) Provide owners/managers/operators/promoters with advisories (see exhibits 22 and 23 of this order) outlining the Federal firearms laws as they apply to gun shows or flea markets, and request that the owners/managers post these advisories in conspicuous locations in the gun show or flea market.
    - (3) Establish an ATF informational booth staffed by CE and RE personnel at the gunshow or flea market. These individuals could provide gunshow and flea market patrons with information on Federal firearms laws and regulations and promote compliance. These individuals could also pass out brief outlines of Federal laws and regulations applicable to gunshows and flea markets. (See exhibits 22 and 23.)
  - d. The presence of an RE inspector shall be requested for any SAC authorized meetings with gun show/flea market owners/managers/operators/promoters.

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161 - 170 RESERVED

## CHAPTER M. FIREARMS TECHNOLOGY BRANCH.

### 171. GENERAL.

- a. The Firearms Technology Branch is under the administrative supervision of the Chief, Firearms Division. The Branch places primary emphasis on the examination and testing of evidence and provides technical support to ATF through the examination and classification of firearms and ammunition.
- b. In keeping with the Branch's service concept by fulfilling the advisory function to the Director and his staff, the Branch shall be maintained at a high level of competence with adequate equipment. The services must be readily available to all divisions of ATF and whenever possible, to other Treasury agencies, and other Federal, State, and local law enforcement agencies.

### 172. SCOPE OF SERVICES.

- a. The Branch shall provide technical services for the Bureau, other Treasury agencies, and Federal, State, and local agencies that are seeking assistance in problem areas where firearms enforcement analysts have a high degree of training, experience, and proficiency. Subject to approval by the Chief, Firearms Division, the Branch may assist State and local governmental agencies in technical matters, provided such assistance does not conflict or interfere with Branch service to Federal agencies. Such assistance to State and local authorities generally is confined to matters and materials in which Federal and non-Federal agencies have a common interest.
- b. Branch personnel shall give recommendations on the classification of various firearms to the Bureau's Firearms Classification Panel which is chaired by the Chief, Firearms Division. The panel is composed of members from Chief Counsel, the Firearms Technology Branch, and any other branch or division having an interest in specific matters considered by the panel.
- c. To the extent practical, Branch personnel shall collaborate with professional societies and industry to further their knowledge in specialized areas of interest to ATF. Branch projects may be initiated in conjunction with the work of professional societies where the results may further the interest of the Government.
- d. Where questions arise in connection with submitted requests, analysts may discuss these matters with submitting officers by telephone; however, caution must be exercised to ensure that the caller is identified and authorized to receive information of a sensitive nature.

### 173. AREAS OF RESPONSIBILITIES.

- a. The major Branch activities consist of giving technical advice; sharing the responsibility for carrying out various programs and policies of ATF; performing statistical compilations of the production, importation, and exportation of firearms in the United States; giving expert testimony in court and at hearings; testing and determining the classification of newly designed firearms; evaluating firearms for importation; determining whether firearms are antiques, curios, or relics; evaluating marking variances for firearms; carrying out research; conducting industry visits; training Branch personnel; collecting and maintaining a firearms reference collection; and aiding other ATF personnel when requested.
- b. The Branch Chief is responsible for the management of the Branch and shall assign and direct its various activities. He/she shall set work priorities and determine the man-hours to be applied to various projects. He or she may delegate duties and responsibilities to qualified assistants, provided such duties can be redelegated by the Branch Chief. Branch operations must meet such standards as may be prescribed by the Chief, Firearms Division.
- c. The Branch Chief is jointly responsible with the appropriate analyst for establishing a training program for Branch personnel that will ensure that all firearms enforcement analysts and aides achieve and maintain a high degree of proficiency in their particular areas. Special emphasis shall be placed on problem areas peculiar to the Branch.
- d. With the consent of the Chief, Firearms Division, matters involving services to local, State, or Federal agencies shall be handled directly by the Branch Chief, provided ATF policies are followed.
- e. Firearms enforcement analysts are to have a working knowledge of the laws and regulations administered by ATF relative to the work performed by the Branch. Analysts are to be familiar with inspection procedures prescribed for use by Compliance inspectors. Analysts are to assist in compliance investigations where their special training and experience will be helpful. They are to be qualified to act as instructors in classes on technical subjects concerning firearms that are conducted for ATF personnel and other law enforcement agencies.
- f. Firearms enforcement analysts shall have a working knowledge of the rules of evidence. They shall be skilled in gathering evidence, training others to do so, examining and testing evidence in the Branch, and defending their findings in a court of law while testifying as expert witnesses.



174. PERSONNEL ACTION. Administrative supervision of the Branch is provided by the Branch Chief. The Branch Chief shall consult with the Chief, Firearms Division, on all matters relating to personnel actions taken within the Branch, e.g., recruiting, hiring, promotion, awards, adverse action.

175. EXAMINATIONS.

a. Scope of Examinations

- (1) Examinations of firearms and related items shall include determinations, when applicable, as to the identity, date, and place of manufacture of various firearms; their classification under the provisions of the GCA; and their conformity to applicable laws and regulations. Other examinations or determinations may be performed when considered necessary by the analyst. Any examination that reveals an apparent criminal violation of the GCA shall be referred to the FEB.
- (2) Inspectors and special agents shall indicate on ATF F 3270.2, or other appropriate transmittal, the purpose for which the sample was submitted. Any such transmittal shall be as complete as possible and include a complete mailing address. If applicable, the requested determinations shall be made, but the analyst is not necessarily limited in his/her examination. If other significant or relevant information is suggested by the examination, it should be determined and reported.
- (3) In addition, the Firearms Technology Branch examines samples of firearms and related items submitted by industry to determine their compliance with regulations, classification, and importability.
- (4) Inquiries and requests submitted by the general public and industry concerning the classification or determination status of firearms are also responded to by firearms enforcement analysts. Their findings shall be recorded and presented to the Firearms Classification Panel for action if necessary.
- (5) Any examination or determination that results in the issuance of an ATF formal ruling will be published in the ATF Bulletin.

b. Examination of Samples. A firearms enforcement analyst, in performing an examination, is not generally bound by any prescribed procedure but may use or develop any method suitable to the problem at hand. His/her own experience or special knowledge may suggest the method of approach. However,

deviations from established and recognized procedures shall be employed only with the approval of the Branch Chief.

- c. Machineguns, Short-Barreled Rifles, Short-Barreled Shotguns, and Weapons Made from Rifles or Shotguns. Analysts shall examine and determine the classification of the sample, cite the applicable section of the law, and testfire, if requested. The analyst shall determine if a particular sample is safe to fire prior to conducting any testfiring.
- d. Silencers. Analysts are to examine and test silencers by utilizing prescribed methods to determine if the submitted sample meets the definition of silencer. The test will include a comparison firing of the silencer and an unsilenced weapon to determine the amount of reduction and a physical examination of the construction of the sample.
- e. Other NFA Firearms. All other NFA firearms shall be tested and examined utilizing the prescribed methods to determine the classification under the law and any other information requested.
  - (1) If a suspected silencer is accompanied by a firearm, the firearm shall also be sent to Bureau Headquarters.
  - (2) An ATF F 3270.2 shall accompany the items forwarded for testing. It shall be submitted, in triplicate, filled out as completely as possible, and shall include the requester's return address and a list of requested actions.
  - (3) EXPLOSIVES OR EXPLOSIVE RESIDUES SHALL NOT BE SUBMITTED TO BUREAU HEADQUARTERS.
- f. Firearms evidence requiring latent print, serial number restoration, and/or toolmark examination should be sent to the ATF Forensic Laboratory in either Rockville, Maryland; Atlanta, Georgia; or Washington, DC. Evidence requiring these types of examination should be sent to the forensic laboratory prior to being sent to the Firearms Technology Branch to avoid contamination of fingerprints or toolmarks.

#### 176. RESEARCH.

- a. General. The Branch may initiate research and collaborative studies. An extensive research program must have prior approval of the Chief, Firearms Division. The results of research projects may be submitted for publication after review and approval by the Associate Director (Law Enforcement) and the Assistant Director (Congressional and Media Affairs).
- b. Technical and Media Affairs.

- (1) Carrying out technical and historical research is considered an essential function in the development of firearms enforcement analysts. One of the best methods for maintaining proficiency in the firearms field is through a program of library reading and research combined with technical examination and experimentation.
- (2) Analysts shall be encouraged to devote a part of their time to reading technical periodicals and carrying out research projects assigned to them on the basis of their education, training, and experience. These projects will be within the needs of the Bureau

#### 177. ADVISORY SERVICES.

- a. Bureau Field Offices. The Branch Chief and senior analyst officers are the principal technical advisers for ATF officials throughout the Bureau concerning firearms. Questions of a technical nature shall be referred to them for an oral or written opinion or decision.
- b. Headquarters. The Branch Chief and senior analyst officers shall provide technical assistance and advice to any division or branch as needed. Analysts may be assigned to assist other branches on specific projects or problem areas dealing with the technical aspects of firearms.
- c. Other Government Agencies. Technical information requested by other governmental agencies may be supplied upon the approval of the Chief, Firearms Division, when the information is within the scope of Branch activity and specialization.
- d. Industry. Approval of designs, markings, and other technical aspects of firearms is performed by the Branch. The Branch also provides advice to industry on ATF requirements. Analysts, when contacted by representatives of industry, can also provide information regarding technical matters within the area of the Branch's functions.

#### 178. INDUSTRY VISITS.

- a. Industrial Plant Premises.
  - (1) Visits to industrial plant premises with which ATF offices are concerned are important activities of the firearms enforcement analyst. To properly perform his/her functions, the analyst must be familiar with and understand the various industrial operations concerning the manufacture of firearms. Analysts are to visit premises that are subject to inspections by ATF officers in order to obtain background information on plant operations. Such visits shall be coordinated through the appropriate SAC office or RDC.

- (2) Analysts shall assist inspectors and special agents in making inspections if requested to do so.
- (3) If the need arises during a plant visit, the analyst may instruct, for example, the proprietors of a firearms manufacturing plant, on the accepted location for serial numbers and other markings on firearms and may give advice on other technical matters. This aid and advice must follow guidelines established by the Director.
- (4) Analysts are to visit industrial premises to familiarize themselves with the manufacturer of subcomponents for firearms that are produced by casting or pressing.

b. Illicit Operations.

- (1) When requested, analysts shall visit locations where illegal firearms have been seized. The visit will assist special agents in the identification and classification of the seized material.
- (2) Analysts not in the special agent GS-1811 classification are not to be utilized in active investigatory duties prior to arrests, search warrants, or seizures.

179. TRAINING.

- a. General. Branch training programs shall be directed toward improving proficiency in areas that deal with the technical aspects of firearms, the development of techniques used in evaluating and operating equipment, the increase in working knowledge of the laws and regulations administered by ATF, and the development of techniques used when providing testimony in court as an expert witness.
- b. Branch Training Program. Administrative and managerial capabilities of analysts and aides shall be recognized and developed. The aim of branch training is to increase the professional stature of the individual to make him/her better fitted to take full advantage of promotional opportunities. This training will continue throughout his/her career.
- c. Orientation of New Personnel. A new analyst or aide is to be given a thorough orientation of the branch, particularly the duties he/she is expected to perform. New analysts shall be assigned to work directly with an experienced or senior analyst until such time that the new employee demonstrates that he/she can perform his/her duties with a minimum of supervision.

180. TECHNICAL AND INDUSTRY MEETINGS.

- a. General. Analysts and aides are expected to take an active part in associations and societies in their fields of specialization.
- b. Attendance Criteria.
  - (1) Often several analysts will request permission to attend the same meeting. In making recommendations for attendance to the Chief, Firearms Division, the following criteria will be used by the branch Chief. Is the requester:
    - (a) presenting his/her own technical paper at the meeting,
    - (b) an officer of the society,
    - (c) a dues-paying member of the society, or
    - (d) invited to speak to a scientific group on his/her specialty? In making recommendations for attendance, care must also be taken to rotate personnel wherever possible so that one person does not attend the same meeting year after year. In most cases, one person from the branch may be authorized to attend a particular meeting.
  - (3) Care should be taken to attend only those meetings that will be beneficial to the branch functions.

#### 181. BRANCH FACILITIES, EQUIPMENT, AND MATERIAL.

- a. Library Maintenance.
  - (1) The branch shall maintain an adequately stocked library of reference material. Library space and fixtures shall meet the needs of the branch for study and research. The library shall contain up-to-date copies of books and periodicals covering a wide range of technical information on firearms and other related information. The library shall also contain technical files relating to all firearms manufacturers and importers, including technical data on all firearms produced and/or imported by the various firms.
    - (a) Subscriptions shall be maintained with all major publications dealing with firearms (domestic and foreign).
    - (b) Subscriptions shall also be maintained with any professional journals dealing with material of branch interest.
    - (c) Regulations and rulings administered by ATF, as well as industry circulars, manuals, etc., will be maintained in a manner that permits easy access.

- (2) New and updated reference materials shall be ordered as they become available and as funding is available.

b. Firearms Reference Collection. The branch shall maintain a firearms reference collection that will consist of as many variations of firearms and ammunition as possible. The collection will consist of firearms acquired through seizure, forfeitures, undercover purchases, abandonments, and donations to the Bureau. The collection shall be utilized for research, comparisons, examinations of spare parts, training, and to ensure that manufacturers have not deviated from approved designs and markings. The Chief, Firearms Technology Branch (FTB) shall be responsible for ensuring that:

- (1) The acquisition of firearms will be made only from ATF Law Enforcement divisions.
- (2) FTB will refer all offers made to them for abandonments and donations to the Chief, Firearms Division, who will approve or disapprove acceptance.
- (3) If an abandoned or donated firearm is approved for acceptance, the appropriate enforcement field division will be tasked with processing the documentation required to place these firearms into Government service. These firearms will be placed into Government service via ATF F 1850.23, Release and Receipt of Property, which will be signed by the SAC of the field division and forwarded to the Chief, Firearms Division. After ATF F 1850.23 is signed by the Chief, Firearms Division indicating receipt of the firearm, the form will be forwarded to the Chief, FTB, who will forward the original form to the submitting field division and a copy to the Accountable Officer (Chief, Property and Fleet Management Section) for inclusion in the property inventory.
- (4) If a firearm has been seized and forfeited to ATF and is desired for inclusion in the reference collection, the Chief, FTB will coordinate the acquisition of the desired firearm with the Seized Property Section, Planning and Analysis Division. ATF F 1850.23 will be forwarded to the Accountable Officer.
- (5) Requests for FTB to make a firearm for use as an undercover prop, for court presentation, or any other purpose must be approved by the Chief, Firearms Division.
- (6) If a firearm is submitted for examination and cannot legally be returned, FTB will notify the submitter of the options for lawful disposition of the firearm. If the submitter does not provide instructions for lawful disposition of the firearm

within 60 days, the matter will be referred to the Firearms Enforcement Branch for coordination with the Washington Field Division and processing forfeiture and disposition of the firearm.

- (7) Any transfer of a reference collection firearm requires the approval of the Chief, Firearms Division. Subsequently, the Chief, FTB will complete and forward ATF F 1850.37, ITEMS Property Transfer Transaction Record, or ATF F 1850.23 to the Chief, Facilities Management Branch (FMB). The transfer of firearms is only authorized to branches and divisions within ATF or to other Federal agencies. Firearms shall not be transferred or donated to State or other non-Government agencies. NOTE: The transfer of NFA firearms outside of ATF must be approved by the ADLE.
- (8) When a reference collection firearm, including any firearm manufactured by FTB, is no longer required by the Bureau, the firearm shall be reported as excess to the Accountable Officer for disposal action. The Accountable Officer will follow firearms disposal restrictions as set forth in ATF O 1850.2B. A request for destruction will be made as follows: \*
- (a) All requests to destroy reference collection firearms will be made, via memorandum, from the Chief, Firearms Division to the Chief, Administrative Programs Division, ATTN: Chief, FMB. The memorandum must include the following information about the firearms:
- 1 Make.
  - 2 Model.
  - 3 Serial number.
  - 4 Manufacturer.
  - 5 PIIN or Firearms Technology Branch tag number.
  - 6 Brief description of firearm (e.g., barrel length, blue steel, stainless steel, semiautomatic, automatic, etc.).
- (b) The Chief, FMB will issue ATF F 1850.2, Report of Destruction, authorizing the Chief, Firearms Division to destroy the firearms.
- (c) The Chief, FTB will ensure that the firearms are destroyed according to Bureau policy and that ATF F 1850.2, certifying destruction, is returned, through the

Chief, Firearms Division, to the Chief, FMB who will remove the firearms from the Inventory Tracking and Equipment Management System (ITEMS).

- (d) Once the Chief, FTB is notified by the Chief, FMB that the destroyed firearms have been removed from ITEMS, the Chief, FTB will remove the firearms from the reference collection inventory data base.
  - (9) All NFA weapons will be queried in the National Firearms Registration and Transfer Record (NFRTR) by the Firearms Enforcement Branch project officer responsible for the Washington Field Division and noted as such on ATF F 1850.23 or ATF F 1850.2 prior to destruction.
  - (10) If a reference collection firearm is a NFA firearm registered in the NFRTR and is destroyed, the Firearms Enforcement Branch project officer responsible for the Washington Field Division will request its removal from the NFRTR.
  - (11) The security of firearms shall meet the requirements of ATF O 3400.1, Property Taken Into Bureau Custody, chapter C, paragraph 23(b).
  - (12) An inventory of the firearms reference collection will be conducted annually at the direction of the Chief, Firearms Division, or as required by the Accountable Officer. \*
- c. Branch Supplies and Equipment.
- (1) The Chief, FTB shall be responsible for ensuring that an adequate stock of tools, cleaning equipment, off ice supplies, etc., is maintained and that repairs to instruments and equipment are made promptly. He/she shall be responsible for keeping test instruments and equipment updated and properly calibrated.
  - (2) When practical, supplies and instruments shall be selected from those listed on GSA schedules. For reasons of economy, time, and funds, the Chief, FTB will establish blanket purchase order agreements with at least two firearms supply houses for the purchase of tools, cleaning equipment, small quantities of ammunition, and other expendable items, ensuring that ATF procurement rules and procedures are followed.

## 182. TESTING EQUIPMENT.

- a. General. The Branch shall maintain a stock of precision measuring tools and precision sound level meters for use in testing.



- b. Calibration of Sound Level Meters. Sound level meters shall be returned to the manufacturer at least annually for calibration and inspection. The Chief, FTB shall maintain a log of calibration dates and ensure that sound level meters and their backup systems are calibrated when required.

183. COURT APPEARANCES.

- a. Principles to be Followed. The analyst or aide presenting evidence as an expert witness shall be able to testify in a competent and authoritative manner. Statements will be clear and distinct and made in a courteous and dispassionate manner. Prior to the trial or hearing, the analyst or aide shall review and organize his/her analytical data and exhibits and give thought to theoretical questions that may arise in the trial.
- b. Opposing Technical Testimony. Should opposing technical testimony be presented in a trial or hearing, the analyst shall make himself/herself available to the Government attorney in preparing questions for crossexamination or organizing rebuttal testimony.

184. RECORD, REPORT, STORAGE, AND DISPOSITION OF SAMPLES.

- a. Criminal Law Enforcement Samples.
  - (1) Since physical evidence samples submitted to the Branch are frequently used in court cases, special care must be observed in handling and storing them.
  - (2) The evidence technician shall promptly and carefully open all packages and enter all available information in the evidence logbook concerning each sample and prepare a case jacket that shall contain all information relating to the case. The evidence technician shall assign a Branch control number to the evidence.
  - (3) The Branch Chief shall assign the project to an analyst.
  - (4) Upon receipt of the evidence, the designated technician shall immediately sign and date all evidence tags or otherwise identify all items.
  - (5) A file of post office registry receipts shall be maintained by the evidence technician. The receipts shall be identified by the registry number or Branch number.
- b. Safekeeping of Samples.
  - (1) The receipt, identification, disposition, and storage of samples must be recorded as provided in this chapter. Extreme care shall be taken so that no samples are lost, that each one can be promptly obtained when needed, and that the chain of custody of evidence is maintained.

- (2) For the most part, physical evidence shall be returned to the submitting officer soon after the report of technical examination is made. Physical evidence shall be returned by registered or certified mail return receipt requested, or approved common carrier. If the samples shall be stored for any reason, they shall be stored numerically by category in a vault or evidence storeroom that is provided with a lock to which only the analysts and Branch Chief have keys. Fire regulations may require the availability of an emergency key. Whenever a sample is released to a person outside the technical staff, a receipt shall be obtained. This does not apply if samples are surrendered to the courts as evidence, as exhibits in a trial, or for other court purposes. A notation shall be made to this effect in the evidence log. The storeroom shall be neatly arranged to prevent the accumulation of miscellaneous items that would result in a fire hazard. When any danger to the security of the evidence arises, the Branch Chief shall be advised without delay.
- c. Numbering and Dating of Samples. Stamp the date of receipt and affix the Branch number on the letter of transmittal that is accompanying the sample. Indicate the Branch number on an identification tag and attach it to the sample. Execute the first open "chain of custody" block on the evidence tag, if a tag is provided.
- d. Recording Evidence in Logbook. Incoming evidence shall be assigned a Branch number and recorded in the evidence logbook to show the Branch number, a description of the item, the date received, the IN, and its disposition.
- e. Analyst's Report of Technical Examination. Results of an analysis or examination of evidence shall be reported on ATF F 7540.1, Firearms Technology Branch Report of Technical Examination. (See Exhibit 15). The report shall contain adequate information to identify the sample and its source. The results of the analysis shall be shown in detail, and conclusions by the analyst shall answer clearly the questions submitted with the evidence. Continuation sheets may be used on long reports. The original technical report shall be signed by the analyst and initialed by the Branch Chief or, in his/her absence, the designated reviewing officer.
- f. Disposition of Evidence.
- (1) Upon completion of examination and preparation of report, the evidence shall be returned to the submitting officer unless other instructions are received.
- (2) All evidence shall be returned via registered mail, return receipt requested, or approved common carrier. The

evidence technician shall package and address the evidence, assign a registered number, and see that the evidence is delivered to the post office. The firm mailing book will serve as a receipt of acceptance by the post office.

- g. Disposition of Importation Evaluations. All firearms received for importation evaluation shall be returned via registered mail, return receipt requested, or approved common carrier to the Customs port of entry that released the item unless other instructions are received.
- h. Disposition of Industry Samples. Samples submitted by industry for classification or determination are to be requested for retention in the firearms reference collection. If not retained, the items shall be returned to the sender via registered mail, return receipt requested, or approved common-carrier. If the submitted sample is found to be subject to the NFA, it may be returned only if the submitter is a properly licensed manufacturer or Class II importer of NFA weapons or upon the registration of the sample in the NFRTR.

#### 185. FILES AND REPORTS.

- a. Information Files. The Branch shall maintain files from which information concerning classifications, correspondence, court attendance, examinations, travel, and other official activities can be quickly obtained.
- b. Filing of Reports of Examination. Reports of examination shall be filed by the name of the submitting agent.
- c. Formal Correspondence. Responses to all formal correspondence shall be assigned to the various analysts by the Branch Chief. The format for all correspondence prepared by the Branch shall be in compliance with ATF H 1325.1B, ATF Correspondence Handbook.
  - (1) Legibly handwritten and typed drafts of correspondence shall be due to the Branch Chief for review within 5 working days of initial assignment, court appearances and special projects permitting. Correspondence requiring extensive research or testing may be given additional time if requested by the analyst.
  - (2) Distribution of copies of formal correspondence shall be in compliance with ATF and Branch policy.
- d. Miscellaneous Reports and Data. The Branch Chief shall file miscellaneous technical data, research reports, and items which might be classified as encyclopedic material so that they are readily available to the analysts.

- e. Monthly Status Report. At the end of each month, the Branch is to furnish a report to the Chief, Firearms Division, outlining significant activities.

186. BRANCH SAFETY AND SECURITY.

- a. General. Safety Program, excerpted, ATF O 2902.2, is incorporated to ensure that Branch personnel have ready access to the standards of safety and security to which they are required to adhere.

- (1) Branch supervisors are responsible for the day-to-day operation of Branch safety programs within their respective areas. They are responsible for every reasonable precaution to prevent injuries. Supervisors are to consider Branch safety a basic part of their jobs and are to make every effort possible to correct safety hazards and to reduce accidents through such methods as:

- (a) Enforcement of compliance with specific safety instructions
- (b) Prompt investigation and analysis of accidents with corrective action when necessary.
- (c) Periodic inspections of space where a potential hazard exists.

- (2) The Branch Chief is responsible for designating one person as safety officer to coordinate the Branch safety programs.

- (3) Safety standards covering all practices and procedures shall be formulated, approved, and posted. Specific procedures for testfiring all weapons shall also be posted.

- b. Visitor Safety.

- (1) Visitors to the Branch will be limited when possible and shall be escorted at all times. For the safety of visitors and Branch personnel, conferences with visitors shall be held in a separate area from the work or testfire areas, such as in a reception or conference room.

- (2) If tours of visitors are to be conducted through the Branch, a designated area should be provided for such tours without disruption of normal Branch operating and safety procedures.

- (3) The evidence pickup and receipt area shall be accessible without entry to Branch working areas.

187 - 190 RESERVED

\* CHAPTER N. VIOLENT OFFENDER PROGRAM (VOP)

191. OBJECTIVES. The objectives of the VOP are to identify, investigate, and prosecute the top violent criminals in the United States. It is also the intent of this program to enhance police officer safety by alerting law enforcement personnel who are making an inquiry through NCIC that the subject of the inquiry has a criminal history of armed violence and, in some cases, violent assaults on police officers.
192. INVESTIGATIVE GUIDELINES OF 18 U.S.C. § 924(e). In 1984, the Armed Career Criminal Act was enacted and gave ATF the capability to remove armed career criminals from society. In this respect, 18 U.S.C. § 924(e) calls for 15 years' mandatory imprisonment for any defendant who is convicted for possession of a firearm under 18 U.S.C. § 922(g) and who has three previous State or Federal convictions for a violent felony or serious drug offense, or both. It is significant that this statute does not allow for parole, probation, or early release. In addition, the statute provides for a maximum fine of \$250,000.
193. PROGRAM CRITERIA.
- a. Under the program, which began in March 1992, the most significant career criminals in the United States with a criminal history of violent assaultive behavior and a propensity to possess and use firearms in their violent criminal activities are entered into NCIC's ATF Violent Felon File. Each field division SAC is required to submit a predetermined number of subjects to the Firearms Enforcement Division for inclusion in the VOP. Before being placed in the ATF VOP, the subject must meet ALL four of the following criteria:
    - (1) A minimum of three prior felony convictions, on three separate occasions, for crimes of violence or serious drug offenses. (REMINDER: Sentencing enhancement provisions of the Armed Career Criminal Act require that felony convictions are for separate criminal episodes. Additionally, convictions for simple possession of narcotics are nonqualifying as predicate offenses for 18 U.S.C. § 924(e).
    - (2) A felony conviction for a violent crime when a firearm or other weapon was used.
    - (3) On or around December 8, 1993, the NCIC Policy Advisory Board, modified criterion number 3. Specifically, criterion number 3 no longer requires that the subject has a conviction for a crime when he/she injured or killed his/her victim. Instead, at least ONE of the following is required in order to satisfy this criterion:
      - (a) The subject has a conviction for a crime that involved the assault, injury, or death of the victim.

- (b) The subject has been arrested and charged with a crime that resulted in INTENTIONAL injury or death to the victim, but a conviction did not occur due to a plea agreement or other judicial issue.
  - (c) The subject has been convicted of a crime on three or more occasions when in possession of a weapon, but no injury or death occurred.
- (4) The subject has been on probation/parole or released from prison within the last 5 years. (Criterion number 4 was also recently modified.) Specifically, VOP subjects will no longer be automatically removed from the VOP files once the subject has been released for more than 5 years from probation, parole, or prison. In the future, the VOP subject will be removed from the program after the expiration of the 5-year period ONLY if requested by the field division SAC. The 5-year criterion will remain in effect for purposes of accepting a subject into the VOP.
- b. When one of the violent felony offenders is encountered by a law enforcement officer AND is in possession of a firearm, ATF is immediately contacted by that law enforcement agency.
- (1) ATF's National Command Center in Washington, DC, will respond to the inquiring law enforcement agency within 10 minutes.
  - (2) An ATF special agent will respond to the contacting department to assist in the investigation of the violent offender for violations of the Federal firearms laws.
194. REPORTING PROCEDURES. When a subject who is in the VOP is arrested, convicted, and sentenced, a KSAR should be immediately forwarded to the Firearms Enforcement Division.

195 - 200 RESERVED

\* CHAPTER O. CARJACKING INVESTIGATIONS

201. BACKGROUND. The Anti Car Theft Act of 1992, H.R. 4542, caused ATF to establish guidelines and procedures regarding investigations of carjackings. Since, by definition, the carjacking statute applies ONLY to carjackings in which the defendant is armed with a firearm, in all instances, a violation of the Federal firearms laws has occurred as well. The purpose of this chapter is to set forth investigative guidelines consistent with the Bureau's enforcement of the Federal firearms laws.

202. JURISDICTIONAL RESPONSIBILITIES.

a. All investigations of carjackings in which ATF participates will be governed by the following:

- (1) The Federal Bureau of Investigations (FBI) is charged with the responsibility to investigate violations of Federal law relative to motor vehicle theft, including 18 U.S.C. § 2119, which is the codified H.R. 4542
- (2) ATF is responsible for enforcing Federal firearms laws as they relate to carjackings, including but not limited to 18 U.S.C. §§ 922(g) and 924(c).

NOTE: In contrast to the penalty imposed under 18 U.S.C. § 2119 of up to 15 years' incarceration, the mandatory sentencing provisions under 18 U.S.C. § 924(c), particularly if an NFA firearm is used, are significant additional offenses that will subject violent carjackers to prolonged incarceration.

- b. It was Congress' intention when enacting the carjacking provisions of the Anti Car Theft Act of 1992 to combine the expertise of ATF and the FBI in supporting the efforts of State and local police agencies, which have the PRIMARY RESPONSIBILITY for dealing with this offense.
- c. Investigations of juvenile carjacking suspects should be handled at the local level unless specifically requested by the U.S. attorney.
- d. Any information that is received by ATF concerning carjacking violations will be coordinated with the FBI as soon as possible.

203. SAC RESPONSIBILITIES.

- a. It is the SAC's discretion concerning whether ATF will be a participant in a carjacking task force, whether multimission and inclusive of carjacking or those established exclusively to target armed carjacking.
- b. The SAC will determine if an ATF role in a carjacking investigation is in the best interest of the field division and ATF. If ATF's participation is determined to be appropriate, the investigation will

be conducted cooperatively in the national interest to support State,  
local, and other Federal law enforcement agencies.

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204 - 210 RESERVED



## Exhibit 1

## FEDERAL FIREARMS LAWS

<u>GUN CONTROL ACT (GCA)</u>	<u>NATIONAL FIREARMS ACT (NFA)</u>
FIREARM:	FIREARM:
(A) Any weapon (including a starter gun) which will or is designed to or may readily be converted to expel a projectile by the action of an explosive;	(1) A shotgun having a barrel or barrels of less than 18 inches in length (2) a weapon made from a shotgun if such weapon made as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) any other weapon as defined in subsection 5845(e); (6) a machinegun; (7) any silencer as defined in 18 U.S.C. section 921; and (8) a destructive device.
(B) the frame or receiver of any such weapon;	The term "firearm" shall not include an antique firearm or any device (other than a machinegun or destructive device) which, although designed as a weapon, the Secretary or his delegate finds by reason of the date of its manufacture, value, design, and other characteristics is primarily a collector's item and is not likely to be used as a weapon.
(C) any firearm muffler or firearms silencer; or	
(D) any destructive device. Such term does not include an antique firearm.	

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## SHOTGUN:

A weapon designed or redesigned, made or remade, and intended to be fired from the shoulder and designed or redesigned and made or remade to use the energy of the explosive in a fixed shotgun shell to fire through a smooth bore either a number of ball shot or a single projectile from each single pull of the trigger.

## SHORT-BARRELED SHOTGUN:

A shotgun having one or more barrels less than 18 inches in length and any weapon made from a shotgun (whether by alteration, modification, or otherwise) if such weapon as modified has an overall length of less than 26 inches.

## SHOTGUN:

A weapon designed or redesigned, made or remade, and intended to be fired from the shoulder and designed or redesigned and made or remade to use the energy of the explosive in a fixed shotgun shell to fire through a smooth bore either a number of projectiles (ball shot) or a single projectile for each pull of the trigger, and shall include any such weapon which may be readily restored to fire a fixed shotgun shell.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## RIFLE:

A weapon designed or redesigned, made or remade, and intended to be fired from the shoulder and designed or redesigned and made or remade to use the energy of the explosive in a fixed metallic cartridge to fire only a single projectile through a rifled bore for each single pull of the trigger.

## SHORT-BARRELED RIFLE:

A rifle having one or more barrels less than 16 inches in length and any weapon made from a rifle (whether by alteration, modification, or otherwise) if such weapon, as modified, has an overall length of less than 26 inches.

## RIFLE:

A weapon designed or redesigned, made or remade, and intended to be fired from the shoulder and designed or redesigned and made or remade to use the energy of the explosive in a fixed cartridge to fire only a single projectile through a rifled bore for each single pull of the trigger and shall include any such weapon which may be readily restored to fire a fixed cartridge.

## MACHINEGUN:

Any weapon which shoots, is designed to shoot, or can be readily restored to shoot, automatically more than one shot, without manual reloading, by a single function of the trigger. The term shall also include the frame or receiver of any such weapon, any part designed and intended solely and exclusively, or combination of parts designed and intended, for use in converting a weapon into a machinegun, and any combination of parts from which a machinegun can be assembled if such parts are in the possession or under the control of a person.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)FIREARM SILENCER AND  
FIREARM MUFFLER:

Any device for silencing, muffling, or diminishing the report of a portable firearm, including any combination of parts, designed or redesigned, and intended for use in assembling or fabricating a firearm silencer or firearm muffler, and any part intended only for use in such assembly or fabrication.

## "ANY OTHER WEAPON":

Any weapon or device capable of being concealed on the person from which a shot can be discharged through the energy of an explosive, a pistol or revolver having a barrel with a smooth bore designed or redesigned to fire a fixed shotgun shell, weapons with combination shotgun and rifle barrels 12 inches or more, less than 18 inches in length, from which only a single discharge can be made from either barrel without manual reloading, and shall include any such weapon which may be readily restored to fire. Such term shall not include a pistol or a revolver having a rifled bore, or rifled bores, or weapons designed, made, or intended to be fired from the shoulder and not capable of firing fixed ammunition.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## ANTIQUÉ FIREARM:

(A) Any firearm (including any firearm with a matchlock, flintlock, percussion cap, or similar type of ignition system) manufactured in or before 1898; and

(B) Any replica of any firearm described in subparagraph (A) if such replica--

(i) is not designed or redesigned for using rimfire or conventional centerfire fixed ammunition, or

(ii) uses rimfire or conventional centerfire fixed ammunition which is no longer manufactured in the United States and which is not readily available in the ordinary channels of commercial trade.

## ANTIQUÉ FIREARM:

Any firearm not designed or redesigned for using rim fire or conventional centerfire ignition with fixed ammunition and manufactured in or before 1898 (including any matchlock, flintlock, percussion cap, or similar type of ignition system or replica thereof, whether actually manufactured before or after the year 1898), and also any firearm using fixed ammunition manufactured in or before 1898, for which ammunition is no longer manufactured in the United States and is not readily available in the ordinary channels of commercial trade.

## UNSERVICEABLE FIREARM:

A firearm which is incapable of discharging a shot by means of an explosive or incapable of being readily restored to a firing condition.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## DESTRUCTIVE DEVICE:

- (A) Any explosive, incendiary, or poison gas--
- (i) bomb,
  - (ii) grenade,
  - (iii) rocket having a propellant charge of more than four ounces,
  - (iv) missile having an explosive charge of more than one-quarter ounce, (v) mine, or (vi) device similar to any of the devices described in the preceding clauses.
- (B) Any type of weapon (other than a shotgun or a shotgun shell which the Secretary finds is generally recognized as particularly suitable for sporting purposes) by whatever name known which will, or which may be readily converted to, expel a projectile by the action of an explosive or other propellant, and which has any barrel with a bore of more than one-half inch in diameter; and
- (C) Any combination of parts either designed or intended for use in converting any device into any destructive device described in subparagraph (A) or (B) and from which a destructive device may be readily assembled.

## DESTRUCTIVE DEVICE:

- (1) any explosive, incendiary, or poison gas (A) bomb, (B) grenade, (C) rocket having a propellant charge of more than four ounces, (D) missile having an explosive or incendiary charge of more than one-quarter ounce, (E) mine, or (F) similar device, (2) any type of weapon by whatever name known which will, or which may be readily converted to, expel a projectile by the action of an explosive or other propellant, the barrel or barrels of which have a bore of more than one-half inch in diameter, except a shotgun or shot shell which the Secretary or his delegate finds is generally recognized as particularly suitable for sporting purposes; and (3) any combination of parts either designed or intended for use in converting any device into a destructive device as defined in subparagraphs (1) and (2) and from which a destructive device may be readily assembled. The term "destructive device" shall not include any device which is neither designed nor redesigned for use as a weapon; any device although originally designed for use as a weapon, which is redesigned for use as a signaling pyrotechnic, line throwing, safety, or similar device; surplus ordinance sold, loaned, or given by the Secretary of the Army pursuant to 10 U.S.C sections 4684(2), 4685, or 4686; or any other device which the Secretary of the Treasury or his delegate finds is not likely to be used as a weapon, or is an antique or is a rifle which the owner intends to use solely for sporting purposes.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)DESTRUCTIVE DEVICE:

The term "destructive device" shall not include any device which is neither designed nor redesigned for use as a weapon; any device, although originally designed for use as a weapon, which is redesigned for use as a signaling, pyrotechnic, line throwing, safety, or similar device; surplus ordinance sold, loaded, or given by the Secretary of the Army pursuant to the provisions of 10 U.S.C. sections 4684(2), 4685, or 4686; or any other device which the Secretary of the Treasury finds is not likely to be used as a weapon, is an antique, or is a rifle which the owner intends to use solely for sporting purposes.

## FEDERAL FIREARMS LAWS

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GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

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## AMMUNITION:

Ammunition or cartridge cases, primers, bullets, or propellant powder designed for use in any firearm.

## ARMOR PIERCING AMMUNITION:

A projectile or projectile core which may be used in a handgun and which is constructed entirely (excluding the presence of traces of other substances) from one or a combination of tungsten alloys, steel, iron, brass, bronze, beryllium copper, or depleted uranium. Such term does not include shotgun shot required by Federal or State environment or game regulations for hunting purposes, a frangible projectile designed for target shooting, a projectile which the Secretary finds is primarily intended to be used for sporting purposes, or any other projectile or projectile core which the Secretary finds is intended to be used for industrial purposes, including a charge used in an oil and gas well perforating device.

Comment: Although there is no definition of ammunition listed in Title II, there are references under "Destructive Devices" concerning missiles, rockets, etc.



FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)

NATIONAL FIREARMS ACT (NFA)

PERSON AND WHOEVER:

Include any individual, corporation, company association, firm, partnership, society, or joint stock company.

SECRETARY OR SECRETARY  
OF THE TREASURY:

The Secretary of the Treasury or his delegate.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## DEALER: (Licensed Dealer)

(A) Any person engaged in the business of selling firearms at wholesale or retail, (B) any person engaged in the business of repairing firearms or of making or fitting special barrels, stocks, or trigger mechanisms to firearms, or (C) any person who is a pawnbroker. The term "licensed dealer" means any dealer who is licensed under the provisions of the GCA, as amended.

## COLLECTOR: (Licensed Collector)

Any person who acquires, holds, or disposes of firearms as curios or relics, as the Secretary shall by regulation define, and the term "licensed collector" means any such person licensed under the provisions of the GCA, as amended.

## PAWNBROKER:

Any person whose business or occupation includes the taking or receiving, by way of pledge or pawn, of any firearm as security for the payment or repayment of money.

## DEALER:

Any person, not a manufacturer or importer, engaged in the business of selling, renting, leasing, or loaning firearms and shall include pawnbrokers who accept firearms as collateral for loans.

## TRANSFER:

Transfer and the various derivatives of the word, shall include selling, assigning, pledging, leasing, loaning, giving away or otherwise disposing of an NFA firearm.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

IMPORTER: (Licensed Importer)

Any person engaged in the business of importing or bringing firearms or ammunition into the United States for purposes of sale or distribution; and the term 'licensed importer' means any such person licensed under the provisions of the GCA, as amended.

IMPORTER:

Any person who is engaged in the business of importing or bringing firearms into the United States.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## MANUFACTURER: (Licensed Manufacturer)

Any person engaged in the business of manufacturing firearms or ammunition for purposes of sale or distribution; and the term "licensed manufacturer" means any such person licensed under the provisions of the GCA, as amended.

## MANUFACTURER:

Any person who is engaged in the business of manufacturing firearms.

## MAKE:

Make and the various derivatives of such word, shall include manufacturing (other than by one qualified to engage in such business under this chapter), putting together, altering, any combination of these, or otherwise producing a firearm.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)WITH THE PRINCIPAL OBJECTIVE OF  
LIVELIHOOD AND PROFIT:

This means that the intent underlying the sale or disposition of firearms is predominantly one of obtaining livelihood and pecuniary gain, as opposed to other intents, such as improving or liquidating a personal firearms collection, provided that proof of profit shall not be required as to a person who engages in the regular and repetitive purchase and disposition of firearms for criminal purposes or terrorism. For purposes of this paragraph, the term "terrorism" means activity, directed against United States persons, which--(A) is committed by an individual who is not a national or permanent resident alien of the United States (B) involves violent acts or acts dangerous to human life which would be a criminal violation if committed within the jurisdiction of the United States and (C) is intended--(i) to intimidate or coerce a civilian population; (ii) to influence the policy of a government by intimidation or coercion; or (iii) to affect the conduct of a government by assassination or kidnapping.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## ENGAGED IN THE BUSINESS:

(D) As applied to a dealer engaged in the business of repairing firearms or of making or fitting special barrels, stocks, or trigger mechanisms to firearms, a person who devotes time, attention, and labor to engaging in such activity as a regular course of trade or business with the principal objective of livelihood and profit, but such term shall not include a person who makes occasional repairs of firearms or who occasionally fits special barrels, stocks, or trigger mechanisms to firearms;

(E) As applied to an importer of firearms, a person who devotes time, attention, and labor to importing firearms as a regular course of trade or business with the principal objective of livelihood and profit through the sale or distribution of the firearms imported; and

(F) As applied to an importer of ammunition, a person who devotes time, attention, and labor to the importation of ammunition as a regular course of trade or business with the principal objective of livelihood and profit through the sale or distribution of the ammunition imported.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## INTERSTATE AND FOREIGN COMMERCE:

Includes commerce between any place in a State and any place outside of that State, or within any possession of the United States (not including the Canal Zone) or the District of Columbia, but such term does not include commerce between places within the same State but through any place outside of that State. The term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, and the possessions of the United States (not including the Canal Zone).

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## PROHIBITED PERSON:

An individual who:

(1) is under indictment for, or has been convicted in any court of a crime punishable by imprisonment for a term exceeding 1 year; (2) is a fugitive from justice; (3) is an unlawful user of or addicted to any controlled substance (as defined in section 102 of the Controlled Substances Act; (4) has been adjudicated as a mental defective or has been committed to any mental institution; (5) who, being an alien, is illegally or unlawfully in the United States; (6) who has been discharged from the Armed Forces under dishonorable conditions; or (7) who, having been a citizen of the United States, has renounced his citizenship.

## FUGITIVE FROM JUSTICE:

Any person who has fled from any State to avoid prosecution for a crime or to avoid giving testimony in any criminal proceeding.

Comment: It would be unlawful for any person to transfer a firearm to "Prohibited Person" listed in title I.

An individual under indictment for a crime punishable for a term exceeding 1 year is not prohibited from possessing a firearm.



FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)

NATIONAL FIREARMS ACT (NFA)

PUBLISHED ORDINANCE:

A published law of any political subdivision of a State which the Secretary determines to be relevant to the enforcement of this chapter and which is contained on a list compiled by the Secretary, which list shall be published in the Federal Register, revised annually, and furnished to each licensee under this chapter.

## FEDERAL FIREARMS LAWS

GUN CONTROL ACT (GCA)NATIONAL FIREARMS ACT (NFA)

## INDICTMENT

An indictment or information in any court under which a crime punishable by imprisonment for a term exceeding 1 year may be prosecuted.

## CRIME PUNISHABLE BY IMPRISONMENT FOR A TERM EXCEEDING 1 YEAR:

Does not include (A) any Federal or State offenses pertaining to antitrust violations, unfair trade practices, restraints of trade, or other similar offenses relating to the regulation of business practices, or (B) any State offense classified by the laws of the State as a misdemeanor and punishable by a term of imprisonment of 2 years or less.

What constitutes a conviction of such a crime shall be determined in accordance with the laws of the jurisdiction in which the proceedings were held. Any conviction which has been expunged, or set aside, or for which a person has been pardoned or has had civil rights restored shall not be considered a conviction for purposes of chapter 44, unless such pardon, expungement, or restoration of civil rights expressly provides that the person may not ship, transport, possess, or receive firearms.

## Exhibit 2

STATUTES VIOLATED--CASE REPORT  
 GUN CONTROL ACT OF 1968, AS AMENDED  
 18 U.S.C. CHAPTER 44

Section 922(a). It shall be unlawful.

Penalties

- |   |                       |
|---|-----------------------|
| <p>(1)(A) For any person except a licensed importer, licensed manufacturer, or licensed dealer to engage in the business of importing, (a)(1)(D); willfully manufacturing, or dealing in firearms, violates; maximum or in the course of such business to \$5,000 fine or 5 years' ship, transport, or receive any firearm imprisonment or both. in interstate or foreign commerce.</p> | <p>Section 924:</p>   |
| <p>(1)(B) For any person except a licensed importer or licensed manufacturer to engage in the business of importing or manufacturing ammunition, or in the course of such business to ship, transport, or receive any ammunition in interstate or foreign commerce.</p>   | <p>Same as above</p>  |
| <p>(2) For any licensee under the provisions of this chapter to ship or transport firearms in interstate or foreign commerce to any person other than a licensee.</p>   | <p>Same as above</p>  |
| <p>(3) For any person, other than a licensee to transport into or receive in the State where he resides any firearm purchased or otherwise obtained outside the state unless it is a rifle or shotgun sold or delivered in person by a licensee in compliance with the laws in both the purchaser's and licensee's states.</p>  | <p>Same as above.</p> |
| <p>(4) For any person, other than a licensee to transport in interstate or foreign commerce any destructive device, machinegun short-barreled shotgun, or short-barreled rifle, except as specifically authorized by the Secretary.</p>   |                       |

Penalties

- (5) For any person other than a licensee to deliver any firearm to any person (other than another dealer) who the transferor knows or has reasonable cause to believe resides in any other State than that in which the transferor resides. (a)(1)(B); knowingly violates; maximum \$5,000 fine or 5 years' imprisonment, or both.
- (6) For any person in connection with the acquisition of any firearm or ammunition from a licensee, knowingly to make use of a false or fictitious oral or written statement or false, fictitious, or misrepresented identification intended to or likely to deceive a licensee with respect to the lawfulness of the sale or other disposition of a firearm or ammunition. (a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years' imprisonment or both.
- (7) For any person to manufacture or import armor-piercing ammunition, except that this paragraph shall not apply to-- (a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years' imprisonment.
- (A) the manufacture or importation of such ammunition for the use of the United States or any department or agency thereof or any State or any department, agency, or political subdivision thereof;
- (B) the manufacture of such ammunition for the purpose of exportation; and
- (C) any manufacture or importation for the purposes of testing or experimentation authorized by the Secretary; and
- (8) For any manufacturer or importer to sell or deliver armor piercing ammunition, except that this paragraph shall not apply to-- (a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years' imprisonment, or both.
- (A) the sale or delivery by a manufacturer or importer of such ammunition for use of the

United States or any department or agency thereof or any State or any department, agency, or political subdivision thereof;

- (B) the sale or delivery by a manufacturer or importer of such ammunition for the purpose of exportation;
- (C) the sale or delivery by a manufacturer or importer of such ammunition for the purposes of testing or experimenting authorized by the Secretary.

Penalties

Section 922(b). It shall be unlawful for any licensee to sell or deliver:

- |  |   |
|--|---|
| (1) Any shotgun, rifle or ammunition for a shotgun or a rifle to any person under the age of 18, and any firearm or ammunition other than shotgun or rifle to any person under the age of 21, knowing or having reason to believe the person is not of proper age.   | (a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years imprisonment or both.  |
| (2) Any firearm to any person where the purchase or possession of such firearm would be in violation of any State law or published ordinance at the place of sale, delivery or other disposition.  | Same as above.  |
| (3) Any firearm to any person who the licensee knows or has reasonable cause to believe does not reside in the State in which the licensee's place of business is located unless it is a rifle or shotgun sold or delivered in person by the licensee in compliance with the laws in both the purchaser's and licensee's States. | Same as above   |
| (4) To any person any destructive device, machinegun, short-barreled shotgun, or short-barreled rifle, except as specifically authorized by the Secretary.   | (a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years' imprisonment or both. |
| (5) Any firearm or armor-piercing ammunition to  | (a)(1)(D); willfully  |

any person unless the licensee notes in his records the name, age, and place of residence of such person.

violates; maximum \$5,000  
fine or 5 years'  
imprisonment or both.

Penalties

Section 922(c). A licensed importer, manufacturer, or dealer may sell a firearm to a person who does not appear in person at the licensee's business premise only if:

Same as above.

- (1) The transferee submits a prescribed sworn statement which includes the name of the principal law enforcement officer of the locality to which the firearm will be delivered.
- (2) The transferor has, prior to the shipment or delivery of the firearm, submitted by registered or certified mail (return receipt requested), a copy of the prescribed sworn statement and a description of the firearm to the principal law enforcement officer of the locality to which the firearm will be delivered; and
- (3) The transferor has delayed shipment for a period of 7 days following receipt of the notification of the acceptance or refusal of delivery of the prescribed sworn statement.

Section 922(d). It shall be unlawful for any person to sell or dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that such person--

- (1) is under indictment for, or has been convicted in any court of, a crime punishable by imprisonment for a term exceeding 1 year;
- (2) is a fugitive from justice;
- (3) is an unlawful user of, or addicted to, any controlled substance;
- (4) Has been adjudicated as a mental defective or has been committed to any mental institution;
- (5) who, being an alien, is illegally or unlawfully in the United States;

(a)(2); knowingly violates; maximum \$5,000 fine or 10 years' imprisonment or both.

- (6) who has been discharged from the Armed Forces under dishonorable conditions, or

Penalties

- (7) who, having been a citizen of the United States, has renounced his citizenship.

Section 922(e). It shall be unlawful for any person knowingly to deliver any package containing a firearm or ammunition to a common carrier for delivery in interstate commerce to a person other than a licensee without written notice to the carrier that such firearm or ammunition is being shipped

(a)(1)(D); willfully violates; maximum \$5,000 fine or 10 years' imprisonment or both.

Section 922(f). It shall be unlawful for any common carrier to transport in interstate commerce any firearm or ammunition with knowledge or reasonable cause to believe it in violation of 18 U.S.C. chapter 44.

(a)(1)(B); knowingly violates; maximum \$5,000 fine or 5 years' imprisonment or both.

Section 922(g). It shall be unlawful for any person--

(a)(2); knowingly violates maximum \$5,000 fine or 10 years' imprisonment or both.

- (1) who has been convicted in any court of a crime punishable by imprisonment for a term exceeding 1 year;
- (2) who is a fugitive from justice;
- (3) who is an unlawful user of or addicted to any controlled substance;
- (4) who has been adjudicated as a mental defective or has been committed to a mental institution.
- (5) who, being an alien, is illegally or unlawfully in the United States;
- (6) who has been discharged from the Armed Forces under dishonorable conditions, or
- (7) who, having been a citizen of the United States, has renounced his citizenship to ship or transport in interstate or foreign commerce, or possess in or affecting commerce, any firearm or ammunition; or to receive any firearm or ammunition which has



been shipped or transported in interstate or foreign commerce.

Section 922(h). It shall be unlawful for any individual, who to that individual's knowledge and while being employed for any person described in any paragraph of subsection (g) of this section, in the course of such employment--

- (1) to receive, possess, or transport any firearm or ammunition in or affecting interstate or foreign commerce; or
- (2) to receive any firearm or ammunition which has been shipped or transported in interstate or foreign commerce.

Section 922(i). It shall be unlawful for any person to transport or ship in interstate or foreign commerce any stolen firearm or stolen ammunition, knowing or having reasonable cause to believe the firearm or ammunition was stolen.

Section 922(j). It shall be unlawful for any person to receive, conceal, store, barter, sell or dispose of, pledge, or accept as security for a loan any stolen firearm or ammunition which is in interstate commerce, knowing or having reason to believe the firearm or ammunition was stolen.

Section 922(k). It shall be unlawful for any person knowingly to transport, ship, or receive in interstate or foreign commerce any firearm which has had the importer's or manufacturer's serial number removed, obliterated, or altered.

Section 922(l). Except as provided in section 925(d), it shall be unlawful for any person knowingly to import any firearm or ammunition into the United States in violation of 18 U.S.C.

### Penalties

(a)(2); knowingly violates; maximum \$5,000 fine or 10 years' imprisonment or both.

Same as above.

Same as above

(a)(1)(B); knowingly violates; maximum \$5,000 fine or 5 years' imprisonment or both.

(a)(1)(C); knowingly violates; maximum \$5,000 fine or 5 years'

chapter 44, or to receive any such firearm or ammunition so imported.

Section 922(m). It shall be unlawful for any licensee knowingly to make any false entry, or fail to make any appropriate entry, or fail to maintain any record he is required to keep pursuant to 18 U.S.C. section 923 or regulations promulgated thereunder.

Section 922(n). It shall be unlawful for any person who is under indictment for a crime punishable by imprisonment for a term exceeding 1 year to ship or transport in interstate or foreign commerce any firearm or ammunition or receive any firearm or ammunition which has been shipped or transported in interstate or foreign commerce.

Section 922(o). It shall be unlawful for any person to transfer or possess a machinegun unless it was lawfully possessed prior to May 19, 1986, or transferred or possessed under authority of a governmental agency.

Section 922(p). It shall be unlawful for any person to manufacture, import, sell, deliver, possess, transfer, or receive any firearm that, after removal of grips, stocks, and magazines, is not as detectable as the security exemplar by walk through metal detectors calibrated and operated to detect the security exemplar or any major component of which, when subjected to inspection by types of X-ray machines commonly used at airports does not generate an image that accurately depicts the component. This section does not apply to firearms that (1) are imported for examination for compliance with this section, or (2) are certified by the Defense Department or CID as necessary for military or intelligence applications, or (3) were imported into or manufactured in the United States prior to the enactment of this section.

Section 923(h). Licenses issued shall be kept posted and kept available for inspection on the premises covered by the

imprisonment or both.

(a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years' imprisonment or both.

(a)(2); knowingly violates maximum \$5,000 fine or 10 years' imprisonment or both.

(f); knowingly violates; maximum \$5,000 fine or 5 years' imprisonment or both.

(a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years,

license.

Section 923(i). Licensed importers and licensed manufacturers shall identify, by means of a serial number engraved or cast on the receiver or frame of the weapon. . . . each firearm imported or manufactured by such importer or manufacturer.

Section 924(a)(1)(A). It is unlawful to knowingly make any false statement or representation with respect to the information required to be kept in the records of a licensee or in applying for any license or exemption or relief from disability.

Section 924(a)(2)(A). It is unlawful for any licensee to knowingly make any false statement or representation with respect to the information required to be kept in the records of a licensee.

Section 924(b). It is unlawful for any person with intent to commit an offense therewith punishable by imprisonment for a term exceeding 1 year or with knowledge or reasonable cause to believe that an offense punishable by imprisonment for a term exceeding 1 year is to be committed therewith to ship, transport, or receive a firearm or any ammunition in interstate or foreign commerce.

Section 924(c)(1). Whoever, during and in relation to any crime of violence or drug trafficking crime which may be prosecuted in a court of the United States, uses or carries a firearm, shall be sentenced to . . . .

If the firearm is a machinegun or is equipped with a silencer shall be sentenced to . . . .

In the case of a second or subsequent conviction under this subsection such person shall be sentenced to and if the firearm is a machinegun or is equipped with a silencer should be sentenced to . . . .

imprisonment or both.

(a)(1)(D); willfully violates; maximum \$5,000 fine or 5 years' imprisonment or both.

(a)(1); knowingly makes; \$5,000 fine or 5 years' imprisonment or both.

(a)(2); knowingly makes; \$1,000 fine or 1 year's imprisonment or both.

Not more than a \$10,000 fine and not more than 10 years' imprisonment.

5 years' imprisonment.

30 years' imprisonment.

20 years' imprisonment

Life without release.

Section 924(e)(1). Any person who has three previous convictions for a violent felony or serious drug offense or both and has shipped, transported, or received interstate or possesses in or affecting commerce any firearm or ammunition shall be sentenced to . . . .

Not more than a \$25,000 fine and not less than 15 years' imprisonment.

Section 924(f). Whoever, with the intent to engage in conduct which:

- (1) Constitutes an offense listed in section 1961(1),
- (2) Is punishable under the Controlled Substances Act (21 U.S.C. section 802 et seq.), the Controlled Substances Import and Export Act (21 U.S.C. section 951 et seq.), or the Maritime Drug Law Enforcement Act (46 U.S.C. App. 1901 et seq.),
- (3) Violates any State law relating to any controlled substance (as defined in section 102(6) of the Controlled Substances Act (21 U.S.C. 802(6)), or
- (4) Constitutes a crime of violence,

travels from any State or foreign country into any other State and acquires, transfers, or attempts to acquire or transfer, a firearm in such other State in furtherance of such purpose shall be sentenced to . . . .

10 years' imprisonment or \$5,000 fine or both.

Section 924(g). Whoever knowingly transfers a firearm knowing that such firearm will be used to commit a crime of violence or drug trafficking crime shall be sentenced to . . . .

10 years' imprisonment or \$5,000 fine or both.

Section 929. Whoever, during and in relation to any crime of violence or drug trafficking crime, uses or carries any handgun loaded with armor-piercing ammunition.

Not less than 5 years' imprisonment.

Section 930(a). Whoever knowingly possesses or causes to be present a firearm or other dangerous weapon in a Federal facility, or attempts to do so, shall be sentenced to . . . .

1 year's imprisonment or \$5,000 fine or both.

Section 930(b). Whoever, with intent that a firearm or other dangerous weapon be used in the commission of a crime, knowingly possesses or

causes to be present such firearm or dangerous  
weapon in a Federal facility, or attempts to do so,  
shall be sentenced to

5 years' imprisonment or  
\$5,000 fine or both.

## Exhibit 3

PROHIBITED ACTS OF LICENSED DEALERS.

A licensed firearms importer, manufacturer, dealer and/or collector MAY NOT:

1. Willfully ship/transport in interstate or foreign commerce firearms to a nonlicensee, 922(a)(2).

EXCEPT: (1) Does not preclude licensee from returning a firearm or replacement firearm to a person from whom it was received, 922(a)(2)(A), and (2) does not preclude a licensee from mailing a firearm to any officer, employee, agent, or watchman for use in connection with his official duty, 922(a)(2)(B), 18 U.S.C. section 1715.

2. Willfully sell handgun/ammunition to persons under 21 years of age, 922(b)(1).
3. Willfully sell long-gun/ammunition to person under 18 years of age, 922(b)(1).
4. Willfully sell firearms in violation of State law, 922(b)(2).
5. Willfully sell/deliver any firearm to a nonresident, 922(b)(3).

EXCEPT: (1) Does not apply to the sale/delivery of a rifle or shotgun to a nonresident if the transferee meets in person with the transferor to accomplish the transfer and the sale is in accordance with the law of both States, 922(b)(3)(A); and (2) does not apply to the loan or rental of a firearm to any person for temporary use for lawful sporting purposes, 922(b)(3)(B).

6. Willfully sell machineguns, short-barreled shotgun or rifles, or destructive devices without U.S. Treasury permission, 922(b)(4).
7. Willfully sell any firearm or armor-piercing ammunition without recording purchaser's name, address, and age, 922(b)(5).
8. Willfully sell to a person who DOES NOT appear at a dealer's business, 922(o), unless:
  - a. Buyer completes Part II of ATF Form 4473 Part II.
  - b. Dealer submits Form 4473 to chief law enforcement officer where buyer lives.
  - c. Dealer delays delivery for at least 7 days in order to give the chief law enforcement officer an opportunity to object.
9. Knowingly sell firearms/ammunition to prohibited persons, 922(d).
10. Willfully deliver any package containing firearms/ammunition, without written notice, to common carrier for delivery to nonlicensee in another State, 922(e).

EXCEPT: Any passenger who owns or legally possesses a firearm or ammunition being transported aboard any common carrier may deliver the firearm or ammunition to the pilot, captain, conductor, or operator.

11. Knowingly transport/ship any stolen firearm/ammunition in interstate commerce, 922(i).

12. Knowingly sell, receive, conceal, or store stolen firearm/ammunition in interstate commerce or accept stolen firearm/ammunition in interstate commerce as security for a loan, 922(j).
13. Knowingly ship/transport/receive firearm in interstate commerce with serial number removed, obliterated, or altered, 922(k).
14. Knowingly import firearms/ammunition illegally, or willfully receive firearm/ammunition illegally imported into U.S., 922(l).

EXCEPT: With permission from U.S. Treasury for scientific research; firearm is unserviceable curio/museum piece; except for machinegun, firearm is suitable for sporting purposes; or was previously taken out of U.S., by the person who is bringing it in, 925(d).

- 15 Willfully fail to keep his/her license posted and available for inspection, 923(h).
16. In the case of importers and manufacturers, willfully fail to identify a firearm imported or manufactured by such import or manufacturer with a serial number, 923(i).

VIOLATION OF THE ABOVE: Violations of sections 922(d), (g), (h), i), (j), and (o)--\$5,000/10 years. All others--\$5,000/5 years.

- 17 Knowingly make false entry or fail to keep required records, misdemeanor up to \$1,000/1 year, 922(m).

#### PROHIBITED ACTS OF INDIVIDUALS

An unlicensed person may not:

1. Willfully engage in the business of importing, manufacturing, or dealing in firearms unless licensed, 922(a)(1)(A).
2. Willfully engage in the business of importing, or manufacturing ammunition, unless licensed, 922 (a)(1)(B).
3. Willfully transport into or receive in his State of residence a firearm obtained in another State, 922(a)(3).

EXCEPT: Receipt of firearm by bequest or intestate succession, or the legal purchase in another State of long-gun, 922(b)(3).

4. Willfully transport in interstate commerce a machinegun, destructive device, short-barreled shotgun, or short-barreled rifle unless authorized by U.S. Treasury, 922(a)(4).
5. Willfully transfer, sell, trade, give, transport, or deliver a firearm to an unlicensed person who resides in another State, 922(a)(5).

EXCEPT: Transfer of firearm made to carry out bequest or intestate succession, or loan or rental of firearm for lawful sporting purposes.

6. Knowingly make a false oral/written statement or give false identification to a licensee in connection with an acquisition of a firearm, 922(a)(6).

7. Knowingly sell or dispose of firearms or ammunition to a prohibited person, 922(d).
8. Willfully deliver or cause to be delivered to a common carrier, without written notice, a package containing a firearm or ammunition for transportation in interstate or foreign commerce to an unlicensed person, 922(e).
9. While employed by a prohibited person knowingly receive, possess, or transport a firearm in or affecting interstate or foreign commerce; or receive a firearm or ammunition which has been shipped or transported in interstate or foreign commerce, 922(h).
10. Knowingly transport or ship a stolen firearm or ammunition in interstate or foreign commerce, 922(i).
11. Knowingly receive, conceal, barter, store, sell, dispose of, pledge, or accept as security for a loan any stolen firearm/ammunition which is moving as, or is a part of, interstate or foreign commerce, 922(j).
12. Knowingly ship, transport, or receive in interstate or foreign commerce, a firearm whose serial number has been removed, obliterated, or altered, 922(k).
13. Knowingly import or bring a firearm/ammunition into United States without authorization, or willfully receive firearm/ammunition illegally imported into the United States, 922(l).
14. Knowingly transfer or possess a machinegun, 922(o).

EXCEPT: (1) For a transfer to or by, or possession by or under the authority of Federal or State agencies, and (2) any lawful transfer or lawful possession of a machinegun lawfully possessed before May 19, 1986.

15. Knowingly make a false statement or representation with respect to the information required (1) in the records of a licensee, or (2) in an application for a license, or (3) exemption or relief from disability, 924(a)(1)(A).

VIOLATION OF THE ABOVE: Violations of sections 922(d), (g), (h), (i), (j), and (o)-45,000/10 years. All others-45,000/5 years.

16. Knowingly ship, transport, or receive a firearm or ammunition in interstate or foreign commerce with intent to use in a felony or with knowledge or reasonable cause to believe that a felony is to be committed with the firearm or ammunition, 924(b).
17. Travel into a State and acquire a firearm with intent to engage in (a) a RICO crime, (b) a drug trafficking crime, or (c) a crime of violence, 924(f).
18. Transfer a firearm knowing that it will be used in a crime of violence or a drug trafficking crime, 924(g).

VIOLATION OF THE ABOVE: Up to \$10,000/10 years

19. Use or carry a firearm during and in relation to a Federal crime of violence or Federal drug trafficking crime, 924(c)(1).



VIOLATION OF THE ABOVE:

- a. First offense:
    - (1) Firearm, 5 years.
    - (2) Firearm equipped with a silencer, 30 years.
    - (3) Machinegun, 30 years.
  - b. Second or subsequent conviction:
    - (1) Firearm, 20 years.
    - (2) Firearm equipped with a silencer, life without release.
    - (3) Machinegun, life without release
  - c. Sentence cannot be probated, be suspended, or run concurrently with any other sentence, and there is no eligibility for parole.
20. Use or carry a handgun loaded with armor-piercing ammunition during and in relation to a Federal crime of violence or Federal drug trafficking crime, 929.

VIOLATION OF THE ABOVE: 5 to 10 years. Sentence cannot be probated, be suspended, or run concurrently with any other sentence, and there is no eligibility for parole.

21. Manufacture, import, ship, sell, deliver, possess, transfer, or receive any firearm that, after removal of grips, stocks, and magazine is not as detectable as the security exemplar by walk-through metal detectors calibrated and operated to detect the security exemplar, or any major component of which, when subjected to inspection by types of x-ray machines used at airports, does not generate an image that accurately depicts the shape of the component, 922(p).

EXCEPT: Does not apply to any firearm manufactured in, imported into, or possessed in the United States prior to enactment; or any firearm certified by Defense or CIA as necessary for military or intelligence applications; or firearms permitted conditional importation for examination.

PROHIBITED ACTS OF PROHIBITED PERSONS:

In addition to the above prohibited acts of individuals, a prohibited person may not knowingly ship, transport, possess, or receive a firearm or ammunition in or affecting interstate or foreign commerce, 922(g) and 922(n).

EXCEPT: A person under indictment may legally possess a firearm.

VIOLATION OF THE ABOVE. Up to 5 years/\$5,000

## Exhibit 4

THE GUN CONTROL ACT OF 1968  
18 U.S.C. CHAPTER 44

1. Section 922(a)(1)(A).

- a. Description. Willfully engaging in business as an importer, manufacturer or dealer in firearms without a license as required by 18 U.S.C. section 923(a).
- b. Elements.
- (1) Accused willfully committed act.
  - (2) Accused engaged in the business as charged.
  - (3) Place of engaging in business
  - (4) Time or dates of engaging in business.
  - (5) Nonlicensed status of accused.
  - (6) Proof of the profits from the sales of the firearms that were sold for criminal purposes or terrorism.

2. Section 922(a)(1)(A).

- a. Description. Willfully engaging in business as an importer, manufacturer or dealer in firearms; shipping, transporting, or receiving any firearms in interstate or foreign commerce; and not being licensed under the act o the business in which engaged.
- b. Elements.
- (1) Accused willfully committed act.
  - (2) Accused engaged in the business as charged.
  - (3) Accused shipped, transported, or received firearms in interstate or foreign commerce.
  - (4) Dates of shipment, transportation, or receipt.
  - (5) Place of shipment, receipt, or transportation.
  - (6) Nonlicensed status of accused.

3. Section 922(a)(1)(B).

- a. Description. Willfully engaging in business as an importer or manufacturer in ammunition without a license as required by 18 U.S.C section 923(a).
- b. Elements.
- (1) Accused willfully committed act.
  - (2) Accused engaged in the business as charged.

- (3) Place of engaging in business.
- (4) Date of engaging in business
- (5) Nonlicensed status of accused.

4. Section 922(a)(1)(B).

- a. Description. Willfully engaging in business as an importer or manufacturer in ammunition; and shipping, transporting, or receiving ammunition in interstate or foreign commerce.
- b. Elements.
  - (1) Accused willfully committed act.
  - (2) Accused engaged in the business as charged
  - (3) Accused shipped transported, or received ammunition in interstate or foreign commerce.
  - (4) Date of shipment, receipt, or transportation.
  - (5) Place of shipment, receipt, or transportation.
  - (6) Nonlicensed status of accused.

5. Section 922(a)(2).

- a. Description. Licensed importer, manufacturer, dealer, or collector willfully shipping or transporting in interstate or foreign commerce any firearm to any person other than a licensed importer, manufacturer, dealer, or collector.
- b. Elements.
  - (1) Accused willfully committed act
  - (2) Licensed status of accused.
  - (3) Accused shipped, transported, or received ammunition in interstate or foreign commerce.
  - (4) Place of shipment or transportation.
  - (5) Date of shipment or transportation.
  - (6) Nonlicensed status of person firearm shipped or transported to.
- c. Exceptions.
  - (1) Return of a firearm or a replacement firearm of the same kind and type to a person from whom it was received.
  - (2) Mailing of a firearm to an officer, agent, or watchman (18 U.S.C. section 1715) engaged in guarding Government property for official use by such officer, agent, or watchman.

6. Section 922(a)(3).

- a. Description. Unlicensed person willfully transporting into or receiving in the State of residence a firearm purchased or otherwise obtained outside such State.
  - b. Elements.
    - (1) Accused committed act
    - (2) Nonlicensed status of accused
    - (3) Accused transported into or received in State of residence a firearm
    - (4) Date of transportation or receipt
    - (5) Place of transportation or receipt.
    - (6) Accused purchased or otherwise obtained firearm outside State of residence.
    - (7) Accused acquired the subject firearm after December 15, 1968. (This involves proof of date of acquisition.)
  - c. Exceptions.
    - (1) Firearm was obtained by bequest or intestate succession in a State other than the State of residence of the person obtaining the firearm. Such person can transport or receive the firearm in his State of residence if it is lawful for the person to purchase or possess firearm in that State.
    - (2) Firearm is a rifle or shotgun obtained in conformity with 18 U.S.C. section 922(b)(3); that is, it was obtained in conformity with laws of both the State and 18 U.S.C. section 922(c), or it was borrowed or rented for temporary use for sporting purposes.
7. Section 922(a)(4).
- a. Description. Unauthorized transportation in interstate or foreign commerce of NFA firearm by person not licensed under the GCA of 1968 (silencer and any other weapon not covered).
  - b. Elements.
    - (1) Nonlicensed status of accused as importer or manufacturer or collector.
    - (2) Accused knowingly transported in interstate or foreign commerce a destructive device, machinegun, short-barreled shotgun, or a shortbarreled rifle.
    - (3) Date or dates of transportation.
    - (4) Places of transportation.
  - c. Exception. Transportation was specifically authorized by the Secretary of the Treasury (26 C.F.R. section 179-130, et seq.).

8. Section 922(a)(5).

- a. Description. Unlicensed person transferring, selling, trading, giving, transporting, or delivering a firearm to an unlicensed person knowing or having reasonable cause to believe such person resides in a State other than that in which the transferor resides.
- b. Elements.
  - (1) Nonlicensed status of accused (transferor).
  - (2) Transferee is unlicensed
  - (3) Accused willfully committed act.
  - (4) Receiver (transferee) resides in State other than that in which the transferor resides (place of business if transferor is a corporation or other business entity).
  - (5) Accused transferred, sold, traded, gave, transported, or delivered a firearm to the receiver (transferee).
  - (6) Date of sale, trade, etc.
- c. Exceptions.
  - (1) Transfer, transportation, or delivery, if any, was made to carry out a bequest of the firearm to or an acquisition by intestate succession of the firearm by a transferee who is permitted to acquire or possess a firearm under the laws of his/her State of residence.
  - (2) Acquisition of this firearm by the transferee was a loan or rental of the firearm for temporary use for lawful sporting purposes.

9. Sections 922(a)(6).

- a. Description. Knowingly make false or fictitious oral or written statement in connection with the acquisition or attempted acquisition of any firearm or ammunition from a licensed importer, licensed manufacturer, licensed dealer, or licensed collector.
- b. Elements.
  - (1) Accused knowingly committed act
  - (2) Accused made false or fictitious oral or written statement.
  - (3) Statement regarded the acquisition or attempted acquisition of a firearm or ammunition.
  - (4) Statement material to the lawfulness of the sale or other disposition of the firearm or ammunition.
  - (5) To whom was statement made
  - (6) Date of statement.
  - (7) Place of making statement and of submission of statement

(8) Licensed status of person to whom statement was made

10. Section 922(a)(6).

- a. Description. In connection with the acquisition or attempted acquisition of any firearm or ammunition, the accused furnished or exhibited false, fictitious, or misrepresented identification intended or likely to deceive a licensed person with respect to any fact material to the lawfulness under this act of the sale or other disposition of such firearm or ammunition.
- b. Elements.
- (1) Accused knowingly committed act
  - (2) Accused furnished or exhibited false, fictitious, or misrepresented identification.
  - (3) Identification was intended or likely to deceive the licensed person
  - (4) Deception material to the lawfulness under the GCA for the sale or other disposition of the firearm or ammunition.
  - (5) Deception regarded the acquisition or attempted acquisition of a firearm or ammunition.
  - (6) Date of furnishing or exhibiting the false, fictitious, or misrepresented identification.
  - (7) Place where false, fictitious or misrepresented identification was furnished or exhibited.

11. Section 922(b)(1).

- a. Description. Licensed person willfully selling or delivering any firearm or ammunition to an individual, knowing or having reasonable cause to believe such person is less than 18 years of age.
- b. Elements.
- (1) Accused was licensed importer, licensed manufacturer, licensed dealer, or licensed collector.
  - (2) Accused willfully committed act.
  - (3) Accused sold or delivered a firearm or ammunition to an unlicensed individual. (License matter of defense.)
  - (4) Individual under 18 years of age.
  - (5) Knowledge of accused as to age of individual.
  - (6) Date of sale or delivery.
  - (7) Place of sale or delivery.

12. Section 922(b)(1).

- a. Description. Licensed person willfully selling or delivering firearm, other than shotgun or rifle, or ammunition for a shotgun or rifle, to nonlicensed

individual, knowing or having reasonable cause to believe that such person is less than 21 years of age.

b. Elements.

- (1) Accused was a licensed importer, licensed manufacturer, licensed dealer, or licensed collector.
- (2) Accused willfully committed act.
- (3) Accused sold or delivered a firearm, other than a shotgun or rifle, or ammunition for other than a shotgun or rifle, to an individual.
- (4) Individual was under 21 years of age.
- (5) Purchaser not licensed under the GCA. (Matter of affirmative defense.)
- (6) Knowledge of accused as to age of individual
- (7) Date of sale or delivery.
- (8) Place of sale or delivery.

13. Section 922(b)(2).

a. Description. Licensed person willfully selling or delivering any firearm to an unlicensed person in a State where the purchase or possession by such person of such firearm is in violation of any State law or any published ordinance applicable at the place of sale, delivery, or other disposition.

b. Elements.

- (1) Licensed status of accused.
- (2) Accused willfully committed act.
- (3) Accused sold or delivered a firearm to another person.
- (4) Nonlicensed status of purchaser. (Matter of affirmative defense.)
- (5) Place of sale, delivery, or other disposition.
- (6) Date of such sale, delivery, or other disposition.
- (7) Law or published ordinance applicable at the place of sale, delivery or other disposition making purchase or possession by the purchaser unlawful.
- (8) Accused knew or had reasonable cause to believe that the purchase or possession by the purchaser would not be in violation of such law or such published ordinance.

14. Section 922(b)(3).

a. Description. Licensed person willfully selling or delivering firearm to an unlicensed person whom the licensee knows or has reasonable cause to

believe does not reside in the State in which the licensee's place of business is located.

b. Elements.

- (1) Licensed status of accused
- (2) Accused willfully committed act.
- (3) Accused sold or delivered a firearm to another person.
- (4) Nonlicensed status of purchaser. (Matter of affirmative defense.)
- (5) Purchaser did not reside in State in which accused's place of business was located.
- (6) Accused knew or had reasonable cause to believe that purchaser did not reside in State in which accused's place of business was located
- (7) Date of sale or delivery.
- (8) Place of sale or delivery.
- (9) Location of accused's place of business.

c. Exceptions.

- (1) Sale or delivery of rifle or shotgun by licensee if licensee meets in person with nonresident transferee to accomplish transfer; and sale, delivery, and receipt comply fully with legal conditions of sale in both such States, 922(b)(3)(A).
- (2) Delivery of such firearm by the licensee was a loan or rental of a firearm to a person for temporary use or for lawful sporting purposes, 922(b)(3)(B).
- (3) Accused was returning firearm or replacement firearm of the same kind and type to a person from whom it was received. (Matter of affirmative defense--exception appears in section 922(a)(2)(A).

15. Section 922(b)(4).

a. Description. Licensed person willfully selling or delivering to an unlicensed person any destructive device, machinegun, short-barreled shotgun, or short-barreled rifle without specific authority from the Secretary.

b. Elements.

- (1) Licensed status of accused.
- (2) Accused willfully committed act
- (3) Accused sold or delivered to another person a destructive device machinegun, short-barreled shotgun, or short-barreled rifle.
- (4) Nonlicensed status of purchaser. (Matter of affirmative defense.



- (5) Sale or delivery not specifically authorized by the Secretary of the Treasury or his/her delegate (27 C.F.R. part 178).
  - (6) Detailed description of the firearm as a covered weapon.
  - (7) Date of sale or delivery.
  - (8) Place of sale or delivery.
- c. Exception. Purchaser was a research organization designated by the Secretary or his/her delegate (27 C.F.R. part 178). (Matter of affirmative defense.)
16. Section 922(b)(5).
- a. Description. Licensed person willfully selling any firearm or armorpiercing ammunition and failing to show in his/her required records the name, age, and place of residence of the purchaser, if an individual; or the identity and principal and local places of business, if a corporation or other business entity.
  - b. Elements.
    - (1) Licensed status of accused.
    - (2) Accused willfully committed act.
    - (3) Accused sold or delivered any firearm or armor-piercing ammunition
    - (4) Name and address or addresses of the purchaser as an individual, corporation, or other business entity.
    - (5) Date of sale or delivery
    - (6) Place of sale or delivery.
    - (7) Failure to show in the required records the name, age, and place of residence of the individual purchaser or the identity and places of business of purchaser as may be applicable. (Omission of one would be offense.)
17. Section 922(c).
- a. Description. Accused, a licensed person, willfully selling firearm to an unlicensed person who did not appear in person at the licensee's business premises and who did not submit to accused a sworn statement as to age, in compliance with requirements of chapter 44 of 13 U.S.C.; as to lawfulness of receipt of subject; and as to the name, title, and address of principal law enforcement officer of locality where firearm is to be delivered (form of statement section 922(c)(1)).
  - b. Elements.
    - (1) Licensed status of accused
    - (2) Nonlicensed status of purchaser

- (3) Accused willfully committed act
- (4) Accused sold firearm to purchaser who did not appear in person at licensee's place of business.
- (5) Accused did not require purchaser to furnish sworn statement described in section 922(c)(1).
- (6) Date and place of sale.
- (7) Date and place of delivery.

18. Section 922(c).

a. Description. Accused, a licensed person, willfully selling a firearm to an unlicensed person who did not appear in person at the licensee's place of business and making of shipment or delivery of the firearm prior to forwarding, by registered or certified mail (return receipt requested), a copy of the sworn statement, prescribed by section 922(c)(1) to chief law enforcement office of the transferee's place of residence and prior to return of the statement due to refusal of the named addressee to accept such a letter.

b. Elements.

- (1) Licensed status of accused.
- (2) Nonlicensed status of purchaser.
- (3) Accused willfully committed act
- (4) Accused sold a firearm to purchaser who did not appear in person at licensee's place of business.
- (5) Accused made shipment or delivery of the firearm without first sending sworn statements (required by 922(c)(1)) by registered mail, return receipt requested, to the chief law enforcement office in the purchaser's place of residence, or accused made shipment or delivery of the firearm without obtaining the return receipt showing delivery of the sworn statement and without obtaining return of the letter transmitting the sworn statement showing refusal of addressee to accept such letter (section 922(c)(2)), or accused failed to delay the shipment or delivery of the firearm for a period of at least 7 days following receipt of notification of the acceptance or refusal of delivery of the statement, as provided in section 922(c)(3).
- (6) Date and place of sale.
- (7) Date and place of delivery.
- (8) Disposition of sworn statement (section 922(c)(1) by accused if paragraph 18(b)(4) applies), or proof of delivery of the sworn statement to the accused.

19. Section 922(d).

a. Description. Any person willfully selling or disposing of a firearm or ammunition to a person, knowing or having reasonable cause to believe that such person (1) is under indictment for or has been convicted of a crime punishable by imprisonment for a term exceeding 1 year, (2) is a fugitive from justice; (3) is an unlawful user of or addicted to any controlled substance, as defined in section 102 of the Controlled Substances Act, 21 U.S.C. section 802, (4) has been adjudicated as a mental defective or has been committed to any mental institution, (5) is an alien illegally or unlawfully in the U.S.; (6) has been discharged from the Armed Forces under dishonorable conditions; (7) has renounced his/her U.S. citizenship.

b. Elements.

- (1) Accused knowingly committed act.
- (2) Accused sold or disposed of a firearm or ammunition to person who he/she knew or had reason to believe was under indictment for or convicted of a crime punishable by imprisonment for a term exceeding 1 year, as defined in 18 U.S.C. section 921(a)(20), is a fugitive from justice, is an unlawful user of or addicted to any controlled substance, as defined in 21 U.S.C. section 802, is a mental defective or has been committed to a mental institution, is an illegal alien, has been dishonorably discharged, or has renounced his/her U.S. citizenship.
- (3) Date and place of sale.
- (4) Proof of status of purchaser in prohibited class.
- (5) Nonlicensed status of purchaser to whom section 925(b) applies (Matter of affirmative defense.)
- (6) Purchaser not granted relief from his/her Federal firearms disabilities under 18 U.S.C. section 925(c).

20. Section 922(e).

a. Description. Willfully delivering or causing to be delivered to any common or contract carrier for transportation or shipment in interstate or foreign commerce to a nonlicensed person any package or other container in which there is a firearm or ammunition and failing to give the carrier written notice that such firearm or ammunition is being transported or shipped.

b. Elements.

- (1) Accused willfully committed act
- (2) Accused delivered package containing firearm or ammunition to a contract or common carrier.
- (3) Package shipped in interstate or foreign commerce.

- (4) Shipped to an unlicensed person.
  - (5) Date and place of delivery to the carrier.
  - (6) Accused failed to give written notice of the contents of the package.
- c. Exception. Accused was a passenger owning or legally possessing the firearm or ammunition being transported who delivered the firearm or ammunition to the custody of the pilot, captain, conductor, or operator of the common carrier for the duration of the trip.
21. Section 922(f).
- a. Description. Common or contract carrier transporting or delivering in interstate or foreign commerce any firearm or ammunition knowing or having reasonable cause to believe that the shipment, transportation, or receipt thereof would be in violation of this act.
  - b. Elements.
    - (1) Accused was a common or contract carrier. (It is probable that the accused will be an employee, and we will therefore charge aiding and abetting under 18 U.S.C. section 2.)
    - (2) Transported and delivered firearm or ammunition in interstate or foreign commerce.
    - (3) Date and place of receipt by carrier
    - (4) Date and place of delivery by carrier, if any
    - (5) Name and address of delivery, if any.
    - (6) Basis of shipment, transportation, or delivery in violation of act.
    - (7) Basis of belief that carrier or employee knew or had reasonable cause to believe such was unlawful under the act.
22. Section 922(g).
- a. Description. Knowingly shipping, transporting, possessing, or receiving firearm or ammunition in interstate or foreign commerce by person who has been convicted of a crime punishable by imprisonment for a term exceeding 1 year, is an unlawful user of or is addicted to any controlled substance, as defined in 21 U.S.C. section 802, has been adjudicated as a mental defective or committed to a mental institution, is a fugitive from justice, is an illegal alien, has been dishonorably discharged from the Armed Forces, or who has renounced his U.S. citizenship.
  - b. Elements.
    - (1) Accused knowingly committed.
    - (2) Accused shipped, transported, possessed, or received a firearm or ammunition in interstate or foreign commerce.

- (3) Accused was person who (a) had been convicted of a crime punishable by imprisonment for a term exceeding 1 year, as defined in 18 U.S.C. section 921(a)(20), (b) was an unlawful user of or addicted to any controlled substance, as defined in 21 U.S.C. 802, (c) had been adjudicated a mental defective or committed to a mental institution, (d) was a fugitive from justice, (e) was an illegal alien, (f) had been dishonorably discharged from the Armed Forces, (g) or had renounced his U.S. citizenship.
- (4) Dates and places of shipment, transportation, possession, or receipt.

23. Section 922(h).

- a. Description. Any individual who, to that individual's knowledge and while being employed by a prohibited person as defined in 18 U.S.C. section 922(g), willfully receives, possesses, or transports a firearm or ammunition in or affecting interstate or foreign commerce, or receives any firearm or ammunition which has been shipped or transported in interstate or foreign commerce.
- b. Elements.
  - (1) Accused's employer is a prohibited person as defined in 18 U.S.C. section 922(g).
  - (2) Accused had knowledge that employer is a prohibited person.
  - (3) Act committed in the course of employment.
  - (4) Accused knowingly committed act.
  - (5) Accused received, possessed, or transported any firearm.
  - (6) Date and places of receipt, possession, or transportation of firearm by accused.
  - (7) How firearm affected interstate commerce

24. Section 922(i).

- a. Description. Knowingly transporting or shipping in interstate or foreign commerce any stolen firearm or stolen ammunition, knowing or having reasonable cause to believe that the firearm or ammunition was stolen.
- b. Elements.
  - (1) Accused knowingly committed act.
  - (2) Accused transported or shipped firearm or ammunition in interstate or foreign commerce.
  - (3) Dates and places of transportation or shipment
  - (4) Firearm or ammunition was stolen.

- (5) Accused knew or had reasonable cause to believe firearm or ammunition was stolen.

25. Section 922(j).

- a. Description. Knowingly receiving, concealing, storing, bartering, selling, disposing of, pledging, or accepting as security for a loan any stolen firearm or stolen ammunition which was moving as or was a part of interstate or foreign commerce, knowing or having reasonable cause to believe that the firearm or ammunition was stolen.
- b. Elements.
- (1) Accused knowingly committed act.
  - (2) Accused received, concealed, stored, bartered, sold, disposed of pledged, or accepted as security for a loan a firearm or ammunition.
  - (3) Firearm or ammunition was stolen.
  - (4) Firearm was moving in interstate or foreign commerce at time of receipt, etc., by the accused.
  - (5) Date and place of receipt, etc., by the accused.
  - (6) Basis of belief that the accused knew or had reasonable cause to believe that the firearm or ammunition was stolen.

26. Section 922(k).

- a. Description. Knowingly transporting, shipping, or receiving in interstate or foreign commerce any firearm from which the importer's or manufacturer's serial number has been removed, obliterated, or altered.
- b. Elements.
- (1) Accused knowingly committed act.
  - (2) Accused transported, shipped, or received a firearm in interstate or foreign commerce.
  - (3) Dates and places of transportation, shipment, or receipt by the accused.
  - (4) Serial number of firearm was removed, obliterated, or altered prior to interstate transportation, shipment, or receipt by accused.

27. Section 922(l).

- a. Description. Knowingly importing or bringing into the United States, or any possession thereof any firearm or ammunition with such importation not being authorized by the Secretary or his/her delegate, in accordance with 18 U.S.C. section 925(d).
- b. Elements.

- (1) Accused knowingly committed act
  - (2) Accused imported or brought into the United States or into possession of the United States a firearm or ammunition.
  - (3) Date and place of importation.
  - (4) Importation not authorized by the Secretary or his/her delegate (See 18 U.S.C. section 925(d) and 27 C.F.R. section 178.112.)
  - c. Exception. The accused or the importation was exempt under 18 U.S.C section 925(a). (Matter of affirmative defense.)
28. Section 922(l).
- a. Description. Willingly receiving any firearm or ammunition that has been imported or brought into the United States or any possession thereof in violation of 18 U.S.C. chapter 44.
  - b. Elements.
    - (1) Accused willingly committed act
    - (2) Accused received an imported firearm or ammunition.
    - (3) Firearm or ammunition imported in violation of 18 U.S.C. chapter 44. (Cover nature of violation.)
    - (4) Date and place of importation. (Absence of compliance might meet this requirement if the firearm was manufactured after the effective date of the act.)
    - (5) Date and place of receipt by the accused
29. Section 922(m).
- a. Description. Licensed person knowingly makes false entry in records required under 18 U.S.C. section 923.
  - b. Elements.
    - (1) Licensed status of accused or status of employee who aided or abetted a licensed person. (Show location of business.)
    - (2) Accused knowingly committed act.
    - (3) Accused made false entry in record. (Description of entry and falsity.)
    - (4) Date of entry.
    - (5) Record required by 18 U.S.C. section 923 or regulation promulgated thereunder. (This includes the record required under 18 U.S.C. section 922(c).)
    - (6) Show truth to compare with the false entry
30. Section 922(m).

- a. Description. Licensed person knowingly fails to make appropriate entry in records required under 18 U.S.C. section 923.
- b. Elements.
- (1) Licensed status of accused or status of employee who aided and abetted a licensed person. (Location of business.)
  - (2) Transaction occurred which was required to be recorded.
  - (3) Date of "omitted entry" transaction.
  - (4) Knowledge of accused as to transaction and obligation to record
  - (5) Reasons, if any, why transaction was not recorded. (This is not necessarily a part of the case.)
31. Section 922(m).
- a. Description. Licensed person knowingly fails to properly maintain records required by 18 U.S.C. section 923.
- b. Elements.
- (1) Licensed status of accused or status of employee who aided and abetted a licensed person.
  - (2) Required transaction record. (Show dates of transactions.)
  - (3) Knowledge by accused of transaction and of obligation to keep records.
  - (4) Warnings, if any, as to requirements of keeping records. (Not part of case but does affect prosecution.)
32. Section 922(n).
- a. Description. Willfully shipping, transporting, or receiving any firearm or ammunition in interstate or foreign commerce by person who is under indictment for a crime punishable by imprisonment for a term exceeding 1 year.
- b. Elements.
- (1) Accused willfully committed act.
  - (2) Accused shipped, transported, or received a firearm or ammunition in interstate or foreign commerce.
  - (3) Accused was under indictment for a crime punishable by imprisonment for a term exceeding 1 year.
  - (4) Dates and places of shipment, transportation, or receipt.
33. Section 922(o).
- a. Description. Knowingly and unlawfully possessing or transferring a machinegun.



- b. Elements.
    - (1) Accused knowingly committed act.
    - (2) Possessed or transferred a machinegun.
    - (3) Date and place of possession or transfer
  - c. Exceptions.
    - (1) A transfer to or by, or possession by or under, the authority of Federal or State agencies.
    - (2) Any lawful transfer or possession of a machinegun that was lawfully possessed before May 19, 1986.
34. Section 923(h).
- a. Description. Willful failure of licensed person to keep license posted and available for inspection.
  - b. Elements.
    - (1) Licensed status of accused.
    - (2) Accused actively engaged in business.
    - (3) Accused willfully committed act.
    - (4) License not posted or available for inspection.
    - (5) Date and place of offense.
35. Section 923(i).
- a. Description. Willfully manufacturing or importing firearm by licensed person and failing to identify such firearm by means of a serial number engraved or cast on the receiver or frame as required.
  - b. Elements.
    - (1) Licensed status of accused as manufacturer or importer
    - (2) Accused willfully committed act.
    - (3) Accused manufactured or imported firearm.
    - (4) Date of such manufacture or importation.
    - (5) Absence of serial number.
36. Section 924(a)(1)(A).
- a. Description. Accused knowingly makes a false statement or representation with respect to the information required in the records of a licensee, in the application for a license, or exemption of relief from disability.
  - b. Elements.
    - (1) Accused knowingly committed act

- (2) Accused made a false statement or representation
- (3) Statement or representation was in connection with the information required in the records of a licensee, in the application for a license, in the application for an exemption from the GCA, or in the application for relief from disability.
- (4) Statement material to the lawfulness of the transaction or application.
- (5) To whom was statement or representation made.
- (6) Date of statement or representation.
- (7) Place of making statement or representation or submission of the statement or representation.
- (8) False statement or representation in connection with the records of a licensee, the licensed States, or the person to whom the statement or representation was made.

37. Section 924(a)(2)(A).

- a. Description. Licensee knowingly makes a false statement or representation with respect to the information required to be kept in his records.
- b. Element.
  - (1) Licensed status of accused.
  - (2) Accused knowingly committed act
  - (3) Accused made false statement or representation with respect to information required to be kept in his records.
  - (4) Statement material to the lawfulness of the transaction.
  - (5) To whom was the statement or representation made.
  - (6) Date of statement or representation.
  - (7) Place where statement or representation was made or submitted.

38. Section 924(b).

- a. Description. Knowingly shipping, transporting, or receiving in interstate or foreign commerce a firearm or ammunition with intent to use such in an offense punishable by imprisonment for a term exceeding 1 year or with knowledge or reasonable cause to believe that such an offense is to be committed therewith.
- b. Elements.
  - (1) Accused knowingly committed act.
  - (2) Accused shipped, transported, or received firearm or ammunition in interstate or foreign commerce.

- (3) Date of such shipment, transportation, receipt of firearm or ammunition.
- (4) Interstate journey.
- (5) Intent to use firearm or ammunition in felony.
- (6) Knowledge or reasonable cause to believe that felony is to be committed therewith.

39. Section 924(c)(1).

- a. Description. Accused used or carried a firearm during and in relation to a Federal crime of violence or Federal drug trafficking crime.
- b. Elements.
  - (1) Accused used or carried a firearm during and in relation to a Federal crime of violence or Federal drug trafficking crime.
  - (2) Description of the relationship of firearm to the crime.
  - (3) Description of the crime, including statutory citation.
  - (4) Date and place of commission of crime.
  - (5) Document prior conviction if this is a second or subsequent violation under this section.

40. Section 924(e)(1).

- a. Description. Accused, who has three prior convictions for a violent felony or serious drug offense or both, and who knowingly shipped, transported, received, or possessed any firearm or ammunition in interstate or foreign commerce in violation of section 922(g).
- b. Elements.
  - (1) Accused knowingly committed act.
  - (2) Accused shipped, transported, received, or possessed a firearm or ammunition in interstate or foreign commerce in violation of section 922(g).
  - (3) Document three prior convictions for a violent felony, serious drug offense, or both.
  - (4) Dates and places of shipment, transportation, receipt, or possession of firearm.
  - (5) Predicate offenses committed on occasions different from each other.

41. Section 924(f).

- a. Description. Accused, with intent to engage in conduct which is (1) a RICO crime, (2) a drug trafficking crime, or (3) a crime of violence;

traveled into a State and acquired a firearm in furtherance of such purpose.

b. Elements.

- (1) Date of interstate travel.
- (2) Description of crime engaged in or intended to be engaged in.
- (3) Description of relationship of firearm to crime.
- (4) Date, time, and place accused acquired firearm.
- (5) Accused knowingly committed act.

42. Section 924(g).

a. Description. Accused transferred a firearm knowing that it will be used in a crime of violence or drug trafficking crime.

b. Elements.

- (1) Date and place accused transferred firearm.
- (2) Accused had knowledge or reasonable cause to believe that firearm was to be used in a crime of violence or drug trafficking crime.
- (3) Description of relationship of firearm to crime.
- (4) Accused knowingly committed act.

43. Section 929.

a. Description. Accused used or carried a firearm and is in possession of armor piercing ammunition capable of being fired in that firearm during and in relation to a crime of violence or Federal drug trafficking crime.

b. Elements.

- (1) Accused used or carried a firearm and is in possession of armor piercing ammunition capable of being fired in that firearm during and in relation to a Federal crime of violence or Federal drug trafficking
- (2) Certification by Firearms Technology that ammunition is armor piercing.
- (3) Description of the relationship to the crime.
- (4) Description of the crime, including statutory citation.
- (5) Date and place of commission of crime.

44. Section 930(a).

a. Description. Accused possessed, or caused to be present, a firearm or dangerous weapon in a Federal facility.

b. Elements.

- (1) Accused knowingly committed act.
- (2) Documentation that facility is a Federal facility as defined by section 930(e)(1).
- (3) Date, time, and place of possession.
- (4) How accused caused firearm to be present if not possessed.
- (5) Accused in not excepted individual as defined by section 930(c)(1).
- (6) Documentation that notice was posted at such facility or accused had actual notice of law.

45. Section 930(b).

- a. Description. Accused possessed, or caused to be present, a firearm or dangerous weapon in a Federal facility with intent that the firearm or dangerous weapon be used in a crime.
- b. Elements.
  - (1) Accused knowingly committed crime.
  - (2) Documentation that facility is a Federal facility as defined by section 930(e)(1).
  - (3) Date, time, and place of possession.
  - (4) How accused caused firearm to be present if not possessed
  - (5) Description of crime intended to be committed and role of accuse



DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

ATF 3310.4B  
HOCR Documents 1217  
12/09/97  
Exhibit 23

**IMPORTANT NOTICE TO PARTICIPANTS  
AT FLEA MARKETS**

The Bureau of Alcohol, Tobacco and Firearms (ATF) is charged with the responsibility of enforcing the Federal firearms laws. We seek the cooperative efforts of the general public in fulfilling this mandate. In furtherance of this task, this notice serves to advise you of several Federal firearms laws that regulate the sale of firearms

**THE GUN CONTROL ACT (GCA) OF 1968::**

- Prohibits persons from engaging in the business of dealing in firearms without a license issued by ATF (18 U.S.C. Section 922(a)(1)(A).) A sentence of up to 5 years imprisonment and/or a fine of up to \$250,000 may be imposed on anyone found guilty of dealing in firearms without a license.
- The term "dealer" in firearms includes "a person who devotes time, attention and labor to dealing in firearms as a regular course of trade or business with the principle objective of livelihood and profit through the repetitive purchase and resale of firearms..." (18 U.S.C. Section 921(a)(21)(c).)
- An applicant for a Federal firearms license must have a permanent business premise from which to conduct a firearms business (18 U.S.C. Section 923(d).)
- Persons licensed to deal in firearms by ATF may only conduct their business from the business location listed on the issued license or at a bona fide gun show (18 U.S.C. Section 923(j).) A flea market is not a gun show.

Collectively, the above cited laws prohibit any person from engaging in the business of dealing, selling, or trading in firearms at a flea market with few exceptions. The only exceptions would be an unlicensed individual making occasional firearms sales or for a Federal firearms licensee to display firearms and take orders for firearms. The following provisions apply to those exceptions:

**LICENSED FIREARMS DEALERS:**

- MAY display and take orders for firearms at a flea market as long as delivery of those firearms takes place from the licensed premise/business location.
- MAY NOT make sales of firearms to anyone at a flea market, unless selling firearms classified as curios or relics to other licensed firearms dealers.

**NONLICENSED RESIDENTS OF THE STATE WHERE THE FLEA MARKET IS BEING HELD:**

- MAY occasionally acquire or dispose of firearms to residents of that State as long as this activity does not fall under the definition of "dealer" in firearms.
- MAY dispose of firearms to a licensed firearms dealer from any State.
- MAY NOT acquire from or dispose of firearms to residents of any other State.
- MAY NOT engage in the business of dealing in firearms without being licensed.

**NONLICENSED RESIDENTS OF ANOTHER STATE:**

- MAY dispose of firearms to a licensed firearms dealer.
- MAY NOT acquire firearms from, or dispose of firearms to nonlicensed individuals.
- MAY NOT engage in the business of dealing in firearms without being licensed.

**REPORT ALL ILLEGAL FIREARMS ACTIVITY TO 1-800-ATF-GUNS**

Exhibit 4-1

**FACSIMILE MESSAGE**

DATE: OCTOBER 3, 1996  
 FM: SPECIAL AGENT IN CHARGE  
 MIAMI FIELD DIVISION  
 TO: CHIEF, FIREARMS ENFORCEMENT DIVISION  
 SUBJECT: ACHILLES SENTENCING  
 IN: 13250-96-9050A  
 ROE, RICHARD ET AL

**DESCRIPTION OF ACTIVITY:**

THIS IS TO ADVISE OF THE SENTENCING OF MULTIPLE ACHILLES DEFENDANTS IN THE ABOVE-REFERENCED INVESTIGATION.

**SYNOPSIS:**

ON OCTOBER 3, 1996, IN U.S. DISTRICT COURT, SOUTHERN JUDICIAL DISTRICT OF FLORIDA (CITY OF FORT LAUDERDALE), U.S. DISTRICT COURT JUDGE MAXIMUM PRONOUNCED THE FOLLOWING SENTENCES:

ROE, RICHARD: COUNT I (18 U.S.C. § 924(c))	- 60 MONTHS' INCARCERATION 24 MONTHS' SUPERVISED RELEASE \$5,000 FINE
COUNT V (18 U.S.C. § 924(e))	- 200 MONTHS' INCARCERATION 60 MONTHS' SUPERVISED RELEASE
DOE, JANE: COUNT VII (18 U.S.C. § 924(c))	- 60 MONTHS' INCARCERATION 24 MONTHS' SUPERVISED RELEASE

**NARRATIVE:**

1. THE RICHARD ROE INVESTIGATION CENTERED ON THE ARMED DRUG TRAFFICKING ACTIVITIES OF THE ROE ORGANIZATION, WHICH WAS A MAIN SOURCE OF NARCOTICS IN THE SOUTH FLORIDA AREA. MEMBERS OF THE ORGANIZATION ARE SUSPECTED OF COMMITTING NUMEROUS UNSOLVED DRUG-RELATED SHOOTING INCIDENTS AND HOMICIDES. DURING THIS INVESTIGATION, A TOTAL OF 11 FEDERAL SEARCH AND ARREST WARRANTS WERE EXECUTED, AND A TOTAL OF 28 FIREARMS, 4 VEHICLES, 2 PROPERTIES WORTH \$600,000, \$120,000 IN U.S. CURRENCY,

AND 11 KILOGRAMS OF COCAINE WERE SEIZED. AN ADDITIONAL FIVE DEFENDANTS WERE ARRESTED AND HAVE CHARGES PENDING IN BOTH STATE AND FEDERAL COURT.

2. RICHARD ROE HAS PRIOR FELONY CONVICTIONS TO INCLUDE ARMED ROBBERY (1981), AGGRAVATED ASSAULT (1983), FIRST-DEGREE DRUG TRAFFICKING (1987), AND AGGRAVATED ASSAULT WITH A WEAPON (1991).
3. JANE DOE HAS NUMEROUS PRIOR ARRESTS FOR NARCOTICS OFFENSES BUT NO PRIOR FELONY CONVICTIONS.

SPECIAL AGENT IN CHARGE

(NAME OF INCUMBENT)

RELEASING SIGNATURE

DATE/TIME

EXPEDITE \_\_\_\_\_

IMMEDIATE \_\_\_\_\_

ROUTINE \_\_\_\_\_



08/06/93

DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
REQUEST FOR TRACING FIREARMS

EXHIBIT 6  
CHANGE 8

PART I - GENERAL INFORMATION (See back for instructions)

1. PRIORITY <input type="checkbox"/> URGENT (Support request under remarks)  <input type="checkbox"/> EXPEDITE <input checked="" type="checkbox"/> ROUTINE		2. ORGANIZATIONAL CODE (ATF USE ONLY) 999999	
3. ATF INVESTIGATION NUMBER (optional) 09000-99-0000S		A. INITIATING ATF SPECIAL AGENT - ATF USE ONLY	
4. NAME Jane Smith		5. FIELD OFFICE Washington, DC	
6. FO TELEPHONE NO. 202 927-0000		B. OTHER AGENCY INVOLVED IN OR REQUESTING TRACE	
7. OFFICER'S NAME Jim Walker		9. MAILING ADDRESS (Include State or Foreign Country and ZIP Code) Metropolitan Police Department 100 H Street, NE Washington, DC 20000	
8. DEPARTMENT/UNIT Metropolitan Police Department Homicide		10. TELEPHONE NUMBER Area Code 202 111-1111	
		11. PANAFAX NUMBER Area Code 202 000-0000	
		12. AGENCY CASE NUMBER DC-93-02	

PART II - DESCRIPTION OF FIREARM (Completed by Special Agent/Officer)

1. MANUFACTURER Smith & Wesson		2. IMPORTER N/A		3. TYPE Revolver		4. MODEL 8000	
5. CALIBER OR GAUGE .38 caliber		6. MAGAZINE OR CYLINDER CAPACITY 6		7. BARREL LENGTH 6"		10. COUNTRY OF ORIGIN USA	
8. FINISH Blue		9. SERIAL NUMBER 007					

CRIME IMPACT PROGRAM CODES

<input checked="" type="checkbox"/> AC Title 18, USC, 924(c)	<input type="checkbox"/> BG Burglary	<input type="checkbox"/> FT Firearms Trafficking	<input type="checkbox"/> T1 Title 1 or Similar State Charge
<input type="checkbox"/> AE Title 18, USC, 924(e)	<input type="checkbox"/> KI Kidnap/Abduction	<input type="checkbox"/> NA Narcotics	<input type="checkbox"/> T2 Title 11 or Similar State Charge
<input type="checkbox"/> AS Assault	<input type="checkbox"/> MU Murder	<input type="checkbox"/> RO Robbery/Racketing	<input type="checkbox"/> Other _____
<input type="checkbox"/> E Explosives (Arms)		<input type="checkbox"/> SX Sex Crimes	

PART III - OTHER INFORMATION (Completed by Special Agent/Officer)

1. NAME OF PERSON IN POSSESSION OF FIREARM John Jones		2. ADDRESS 100 Broad Street Richmond, VA 23240			3. DRIVER'S LICENSE NUMBER/ STATE 11-11-1111/VA	
4. SEX M	5. RACE B	6. HEIGHT 6'	7. WEIGHT 175	8. SSN 111-11-1111	9. VEHICLE (Make, model, tag number) Chevrolet, Cavalier AAA-999	

10. RECOVERY LOCATION (Apartment number, street, city, state and country)

1150 Ridge Road  
Washington, DC

11. REMARKS

Firearm recovered from Jamaican Posse gang member. Five other guns recovered at the same time.

08/06/93

EXHIBIT 6

INSTRUCTIONS

CHANGE 8

PART I

Always indicate the trace priority in accordance with the following standards:

**PRIORITY I - URGENT** - Firearm used in crime of violence and/or information essential to apprehend or hold suspect. (Reason for urgency **MUST** be stated in the remarks section of Part III or this priority will not be initiated.

**PRIORITY II - EXPEDITE** - Time factor is essential to investigation.

**PRIORITY III - ROUTINE** - Trace is needed to complete investigation (e.g., establish interstate nexus).

PART II

1. **MANUFACTURER**

Always include the entire name shown on the firearm.

2. **IMPORTER**

Always include the entire name of importer.

3. **TYPE**

Indicate pistol, revolver, rifle, shotgun, etc. Any handgun that does not have a cylinder is classified as a pistol. Also indicate if single-action or double-action. If it is a shotgun or rifle, indicate when multifarrel or combination gun.

4. **MODEL**

The model designation can be a letter or numerical designation, brand name, or a combination thereof.

5. **CALIBER OR GAUGE**

Always specify .22 short, 22 L.R., or .22 Magnum caliber; 9mm short (380) or 9mm Parabellum caliber. Be sure to include any names with the caliber since 9mm Corto and 9mm Kurtz indicate 9mm short (380) caliber.

6. **MAGAZINE OR CYLINDER CAPACITY**

For revolvers, show the number of cartridges that the cylinder will hold. For pistols, show the magazine capacity, if possible. For derringers, show the number of barrels.

7. **BARREL LENGTH**

Be exact, always measure. Revolvers-from the muzzle to the face of the cylinder. Pistol-from the muzzle to the face of the breech with the slide in the forward position. Derringers from the muzzle to the face of the breech with the frame and barrel components in a locked position.

8. **FINISH**

Indicate blue, chrome, stainless, or a combination thereof.

9. **SERIAL NUMBER**

Always include letter prefix, suffix, code numbers, or letters over or under the serial number. If a different serial number appears several places, indicate location of each.

10. **COUNTRY OF ORIGIN**

May appear under the grips or other hidden location.

PART III - Completed by Special Agents/Officers.

Race Codes	Code
American Indian or Alaskan-Native	I
Asian or Pacific Islander	A
Black, not of Hispanic Origin	B
Hispanic	H
White, not of Hispanic Origin	W
Unknown	U

Under 9. Vehicle, please include the make, model, tag number, etc. of the vehicle where the firearm was recovered or any vehicle that was at the crime scene.

When submitting a trace request, note any **SPECIAL INSTRUCTIONS** in box 11. For example, if you wish the trace stopped at the wholesaler or if the trace is only needed to prove interstate, it should be so stated in this caption. **NOTE:** specify manufacturer, wholesaler, or retailer when referring to type of dealer.

This section should be filled out as completely as possible in order to gain information that can be utilized through Project LEAD.

Questions concerning any information requested on this form should be directed to the Special Agent in Charge, National Tracing Center, at 1-800-788-7133 or 1-800-578-7223 (FAX).

DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
**NOTICE OF ABANDONMENT OF PROPERTY**

ATF O 3310.4B  
~~FEB~~ C 8 1988  
Exhibit 7

DATE:

INVESTIGATION NUMBER:

Owner: Thomas J. Chandler  
residing at 11826 Avenue A, Broken Bow, Oklahoma 74943

voluntarily abandon all rights and claim to (give complete description of the property)

- 1. M-II Carbine, 30 caliber, Inland Manufacturing Company, serial number 102266.

LAST ITEM

in order that appropriate disposition may be made thereof by the Bureau of Alcohol, Tobacco and Firearms

Signature of Owner

/s/ John Ire, Special Agent, ATF  
Special Agent

/s/ Richard Green, Special Agent, ATF  
Special Agent

## Exhibit 8

NATIONAL FIREARMS ACT OFFENSES  
26 U.S.C. CHAPTER 53

1. 26 U.S.C. section 5802.
  - a. Description. Licensed and registered firearms manufacturer, importer, or dealer having change in location or trade name and commencing operations at new location or in new trade name without prior approval of the Secretary.
  - b. Elements.
    - (1) Accused engaged in the business charged.
    - (2) Dates of transaction charged as engaging in business.
    - (3) Descriptions of transaction charged.
    - (4) Other factors that are present to show an engaging in business when only a single transaction is charged.
    - (5) Place of engaging in business
    - (6) The filing of the registration at one address or showing one name or trade name.
    - (7) Change in location or in trade name.
    - (8) Date of change in location or trade name.
    - (9) Operations at new location or in new trade name.
    - (10) Failure to have approval of Secretary or delegate of application for amendment of registration prior to commencement of operations at new location or in new name.
2. 26 U.S.C. section 5802.
  - a. Description. Person engaged in business as a manufacturer, importer, or dealer who had a change in location or in trade name and failed to file an application to amend his registration as required.
  - b. Elements.
    - (1) Accused engaged in the business charged.
    - (2) Dates of transaction charged as engaging in business.
    - (3) Descriptions of transactions charged.
    - (4) Other factors that are present to show an engaging in business when only a single transaction is charged.
    - (5) Place of engaging in business.

- (6) The filing of the registration at one address or showing one name or trade name.
  - (7) Change of location or trade name.
  - (8) Date of change in location or trade name.
  - (9) Operations at new location or in new trade name.
  - (10) Failure to file amendment to registration to show name.
3. 26 U.S.C. section 5841.
- a. Description. Failure of manufacturer to register the manufacture of a firearm as required by 26 U.S.C. section 5841 and 26 C.F.R. section 179.103.
  - b. Elements.
    - (1) Manufacturer was qualified under 26 U.S.C. section 5801
    - (2) Manufacturer made firearm.
    - (3) Date of manufacture.
    - (4) Place of manufacture.
    - (5) Date manufacturer required to register such manufacture.
    - (6) Failure to register such manufacture. (Offense would be in Washington, DC.)
4. 26 U.S.C section 5841.
- a. Description. Failure of importer to register an imported firearm as required by 26 U.S.C. section 5841 and 26 C.F.R. section 179.112.
  - b. Elements.
    - (1) Qualified or nonqualified status of importer.
    - (2) Firearm was imported.
    - (3) Date of release from U.S. Customs.
    - (4) Place of release.
    - (5) Location of importer's premises.
    - (6) Date importer required to register importation.
    - (7) Nonregistration. (Offense would be in Washington, DC.)
5. 26 U.S.C. section 5861(a).
- a. Description. Engaging in business of an importer, manufacturer, or dealer in firearms without having paid the occupational tax required b 26 U.S.C. section 5801.
  - b. Elements.

- (1) Accused engaged in the business charged.
  - (2) Dates of transaction charged as engaging in business
  - (3) Descriptions of transaction is charged.
  - (4) Other factors that are present to show an engaging in business when only a single transaction is charged.
  - (5) Place of engaging in business
  - (6) Failure to pay the occupational tax (26 U.S.C. section 5801) for the business charged.
- c. Exception. Accused is a person conducting a firearm manufacturing business exclusively with or on behalf of the United States or any department, independent establishment, or agency thereof, and the accused has been relieved of such liability by the Director (26 U.S.C. section 5851; 26 C.F.R. section 179-33).
6. 26 U.S.C. section 5861(a).
- a. Description. Engaging in business as a manufacturer, importer, or dealer in firearms without having registered as required by 26 U.S.C section 5802.
  - b. Elements.
    - (1) Accused engaged in the business charged.
    - (2) Dates of transaction charged as engaging in business.
    - (3) Descriptions of transactions charged.
    - (4) Other factors that are present to show an engaging in business when only a single transaction is charged.
    - (5) Place of engaging in business
    - (6) Failure of accused to register as required.
      - (a) If offense is failure to register on first engaging in business, show failure such and date of commencement.
      - (b) If offense is failure to register on or before July 1 of current year, show this.
7. 26 U.S.C. section 5861(b).
- a. Description. Accused received or possessed a firearm transferred to him in violation of the provisions of 26 U.S.C. chapter 53.
  - b. Elements.
    - (1) Accused received a firearm or possessed a firearm.
    - (2) Date of receipt or date of possession.
    - (3) Place of receipt or place of possession.

- (4) Description of firearm as one covered by the act.
  - (5) Transfer of firearm to the accused.
  - (6) The transferor had not filed a written application for such transfer and registration on the form prescribed and prior approval of the application was not obtained before delivery to transferee.
8. 26 U.S.C. section 5861(b).
- a. Description. Accused received or possessed a firearm transferred to him in violation of the provisions of 26 U.S.C. chapter 53.
  - b. Elements.
    - (1) Accused received a firearm or possessed a firearm.
    - (2) Date of receipt or date of possession
    - (3) Place of receipt or place of possession.
    - (4) Description of firearm as one covered by the act
    - (5) Transfer of the firearm to the accused.
    - (6) The tax payable on the transfer had not been paid as one required by law.
9. 26 U.S.C. section 5861(b).
- a. Description. Accused received or possessed a firearm transferred to him in violation of the provisions of 26 U.S.C. chapter 53.
  - b. Elements.
    - (1) Accused received a firearm or possessed a firearm.
    - (2) Date of receipt or date of possession.
    - (3) Place of receipt or place of possession
    - (4) Description of firearm as one covered by the act.
    - (5) Transfer of the firearm to the accused.
    - (6) Transfer was made prior to approval of the application by the Secretary or his delegate. (Application was filed.)
10. 26 U.S.C. section 5861(c).
- a. Description. Accused did receive or possess a firearm made in violation of provisions of 26 U.S.C. chapter 53.
  - b. Elements.
    - (1) Accused received a firearm or possessed a firearm.
    - (2) Date of receipt or date of possession.
    - (3) Place of receipt or place of possession

- (4) Description of firearm as one covered by the act
- (5) History of firearm
  - (a) When made
  - (b) Where made
  - (c) By whom.
- (6) Absence of compliance with section 5822
  - (a) Failure to file application to make and register the firearm.
  - (b) Failure to pay the making tax and place tax stamp on application.
  - (c) Making the firearm prior to obtaining approval of the application.

NOTE: The items shown as 6(a), (b), and (c) above are possible ways of causing the making of the firearm to have been unlawful. Any one of these would support an offense; however, it is possible that two or more would still constitute only a single offense. Section 5822 requires other things than the three shown, but ATF takes the position that if the maker files an application, he/she would identify the firearm to be made, and identify himself/herself as required.

11. 26 U.S.C. section 5861(d).

- a. Description. Receiving a firearm that is not registered to the accused
- b. Elements.
  - (1) Accused received a firearm.
  - (2) Date of receipt.
  - (3) Place of receipt.
  - (4) Firearm not registered to accused.
  - (5) Name of transferor, if known.

12.26 U.S.C. section 5861(d).

- a. Description. Possession of a firearm that is not registered to the accused.
- b. Elements.
  - (1) Accused possessed a firearm.
  - (2) Date of possession.
  - (3) Place of possession.
  - (4) Firearm not registered to accused
  - (5) Name of transferor, if known.



- (6) If there is no indication that the firearm was acquired by transfer, name of maker and date of making.
- (7) Any indication of importation. Was the accused ever out of the United States?

NOTE: (5), (6), and (7) are not necessary elements of this offense.

13. 26 U.S.C. section 5861(e).

- a. Description. Accused transferred a firearm in violation of provisions of 26 U.S.C. chapter 53.
- b. Elements.
  - (1) Accused transferred a firearm.
  - (2) Name of transferee.
  - (3) Date of transfer.
  - (4) Place of transfer.
  - (5) Noncompliance with provisions of 26 U.S.C. section 5812 on transfer.
    - (a) Nonpayment of transfer tax
    - (b) No written application for transfer and registration.
    - (c) Transfer before approval of this application.
  - (6) Was transferor in legal possession. (If possession was illegal, it is possible that *Haynes v. United States*, 390 U.S. 85 (1968) apply if compliance with section 5812 would violate the accused's fifth amendment privilege against self-incrimination.)

14. 26 U.S.C. section 5861(f).

- a. Description. Making a firearm in violation of provisions of 26 U.S.C. chapter 53.
- b. Elements.
  - (1) Accused made a firearm.
  - (2) Date of making.
  - (3) Place of making.
  - (4) Noncompliance with 26 U.S.C. section 5822
    - (a) No written application.
    - (b) No tax payment.
    - (c) Firearm made prior to approval of application.

15. 26 U.S.C. section 5861(g).

- a. Description. Obliterating, removing, changing, or altering the required serial number or other identification of a firearm.
  - b. Elements.
    - (1) Accused obliterated, removed, changed, or altered the firearm serial number or other identification of a firearm.
    - (2) Date of such obliteration
    - (3) Place of such obliteration.
    - (4) Obliterated serial number or identification was a serial number, etc., required under the NFA.
16. 26 U.S.C. section 5861(h).
- a. Description. Receiving or possessing a firearm having the required serial number or other identification obliterated, removed, changed or altered.
  - b. Elements.
    - (1) Accused received or possessed a firearm.
    - (2) Serial number or other identification was obliterated, removed, changed, or altered.
    - (3) Serial number or other identification obliterated was one required by NFA.
    - (4) Date of possession.
    - (5) Place of possession.
17. 26 U.S.C. section 5861(i).
- a. Description. Receiving or possessing a firearm that was not identified by a serial number, as required by 26 U.S.C. section 5842.
  - b. Elements.
    - (1) Accused received or possessed a firearm.
    - (2) Firearm did not bear the serial number required by 26 U.S.C section 5842.
    - (3) Date of receipt and/or date of possession
    - (4) Place of receipt and/or place of possession.
18. 26 U.S.C. section 5861(j).
- a. Description. Transporting, delivering, or receiving in interstate commerce a firearm that had not been registered, as required by 26 U.S.C. chapter 53.
  - b. Elements.
    - (1) Accused transported, delivered, or received a firearm.

- (2) Interstate commerce
    - (a) Transferred from one State to another.
    - (b) Delivered from one State to another
    - (c) State in receipt of a firearm shipped, transported, or delivered from another State
  - (3) Date of transportation, delivery, or receipt.
  - (4) Nonregistration of firearm.
19. 26 U.S.C. section 5861(k).
- a. Description. Receiving or possessing a firearm that had been imported or brought into the United States in violation of 26 U.S.C. section 5844.
  - b. Elements.
    - (1) Accused received or possessed a firearm.
    - (2) Date of receipt or date of possession.
    - (3) Place of receipt or place of possession.
    - (4) Firearm had been imported or brought into the United States from outside the country.
    - (5) How was such importation in violation of 26 U.S.C. section 5844?
20. 26 U.S.C. section 5861(l).
- a. Description. Making or causing a false entry to be made in any required application, return, or record, knowing such entry to be false.
  - b. Elements.
    - (1) Accused made, or caused to be made, an entry in an application, return, or record.
    - (2) Date of making such entry.
    - (3) Place of making such entry.
    - (4) Application, return, or record was one required by NFA.
    - (5) Entry was false
    - (6) Accused knew such entry to be false.

## Exhibit 9

STATUTES VIOLATED--CASE REPORT  
 NATIONAL FIREARMS ACT  
 26 U.S.C. CHAPTER 53  
 RE: CHAPTER D

It shall be unlawful:

1. Section 5802. For any licensed and registered firearms manufacturer, importer, or dealer to change his/her location or trade name and commence operation without notifying and receiving approval of the Secretary of the Treasury.
2. Section 5841. For any manufacturer, importer, or maker to manufacture, import, or make a firearm without registering it with the Secretary of the Treasury.
3. Section 5861.
  - a. To engage in business as a manufacturer, importer, or dealer in firearms without having paid the special tax required.
  - b. To receive or possess a firearm transferred in violation of 26 U.S.C. chapter 53.
  - c. To receive or possess a firearm made in violation of 26 U.S.C. chapter 53.
  - d. To receive or possess a firearm that is not registered to him in the National Firearms Registration and Transfer Record.
  - e. To transfer a firearm in violation of the provisions of 26 U.S.C. chapter 53.
  - f. To make a firearm in violation of 26 U.S.C. chapter 53.
  - g. To obliterate, remove, change, or alter the serial number or other identification required by 26 U.S.C. chapter 53.
  - h. To receive or possess a firearm having the serial number or other identification, as required by 26 U.S.C. chapter 53, obliterated, removed, changed, or altered.
  - i. To receive or possess a firearm that is not identified by a serial number, as required.
  - j. To transport, deliver, or receive any firearm in interstate commerce that is registered as required by 26 U.S.C. section 5841(c) or (d).
  - k. To receive or possess a firearm that was imported or brought into the U.S. in violation of 26 U.S.C. section 5844.
  - l. To make, or cause the making of, a false entry on any application, return, or record required, knowing such entry to be false.
4. Section 5871. Any person who violates or fails to comply with any provision of this chapter shall upon conviction be fined not more than \$10,000, imprisoned for not more than 10 years, or both.

Exhibit 10

## ARMS EXPORT CONTROL ACT OFFENSES

1. 22 U.S.C. section 2778(b)(1).
  - a. Description. Person willfully engaged in the business of importing articles on the U.S. Munitions Import List without-registering as required by 22 U.S.C. section 2778.
  - b. Elements.
    - (1) Accused willfully engaged in the business as charged.
    - (2) Dates of transaction charged as engaging in business.
    - (3) Descriptions of transaction charged.
    - (4) Presence of other factors if accused is charged with only one transaction.
    - (5) Place of engaging in business.
    - (6) Failure of accused to register as required.
2. 22 U.S.C. section 2778(b)(2).
  - a. Description. Person willfully imported articles on the U.S. Munitions Import List without a permit as required by 22 U.S.C. section 2778.
  - b. Elements.
    - (1) Accused willfully imported articles.
    - (2) Description of articles imported.
    - (3) Dates of the act.
    - (4) Places of importation.
    - (5) No application for, or issuance of, a permit.
3. 22 U.S.C. section 2778(c).
  - a. Description. Person willfully made an untrue statement of a material fact or omitted a required or necessary material fact required to be stated therein or necessary to make the statements therein not misleading in a registration or license application or required report.
  - b. Elements.
    - (1) Accused willfully committed act.
    - (2) Accused made a false statement or failed to make statement as required.
    - (3) Statement was material to the lawfulness of the registration or permit application.
    - (4) To whom statement was made.
    - (5) Date of application.

- (6) Place where application was filed.
4. 18 U.S.C. section 545.
- a. Description. Person fraudulently or knowingly imported any article on the U.S. Munitions Import List into the United States.
- b. Elements.
- (1) Accused fraudulently or knowingly imported article.
  - (2) Importation of article on U.S. Munitions Import List.
  - (3) Description of article.
  - (4) Dates of importation.
  - (5) Places of importation.
5. 18 U.S.C. section 545.
- a. Description. Person knowingly received, concealed, bought, or sold an article on the U.S. Munitions Import List that was illegally imported.
- b. Elements.
- (1) Accused knowingly committed act.
  - (2) Accused received, concealed, bought, or sold the article.
  - (3) Description of the article.
  - (4) Illegal importation of article.
  - (5) Date and place of receipt by the accused.
  - (6) Basis of belief that accused knew or had reasonable cause to believe that the article was illegally imported.
6. 18 U.S.C. section 545.
- a. Description. Person knowingly, or in any manner, facilitated the transportation, concealment, or sale after illegal importation of any article on the U.S. Munitions Import List.
- b. Elements.
- (1) Person knowingly committed act.
  - (2) Accused facilitated the transportation, concealment, or sale of the article.
  - (3) Description of the article.
  - (4) Illegal importation of article.
  - (5) Date and place of accused actions in facilitating the transportation, etc., of the article.
  - (6) Basis of belief that accused knew or had reasonable cause to believe that the article was illegally imported.

Exhibit 11

## ARMS EXPORT CONTROL ACT (AECA), AS AMENDED

22 U.S.C. section 2778.

- (b) It shall be unlawful for any person to:
  - (1) Willfully engage in the business of importing articles on the U.S. Munitions List without registering with the Director, Bureau of Alcohol, Tobacco and Firearms.
  - (2) Willfully import articles on the U.S. Munitions List without a permit
- (c) It shall be unlawful for any person to willfully violate any provisions of this section, make any untrue statement of a material fact, or fail to state a required or necessary material fact on a registration or permit application. The accused, upon conviction, shall be fined not more than \$100,000, imprisoned for not more than 2 years, or both.

The following may be applicable when investigating violations of the AECA:

18 U.S.C. section 545.

- (a) It shall be unlawful for any person to:
  - (1) Knowingly import any article on the U.S. Munitions Import List into the U.S. that is contrary to the law.
  - (2) Knowingly receive, conceal, buy, or sell any article on the U.S. Munitions List that is imported into the United States contrary to the law.
  - (3) Knowingly or in any manner, facilitate the transportation, concealment, or sale after importation or any article on the U.S. Munitions List into the U.S. that is contrary to the law. The accused, upon conviction, shall be fined not more than \$10,000, imprisoned more than 5 years, or both.



Exhibit 12

DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
WASHINGTON, D.C. 20226

TO: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**NOTICE OF UNLICENSED FIREARMS DEALING VIOLATION**

Recently, your participation in the transfer of firearms has come to the attention of the Bureau of Alcohol, Tobacco and Firearms (ATF). ATF is charged with the responsibility of enforcing the Federal firearms laws and, as part of that duty, we must license persons lawfully dealing in firearms as well as prevent unlicensed dealing. We seek the cooperative efforts of the general public in fulfilling this mandate.

Your firearms activity may bring you within the definition of a dealer in firearms as defined by the Gun Control Act of 1968, as amended. This definition ( 18 U.S.C. section 921(a)(21)(c)) includes "a person who devotes time, attention and labor to dealing in firearms as a regular course of trade or business with the principal objective of livelihood and profit through the repetitive purchase and resale of firearms. . ."

To willfully deal in firearms without a license issued by ATF would place you in violation of 18 U.S.C. section 922(a)(1)(A). A sentence of not more than 5 years' imprisonment and/or a \$5,000 fine may be imposed on anyone found guilty of dealing in firearms without a license. Attached to this notice is an ATF F 7, Application for License Under 18 U.S.C. Chapter 44, Firearms. If you conduct firearms activities on a scale that would bring you within the scope of the above definition of a "dealer," then you need to apply for a Federal firearms license. Please feel free to contact the nearest ATF office for assistance.

Sincerely yours,

\_\_\_\_\_  
Special Agent in Charge

Served By:

\_\_\_\_\_  
Special Agent

Date: \_\_\_\_\_

Location: \_\_\_\_\_

\_\_\_\_\_

I acknowledge receipt of this notice.

\_\_\_\_\_

Date: \_\_\_\_\_

DEPARTMENT OF THE TREASURY—BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
**APPLICATION FOR LICENSE**  
 UNDER 18 U.S.C. CHAPTER 44, FIREARMS

Exhibit 13

**INSTRUCTION SHEET FOR ATF FORM 7**

(Detach this instruction sheet before submitting your application. Save the information on the reverse.)

1. Issuance of your license under 18 U.S.C. Chapter 44 will be delayed if the fee is omitted or incorrect, or if the form submitted is incomplete or otherwise improperly prepared. This application should be submitted at least 45 days prior to the date that the license is required.
2. Submit the original of ATF Form 7 and total fees to the appropriate Bureau of Alcohol, Tobacco and Firearms post office box listed on the reverse (CAUTION: Submission of this application does NOT authorize you to engage in any of the activities covered by the requested license. A license must be received before operations are commenced.)
3. PRINT with ball-point pen or typewriter (except for signature at end). If separate sheets are needed, they must be:
  - a. Identified with your name and address at the top of the page, and
  - b. Referenced by the question number being expanded.
4. A license will not be issued to an applicant who intends to conduct his firearms business from a private residence unless his firearms business premises is accessible to the public; that is, the clientele that the business is set up to serve. If a license is issued, ATF officers will have access to the firearms business premises during business hours and this access includes entry into the non-public portion of the residence, if necessary.
5. A license under 18 U.S.C. Chapter 44:
  - a. Will NOT be issued to an applicant who intends to engage in a firearms activity not covered by the license applied for. (Describe your intended activity in Item 15.)
  - b. Is NOT a license to carry, use, or possess a firearm, and
  - c. Confers NO right or privilege to conduct business or activity contrary to State or other law.
6. Make your check or money order payable to the Bureau of Alcohol, Tobacco and Firearms. Include your employer identification number or social security number on the check or money order. See Item 10 of ATF Form 7 for the correct fees. Postdated checks are not acceptable. Licenses are issued for a period of THREE YEARS. No refund of any part of a license fee shall be made where the operations of the license are, for any reason, discontinued during the period.
  - a. MULTIPLE LICENSES — You can apply for more than one license by checking more than one Type in Item 10, provided that the fee for each Type is paid and the business is to be conducted at the same location.
  - b. MULTIPLE LOCATIONS — A separate application and license fee is required for the business at each location.
7. RESPONSIBLE PERSONS — As used at Item 18, means:
  - a. In the case of a corporation, partnership, or association, any individual possessing, directly or indirectly, the power to direct or cause the direction of the management, policies, and buying and selling practices of the corporation, partnership, or association, insofar as such management, policies and buying and selling practices pertain to firearms or ammunition, and
  - b. In the case of a corporation, association, or similar organization, any person owning ten percent or more of the outstanding shares of stock issued by the applicant business, and
  - c. In the case of a corporation, association, or similar organization, the officers and directors thereof.
8. The certification in Item 22 must be executed (signed) by the owner, a partner, or in the case of a corporation, association, etc., by an officer duly authorized to sign for the applicant.
9. Applicants intending to engage in business involving National Firearms Act (NFA) weapons must also pay special (occupational) tax prior to beginning business involving these weapons (26 U.S.C. 5801). For information, contact the NFA Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226.
10. The ATF Regional Director (Compliance) will:
  - a. Issue a license if your application is approved, or
  - b. Advise you in writing of the reasons for denial of application and return the fee.
11. IF YOU HAVE ANY QUESTIONS relating to this application, please contact the appropriate ATF Licensing Section listed on the reverse.

ATF O 3310.4B

FEB 08 1988

Exhibit 13

IF YOUR BUSINESS IS TO BE CONDUCTED IN:	MAIL APPLICATION AND TOTAL FEES TO BUREAU OF ALCOHOL, TOBACCO AND FIREARMS:	DIRECT QUESTIONS REGARDING THIS APPLICATION TO ATF LICENSING SECTION:
Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont, Virgin Islands, Puerto Rico	P.O. Box 85433 Dallas, Texas 75285	6 World Trade Center—6th Floor New York, New York 10048 PHONE: (212) 264-1733
Delaware, District of Columbia, Maryland, New Jersey, Pennsylvania, Virginia	P.O. Box 85505 Dallas, Texas 75285	Federal Building/U.S. Post Office 9th and Market Streets—6th Floor Philadelphia, Pennsylvania 19107 PHONE: (215) 597-2238
Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina, Tennessee	P.O. Box 85219 Dallas, Texas 75285	3835 Northeast Expressway P O Box 2994 Atlanta, Georgia 30370 PHONE: (404) 455-2675
Ohio, Kentucky, Indiana, Michigan, West Virginia	P.O. Box 85312 Dallas, Texas 75285	6519 Federal Office Building 550 Main Street Cincinnati, Ohio 45202 PHONE: (513) 684-3715
Illinois, Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, South Dakota, Wisconsin	P.O. Box 85260 Dallas, Texas 75285	230 S Dearborn Street—15th Floor Chicago, Illinois 60604 PHONE: (312) 353-3894
Arkansas, Colorado, Louisiana, New Mexico, Oklahoma, Texas, Wyoming	P.O. Box 85408 Dallas, Texas 75285	1114 Commerce Street—7th Floor Dallas, Texas 75242 PHONE: (214) 767-2277
Alaska, Arizona, California, Hawaii, Idaho, Montana, Nevada, Oregon, Utah, Washington, Guam, Northern Mariana Islands	P.O. Box 85537 Dallas, Texas 75285	525 Market Street—34th Floor San Francisco, California 94105 PHONE: (415) 974-9632

#### PRIVACY ACT INFORMATION

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552a(e)(3)):

- AUTHORITY:** Solicitation of this information is authorized pursuant to 18 U.S.C. § 923(a) of the Gun Control Act of 1968. Disclosure of this information is mandatory, if the applicant wishes to obtain a Federal firearms license.
- PURPOSE:** To determine the eligibility of the applicant to obtain a firearms license, to determine the ownership of the business, the type of firearms or ammunition to be dealt in, the business hours, the business history and the identity of the responsible person in the business.
- ROUTINE USES:** The information will be used by ATF to make determinations set forth in paragraph 2. In addition, information may be disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the enforcement and regulation of firearms and/or ammunition where such disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the application when such disclosure is not prohibited by law.
- EFFECTS OF NOT SUPPLYING INFORMATION REQUESTED:** Failure to supply complete information will delay processing and may result in denial of the application.

The following information is provided pursuant to Section 7(b) of the Privacy Act of 1974:

Disclosure of the individual's social security number is voluntary. Under 18 U.S.C. § 923(a), ATF has the authority to solicit this information. The number may be used to verify the individual's identity.

#### PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1980. The information collection is used to determine the eligibility of the applicant to engage in certain operations, to determine location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (18 U.S.C. 923).

DEPARTMENT OF THE TREASURY BUREAU OF ALCOHOL, TOBACCO AND FIREARMS <b>APPLICATION FOR LICENSE</b> UNDER 18 U.S.C. CHAPTER 44, FIREARMS		FOR ATF USE ONLY ATF O 3310.4 FEB C B 1988 Exhibit 13
1. NAME OF OWNER OR CORPORATION (If partnership, include name of each partner)		
2. TRADE OR BUSINESS NAME, IF ANY		3. EMPLOYER IDENTIFICATION NUMBER OR SOCIAL SECURITY NUMBER
4. NAME OF COUNTY IN WHICH BUSINESS IS LOCATED		6. BUSINESS LOCATION (If no street address in item 5, show directions and distance from nearest P.O. or city limits)
5. BUSINESS ADDRESS (RFD or street no., city, State, ZIP Code)		7. TELEPHONE NUMBER (Include Area Code.) BUSINESS _____ RESIDENCE _____
8. APPLICANT'S BUSINESS IS <input type="checkbox"/> INDIVIDUALLY OWNED <input type="checkbox"/> A CORPORATION <input type="checkbox"/> A PARTNERSHIP <input type="checkbox"/> OTHER (Specify) _____		9. IS ANY BUSINESS OTHER THAN THAT FOR WHICH THE LICENSE APPLICATION IS BEING MADE CONDUCTED ON THE BUSINESS PREMISES? (If "Yes," give the general nature of that business) <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
10. APPLICATION IS MADE FOR A LICENSE UNDER 18 U.S.C CHAPTER 44 AS TO: (Place an "X" in column (b) of the appropriate line. Submit the fee shown in column (c) with the application.)		
TYPE:	DESCRIPTION OF LICENSE TYPE (a)	"X" (b)
01	DEALER IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES OR AMMUNITION FOR OTHER THAN DESTRUCTIVE DEVICES (INCLUDES: Rifles, Shotguns, Pistols, Revolvers, Ammunition only, Gunsmith activities and National Firearms Act (NFA) Weapons)	\$30
02	PAWNBROKER DEALING IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES OR AMMUNITION FOR FIREARMS OTHER THAN DESTRUCTIVE DEVICES	\$75
03	COLLECTOR OF CURIOS AND RELICS (Note: Omit items 11 and 12 if checked here and no other licenses are applied for.)	\$30
06	MANUFACTURER OF AMMUNITION FOR FIREARMS OTHER THAN DESTRUCTIVE DEVICES	\$30
07	MANUFACTURER OF FIREARMS OTHER THAN DESTRUCTIVE DEVICES	\$180
08	IMPORTER OF FIREARMS OTHER THAN DESTRUCTIVE DEVICES OR AMMUNITION FOR FIREARMS OTHER THAN DESTRUCTIVE DEVICES	\$180
09	DEALER IN DESTRUCTIVE DEVICES OR AMMUNITION FOR DESTRUCTIVE DEVICES	\$3000
10	MANUFACTURER OF DESTRUCTIVE DEVICES OR AMMUNITION FOR DESTRUCTIVE DEVICES	\$3000
11	IMPORTER OF DESTRUCTIVE DEVICES OR AMMUNITION FOR DESTRUCTIVE DEVICES	\$3000
MAKE CHECK OR MONEY ORDER PAYABLE TO THE BUREAU OF ALCOHOL, TOBACCO AND FIREARMS		TOTAL FEES \$
*NOTE: Applicants intending to engage in business relating to NFA weapons (including destructive devices and ammunition for destructive devices) are required to pay a special (occupational) tax before commencing business (26 U.S.C. 5801). For information, contact the NFA Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226.		
11. HOURS OF OPERATION OF APPLICANT'S BUSINESS		12. ARE THE APPLICANT'S BUSINESS PREMISES OPEN TO THE GENERAL PUBLIC DURING THESE HOURS?
Time	Sunday	Monday
Tuesday	Wednesday	Thursday
Friday	Saturday	
Open		
Close		
13. IS APPLICANT PRESENTLY ENGAGED IN A BUSINESS REQUIRING A FEDERAL FIREARMS LICENSE? (If "Yes," answer 14.) <input type="checkbox"/> YES <input type="checkbox"/> NO		<input type="checkbox"/> YES <input type="checkbox"/> NO (If "No," give explanation on separate sheet.)
15. DESCRIBE SPECIFIC ACTIVITY APPLICANT IS ENGAGED IN, OR INTENDS TO ENGAGE IN, WHICH WILL REQUIRE A FEDERAL FIREARMS LICENSE (e.g., dealer in rifles, shotguns, revolvers and ammunition, dealer in ammunition only, gunsmith, dealer in machine guns, etc.)		14. PRESENT LICENSE NUMBER
IF BUSINESS OBTAINED FROM SOMEONE ELSE GIVE		
16. NAME		17. LICENSE NUMBER

ATF O 3310 4B

18. LIST BELOW THE INFORMATION REQUIRED FOR EACH INDIVIDUAL OWNER. (sole owners must include themselves, PARTNER, AND OTHER RESPONSIBLE PERSONS (see Instruction 7) IN THE APPLICANT BUSINESS. IF A FEMALE, LIST GIVEN NAMES AND MAIDEN, IF MARRIED, e.g., "MARY ALICE (SMITH) JONES," NOT "MRS. JOHN JONES." (If additional space is needed, use a separate sheet.)

FULL NAME	POSITION AND SOCIAL SECURITY NO.	HOME ADDRESS (Include ZIP Code)	PLACE OF BIRTH	DATE OF BIRTH


19. HAS APPLICANT OR ANY PERSON LISTED ABOVE: (If "Yes," place an "X" by the name and show city and State at right.)

YES	NO	CITY
<input type="checkbox"/>	<input type="checkbox"/>	
A. HELD A FEDERAL FIREARMS LICENSE		
<input type="checkbox"/>	<input type="checkbox"/>	
B. BEEN DENIED A FEDERAL FIREARMS LICENSE		
<input type="checkbox"/>	<input type="checkbox"/>	
C. BEEN AN OFFICER IN A CORPORATION HOLDING A FEDERAL FIREARMS LICENSE		
<input type="checkbox"/>	<input type="checkbox"/>	
D. BEEN AN EMPLOYEE RESPONSIBLE FOR FIREARMS ACTIVITIES OF A FEDERAL FIREARMS LICENSEE		
<input type="checkbox"/>	<input type="checkbox"/>	
		STATE

GIVE FULL DETAILS ON SEPARATE SHEET FOR ALL "Yes" ANSWERS IN ITEMS 20 & 21. YES NO.

20. IS APPLICANT OR ANY PERSON NAMED IN ITEM 18 ABOVE:	A. CHARGED BY INFORMATION OR UNDER INDICTMENT IN ANY COURT FOR A CRIME PUNISHABLE BY IMPRISONMENT FOR A TERM EXCEEDING ONE YEAR*		
	B. A FUGITIVE FROM JUSTICE		
	C. AN ALIEN WHO IS ILLEGALLY OR UNLAWFULLY IN THE UNITED STATES		
	D. UNDER 21 YEARS OF AGE		
	E. AN UNLAWFUL USER OF OR ADDICTED TO MARIJUANA OR ANY DEPRESSANT, STIMULANT OR NARCOTIC DRUG		
21. HAS APPLICANT OR ANY PERSON NAMED IN ITEM 18 EVER:	A. BEEN CONVICTED IN ANY COURT OF A CRIME PUNISHABLE BY IMPRISONMENT FOR A TERM EXCEEDING ONE YEAR*		
	B. BEEN DISCHARGED FROM THE ARMED FORCES UNDER DISHONORABLE CONDITIONS		
	C. BEEN ADJUDICATED AS A MENTAL DEFECTIVE OR BEEN COMMITTED TO ANY MENTAL INSTITUTION		
	D. RENOUNCED HIS CITIZENSHIP HAVING BEEN A CITIZEN OF THE UNITED STATES		

22. CERTIFICATION: Under the penalties imposed by 18 U.S.C. 924, I declare that I have examined this application and the documents submitted in support thereof, and to the best of my knowledge and belief, they are true, correct and complete.

SIGN HERE 	TITLE	DATE

FOR ATF USE


23. APPLICATION IS <input type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED* <input type="checkbox"/> TERMINATED*  *LICENSE FEE WILL BE REFUNDED BY THE BUREAU OF ALCOHOL, TOBACCO AND FIREARMS	REASONS FOR TERMINATED OR DISAPPROVED APPLICATION   	DATE
SIGNATURE OF REGIONAL DIRECTOR (COMPLIANCE)		

\*Information — A formal accusation of crime made by a prosecuting attorney, as distinguished from an indictment presented by a grand jury.  
 \*The actual sentence given by the judge does not matter — a "yes" answer is necessary if the judge could have given a sentence of more than one year. Also, a "yes" answer is required even if a conviction has been discharged, set aside, or dismissed pursuant to an expungement or rehabilitation statute. However, a crime punishable by imprisonment for a term exceeding 1 year does not include a conviction which has been set aside under the Federal Youth Correction Act.

DEPARTMENT OF THE TREASURY BUREAU OF ALCOHOL, TOBACCO AND FIREARMS					
FACTORING CRITERIA FOR WEAPONS					
NOTE: The Bureau of Alcohol, Tobacco and Firearms reserves the right to preclude importation of any revolver or pistol which achieves an apparent qualifying score but does not adhere to the provisions of section 925(d)(3) of Amended Chapter 44, Title 18, U.S.C.					
PISTOL			REVOLVER		
MODEL: Colt Government Model .45ACP			MODEL: Smith & Wesson Model 10 4"bb1		
PREREQUISITES			PREREQUISITES		
1. The pistol must have a positive manually operated safety device. 2. The combined length and height must not be less than 10" with the height (right angle measurement to barrel without magazine or extension) being at least 4" and the length being at least 6"			1. Must pass safety test. 2. Must have overall frame (with conventional grips) length (not diagonal) of 4 1/2" minimum. 3. Must have a barrel length of at least 3"		
INDIVIDUAL CHARACTERISTICS	POINT VALUE	POINT SUB-TOTAL	INDIVIDUAL CHARACTERISTICS	POINT VALUE	POINT SUB-TOTAL
OVERALL LENGTH			BARREL LENGTH (Muzzle to Cylinder Face)		
FOR EACH 1/4" OVER 6"	1	10	LESS THAN 4"	0	0
FRAME CONSTRUCTION			FOR EACH 1/4" OVER 4"	1/2	0
INVESTMENT CAST OR FORGED STEEL	15	15	FRAME CONSTRUCTION		
INVESTMENT CAST OR FORGED HTS ALLOY	20	0	INVESTMENT CAST OR FORGED STEEL	15	15
WEAPON WEIGHT W/MAGAZINE (Unloaded)			INVESTMENT CAST OR FORGED HTS ALLOY	20	0
PER OUNCE	1	39	WEAPON WEIGHT (Unloaded)		
CALIBER			PER OUNCE	1	29
.22 SHORT AND .25 AUTO	0	0	CALIBER		
.22 LR AND 7.65mm TO .380 AUTO	3	0	.22 SHORT TO .25 ACP	0	0
9mm PARABELLUM AND OVER	10	10	.22 LR AND .30 TO .38 S&W	3	0
SAFETY FEATURES			.38 SPECIAL	4	4
LOCKED BREECH MECHANISM	5	5	.357 MAG AND OVER	5	0
LOADED CHAMBER INDICATOR	5	0	MISCELLANEOUS EQUIPMENT		
GRIP SAFETY	3	3	ADJUSTABLE TARGET SIGHTS (Drift or Click)	5	0
MAGAZINE SAFETY	5	0	TARGET GRIPS	5	0
FIRING PIN BLOCK OR LOCK	10	10	TARGET HAMMER AND TARGET TRIGGER	5	0
MISCELLANEOUS EQUIPMENT			SAFETY TEST		
EXTERNAL HAMMER	2	2	A Double Action Revolver must have a safety feature which automatically (or in a Single Action Revolver by manual operation) causes the hammer to retract to a point where the firing pin does not rest upon the primer of the cartridge. The safety device must withstand the impact of a weight equal to the weight of the revolver dropping from a distance of 36" in a line parallel to the barrel upon the rear of the hammer spur, a total of 5 times.		
DOUBLE ACTION	10	0			
DRIFT ADJUSTABLE TARGET SIGHT	5	5			
CLICK ADJUSTABLE TARGET SIGHT	10	0			
TARGET GRIPS	5	0			
TARGET TRIGGER	2	0			
SCORE ACHIEVED (Qualifying score is 75 points)		99	SCORE ACHIEVED (Qualifying score is 45 points)		48

ATF FORM 4590 (5330.5) (12-83)

ATF O 3310.4B  
FEB 0 8 1986

 <p>DEPARTMENT OF THE TREASURY BUREAU OF ALCOHOL TOBACCO AND FIREARMS</p> <p><b>Firearms Technology Branch</b> <b>Report of Technical Examination</b></p>	<p>Exhibits Headquarters Office of Law Enforcement Washington, D.C. 20226</p> <p>Phone: 202-566-7131</p>										
<p>TO: (Use window envelope. Begin typing between dots.)</p> <p>• Special Agent John J. Smith Bureau of Alcohol, Tobacco and Firearms 8410 NW 53rd Terrace Miami, Florida 33166</p>	<p>DATE: 7-17-86</p> <p>YOUR: 13068-86-5510 X</p> <p>RE: BRADY, M.W.</p> <p>OUR: 6-451-CHB</p>										
<p>DATE EXHIBITS RECEIVED 7-3-86</p> <p>DELIVERED BY Registered Mail #P4576891</p>	<p>TYPE OF EXAMINATION REQUESTED:</p> <p>Sound Reduction &amp; Classification</p>										
<p>EXHIBITS:</p> <ol style="list-style-type: none"> <li>Erma Werke, Model KGP68A, caliber .380ACP, semiautomatic pistol, Serial Number 113779, with a cylindrical device approximately 10½ x 1-3/4" attached.</li> <li>Cölt, Model Woodsman, caliber .22lr caliber semiautomatic pistol, Serial Number 751616, with a Maxim Model 1923 silencer attached.</li> </ol> <p>Findings:</p> <table border="0"> <tr> <td>Erma Werke KGP68A #246584 (FTB #1680)</td> <td>162.8 decibels</td> </tr> <tr> <td>Exhibit 1 with device attached</td> <td>137.5 decibels</td> </tr> <tr> <td>Reduction</td> <td><u>25.5 decibels</u></td> </tr> <tr> <td>Exhibit 2 without silencer</td> <td>154.8 decibels</td> </tr> <tr> <td>Exhibit 2 with silencer attached</td> <td><u>132.6 decibels</u></td> </tr> </table> <p>The design characteristics of the devices attached to the barrels of exhibits 1 and 2 are consistent with firearms silencers.</p> <p>Conclusion:</p> <p>As a result of the tests and because of their design, these devices are determined to be firearm silencers and are firearms as that term is defined in Section 5845(a)(7), Chapter 53, Title 26, United States Code. Further, these firearm silencers are not identified by a serial number as required by Section 5842 of the cited chapter.</p> <p>Richard D. Smith Firearms Enforcement Officer</p> <p>Charles H. Blair Firearms Enforcement Officer</p>		Erma Werke KGP68A #246584 (FTB #1680)	162.8 decibels	Exhibit 1 with device attached	137.5 decibels	Reduction	<u>25.5 decibels</u>	Exhibit 2 without silencer	154.8 decibels	Exhibit 2 with silencer attached	<u>132.6 decibels</u>
Erma Werke KGP68A #246584 (FTB #1680)	162.8 decibels										
Exhibit 1 with device attached	137.5 decibels										
Reduction	<u>25.5 decibels</u>										
Exhibit 2 without silencer	154.8 decibels										
Exhibit 2 with silencer attached	<u>132.6 decibels</u>										

ATF F 7540.1 (5-85) EDITION OF (9-77) MAY BE USED



SAMPLE

DEPARTMENT OF THE TREASURY - BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
APPLICATION FOR REGISTRATION OF FIREARMS ACQUIRED BY  
CERTAIN GOVERNMENTAL ENTITIES  
*(Submit in duplicate)*  
PLEASE READ INSTRUCTIONS ON REVERSE CAREFULLY BEFORE COMPLETING THIS FORM

TO: The Director, Bureau of Alcohol, Tobacco, and Firearms, Washington, D.C. 20226		27 CFR 179.104 Provides for limited registration of otherwise unregistrable firearms by certain governmental entities, for official use only. If this application is approved, it is with the condition that the firearm is for "OFFICIAL USE ONLY."	
The undersigned hereby makes application to register to the governmental entity identified in this application the firearm described below.			
1. NAME AND COMPLETE ADDRESS (Including ZIP code) OF DEPARTMENT OR AGENCY MAKING APPLICATION TO REGISTER FIREARM		2. TELEPHONE NUMBER (Include Area Code)	
3. DESCRIPTION OF FIREARM (Complete items (a) through (h).)			SERIAL NUMBER <i>(See Instruction 1)</i>
NAME AND ADDRESS OF MANUFACTURER AND/OR IMPORTER OF FIREARM <i>a</i>	TYPE OF FIREARM <i>(See Definitions)</i> <i>b</i>	(Check One) <input type="checkbox"/> CALIBER <input type="checkbox"/> GAUGE <input type="checkbox"/> SIZE <i>c</i>	
h ADDITIONAL DESCRIPTION (Include all numbers on firearm, and location of each number. If firearm is unserviceable, describe how it was made unserviceable.)			
4 LOCATION WHERE FIREARM IS USUALLY KEPT			
5. FROM WHOM WAS FIREARM RECEIVED? (Optional response - This information may assist ATF in removing records relating to previous registrations of this firearm.)			
I CERTIFY THAT the above described firearm is for OFFICIAL USE ONLY and that I have examined this application and, to the best of my knowledge and belief, it is true, correct and complete.			
6. SIGNATURE OF AUTHORIZED OFFICIAL (See Instruction 1)		7. PRINT NAME AND TITLE OF AUTHORIZED OFFICIAL	8. DATE
SPACE BELOW IS FOR USE BY THE BUREAU OF ATF - MAKE NO ENTRIES IN THIS PART			
By authority of the Director, Bureau of Alcohol, Tobacco, and Firearms, this application has been examined and the registration of the described firearm to the government entity described above is:			
<input type="checkbox"/> APPROVED FOR OFFICIAL USE		<input type="checkbox"/> DISAPPROVED BECAUSE	
EXAMINER	DATE	AUTHORIZED ATF OFFICIAL	DATE

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Exhibit 17

DEPARTMENT OF THE TREASURY - BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

PROPERTY INVENTORY/FORFEITED PROPERTY APPRAISAL REPORT

November 1, 1988

1. INVESTIGATION NUMBER 63541-88-4002B		2. ACTION <input checked="" type="checkbox"/> RETAINED <input type="checkbox"/> ABANDONED <input checked="" type="checkbox"/> PURCHASED		<input type="checkbox"/> SEIZED FOR JUDICIAL FORFEITURE <input type="checkbox"/> SEIZED FOR ADMINISTRATIVE FORFEITURE	
3. NAME OF PERSON IN WHOSE POSSESSION PROPERTY WAS FOUND Harold M. Chandler			3a. RACE White	3b. SEX Male	3c. DATE OF BIRTH 1-30-65
4. STREET ADDRESS 476 North Maxwell Street			4. DATE SEIZED N/A	7. FREE APPRAISAL <input type="checkbox"/> YES <input type="checkbox"/> NO N/A	
6. CITY, STATE, ZIP CODE, AND COUNTY Alexandria, Virginia 22042 Alexandria			8. STORAGE LOCATION AND RATE (If Applicable) Bureau of Alcohol, Tobacco and Firearms Evidence Vault 701 West Broad Street Falls Church, Virginia 22351		
10. LOCATION WHERE PROPERTY WAS TAKEN INTO CUSTODY a. NUMBER AND STREET 476 North Maxwell Street			11. SEIZURE AUTHORITY (Cite Title and Chapter) N/A		
b. CITY Alexandria			c. COUNTY Alexandria		
d. STATE Virginia			e. JUDICIAL DISTRICT Virginia		
12. NAME AND ADDRESS OF LEGAL OWNER/LIENOR (If other than name and address above) N/A					

13. DESCRIPTION OF PROPERTY								
ITEM NO.	DESCRIPTION OF PROPERTY <small>(For guns include the following: Manufacturer, Make, Model, Caliber, Barrel Length, Capacity, Finish, Overall Length, and Serial Number)</small>	NCIC CODE <small>(c)</small>	TITLE <small>(d)</small>	ACTION <small>(e)</small>	CONDITION <small>(f)</small>	SUITABLE FOR GOVT USE (1-1) <small>(g)</small>	DESIRED FOR OFFICIAL USE (1-1) <small>(h)</small>	APPRAISED VALUE <small>(i)</small>
1	One (1) plastic bag containing a white, crystalline powder substance suspected to be cocaine, gross weight (including container) 27 grams.			P				\$
2	One (1) plastic bottle containing white tablets suspected to be barbiturates, 50 each.			P				
3	One (1) plastic bag containing three (3) white crystalline formations suspected to be cocaine, gross weight (including container) 1 ounce.			P				
4	One (1) gallon metal can containing brown liquid suspected to be hashish oil, gross weight (including container) 32 ounces.			R				
5	One (1) gallon glass jar containing brown liquid suspected to be precursor chemical, approximately one (1) gallon.			R				
NOTE: Seized property will be reported on separate ATF Forms 3400.16.								TOTAL \$

14. NCIC ENTRY/REMOVAL INFORMATION							
<input type="checkbox"/> ENTRY	<input checked="" type="checkbox"/> CLEAR N/A	<input type="checkbox"/> ABANDONED	<input type="checkbox"/> LOCATED/STOLEN	<input type="checkbox"/> RETAINED FOR EVIDENCE	<input type="checkbox"/> FOUND	<input type="checkbox"/> SEIZED	<input type="checkbox"/> PURCHASED
14a. LIST FIREARMS TO BE ENTERED FROM SECTION 13c. BY ITEM NUMBER N/A							
ITEM NUMBER							

ATF O 3310.4B

FEB 08 1988

15. SIGNATURE AND ADDRESS OF APPRAISERS

Each appraiser, under penalty of perjury, states: That he has made a careful survey and examination of the property described above and that in his best judgment and belief each item of said property is of the value stated opposite its respective description, under the heading "Appraised Value," and that the aggregate value of all said property is shown on the "Total" line. Each of said appraisers further states that he is a citizen of the United States; a resident of the internal revenue district wherein the seizure was made and has appraised items No.:

SIGNATURE	ADDRESS

16. CERTIFICATION OF SPECIAL AGENT: I certify that this list contains a full description of all the personal property taken into Bureau custody from the person named in the above-mentioned case and that the appraisers, if applicable, are respectable and disinterested citizens of the United States residing within the internal revenue district wherein the seizure was made.

SIGNATURE OF SPECIAL AGENT: *Frank Houston* DATE: November 1, 1988

17. APPRAISAL BY SEIZING OFFICER

I certify that under the authority of 19 U.S.C., or ATF O 3400.1, as seizing officer, I have made a careful survey and examination of the property described above and to the best of my judgment and belief, each item of said property is of the value stated opposite its respective description, and that the aggregate value of all of said property is shown on the "Total" line and have appraised items No.:

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

18. CHAIN OF CUSTODY (All property in Bureau custody)

DATE	RECEIVED BY (Signature and Title)	PURPOSE OF CUSTODY CHANGE
11-1-82	<i>Victor James</i> Vault Custodian	Received from SA Houston Stored in evidence vault
11-5-88	<i>Frank Houston</i> Special Agent	Transmitted to DEA Laboratory
12-15-88	<i>Victor James</i> Vault Custodian	Received from SA Houston Returned to evidence vault
1-20-89	<i>Frank Houston</i> Special Agent	Released for court proceedings
2-30-89	<i>Victor James</i> Vault Custodian	Received from SA Houston Returned from court

19. REMARKS/DISPOSITION REQUEST

3-30-89 Released to SA Houston per ATF F 1850.2/1850.23 to be destroyed/released to another agency for final disposition.  
*Victor James / Frank Houston*

## Exhibit 18

## Munition Losses

As appropriate, the ATF Law Enforcement field division office and/or Headquarters Strategic Intelligence Branch, Intelligence Division, shall be provided information by telephone (followed up in writing) from the Department of Defense (DOD) components concerned, of all significant/serious incidents of theft, loss, or unaccounted for arms, ammunition, and explosives materials (AA&EM) as soon as possible but no later than 72 hours after the occurrence or discovery. Generally, loss or theft of the following AA&EM shall be considered significant/serious:

- a. One or more missile or rocket rounds
- b. One or more machinegun(s)
- c. One or more automatic fire weapons.
- d. Twenty-five or more manually operated weapons
- e. Concerning ammunition, reportable incidents do not include losses of the following types of ammunition known to have been expended during training:
  1. Ammunition of .50 caliber and smaller--5,000 rounds or more, except in the case of .38 caliber ammunition, then 20,000 rounds or more.
  2. Larger than .50 caliber--five rounds or more of nonautomatic weapon ammunition.
  3. Larger than .50 caliber--1,000 rounds or more of ammunition for automatic weapons.
  4. Any fragmentation, concussion, or explosive grenade, to include ground burst simulators, or any other type of simulator or device containing explosive materials.
- f. One or more mine(s) (antipersonnel and antitank).
- g. Demolition explosives including detonation cord, blocks of explosives (C-4), and other types of explosive materials.

Other reportable items are as follows:

- a. Armed robberies or attempted armed robberies involving the above items.
- b. Forced entries or attempted forced entries in which there is physical evidence that an attempt was made to acquire the above items being stored
- c. Any evidence of trafficking in the above items or using same to barter for narcotics or any other thing of value to include the taking of AA&EM across international borders unlawfully, regardless of the quantity of AA&EM involved.

Exhibit 19

## TELECOMMUNICATION MESSAGE

DATE: (ENTER DATE)  
 FM: SAC  
 (ENTER YOUR FIELD DIVISION)  
 TO: FIREARMS AND EXPLOSIVES-LICENSING CENTER ATLANTA,  
 GEORGIA  
 INFO: SAC, NATIONAL TRACING CENTER  
 SUBJECT: FULL FFL INVESTIGATION  
 NAME: (ENTER FFL's NAME)  
 C.N.: (ENTER CASE NUMBER)  
 FFL#: (ENTER FFL NUMBER)

THE (ENTER YOUR FIELD DIVISION) HAS INITIATED AN INVESTIGATION ON  
 ABOVE FFL. IF YOU SHOULD HAVE ANY QUESTIONS CONCERNING THIS  
 CONTACT SPECIAL AGENT (ENTER CASE AGENT) AT (ENTER THE CASE  
 AGENT'S TELEPHONE NUMBER). PLEASE APPRISE THE CASE AGENT OF ANY  
 CHANGES IN THE LICENSEE'S STATUS OR PENDING ADMINISTRATIVE ACTIONS.

SAC, (ENTER FIELD DIVISION)

RELEASING SIGNATURE \_\_\_\_\_ DATE/TIME \_\_\_\_\_  
 EXPEDITE \_\_\_\_\_ IMMEDIATE \_\_\_\_\_ ROUTINE \_\_\_\_\_

Exhibit 20

## TELECOMMUNICATION MESSAGE

DATE: (ENTER DATE)

FM: SAC  
(ENTER YOUR FIELD DIVISION)

TO: FIREARMS AND EXPLOSIVES-LICENSING CENTER  
ATLANTA, GEORGIA

INFO: SAC, NATIONAL TRACING CENTER

SUBJECT: CONVICTION OF FEDERAL FIREARMS LICENSEE

NAME: (ENTER FFL'S NAME)

C.N.: (ENTER CASE NUMBER)

FFL#: (ENTER FFL NUMBER)

THIS IS TO ADVISE YOU THAT THE PROSECUTION OF THE ABOVE-LISTED  
FEDERAL FIREARMS LICENSEE HAS RESULTED IN A CONVICTION. IF THERE  
ARE ANY ADDITIONAL QUESTIONS, PLEASE CONTACT (ENTER CASE AGENT'S  
NAME AND TELEPHONE NUMBER).

SAC, (ENTER FIELD DIVISION)

\_\_\_\_\_  
RELEASING SIGNATURE

\_\_\_\_\_  
DATE/TIME

EXPEDITE \_\_\_\_\_ IMMEDIATE \_\_\_\_\_ ROUTINE \_\_\_\_\_

Exhibit 21

## TELECOMMUNICATION MESSAGE

DATE: (ENTER DATE)

FM: SAC  
(ENTER YOUR FIELD DIVISION)TO: FIREARMS AND EXPLOSIVES-LICENSING CENTER  
ATLANTA, GEORGIA

INFO: SAC, NATIONAL TRACING CENTER

SUBJECT: CLOSING OF FFL INVESTIGATION

NAME: (ENTER FFL'S NAME)

C.N.: (ENTER CASE NUMBER)

FFL#: (ENTER FFL NUMBER)

THIS IS TO ADVISE YOU THAT THE INVESTIGATION ON THE LISTED FFL HAS BEEN CLOSED EFFECTIVE (ENTER DATE THE INVESTIGATION IS CLOSED). IF THERE ARE ANY ADDITIONAL QUESTIONS, PLEASE CONTACT (ENTER CASE AGENT'S NAME AND TELEPHONE NUMBER).

SAC, (ENTER FIELD DIVISION)

RELEASING SIGNATURE \_\_\_\_\_ DATE/TIME \_\_\_\_\_  
 EXPEDITE \_\_\_\_\_ IMMEDIATE \_\_\_\_\_ ROUTINE \_\_\_\_\_



DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

ATF O 3310.4E  
HOGF Documents 1251

12/09/97  
Exhibit 22

IMPORTANT NOTICE TO DEALERS AND OTHER  
PARTICIPANTS AT GUN SHOWS

■ **ALL DEALERS MUST DISPLAY LICENSES** ■

This notice applies to activities permitted at bona fide gun shows, as defined in 27 CFR § 178.100. A flea market is not a gun show.

**DEALERS LICENSED IN THE STATE WHERE THE SHOW IS BEING HELD:**

- MUST comply with all recordkeeping requirements of ATF regulations concerning acquisitions and dispositions of firearms, including the recording of the place of sale.
- MAY dispose of handguns to in-state residents only, provided all provisions of the Brady Law are met.
- MAY dispose of long guns to nonlicensees residing in any State, provided the laws of both States are complied with.
- MAY dispose of firearms to any Federal firearms licensee (FFL).
- MAY acquire firearms from any FFL licensed in the State, or from any nonlicensee.

**DEALERS NOT LICENSED IN THE STATE WHERE THE SHOW IS BEING HELD:**

- MUST comply with all ATF requirements concerning acquisitions of firearms.
- MAY acquire firearms from any FFL licensed in the State and from any nonlicensed individual.
- MAY NOT make sales of firearms to anyone (not even to or through an FFL licensed in the State), except for firearms classified as curios and relics which can be sold to other FFLs.
- MAY display firearms and take orders.

**NONLICENSED RESIDENTS OF THE STATE WHERE THE SHOW IS BEING HELD:**

- MAY acquire long guns from FFLs licensed in the State.
- MAY acquire handguns from FFLs licensed in the State, provided all provisions of the Brady Law are met.
- MAY dispose of firearms to any FFL.
- MAY NOT acquire from or dispose of firearms to nonlicensed residents of any other State.
- MAY NOT engage in the business of dealing in firearms without being licensed.

**NONLICENSED RESIDENTS OF ANOTHER STATE:**

- MAY dispose of firearms to any FFL.
- MAY acquire long guns only from FFLs licensed in the State, provided the laws of both States are complied with.
- MAY NOT acquire handguns.
- MAY NOT dispose of firearms to nonlicensed individuals.
- MAY NOT engage in the business of dealing in firearms without being licensed.

**REPORT ALL ILLEGAL FIREARMS ACTIVITY TO 1-800-ATF-GUNS**



**STATEMENT OF WILLIAM HOOVER, ASSISTANT DIRECTOR FOR FIELD OPERATIONS**  
**BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES**  
**BEFORE THE UNITED STATES HOUSE OF REPRESENTATIVES**  
**COMMITTEE ON FOREIGN AFFAIRS**  
**SUBCOMMITTEE ON THE WESTERN HEMISPHERE**

February 7, 2008

Chairman Engel, Ranking Member Burton, and distinguished Members of the Subcommittee: I am William Hoover, Assistant Director for Field Operations of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF). I have been an agent with ATF since 1987, and in my current position I oversee the operations of all of the Bureau's field offices, including those along the Southwest Border. It is an honor to appear before you today to discuss ATF's current role in the suppression and interdiction of U.S. sourced firearms illegally trafficked into Mexico. The violence fueled by Mexico's drug cartels poses a serious challenge for both U.S. and Mexican Law Enforcement in that the drug trafficking related violence is threatening the well being and safety of citizens on both sides of the border.

Before I discuss the issue of firearms trafficking along the Southwest border, I want to provide you with background information about ATF. ATF is a law enforcement agency within the Department of Justice dedicated to reducing violent crime, preventing terrorism and protecting our Nation. The men and women of ATF perform the dual responsibilities of enforcing Federal firearms and explosives laws and regulating the firearms and explosives industries. We are committed to working directly, and through partnerships, to investigate and reduce violent crime involving firearms and explosives, acts of arson, and illegal trafficking of alcohol and tobacco products.

ATF has a strategic role in investigating violent crime along the Southwest border. ATF has statutory authorities to address violent crime and firearms trafficking in the region as we have regulatory oversight over Federal Firearms Licensees (FFL), from whom all new guns are purchased.

ATF is engaged in the interdiction and abatement of the flow of U.S sourced firearms into Mexico for use by major drug trafficking organizations (DTO). ATF's expertise and involvement in identifying, disrupting, and dismantling illegal sources of firearms to Mexico is not a new development. We have been engaged in utilizing our crime-fighting expertise, assets, and regulatory inspection authority to stem the flow of U.S. sourced firearms to DTOs. Further, ATF's partnerships and participation in task forces, such as the Organized Crime Drug Enforcement Task Force, enable ATF to gain the benefits of each partner's expertise and specific authorities and engage in real-time

HOCR ATF 000001

communication to effectively and efficiently combat the illicit trafficking of firearms and consequent violent crime.

ATF has long been committed to investigating and disrupting groups and individuals who utilize firearms trafficking as a means to facilitate the drug trade on both sides of the border through the use of firearms illegally obtained in the U.S. and subsequently smuggled into Mexico. As you know, President Calderon and Attorney General Medina Mora have identified the cartel-related violence as a top priority and recently proclaimed the illegal trafficking of U.S.-sourced firearms the “number one” crime problem affecting the security of Mexico today.

Public safety along the U.S.-Mexico border has deteriorated considerably and Mexico has seen nearly four years of intensified turf battles between the three major DTOs operating within Mexico. Ironically, these battles for control over lucrative narco-corridors into the U.S. from Mexico are the result of intense U.S. and Mexican law enforcement and military counter-narcotics operations and extraditions that commenced in late 2003 targeting the leaders of the most prolific Mexican DTOs. In seeking to gain control of the disputed corridors, namely the Baja/Tijuana, Sonora/Nogales and Nuevo Laredo corridors, DTOs and their enforcers have more aggressively turned to the U.S. as a source of firearms. The weapons are then used against other DTOs, the Mexican Military, Mexican and U.S. law enforcement officials, as well as innocent civilians on both sides of the border.

Intelligence gathered by ATF and other domestic Federal law enforcement entities strongly suggests that the DTOs have tasked their money laundering, distribution and transportation apparatuses, all of which reach across the border into the United States, to acquire firearms for illegal transfer back to Mexico for use in facilitating narco-trafficking and other criminal activities. These DTOs are comprised of loosely affiliated individuals and/or groups used to facilitate all aspects of the illicit drug and gun trade between Mexico and the U.S. We know that these same groups are employed by DTOs to transport narcotics and firearms and to launder narcotics-related proceeds, are highly functional in every major city – on both sides of the border – where the DTOs conduct drug trafficking operations. The major challenge for both U.S. and Mexican law enforcement is to identify, disrupt and to ultimately dismantle these DTO infrastructures as a means to decrease the demand for U.S. sourced firearms.

The increased incidence of firearms trafficking to Mexico (from the U.S.) is influenced by numerous factors, including:

- The strict prohibition and regulation of firearms in Mexico; coupled with the increased enforcement efforts by the

Mexican government and the increased demand for firearms by the DTOs;

- A readily accessible source of firearms and ammunition originating in mostly the secondary market such as gun shows, flea markets and private sales;
- Illegal “straw purchases” of firearms from FFLs who are often unwitting participants in these schemes.

In analyzing the data collected through ATF’s investigative and regulatory operations that have been focused on the abatement of illegal firearms trafficking to Mexico, there is more than enough evidence to indicate that over 90 percent of the firearms that have either been recovered in, or interdicted in transport to Mexico, originated from various sources within the United States. An in-depth, comprehensive analysis of firearms trace data over the past three years shows that Texas, Arizona and California are the three most prolific source states, respectively, for firearms illegally trafficked to Mexico. In FY 2007 alone, approximately 1,112 guns which originated in Texas, Arizona and California were submitted for tracing from Mexico. For all other U.S. States in FY 2007, approximately 435 guns were submitted for tracing from Mexico. It should be noted, however, that although the greatest proportion of firearms trafficked to Mexico are originating out of the U.S. States along the Southwest border (namely Texas, Arizona and California), ATF trace data has established that cartels are also acquiring firearms from other States as far east as Florida and as far north and west as Washington State.

Another challenge ATF faces is the DTOs’ use of “straw purchasers”. The “straw purchaser” is someone who is not prohibited from purchasing firearms but who illegally purchases firearms by posing as the actual buyer when really the guns are being purchased for firearms traffickers employed by the drug cartels. Although “straw purchasing” is a tactic used by cartels to acquire firearms through the U.S. market, straw purchasers and schemes can be exceptionally difficult to identify.

In addition to straw purchases, DTOs understand the ease with which used firearms can be purchased from individuals without records or background checks.

Until recently, the DTO’s “weapons of choice” had been .38 caliber handguns. However, recent trace data of firearms seized in Mexico and “Stateside” interdictions of firearms bound for Mexico shows that cartel members and enforcers have now developed a preference for higher quality, more powerful weapons. The most common of these firearms now includes the Colt AR-15 .223 caliber assault rifle, the AK-47 “type/variant” 7.62 caliber assault rifle, FN

5.57 caliber pistols (better known in Mexico as the "Cop Killer" ... or "Asesino de la Policia").<sup>HCR-000001</sup> In conjunction with the dramatic increase in U.S. source firearms that have either been recovered in Mexico, or interdicted prior to reaching Mexico, ATF also routinely seizes small arms and assault rifle ammunition destined for Mexico. ATF has also seized large quantities of .50 caliber ammunition for use in high-caliber weapons.

A recent seizure that occurred in connection with an ATF case in the Phoenix Arizona area culminated in the arrest of 16 individuals, the recovery of 16 "weapons of choice" rifles, 19 handguns, \$13,000 in cash, a vehicle, 60 high-capacity magazines for AK-47 variants, and nearly 10,000 rounds of ammunition. In addition to the physical acquisitions, a significant amount of intelligence was gathered on firearms trafficking in Mexico, which will assist ATF in current and future investigations.

Often, ATF is asked what can be done to significantly decrease the number of firearms originating in the U.S. that ultimately make their way into the hands of DTOs in Mexico. ATF has developed and continues to enhance an extremely effective real-time intelligence and evidence sharing network with the Mexican Government. Given current circumstances and increasing volume, however, the system has been overwhelmed on both sides of the border. ATF has found that merely seizing firearms through interdiction without thoroughly investigating the supply and trafficking infrastructure accomplishes little in terms of 'tangibly' affecting the flow of firearms to Mexico. It is imperative to trace each and every firearm intercepted before it reaches Mexico or to trace the weapon once it is recovered in Mexico

Thus, an essential component of ATF's firearms trafficking investigations is the tracing of firearms seized in both countries. Each seized firearm is entered into the ATF Firearms Tracing System database, which records specific identifying information, i.e., serial number, manufacturer, importer and caliber, for each weapon seized. Using this information, ATF can work backwards from the point of seizure to the first retail sale, to determine the original purchaser information and possibly learn additional pertinent information such as whether other seized guns were purchased at the same time. Using all of this information, ATF is able to reconstruct the flow of weapons along the border, how and where they are being purchased, and who is purchasing them. Without such information, ATF has no way to trace the source of such firearms. Further, without being able to trace these firearms, there is no way to tell whether or not the firearms actually originated within the U.S., nor can we come to know by what means such weapons were procured and transported to Mexico. Firearms tracing is an essential starting point for identifying and

As such, ATF is working with Mexican officials to increase their current usage of ATF's eTrace system. eTrace provides web based access to ATF's Firearms Tracing System to allow law enforcement both domestically and internationally the ability to trace data from firearms seized in connection with a criminal investigation. eTrace allows law enforcement to access their trace results directly and offers the ability to generate statistical reports to analyze their trace data to determine firearms trafficking trends or patterns. In addition, ATF is developing Memorandums of Understanding with Mexico to provide e Trace training to nine consulates in Mexico. This initiative should increase the amount of trace information Mexico provides to ATF each year.

From FY 2006 to FY 2007, we experienced almost 100 percent increase in the number of trace requests from Mexico. With the deployment of eTrace to the nine consulates and the eventual implementation of Spanish eTrace, these numbers should continue to increase in the coming years.

ATF is also part of the Administration's recently announced "Merida initiative." This initiative is a comprehensive U.S. strategy to address drug smuggling, firearms trafficking, and increasing violence in Mexico and Central America. If the FY 2008 supplemental is enacted, ATF would receive \$2 million through the initiative to assist in the expansion of Spanish eTrace to countries in the Central America region. Funding would also be used to deploy an ATF regional advisor to Central American countries to assist them with firearms trafficking issues. As part of the proposed Spanish eTrace expansion, ATF would provide training to Mexican and Central American countries to ensure that the technology is utilized to the greatest extent possible.

ATF's goal is to deploy eTrace software to all thirty-one states within the Republic of Mexico. Without the expanded use of eTrace, Mexico will continue to provide only limited information and intelligence related to firearms seizures in Mexico. Without that information, ATF faces greater challenges in reducing the amount of firearms trafficked across the border.

It is clear that one of the greatest challenges facing law enforcement officials today, both on the border and throughout the country, is the increased incidence of gun-related violence. To address the overwhelming increase of violence and firearms trafficking along the Southwest border ATF has initiated "Project Gunrunner", a comprehensive strategy that incorporates ATF's expertise and resources to attack the problem both domestically and internationally.

Currently, under "Project Gunrunner", ATF has approximately 100 special agents dedicated to investigating firearms trafficking on a full-time basis and 25 Industry Operations Investigators (IOI) responsible for conducting regulatory inspections of FFLs. We are expanding our overall presence at the El Paso Intelligence Center (EPIC) as the central repository and "clearinghouse" for all weapons related intelligence collected and developed not only by all of our ATF field and Mexico offices and attaches, but also by all of the other Federal, State and local law enforcement entities involved in narcotics interdiction and investigation along the U.S./Mexico border.

Our increased staffing levels at EPIC, will allow ATF to increase its intelligence activities with other EPIC law enforcement partners stationed there, including the Federal Bureau of Investigation (FBI), the Drug Enforcement Administration (DEA), Immigration and Customs Enforcement (ICE), Customs and Border Patrol (CBP) and the Texas Department of Public Safety. ATF also works closely with these agencies' task forces which operate along the Southwest border, sharing intelligence, and conducting joint investigations.

ATF is also focusing our limited industry operations resources on and near the border region to identify FFLs who may be involved, directly or indirectly, in supplying firearms to known traffickers. As part of "Project Gunrunner" we will seek to expand inspection and compliance activities to include focused forward traces of firearms that, through historical firearms recovery and trace data have been identified as "weapons of choice" for the cartels and their enforcers.

These inspections will also seek to use firearms tracing and proactive investigative measures to identify and interdict those who pose as legitimate buyers while they are actually straw purchasing firearms for cartel members and associates who otherwise are prohibited from purchasing firearms in the U.S.

ATF is also intensifying our education and liaison efforts with the firearms industry and other law enforcement agencies to reinforce the importance of collaboration to identify and report suspected straw purchasers and other illicit sources of firearms intended for Mexico.

Internationally, we have enjoyed a strong collaborative relationship with Mexican law enforcement and other government agencies in Mexico. Over the last 15 years, ATF has had special agents permanently assigned to the U.S.

Embassy in Mexico City. The agents are engaged in full time intelligence sharing with the Mexican Government as a means to gather real time information on significant seizures of firearms that originated from within U.S. Within the past year, ATF assigned additional agents to Monterrey, Mexico. Having agents permanently assigned and working side by side with Mexican law enforcement and military officials, has helped us develop and foster relationships in all corners of Mexico as a way to provide technical and investigative assistance to police and prosecutors.

In the future ATF will look to assign additional special agents and intelligence research specialists to ATF offices in Mexico, coupled with the deployment of additional agents and analysts to EPIC who will act as liaison partners with/to the other U.S. law enforcement entities operating within the Republic of Mexico. ATF is also looking to establish more pre-identified, specially vetted Mexican police officials that will allow Special Agents from the ATF field offices in U.S. border cities to work directly to exchange timely investigative information regarding seizures of suspected U.S. sourced firearms within the contiguous Mexican border states.

It January of 2008, ATF Acting Director Sullivan announced that an additional twenty-five Special Agents and fifteen IOIs will be permanently assigned to the Southwest border to curb the illegal export of U.S. sourced firearms and ammunition to Mexico.

Since ATF is a relatively small federal law enforcement agency, this accounts for a significant proportion of total available manpower and directly affects our ability to address violent crime in other parts of our country. Nationwide, we have about 2,500 Agents and about 750 inspectors. The FY 2009 budget request provides for 12 new industry operations investigators operations and \$0.9 million to increase our staffing levels in the ATF field offices along the U.S./Mexico border.

It is estimated that there are over 6,647 FFLs along the U.S./Mexico Border compared to approximately 35 IOIs and 100 special agents stationed along the border and dedicated to investigating firearms trafficking. It is a major challenge for ATF to adequately identify and disrupt the illegal sources of firearms and ammunition, while participating in the interdiction of shipments firearms and ammunition destined for Mexico. Nevertheless, in FY 2007, we investigated 187 firearms trafficking cases and recommended 465 defendants for prosecution and seized over 1,297 firearms as a result of "Project Gunrunner".

In inviting ATF to appear today, this Committee asked about whether the Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives and other related materials (CIFTA) was in compliance with ATF regulations. While ratification is up to the Senate, ATF programs and regulations comply with the primary obligations required under the CIFTA, such as licensing of, manufacture and importation of firearms.

There should be no question that firearms trafficking is a priority for this Bureau and that it will be a priority until this issue is adequately addressed. The expansion of our "Project Gunrunner" will assign additional manpower and investigative resources to our border offices to specifically work to dismantle the criminal infrastructures that exist to procure the "deadly tools of the trade" and enable DTOs to engage in increasingly violent turf battles.

I would like to conclude by again stating that ATF has developed and is actively implementing our "Project Gunrunner" initiative which is modeled upon our border successes over the years, and that is designed to actively disrupt, deter and dismantle the criminal enterprises and infrastructures seeking to ensure a continued and viable supply of U.S. sourced firearms for criminal purposes to Mexico, but which is also designed to address and eliminate the actual sources of the firearms and ammunition that have become so readily available for criminal purposes in both Mexico and in the U.S.

Although it has proven exceptionally difficult to reduce the demand for U.S. sourced arms in Mexico, ATF will continue to attack the infrastructures and illicit sources fueling the availability and access to firearms by those who seek to further their narco-trafficking activities on both sides of the border.

I would like to thank the Committee for its time and for the honor of allowing me to testify on this subject, and I look forward to any questions you may have. I would ask that my written statement be entered into the record.



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**From:** (b) (6) (IAO)  
**Sent:** Tuesday, April 27, 2010 4:42 PM  
**To:** Gillett, George T. Jr.  
**Subject:** FF

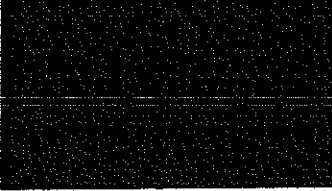
**Categories:** Fast and Furious

Good Afternoon George-

I apologize for the short notice, I just had this given to me. On Thursday morning the director is going to speak before the committee on oversight here in DC. one of the cases he will be talking about will be Fast and Furious. Do you have a ½ page synopsis on it that would give someone an idea about the case? The briefing is classified for whatever that means.

If it is easier, I will reach out to the group directly with your permission

ATF SA (b) (6)  
International Affairs Office  
Program Manager-Mexico



**From:** (b) (6)  
**Sent:** Friday, January 28, 2011 11:42 AM  
**To:** Newell, William D.; Gillett, George T. Jr.  
**Cc:** McMahon, William G.; (b) (6); Needles, James R.; Chait, Mark R.  
**Subject:** RE: Access to Fast and Furious

**Categories:** Fast and Furious

Understood

(b) (6)  
 Senior Special Agent  
 Firearms Operations Division  
 [REDACTED]

**From:** Newell, William D.  
**Sent:** Friday, January 28, 2011 11:18 AM  
**To:** Gillett, George T. Jr.; (b) (6)  
**Cc:** McMahon, William G.; (b) (6); Needles, James R.; Chait, Mark R.  
**Subject:** Re: Access to Fast and Furious

I would caution that this case is marked "6(e)" because it does in fact contain a vast amount of Grand Jury material. All individuals granted access will have to sign a 6(e) agreement forwarded to them by the AUSA. In addition, this case is still active and on-going and thus all information should be restricted on a strictly "Need to Know" basis only.

\*\*\*\*\*  
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**From:** Gillett, George T. Jr.  
**To:** (b) (6)  
**Cc:** Newell, William D.; McMahon, William G.; (b) (6); Needles, James R.  
**Sent:** Fri Jan 28 11:14:30 2011  
**Subject:** Access to Fast and Furious  
 Hello (b) (6)

SA (b) (6) will have full access to the Fast and Furious case as soon as the case agent can access the case and grant the access.

Please advise if there is anything further that we can assist with.

**George T. Gillett**  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 [REDACTED]

---

**From:** Newell, William D.  
**Sent:** Wednesday, January 05, 2011 1:10 PM  
**To:** McMahon, William G.  
**Subject:** Fast and Furious Talking Points for DAD doc  
**Attachments:** Fast and Furious Talking Points for DAD doc.docx  
  
**Categories:** Yellow Category, Red Category, Green Category

Revised.

Phoenix Press Conference Key Messages and Talking Points:

(Some will be specific to Fast and Furious and some will address all the cases)

**Key Messages:**

Since the second GRIT initiative ended in August, 2009 the Phoenix Field Division has continued to proactively address firearms trafficking to Mexico in support of ATF's Project Gun Runner

These investigations demonstrate the commitment on the part of ATF and its Federal, State, Local, Tribal and International partners to address the criminal activity involved in the trafficking of firearms to Mexico

These investigations address the key goals of ATF's Southwest border firearms trafficking strategy which are:

- o Prevent violent crime
- o Ensure the safety of the communities situated along the Southwest Border
- o Disrupt and dismantle the firearms trafficking networks responsible for the diversion of firearms from lawful commerce and into the hands of the Mexican Drug Trafficking Organizations (DTOs)

These investigations all involved tireless effort on the part of ATF employees using a wide variety of investigative methods to fully identify those responsible for trafficking firearms to Mexico

ATF's firearms tracing system, eTrace, played a key role in assisting in identifying the individuals involved in violating Federal firearms laws in these investigations

In all of these cases a large number of certain types of rifles, such as AR and AK variants with detachable magazines were purchased by straw purchasers as part of complex firearms trafficking organizations and then recovered in violent crimes in Mexico, according to ATF trace data, investigative experience, and Mexican law enforcement official reports

Substantiates the fact that Mexican DTOs and other associated criminal groups operating along the SWB are actively pursuing "weapons of choice" in U.S. commercial firearms market

These cases demonstrate the ongoing trafficking of firearms by Mexican DTOs and other associated groups operating in Arizona and the need for the reporting of multiple sales for certain types of rifles in order to ferret out those intent on providing firearms to these criminal groups

**Talking Points:**

(Using the "Ws" method – you can change if you desire)

**What:**

- Proactive investigations focused on eliminating the entire firearms trafficking network, not just the straw purchasers
- ATF led firearms trafficking investigations into several firearms trafficking networks based in metro Phoenix
- Some initiated as early as October, 2009
- OCDETF Strike Force effort.
- Multi-agency (ICE, IRS, DEA, Phoenix PD)
- Almost exclusively "weapons of choice" which we have openly discussed many times before
- Alleged violations of Federal firearms laws by individuals involved in the illegal acquisition and transfer of firearms, money laundering, etc (the USAO will cover specific charges per case in more detail). Thus we should only state what is in the indictment when it comes to numbers of firearms. The indictment for Fast and Furious will not have the total number of firearms trafficked. Note: We have seized 352 firearms here in the U.S. in our effort to stop the trafficking while still making the larger case, and about 250 have been seized in Mexico. We will update the numbers again next week.

**Who:**

- Metro Phoenix area based complex firearms trafficking organizations

**For Fast and Furious:**

- [REDACTED]
- Firearms primarily destined for Sinaloa DTO (based on traces, seizures in Mexico and other investigative data gathered during investigations)
- A large group of straw purchasers [REDACTED] were used extensively to spread out purchases and evade detection (another time to address Multiple Sale on Long Guns issue)

**How:**

- Trafficking organizations used a large number of straw purchasers to spread out sales
- Shows straw purchases and the use of straw purchasers is still a major issue (lack of criminal history, need money, unlimited resource, etc)
- Firearms were purchased at numerous FFLs in the metro area

- Firearms were trafficked to Mexico in a variety of ways but mainly in small loads using vehicles crossing into Mexico via POEs

**For Internal ATF Use Only:**

**At no time during the Fast and Furious investigation, or ANY investigation conducted by the Phoenix Field Division highlighted above, did ATF personnel knowingly allow firearms to be trafficked. Every available resource as well as every possible investigative and judicial process was used to prevent this from taking place. As a result of these efforts in the Fast and Furious case and as of October of 2010 to the best of our knowledge all firearms purchasing and trafficking activity by this organization has ended. This is due to several factors not the least of which are the many proactive measures taken by the agents assigned to Phoenix Group VII. It should also be noted that throughout the course of the investigation numerous seizures were made by other State, local and Federal law enforcement agencies at the direction of Phoenix Group VII in order to ensure the seized firearms did not reach their intended destination but also to ensure the leadership of this firearms trafficking organization was not "tipped off" to the proactive measures taken while the larger conspiracy case was being prepared for the USAO. To date 350 firearms have been taken into ATF custody as a result of these combined efforts.**

---

**From:** (b) (6)  
**Sent:** Thursday, February 03, 2011 9:55 PM  
**To:** Newell, William D.  
**Subject:** Re: Great work!

**Categories:** Yellow Category, Red Category, Green Category

Thanks Mr. Newell, you could not have timed this e-mail any better...I am really feeling disappointed and frustrated with the whole situation. Thanks for the much needed encouragement!

\*\*\*\*\*

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----- Original Message -----

**From:** Newell, William D.  
**To:** (b) (6)  
**Sent:** Thu Feb 03 21:39:19 2011  
**Subject:** Great work!

Heard the 3+ hour interview with [REDACTED] was very productive. Great work and continuing effort amidst all the current "chaos". You two are doing outstanding work so don't let the detractors get to you, they aren't worth it. I'm very proud of everything you have accomplished and so are many many others. Screw the rest.

\*\*\*\*\*

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**From:** (b) (7)(C)  
**Sent:** Tuesday, January 25, 2011 6:33 PM  
**To:** Newell, William D.; Needles, James R.; Gillett, George T. Jr. (b) (7)(C)  
(b) (7)(C)  
**Subject:** After Action  
**Categories:** Yellow Category, Red Category, Green Category

Command post began operation at 0530 hours and ended operations at 1227 hours.

Search Team 1 and 2 executed their warrants at 0601 hours and 0602 respectively. The remaining arrests teams were giving the green light by OCS (b) (7)(C) at 0611 hours.

(b)(7)(A) & (C) by ATF)  
(b)(7)(A) & (C) E by PPD)  
(b)(7)(A) & (C) in Nogales POE)

01/25/11 Arrests - 15

Fugitives: (b)(7)(A) & (C)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

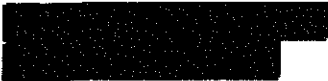
[REDACTED]

[REDACTED]

A good day's work indeed.

(b) (7)(C) - Senior IRS  
Acting Intel Group Supervisor  
[REDACTED]





---

**From:** Newell, William D.  
**Sent:** Wednesday, March 30, 2011 6:46 PM  
**To:** Needles, James R.; Cunningham, Patrick (USAAZ)  
**Subject:** Coordination with Mexican authorities - as requested  
**Attachments:** us versionAT-GoM Dec 15 Meeting Draft kmt edits (2).docx

**Categories:** Yellow Category, Red Category, Green Category

The PGR rep in Phoenix, (b) (7)(C) was briefed by the ATF Special Agent in Charge as early as December 2009, when the case first began to develop. On several occasions during the course of the following year I briefed the Phoenix PGR rep on the status of this investigation as well as other investigations we were working related to firearms trafficking to Mexico. It should be noted however that these briefings were general in nature and did not get into specifics regarding the identification of each suspect, investigative techniques, [REDACTED], etc. I would inform him of a "large-scale" firearms trafficking investigation being worked out of Group VII and that once arrests were planned I fully expected him to participate in any interviews of Mexican nationals and/or cooperating individuals in order to obtain information that would be of value to the PGR for use in prosecutions in Mexico, if applicable.

In late 2010 as the date for indictment and arrests became more firm I began to coordinate with the Phoenix PGR rep and our ATF Mexico Country Office regarding having SIEDO prosecutors be present for the "take down" and any post-arrest interviews that might provide valuable information about the Mexico side of this firearms trafficking network. The ATF Mexico Country Office coordinated this with the UEITA office in SIEDO, OPDAT and others in the Embassy. UEITA is the group that handles Federal firearms prosecutions for the PGR.

In preparation for this expected visit of Mexican prosecutors the following meetings/briefings took place:

On December 3, 2010, during a Phoenix "GC Armas" meeting at the ATF Phoenix Field Division office, I arranged for the case agent and supervisor to brief our Acting Country Attaché and the head of UEITA on the "Fast and Furious" investigation and what our plans were once the indictments were received and arrest operation planned. In this meeting an invitation was extended to UEITA to send personnel they deemed most appropriate to participate in post-arrest interviews of cooperating individuals. It was agreed in this meeting that once a firm date for the "take down" was secured that UEITA would send two prosecutors to participate in any post-arrest interviews in which those detained were willing to cooperate.

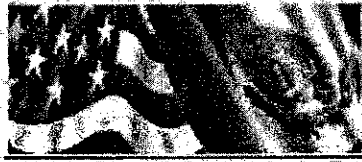
On December 15, 2010 at PGR HQ in Mexico City, in a meeting sponsored by OPDAT (see attached), this investigation was briefed to several Mexican counterparts including (b) (7)(C) (b) (7)(C) from SRE, (b) (7)(C) from PGR International Affairs, as well as CENAPI and

SIEDO reps. This was not an in-depth briefing but did discuss that two large-scale firearms trafficking cases out of Arizona (Fast and Furious and Wide Receiver) and that Fast and Furious was coming to a close in the Phoenix area. The purpose of this meeting was to coordinate bilateral investigative and prosecution efforts and it was explained that ATF Phoenix was looking to cooperate fully with our Mexican counterparts to turn over information gleaned during this case in order for them to follow-up on their end.

On January 23, 2011, UEITA prosecutors (b) (7)(C) and (b) (7)(C) traveled to Phoenix for the initial arrest phase of this investigation.

On January 24, 2011, an operational briefing was held for all U.S. law enforcement personnel assisting in the arrest phase of this investigation. Both UEITA prosecutors were present for this briefing.

On January 25, 2011, the initial arrest phase took place. The two UEITA prosecutors, in the presence of ATF personnel and with cooperating defendants, conducted two interviews. In one interview they obtained a confession. The Phoenix PGR rep was present for these interviews conducted by UEITA.



Joint Mexico-U.S. Workshop  
Dismantling the Tools of Transnational Crime  
Arms and Explosives Trafficking

December 15, 2010  
US version

Tuesday, December 14

5:00pm USG meets at Embassy to discuss the presentation of the 2 US investigations and how to improve ETrace, collaboration, and working cases together. Tentative location is Kiki Camarena room, 4<sup>th</sup> Floor Embassy.

**Purpose:** A working level meeting will be held to discuss continued joint Mexico -US efforts. At this meeting, the USG will present 2 investigations to PGR to be worked bi-nationally. PGR will present 2 investigations to the USG to be worked bi-nationally. In addition, future training needs will be discussed and coordinated by the participants.

Weds, December 15

9:30 am Depart Embassy

09:45 am [REDACTED]

10:00 - 10:30 Introductions and exchange of business cards.

10:30 - 11:30 Discussion of general areas of cooperation

- ETrace
- Cell phones
- Explosives
- Shared protocols

11:30-12:30 PGR to present 2 investigations (15 minutes per case – and 15 discussion per case)  
Determine how points of contact will be decided for GOM and USG for each case;  
Establish next steps in investigations for each case

12:30- 1:30 USG to present 2 investigations (15 minutes per case – and 15 discussion per case)  
Determine how points of contact will be decided for GOM and USG for each case;  
Establish next steps in investigations for each case

Presenters of 2 USG Cases by AUSA's/Case Agents (Approximately 2 slides regarding each investigation);  
Handouts (in Spanish) with detailed information regarding investigation to be provide to MX identifying nature of bilateral assistance needed

1:30 – 2:00 Break

2:00-2:30 Training opportunities and needs to advance the investigations (30 minutes)

2:30-3:30 Establish next meeting time/place/participants and deliverables for each case  
Establish a reporting update to keep track of the progress of the cases

3:00 Depart PGR

**Participants:**

USG –

[Redacted] Senior RLA  
[Redacted], RLA  
[Redacted], AUSA Arizona  
[Redacted] AUSA Arizona  
[Redacted] AUSA Arizona  
[Redacted], Criminal Division Gang Unit  
[Redacted], FSN Legal Advisor  
[Redacted] (ATF)  
[Redacted] DOJ

ATF will drop off at airport  
ATF will pick up and drop off at airport  
ATF will pick up and drop off at airport  
ATF will pick up and drop off at airport

TBD (ICE);  
and the US case agents involved in the US proposed investigations.  
(Tentative list of participants)

MX – PGR ?

---

**From:** McMahon, William G.  
**Sent:** Friday, April 23, 2010 10:27 AM  
**To:** Webb, J. Dewey; Champion, Robert R.; Newell, William D.; Torres, John A.  
**Cc:** Chait, Mark R.; (b) (6)  
**Subject:** Fw: TALKING POINTS FOR MEETING WITH DOJ ON APRIL 23, 2010  
**Attachments:** SWB Issues Paper for EAD Hoover regarding ICE and CBP.docx  
  
**Categories:** Green Category

Guys,

Thanks for your help in putting together this document for Billy's meeting today.

I hope the issues you have laid out have been addressed or attempted to be addressed with your counterparts there locally.

Thanks again,

Bill

\*\*\*

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**From:** (b) (6)  
**To:** Hoover, William J.  
**Cc:** Chait, Mark R.; McMahon, William G.  
**Sent:** Thu Apr 22 18:36:13 2010  
**Subject:** TALKING POINTS FOR MEETING WITH DOJ ON APRIL 23, 2010  
Billy:

Please let me know if the attached document meets your needs.

(b) (6)

(b) (6)

Bureau of Alcohol, Tobacco, Firearms and Explosives  
Chief, Firearms Enforcement Programs  
National Southwest Border Coordinator  
[REDACTED]  
[REDACTED]

The following summary was compiled on April 22, 2010 as a result of information provided by the Los Angeles, Phoenix, Houston and Dallas Field Divisions. This document highlights some of the significant issues that ATF continues to face regarding our relationship with ICE and CBP.

- The Houston Division reports that on the whole most interagency issues are resolved cooperatively. However, some disputes/disagreements concerning tactics when working firearms trafficking cases continue. Specifically, in the Houston Division ATF and ICE have disagreed about the necessity of following suspected traffickers to the border when GCA violations have already been established. For instance, when a felon or illegal alien is the suspected trafficker and is in possession of firearms. The Houston Division believes that (b) (5)

(b) (5)

(b) (5)

It appears that ICE wants to (b) (5)

(b) (5)

- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

[REDACTED]

- [REDACTED]

- [REDACTED]

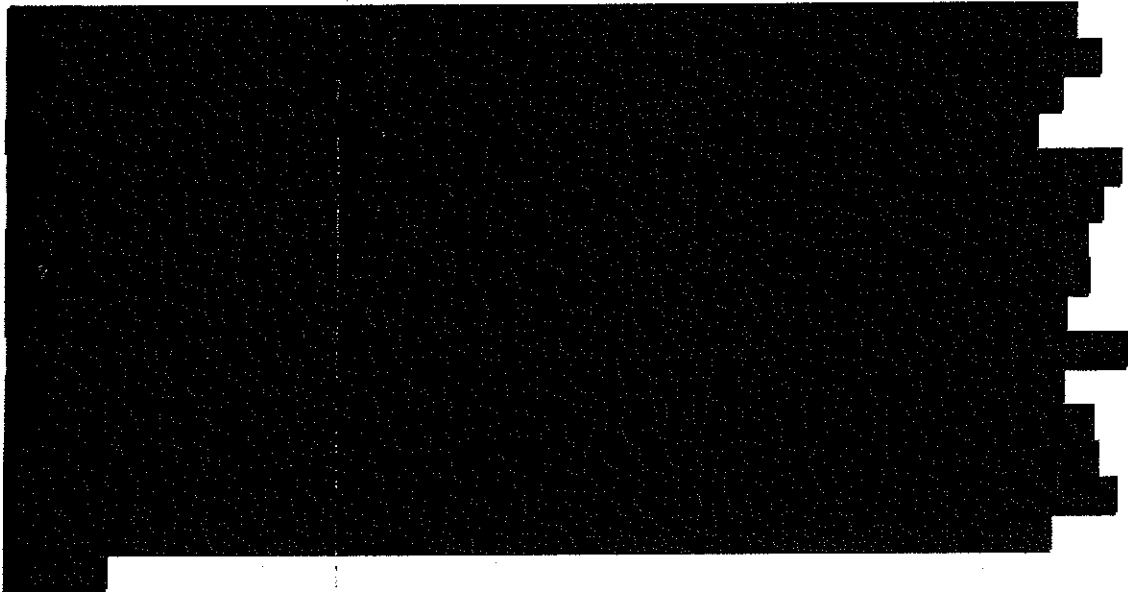
- [REDACTED]

- [REDACTED]

- [REDACTED]

- [REDACTED]





**From:** Newell, William D.  
**Sent:** Tuesday, January 04, 2011 6:19 PM  
**To:** McMahon, William G.  
**Subject:** FW: Tentative indictments for Group VII  
**Attachments:** Fast and Furious Talking Points for DAD.doc.docx; PHX GRIT Briefing - Sept 15th.pdf; Strike Force examples.pptx

**Categories:** Yellow Category, Red Category, Green Category

We plan on discussing all the cases below at the press conference. In all of them the main ATF charge is 18 USC 924(a)(1)(A) but also include 371, Dealing w/o a License, etc.

Since we just had the GRIT press conf in August and most if not all of the very same reporters will be coming I plan on creating a nexus between that last press conference and our most recent efforts to show the constant and ongoing efforts on our part to address the illegal trafficking of firearms to Mexico. We are also finalizing a handout very similar to the one we used for the GRIT (which Melson liked). (Copy attached of GRIT example as a reminder of what it looked like).

For Fast and Furious and a couple of the below cases we are going to plug the OCDETF Strike Force and the Gunrunner Group's role on the Strike Force, basically using the Arizona Strike Force's mission statement which is "focus investigations on the command and control elements of the Sinaloa Cartel and Arizona-based cells associated with the Cartel". The Strike Force's 5 investigative strategies include "#1 - Intelligence driven investigations that target CPOT-level members of the Sinaloa Cartel", "#2 - Identification and investigation of not only the northern transportation routes for narcotics, but a strict focus on the methods of operation used by the subordinate cartel cells in Arizona to transport drug related proceeds and weapons back to Mexico from and through Arizona", and [REDACTED]

[REDACTED]. We did these very things in the Fast and Furious so I feel strongly we lived up to our commitment to the OCDETF Strike Force concept as do our partners.

Also, the case listed under #4 below highlights very well the intermingling of [REDACTED], drug trafficking and firearms trafficking the Phoenix metro area. This case had it all and is a great example of how we work well with a local PD in quickly addressing not only [REDACTED] but also the associated firearms trafficking of 49 AKs that were purchased in very short order by a diverse group of straws (another reason to mention the long gun reporting issue). The short PowerPoint attachment highlights this case as well as a example of a map we used for GRIT that we are going to redo to include info on the seizure info of these cases. Use "ATF" to open.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

**From:** Needles, James R.  
**Sent:** Tuesday, January 04, 2011 1:33 PM  
**To:** Newell, William D.  
**Subject:** FW: Tentative indictments for Group VII

1. 785115-(b) (7)(A) Indicted November 30, 2010, **1 – individual** (b) (7)(C)  
(b) (7)(C) 785115-(b) (7)(A) purchased (b) (7)(A)  
(b) (7)(A)
2. 785115-(b) (7)(A) Indicted November 30, 2010, (b)(7)(A) & (C)  
**(b)(7)(A) & (C)**
3. 785115-10-0004, Criminal Complaint, December 16, 2010, (b) (7)(A)  
On December 15, 2010, after the shooting death of a U.S. Border Patrol agent in Southern Arizona law enforcement officers/agents conducted a search of the area. Two (2) of the AK-47 variant rifles purchased by (b)(7)(A) & (C) were recovered in the area during this search.
4. 785115-(b) (7)(A)  
On or about (b) (7)(A)  
**(b) (7)(A)** ATF Group  
VII agents initiated a firearms trafficking investigation and learned that these firearms were all  
**(b) (7)(A)**
5. 785115-(b) (7)(A) Indicted January 4, 2011 (b)(7)(A) & (C)  
(b)(3) (Public Law 111-117) & (7)(A) & (C) (b) (7)(A)  
(b)(7)(A) & (C)  
these firearms.
6. 785115-10-0004, (b) (7)(A) (Fast & Furious)  
From October 2009 to October 2010 agents have documented that this organization spent approximately 1.25 million dollars in cash at various Phoenix area Federal Firearms Licensees to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico. This is an approved OCDEF case (b) (7)(E)
7. [REDACTED], *Scheduled* to be Indicted January \_\_, 2011 [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

Phoenix Press Conference Key Messages and Talking Points:  
(Some will be specific to Fast and Furious and some will address all the cases)

**Key Messages:**

Since GRIT press conference in August, 2009 the Phoenix Field Division has continued to proactively address firearms trafficking to Mexico in support of ATF's Project Gun Runner

These investigations demonstrate the commitment on the part of ATF and its Federal, State, Local, Tribal and International partners to address the criminal activity involved in the trafficking of firearms to Mexico

These investigations address the key goals of ATF's Southwest border firearms trafficking strategy which are:

- Prevent violent crime
- Ensure the safety of the communities situated along the Southwest Border
- Disrupt and dismantle the firearms trafficking networks responsible for the diversion of firearms from lawful commerce and into the hands of the Mexican Drug Trafficking Organizations (DTOs)

These investigations all involved tireless effort on the part of ATF employees using a wide variety of investigative methods to fully identify those responsible for trafficking firearms to Mexico

ATF's firearms tracing system, eTrace, played a key role in assisting in identifying the individuals involved in violating Federal firearms laws in these investigations

In all of these cases a large number of certain types of rifles, such as AR and AK variants with detachable magazines were purchased by straw purchasers as part of complex firearms trafficking organizations and then recovered in violent crimes in Mexico, according to ATF trace data, investigative experience, and Mexican law enforcement official reports

Substantiates the fact that Mexican DTOs and other associated criminal groups operating along the SWB are actively pursuing "weapons of choice" in U.S. commercial firearms market

These cases demonstrate the ongoing trafficking of firearms by Mexican DTOs and other associated groups operating in Arizona and the need for the reporting of multiple sales for certain types of rifles in order to ferret out those intent on providing firearms to these criminal groups

**Talking Points:****(Using the "Ws" method – you can change if you desire)****What:**

- Proactive investigations focused on eliminating the entire firearms trafficking network, not just the straw purchasers
- ATF led firearms trafficking investigations into several firearms trafficking networks based in metro Phoenix
- Some initiated as early as October, 2009
- OCDETF Strike Force effort.
- Multi-agency (ICE, IRS, DEA, Phoenix PD)
- Almost exclusively "weapons of choice" which we have openly discussed many times before
- Alleged violations of Federal firearms laws by individuals involved in the illegal acquisition and transfer of firearms, money laundering, etc (the USAO will cover specific charges per case in more detail). Thus we should only state what is in the indictment when it comes to numbers of firearms. The indictment for Fast and Furious will not have the total number of firearms trafficked. Note: We have seized 352 firearms here in the U.S. in our effort to stop the trafficking while still making the larger case, and about 250 have been seized in Mexico. We will update the numbers again next week.

**Who:**

- Metro Phoenix area based complex firearms trafficking organizations

**For Fast and Furious:**

- [REDACTED]
- Firearms primarily destined for Sinaloa DTO (based on traces, seizures in Mexico and other investigative data gathered during investigations)
- A large group of straw purchasers [REDACTED] were used extensively to spread out purchases and evade detection (another time to address Multiple Sale on Long Guns issue)

**How:**

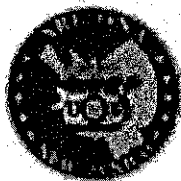
- Trafficking organizations used a large number of straw purchasers to spread out sales
- Shows straw purchases and the use of straw purchasers is still a major issue (lack of criminal history, need money, unlimited resource, etc)
- Firearms were purchased at numerous FFLs in the metro area

- Firearms were trafficked to Mexico in a variety of ways but mainly in small loads using vehicles crossing into Mexico via POEs

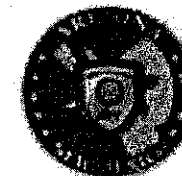
U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



**Bureau of Alcohol, Tobacco, Firearms and Explosives**  
**Gun Runner Impact Team (GRIT)**  
**Phoenix Field Division**  
**May 1 – August 6, 2010**



## Gun Runner Impact Team

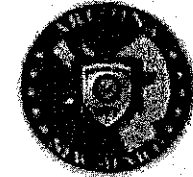


- The U.S. Department of Justice's Southwest Border (SWB) Initiative is a focused and comprehensive approach to address the violence inflicted by the Mexican Drug Cartels on both sides of the U.S.-Mexico Border. ATF's part in this initiative is ***Project Gunrunner***
- Project Gunrunner's primary goal is to stem the trafficking of firearms to Mexican Drug Cartels by identifying and investigating those responsible and to combat firearms related violence affecting communities on both sides of the border
- Gun Runner Impact Teams (GRIT) were developed as a supplement of ATF resources along the SWB and from May 1 through August 6, 2010 a GRIT was deployed to ATF's Phoenix Field Division. The Phoenix GRIT consisted of 84 ATF personnel including Special Agents, Industry Operations Investigators, and Support Staff from around the country.





# GRIT Impact



- 174 investigations were initiated by the Phoenix GRIT.
- To date 66 defendants have been referred for prosecution.
- Follow up on all investigative leads is ongoing.

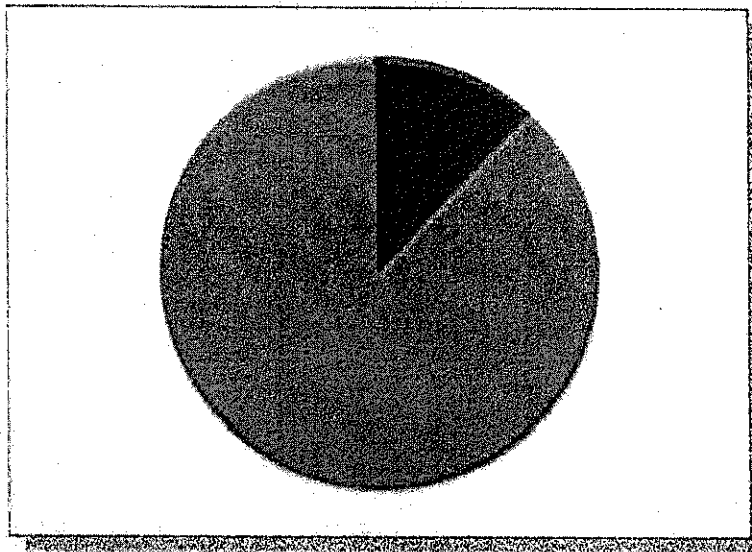
U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



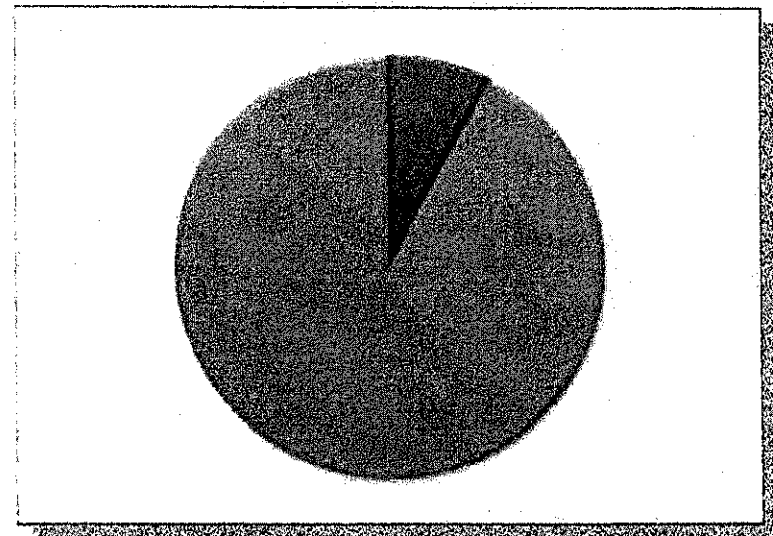
## Completed FFL Inspections (GRIT)



Warning Letters / Warning Conferences / Revocation  
110



Voluntary Surrender  
69

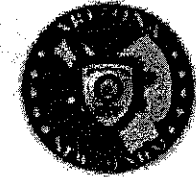


# Total FFL Inspections – 806

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives



# Completed FFL Inspections



When violations of the law and regulations are disclosed during an inspection, a report of violations is issued to the licensee that outlines the discrepancy and the requirements for corrective action. ATF also works to gain cooperation and compliance from federal firearms licensees (FFLs) by issuing warning letters and holding warning conferences. Despite these remedial actions, on rare occasions ATF encounters a licensee who fails to comply with the law and regulations and demonstrates a lack of commitment to improving his or her business practices. In such cases where willfulness is demonstrated, ATF's obligation to protect public safety may require revocation of the federal firearms license.

- There were 806 completed FFL inspections in the Phoenix Field Division during GRIT.
- 69 FFLs voluntarily surrendered their licenses.
- ATF took administrative action against 110 FFLs:
  - 1 Revocation was recommended
  - 109 warning letters or warning conferences were completed.

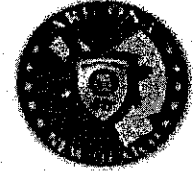
Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

HOGF ATF 000865

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



# PHOENIX GRIT SEIZURES



**1285** Firearms Seized



**71,774** Rounds of Ammunition Seized



**\$7763** in Currency Seized

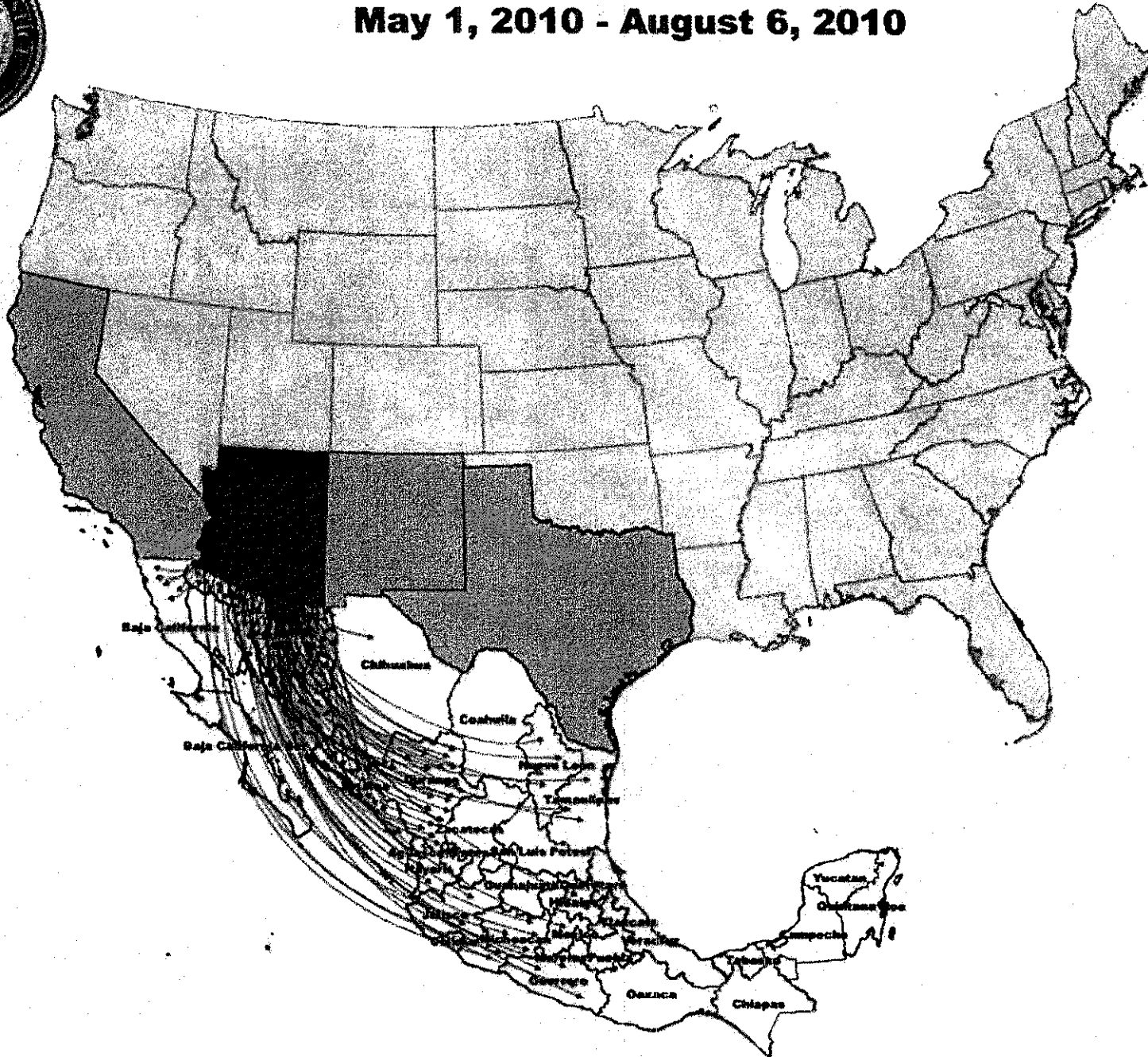
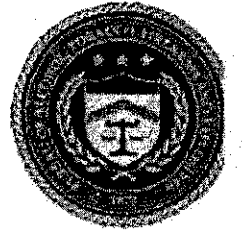
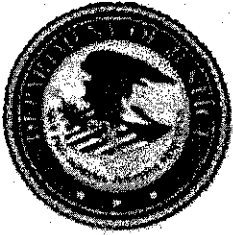


**676.2** Grams of Cocaine Seized

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

HOCR ATF 000866

# Arizona Gunrunner Impact Team (GRIT) May 1, 2010 - August 6, 2010

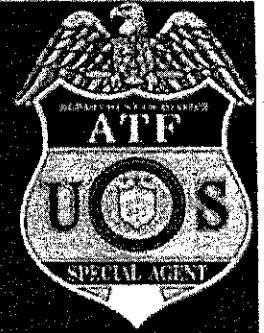


HOCR ATF 000867





# Phoenix (Aug 20)



HOCR ATF 000868

## Gun and Drug Bust



Purchasers of the 50 gun seizure



---

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, October 27, 2009 12:43 PM  
**To:** (b) (6) (b) (6)  
**Cc:** Newell, William D.; (b) (6)  
**Subject:** Conference Call  
**Attachments:** cartel strategy2.doc

Folks –

There will be a conference call tomorrow at 10:00 AM.

The Department of Justice has produced a strategy in support of addressing the SWB/Cartel led violence and surrounding issues. As this directly impacts and affects ATF's mission, the purpose of the call will be to discuss this strategy (see attachment) in preparation for the SWB conference in San Diego next Monday, Tuesday, and Wednesday.

Please read the attached document before the conference call. (b) (6) will schedule the call and forward the details to you.

Thank you,

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Friday, October 30, 2009 4:33 PM  
**To:** (b) (6)  
**Subject:** FW: Gunrunner background info docs  
**Attachments:** SWB ProjGunRnr\_INTERNAL 01-02-08.pdf; SWB ProjGunRnr\_EXTERNAL 01-02-08.pdf; DIRECTORs SWB Internal Memo 06 07 2007.pdf

Per the SAC's instructions....

---

**From:** Newell, William D.  
**Sent:** Thursday, October 29, 2009 12:34 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Gunrunner background info docs

Please have (b) (6) and (b) (6) read through these docs before San Diego. Thanks.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]



51  
Division of Operations  
ATF

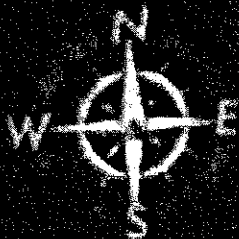


# ATF

## SOUTHWEST BORDER INITIATIVE

# PROJECT GUNRUNNER

*December 2007*



INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE OF ATF

**From:** Gillett, George T. Jr.  
**Sent:** Monday, November 23, 2009 10:54 AM  
**To:** (b) (6) (b) (6) (b) (6) (b) (6)  
**Cc:** (b) (6) (b) (6) Needles, James R.; Newell, William D. (b) (6)  
**Subject:** FW: SWB Project Gunrunner Collection Plan final 2010  
**Attachments:** SWB Project Gunrunner Collection Plan final 2010.pdf; OSII-ATF Project Gunrunner-Update FY 2010 Survey Form.pdf  
**Categories:** ISSA, IG

Folks –

I am forwarding this to you all, as you should all be versed on the contents of this SWB Gunrunner Collection Plan. Please review and familiarize yourself.

**George T. Gillett**  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division

---


**From:** (b) (6)  
**Sent:** Monday, November 23, 2009 5:58 AM  
**To:** All Special Agents in Charge; All ASACS; All Directors, Industry Operations  
**Cc:** Intel-Group Supervisors; Intel-Field Intelligence Support Branch  
**Subject:** SWB Project Gunrunner Collection Plan final 2010

**LAW ENFORCEMENT SENSITIVE (LES)**

Attached please find an updated version of the Southwest Border Project Gunrunner Collection Plan that your field division received last year. This version contains more references to Industry Operations' role in the process of information/intelligence gathering; it contains updated language on certain ATF offices and programs; and some language previously construed as too provocative has been removed. Please use this collection plan in place of the early version. Thank you for your attention to this matter.

**LAW ENFORCEMENT SENSITIVE (LES)**

(b) (6)  
 Intelligence Operations Specialist  
 Office of Strategic Intelligence and Information  
 Bureau of Alcohol, Tobacco, Firearms and Explosives  
 Field Intelligence Support Team (eastern Region)



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U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
*Office of Strategic Intelligence and Information*



# ATF - Project Gunrunner Southwest Border Initiative Intelligence Collection Plan Update FY 2010



Law Enforcement Sensitive (LES)

11/12/09

HOCR ATF 000883

---

**From:** Gillett, George T. Jr.  
**Sent:** Friday, December 04, 2009 10:46 AM  
**To:** (b) (6)  
**Cc:** (b) (6)  
**Subject:** SA (b) (6)

**Categories:** F&F

Hello (b) (6)

(b) (6) is scheduled to attend medic tactical re-cert next week in El Paso, TX.

She is the case agent on a southbound firearms trafficking investigation that is being closely monitored by DAD McMahon (FO) and DAD Martin (OSII). We are anticipating significant investigative movement in the next 5-10 days and need (b) (6) to be in Phoenix to coordinate the investigation.

Could she please be excused from re-cert and rescheduled to the March training to satisfy the re-cert requirement?

Thank you, and please contact me if you have any questions.

---

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, December 08, 2009 11:48 AM  
**To:** (b) (6)  
**Subject:** Fw: Conference

**Categories:** F&F, ISSA, IG

Fyi -

I hope all this was discussed with (b) (6). If not, please keep this between us and we can discuss tonight.

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

----- Original Message -----

**From:** (b) (6)  
**To:** Gillett, George T. Jr.  
**Sent:** Tue Dec 08 11:37:48 2009  
**Subject:** RE: Conference

They have a case open that they are wanting to make an OCDEF case out of. I support their effort. I would only recommend that some action is taken in attempts to slow down the purchasing and exportation of the firearms and ammunition. Our agents have now been involved in two incidents that have had major seizures relating to their case. We are being told that they have documented over 300 firearms being purchased by this group. Our investigative efforts reflect that the suspect in this recent seizure is responsible for purchasing over \$25,000 of ammunition. Only \$10,000 worth of ammo was seized.

-----Original Message-----

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, December 08, 2009 9:24 AM  
**To:** (b) (6)  
**Subject:** Re: Conference

Thank you. What did you both decide?

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

----- Original Message -----

From: (b) (6)  
To: Gillett, George T. Jr.  
Sent: Tue Dec 08 11:22:53 2009  
Subject: RE: Conference

Done

-----Original Message-----

From: Gillett, George T. Jr.  
Sent: Tuesday, December 08, 2009 9:14 AM  
To: (b) (6)  
Subject: Conference

(b) (6)

If you and GS (b) (6) haven't spoke yet, please contact (b) (6) as soon as possible.

Thank you,

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[Redacted]

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 09, 2009 6:16 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO, (b) (3)(Public Law 111-117)  
**Categories:** IG, F&F

For your enjoyment

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 09, 2009 3:16 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO, (b) (3)(PL 111-117)

**Suspect Gun File Number:** (b) (7)(A)  
**Suspect Gun submission request date:**  
**Case Agents:** (b) (7)(C)

**Recovered:** (b) (7)(A)  
**Location:**  
**Suspects:**

**Remarks:** Please contact (b) (7)(C) on the CC: line if you need access to this general case file to view PGR report and EPIC 10 results.

**NFORCE:** 164020-10-0001  
**Title:** DECOMISO - SEIZURE BULLETIN - 11/20/2009 - NACO, SONORA (Auga Prieta)

**FIST SWB:** (b) (7)(C)  
**Date:** 24 November 2009  
**Event:** On November 20, 2009 the Mexican Army and Customs authorities detained one female and a juvenile transporting 42 firearms and ammo in a black Chevy Tahoe bearing Arizona license plates.  
**Location:** Aduana de Naco, Sonora  
**Case Number:** Previa- AP/PGR/SON/AGP-1/492/2009

**Arrests:**  
 - (b) (7)(C)  
 - (b) (7)(C)  
**Criminal Group/Org.:** Unkown

**Firearms:**  
 -41 AK-47 Rifles and Pistols  
 1- Colt/Beowulf- 50 caliber rifle  
**Explosives:** n/a

**Magazines:**  
 -43 Magazines for various calibers

**Ammunition:**



-168 cartridges of various calibers

**Tactical Equipment:**

1-Telescopic scope  
1-Bipod

Uniforms: n/a

Communication Equipment: n/a

Currency: n/a

**Other Objects:**

-3 bayonets/knives

Drugs: n/a

**Vehicles:**

-One 2003 Tahoe black in color bearing Arizona tags; (b) (7)(C)

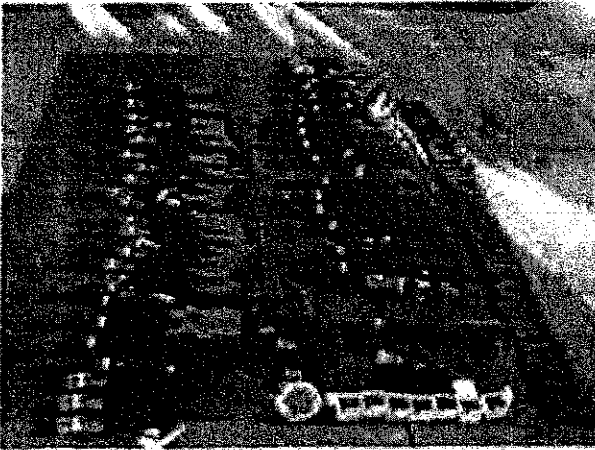
**Synopsis:** On November 20, 2009 the Mexican 9<sup>th</sup> Infantry Company located in Agua Prieta, Sonora and Mexican Customs agents stopped a black 2003 Chevy Tahoe bearing Arizona license plates [REDACTED] occupied by (b) (7)(C) and [REDACTED]. Canine dog, Binomio "Shadow" was deployed. A search of the vehicle revealed 42 firearms, 43 magazines, 3 bayonets and 168 rounds of ammunitions.

MEXICAN NEWS ARTICLES RELATED TO THIS SEIZURE

## Consignan a detenida con armas en Naco

-Verónica Álvarez Toscano fue capturada en la Garita Aduanal de Naco, Sonora

Por: Jesús Zúñiga C.



Agua Prieta, Son: A 27 de Noviembre de 2009.- El Agente del Ministerio Público de la Federación consignó ante el Juzgado Noveno de Distrito en la Entidad, a Verónica Álvarez Toscano, por su presunta responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en el diverso de posesión de cartuchos; Introducción Clandestina a Territorio Nacional, acopio de armas explosivas de las reservadas para uso exclusivo del Ejército, Armada y Fuerza Aérea.

De acuerdo con la causa penal 284/09, Álvarez Toscano fue detenida por personal de la Administración Central para la Inspección Fiscal Aduanera comisionados en Naco, Sonora.

Ahí los elementos Fiscales apoyados del binomio canino "Sombra", detectaron en una camioneta Chevrolet tipo Tahoe, modelo 2003, el cargamento de armas y municiones.

Del interior de la unidad aseguraron a la inculpada, así como 41 armas largas tipo AK-47, todas calibre 7.62x39 mm, de diferentes marcas, modelos y países de origen; un fusil calibre .50, marca Colt; 40 cargadores calibre 7.62x39; tres cargadores calibre .50; 160 cartuchos, calibre .50; una mira telescópica; un bípie para arma de fuego; tres cuchillos y un estuche.

La mujer originaria de Florida los Estados Unidos, era acompañada de un menor de edad, mismo que quedo a disposición del Juzgado Especializado en Justicia para Adolescentes.

En consecuencia, la traficante fue puesta a disposición del Fiscal Federal quien inició la averiguación previa AP/PGR/SON/AGP-I/492/2009, en su contra por los delitos antes referidos.

Source: [http://www.certezasonorense.com/notas/consignan\\_a\\_detenida\\_en\\_naco.html](http://www.certezasonorense.com/notas/consignan_a_detenida_en_naco.html)

## Mujer estadounidense detenida en México por presunto contrabando de armas

26 de Noviembre de 2009, 03:02pm ET  
MÉXICO, 26 Nov 2009 (AFP) -

Una mujer estadounidense de origen latino fue detenida por las autoridades mexicanas cuando viajaba con un niño por traficar en su automóvil un cargamento de armas presuntamente introducido a México de manera ilegal, informó el jueves la Procuraduría General de la República (PGR).

La fiscalía federal puso a disposición de un juzgado a "Verónica Alvarez Toscano por su probable responsabilidad en la comisión del delito de violación a la ley de armas de fuego en su modalidad de posesión e introducción clandestina a territorio nacional", dijo la PGR en un comunicado de prensa.

La detención se registró en el estado mexicano de Sonora (noroeste), fronterizo con Estados Unidos, cuando agentes de aduanas descubrieron en una revisión 41 rifles tipo Ak-47 y un fusil Colt de calibre .50, así como una mira telescópica, entre otros, añadió la fiscalía.

La mujer y el menor con el que iba acompañada son originarios del estado de Florida (sureste de Estados Unidos), precisó la PGR.

Entre 2007 y 2008 el gobierno mexicano decomisó 16.000 armas de asalto, de las cuales el 90% provenían de Estados Unidos, según cifras oficiales.


En la frontera sur de Estados Unidos se han detectado unos 10.000 puntos de venta de armas.

El gobierno de México enfrenta con 50.000 militares desplegados en el país una lucha frontal contra los cárteles de la droga, cuya disputa ha generado una ola de violencia con más de 14.000 muertos desde 2006.

lp/rpl

Source:

<http://www.univision.com/contentroot/wirefeeds/50noticias/mexico/8090709.shtml;jsessionid=KRTK5XLMCSN1WCWIAAPCFEYKZAADYIWC>

Publicado por Administrador en 05:33 PM 0 comentarios 

### Capturan en Sonora a una estadounidense con un arsenal



Con 41 fusiles AK-47, un rifle marca Colt, además de 40 cargadores para AK-47 y tres para el fusil Colt, una mira telescópica y tres cuchillos, fue detenida una mujer de nacionalidad estadounidense, informó la Procuraduría General de la República

Su nombre, Verónica Álvarez Toscano, quien junto con un menor de edad, de quien no se proporciona el nombre, intentó ingresar a territorio mexicano el arsenal, señaló la PGR en un comunicado.

La mujer, procedente de DeLand, Florida, viajaba en una camioneta y fue detenida en un retén de inspección en la frontera entre Sonora y Arizona por el personal de aduanas.

Álvarez Toscano fue presentada al Juzgado Noveno de Distrito en Sonora por su probable responsabilidad en los delitos de posesión de armas de fuego de uso exclusivo del Ejército, introducción clandestina a territorio nacional y por posesión de cartuchos para ese tipo de armas.

Source: <http://narcotraficoenmexico.blogspot.com/>

## Mujer intenta traficar fusiles de Estados Unidos a México

(AP)— Una estadounidense fue acusada de intentar introducir clandestinamente a México 42 fusiles de alto calibre desde Estados Unidos, informó el jueves la Procuraduría General de la República (PGR).

La mujer fue detenida el 20 de noviembre en la frontera entre Sonora, México, y Arizona, Estados Unidos, cuando viajaba acompañada de un menor a bordo de una camioneta, en cuyo interior localizaron 41 fusiles AK-47 calibre 7,62×39 mm. y uno más calibre .50 marca Colt, señaló la dependencia en un comunicado.

Durante una operación de inspección en una aduana mexicana, las autoridades también encontraron 40 cargadores para los fusiles AK-47 y tres para el fusil calibre .50; 160 cartuchos calibre .50; una mira telescópica; un bipie para arma de fuego; tres cuchillos y un estuche.

La PGR señaló que finco cargos contra la mujer, identificada como Verónica Álvarez Toscano, por la posesión ilegal de los fusiles, introducción clandestina y acopio de armas de uso exclusivo de las fuerzas armadas.

El menor, del que no se dio su edad, quedó a disposición de un juzgado especializado en justicia para adolescentes.

La PGR señaló que la mujer y el menor son originarios de DeLand, Florida.

El gobierno mexicano ha señalado en diversas ocasiones que parte de la violencia de los carteles de las drogas se ve alimentada con las armas de alto calibre que los narcotraficantes consiguen en Estados Unidos, por lo que ha insistido en que las autoridades del vecino país del norte hagan más para detener ese tráfico.

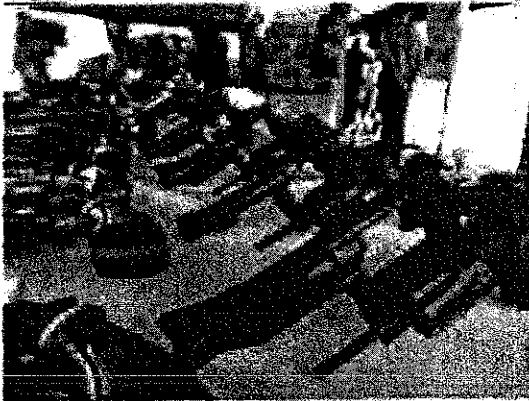
Las autoridades también han dicho que una forma de conseguir las armas es contratar terceras personas que las adquieran en territorio estadounidense.

Etiquetas: AK-47, Estados Unidos, frontera, fusiles, México, Sonora, Verónica Álvarez Toscano, y Arizona

Source: <http://www.ahorasi.com/mujer-intenta-trafficar-fusiles-de-estados-unidos-a-mexico/#more-14777>

## Mujer intentó trafficar fusiles de EU a México

Nota



Una estadounidense fue acusada de intentar introducir clandestinamente a México 42 fusiles de alto calibre. ARCHIVO

Fue identificada como Verónica Álvarez Toscano

*La mujer fue detenida el 20 de noviembre en la frontera entre Sonora y Arizona*

**CIUDAD DE MÉXICO.**- Una estadounidense fue acusada de intentar introducir clandestinamente a México 42 fusiles de alto calibre desde Estados Unidos, informó el jueves la Procuraduría General de la República (PGR).

La mujer fue detenida el 20 de noviembre en la frontera entre Sonora y Arizona, cuando viajaba acompañada de un menor a bordo de una camioneta, en cuyo interior localizaron 41 fusiles AK-47 calibre 7,62x39 mm. y uno más calibre .50 marca Colt, señaló la dependencia en un comunicado.

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Las autoridades también han dicho que una forma de conseguir las armas es contratar terceras personas que las adquieren en territorio estadounidense.

Source: <http://www.informador.com.mx/mexico/2009/157568/6/mujer-intento-traficar-fusiles-de-eu-a-mexico.htm>

## **CONSIGNAN A MUJER QUE FUE DETENIDA CON ARMAS Y CARTUCHOS EN SU CAMIONETA**

Escrito por: [marcos1965 Estados](#), Noticias al minuto Nov 26, 2009

México, D.F. 26 de noviembre 2009.- La Procuraduría General de la República (PGR) a través de su Delegación Estatal en Sinaloa consignó ante el Juzgado Noveno de Distrito en esa entidad a Verónica Álvarez Toscano por su probable responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en las modalidades de posesión, introducción clandestina a territorio nacional, acopio de armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea y posesión de cartuchos para dichas armas.

La PGR explicó que personal de la Administración Central para la Inspección Fiscal Aduanera comisionados en Naco, Sonora, con el apoyo del perro entrenado "Sombra", lograron descubrir que la mujer, quien iba acompañada por un menor de edad, llevaba en la camioneta Chevrolet Tahoe modelo 2003, que se disponía a abordar: 41 armas largas tipo AK-47 de las llamadas "cuervo de chivo", todas calibre 7.62 x 39 mm, pero de diferentes marcas, modelos y países de origen; también llevaba un fusil calibre .50 marca Colt, 40 cargadores calibre 7.62 x 39 para fusil AK-47, tres cargadores calibre .50, 160 cartuchos calibre .50, una mira telescópica, un bipie para arma de fuego, tres cuchillos y un estuche.

La mujer fue puesta a disposición del agente del Ministerio Público de la Federación quien inició la averiguación previa AP/PGR/SON/AGP-I/492/2009 en su contra por el delito de posesión y acopio de armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea; en tanto que el menor de edad quedó a disposición del Juzgado Especializado en Justicia para Adolescentes.

Verónica Álvarez Toscano y el menor son originarios de DeLand, Florida, informó la PGR.

Source: <http://enlamira.net/?p=8178>

jueves 26 de noviembre de 2009

### **CONSIGNAN VERÓNICA ÁLVAREZ TOSCANO POR POSESIÓN DE MÁS DE 40 FUSILES AK-47, EN NACO SONORA**

COMUNICADO PGR La Procuraduría General de la República a través de su Delegación Estatal consignó ante el Juzgado Noveno de Distrito en la entidad a Verónica Álvarez Toscano por su probable responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en las modalidades de posesión, introducción clandestina a territorio nacional, acopio de armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea y posesión de cartuchos para armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea. De acuerdo con la causa penal 284/09, personal de la Administración Central para la Inspección Fiscal Aduanera comisionados en Naco, Sonora, apoyados del binomio canino "Sombra", lograron descubrir que Álvarez Toscano, quien iba acompañada por un menor de edad, llevaba en el vehículo que iba a abordar, marca Chevrolet tipo Tahoe, modelo 2003, 41 armas largas tipo AK-47, todas calibre 7.62x39 mm, de diferentes marcas, modelos y países de origen; un fusil calibre .50, marca Colt; 40 cargadores calibre 7.62x39; tres cargadores calibre .50; 160 cartuchos, calibre .50; una mira telescópica; un bipie para arma de fuego; tres cuchillos y un estuche. En consecuencia, fue puesta a disposición del agente del Ministerio Público de la Federación quien inició la averiguación previa AP/PGR/SON/AGP-I/492/2009 en su contra por el delito referido; en tanto que el menor de edad quedó a disposición del Juzgado Especializado en Justicia para Adolescentes. Cabe destacar que Verónica Álvarez Toscano y el menor son originarios de DeLand, Florida. Lo anterior forma parte de las acciones del Gobierno Federal para erradicar la introducción clandestina de armas al país y garantizar la tranquilidad de la ciudadanía.

en jueves, noviembre 26, 2009  Etiquetas: SONORA, TRÁFICO DE ARMAS

Source: <http://querracontraelnarco.blogspot.com/2009/11/consigan-veronica-alvarez-toscano-por.html>

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 09, 2009 10:20 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO, (b)(3)(Public Law 111-117)

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: (b)(3) (Public Law 111-117)

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: (b) (3) (Public Law 111-117)

Manufacturer: (b) (3) (Public Law 111-117)

Type: (b) (3) (Public Law 111-117)

Caliber: (b) (3) (Public Law 111-117)

Model: (b) (3) (Public Law 111-117)

Recovered (b) (3) (Public Law 111-117)  
Location: (b) (3) (Public Law 111-117)  
Suspects:

Trace Requestor: (b) (3) (Public Law 111-117)  
Requesting Agency: (b) (3) (Public Law 111-117)  
Phone Number:

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

Seizure of 48 Firearms in Mexicali, Baja California, by Mexican Military on December 9, 2009, along with 2, 000,000 USD, 1,000,000 Mexican Pesos, 500 Kilos of Cocaine, 85 pounds of Methamphetamine and 12 suspects arrested.  
Organization Suspected: Sinaloa Cartel

1. Maadi Egypt 7.62 X39 rifle, Model MISR Receiver, S/N [REDACTED], Made in Egypt, Imported by CAI St. Albans, VT.
2. ROMARM/CUGIR 7.62X 39 rifle, Model SAGPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
3. NORINCO 7.62X39 rifle, Model NHM91, Made in China, S/N [REDACTED], Imported by CSI, Ontario, CA.
4. NORINCO 7.62X39 rifle, Model MAK90, Made in China, S/N [REDACTED], Imported by CAI, ST. Albans, VT.
5. Maadi Egypt 7.62X39 rifle, Model UNKNOWN, Made in Egypt, S/N [REDACTED], Imported by PARS Intl., Louisville, KY.
6. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
7. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
8. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
9. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
10. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
11. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
12. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
13. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
14. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
15. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
16. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
17. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
18. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
19. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
20. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
21. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
22. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.



23. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
24. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
25. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
26. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
27. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
28. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
29. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
30. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
31. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
32. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
33. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
34. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
35. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
36. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
37. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED] or S/N 1969BL4539, Imported by CAI Georgia, VT.
38. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
39. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
40. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
41. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
42. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
43. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
44. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
45. ROMARM/CUGIR 7.62X 39 rifle, Model GPWASR10-63, Made in Romania, S/N [REDACTED], Imported by CAI Georgia, VT.
46. Norinco 5.56X45 rifle, Model BWK-92 Sporter, Made in China, S/N [REDACTED], Imported by [REDACTED]
47. Eagle Arms 5.56X45 rifle, Model M15A2, Made in USA, S/N [REDACTED]

48. FN HERSTAL, 5.7X28mm pistol, Model 57, Made in Belgium, Imported by FN  
HERSTAL, S/N [REDACTED]

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**From:** Gillett, George T. Jr.  
**Sent:** Monday, December 14, 2009 10:17 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Latest Trafficking Case  
  
**Categories:** F&F, IG, ISSA

10-4. Coffee??

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**From:** (b) (7)(C)  
**Sent:** Monday, December 14, 2009 8:15 AM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: Latest Trafficking Case

I just spoke with (b) (7)(C) and she stated she sent him the list last week, maybe are talking apples and oranges but according to (b) (7)(C) she sent (b) (7)(C) what he requested last week...

Thanks,

(b) (7)(C)

---

**From:** Gillett, George T. Jr.  
**Sent:** Monday, December 14, 2009 6:45 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Fw: Latest Trafficking Case  
**Importance:** High

(b) (7)(C)

Please coordinate with Intel and see (b) (7)(C) can assist with this request.

Thanks.

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Mon Dec 14 08:03:51 2009  
**Subject:** Latest Trafficking Case

George – I know my folks made contact last week while I was away from the office. DAD McMahon has tasked us with doing a link analysis on (b) (7)(C) case, in order for him to brief AD Chait, who will in turn brief a DOJ Attorney on new SWB operational plans and use this case as an example. Sorry, if this word did not come down the field ops chain to you all.

My folks are under a time crunch, need to print out link analysis by tomorrow (Tuesday). They still need the Suspect Gun List that NTC provided (b) (7)(C) at the end of last week, in order to complete their chart. Can you please remind her to send it (b) (7)(C) Much appreciated.

Call me if you have questions or concerns. [REDACTED] is cell.

(b) (7)(C)

Chief, Criminal Intel Div  
[REDACTED]

**From:** (b) (7)(C)

**Sent:** Sunday, December 13, 2009 11:53 PM

**To:** (b) (7)(C)

**Subject:**

I HAVE NOT RECEIVED THE SUSPECT GUN LIST FROM PHOENIX WITH THE PURCHASERS NAMES IN ORDER TO COMPLETE YOUR REQUEST.

(b) (7)(C)

***TEAM LEADER***

Field Intelligence Support Team - Southwest Border  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Intelligence Operations Specialist  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, December 14, 2009 11:07 AM  
**To:** (b) (7)(C)  
**Subject:** FW: THIRTEEN Suspect Gun firearm recovered, MEXICO, (b)(3)(Public Law 111-117)

**Categories:** IG, F&F

We had fourteen it looks like their missing one. I'll call (b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Monday, December 14, 2009 8:24 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** THIRTEEN Suspect Gun firearm recovered, MEXICO, (b)(3)(Public Law 111-117)

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

These traces have been stopped and closed at your direction.

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

SERIAL #:	[REDACTED]	[REDACTED]	[REDACTED]
SERIAL #:	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A) & (C)
SERIAL #:	[REDACTED]	[REDACTED]	[REDACTED]
SERIAL #:	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A) & (C)
SERIAL #:	[REDACTED]	[REDACTED]	[REDACTED]
SERIAL #:	[REDACTED]	[REDACTED]	[REDACTED]
SERIAL #:	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A) & (C)
SERIAL #:	[REDACTED]	[REDACTED]	[REDACTED]

SERIAL #: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
SERIAL #: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
SERIAL #: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
SERIAL #: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)  
[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Suspect Gun submission request date: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Manufacturer: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Caliber: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Recovered Location: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: [REDACTED]

Trace Requestor: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

Trace Requestor: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: [REDACTED]  
Requesting Agency: [REDACTED] (b) (3) (Public Law 111-117)  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF [REDACTED] (b) (7)(C) VIA E-MAIL OR AT [REDACTED] (b) (7)(C)**

[REDACTED] (b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431  
[REDACTED]



**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 17, 2009 1:39 PM  
**To:** (b) (6)  
**Subject:** RE:

**Categories:** IG, ISSA, F&F

Anticipatory mi amigo. Let me know when "the eagle is in the nest."

Below is an excerpt from ATF Order 3310.4B

Please see 148 (a)(1) and (2).

148. "WEAPONS TRANSFERS".

- a. Considerations. During the course of illegal firearms trafficking investigations, special agents may become aware of, observe, or encounter situations where an individual(s) will take delivery of firearms, or transfer firearm(s) to others. In these instances, the special agent may exercise the following options:
- (1) In cases where probable cause exists to believe a violation of law has occurred and the special agent determines there is a need to intervene in the weapons transfer (e.g., the recipient of the firearms is a known felon; it is known the firearms will be used in crime of violence), the special agent shall do so but should place concerns for public safety and the safety of the involved special agents as the primary determining factor in exercising this option.
  - (2) In other cases, immediate intervention may not be needed or desirable, and the special agent may choose to allow the transfer of firearms to take place in order to further an investigation and allow for the identification of additional coconspirators who would have continued to operate and illegally traffic firearms in the future, potentially producing more armed crime.
- b. Alternative Intervention Methods. In the event it is determined by the special agent that a weapons transfer should not take place, the special agent may consider alternative methods of intervention other than arrest and/or search warrants that will prevent the culmination of the weapons transfer but allow the investigation to continue undetected. These alternative methods are considered to be a course of action that must be approved by the RAC/GS or SAC as previously noted. These alternative interventions may include, but are not limited to:
- (1) A traffic stop (supported by probable cause to search or supported by a traffic violation allowing for plain view observations) by a State or local marked law enforcement vehicle that would culminate in the discovery and retention of the firearms. This would prevent the weapons transfer from fully occurring and may in turn produce new investigative leads. Should



the occupants of the vehicle be new/unknown participants in the organization under investigation, they may be fully identified which in turn will yield additional information for followup investigation. Should the occupants of the vehicle be known participants in the investigation, requesting telephone tolls for these individuals (or if a Penn Register/T-III interception order is in use) for the period shortly after the traffic stop may show calls and yield identifying information relating to the intended receivers of the firearms.

- (2) If the firearms are being shipped out of the United States via a common carrier via checked luggage or freight, the firearms may be seized/or recovered by the special agents, in coordination with the U.S. Customs Service under border search authority, without detection by the traffickers. This would prevent the weapons transfer from fully occurring and may in turn produce new investigative leads. The investigation may then continue with the traffickers led to believe the shipment of firearms was stolen in transit. Requesting telephone tolls for these individuals (or if a Penn Register/T-III interception order is in use) for the period shortly after the recovery of the firearms may show calls and yield identifying information relating to the intended receivers of the firearms.
- (3) If the firearms are being shipped via the U.S. mail, coordination with the U.S. postal inspectors will assist the special agents in recovery of the firearms without detection by the traffickers. This would prevent the weapons transfer from fully occurring and may in turn produce new investigative leads. The investigation may then continue with the traffickers led to believe the shipment of firearms was stolen in transit. Requesting telephone tolls for these individuals (or if a Penn Register/T-III interception order is in use) for the period shortly after the recovery of the firearms may show calls and yield identifying information relating to the intended receivers of the firearms.
- (4) If the special agent chooses to arrest the individual(s) with the firearm(s), the possibility exists that a debriefing of this individual will yield other members of the organization, or the individual(s) will agree to participate in a controlled delivery of the firearm(s) to the original intended receivers.

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**From:** (b) (7)(C)  
**Sent:** Thursday, December 17, 2009 11:37 AM  
**To:** Gillett, George T. Jr.  
**Subject:** RE:

Not yet...?

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**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 17, 2009 11:28 AM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:**

Bill-

OSII has not yet finished a link diagram on this investigation. Therefore, there is no "chart" in existence diagramming this investigation. (b) (7)(C) and crew are currently working on such a link-diagram chart, but it is not yet complete. (b) (7)(C) did have a power point that gave an overview of the case and that has been forwarded to GS (b) (7)(C). However, that power point is about 1 week old, so the info is already a bit dated. GS (b) (7)(C) and (b) (7)(C) are speaking on a regular basis, so the lines of communication are now the equivalent of the proverbial fire hose. During one of their conversations, (b) (7)(C) that (b) (7)(C) received a briefing on the investigation this week and mentioned the possibility (b) (5) been trafficked. Therefore, I spoke with (b) (7)(C) today and explained that even though the identified straw-purchasers bought approximately 175 guns last week alone, we have slowed down the FFL on future purchases and are obtaining intelligence directly related to this investigation. (b) (7)(C) did express some concern regarding the total number of guns that have been purchased by this straw-purchase scheme. I cautioned (b) (7)(C) on not doing any type of informal calculations on purchase numbers as that likely will result in double counting of firearms (counting purchased guns as well as recovered guns). I have also advised that we will slow the purchasers down as much as possible, but we have not identified the network yet. The result will be that the responsible conspirators will have new straw-purchasers operational before we complete the booking paperwork. I have asked (b) (7)(C) to consider me his direct point of contact on any future questions and/or concerns and I will do the same with him. I have also spoken with (b) (7)(C) today and maintain those lines of communication.

As for plans to proceed, I have asked (b) (7)(C) to begin preparing a white paper that outlines progress to date as well as a plans for proceeding with the investigation. I know that he wants to take the information (b) (7)(C) involving these subjects. I have also asked (b) (7)(C) to prepare a list of resources that HQ can provide (personnel and equipment) to support this investigation. I will keep you posted as things arise.

**George T. Gillett**

Assistant Special Agent in Charge  
ATF - Phoenix Field Division

**From:** Newell, William D.  
**Sent:** Thursday, December 17, 2009 1:45 PM  
**To:** Gillett, George T. Jr.  
**Cc:** (b) (7)(C)  
**Subject:** Re:  
**Categories:** ISSA, IG, F&F

Well done, thank you. I will address (b) (7)(C) concerns with McMahon.  
 Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 [REDACTED]

\*\*\*

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**From:** Gillett, George T. Jr.  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Sent:** Thu Dec 17 13:27:49 2009  
**Subject:**  
 Bill-

OSII has not yet finished a link diagram on this investigation. Therefore, there is no "chart" in existence diagramming this investigation. (b) (7)(C) and crew are currently working on such a link-diagram chart, but it is not yet complete. (b) (7)(C) did have a power point that gave an overview of the case and that has been forwarded to GS (b) (7)(C). However, that power point is about 1 week old, so the info is already a bit dated. GS (b) (7)(C) and (b) (7)(C) are speaking on a regular basis, so the lines of communication are now the equivalent of the proverbial fire hose. During one of their conversations (b) (7)(C) told (b) (7)(C) that (b) (7)(C) received a briefing on the investigation this week and mentioned (b) (5) [REDACTED] been trafficked. Therefore, I spoke with (b) (7)(C) today and explained that even though the identified straw-purchasers bought approximately 175 guns last week alone, we have slowed down the FFL on future purchases and are obtaining intelligence directly related to this investigation. (b) (7)(C) did express some concern regarding the total number of guns that have been purchased by this straw-purchase scheme. I cautioned (b) (7)(C) on not doing any type of informal calculations on purchase numbers as that likely will result in double counting of firearms (counting purchased guns as well as recovered guns). I have also advised that we will slow the purchasers down as much as possible, but we have not identified the network yet. The result will be that the responsible conspirators will have new straw-purchasers operational before we complete the booking paperwork. I have asked (b) (7)(C) to consider me his direct point of contact on any future questions and/or concerns and I will do the same with him. I have also spoken with (b) (7)(C) today and maintain those lines of communication.

As for plans to proceed, I have asked (b) (7)(C) to begin preparing a white paper that outlines progress to date as well as a plans for proceeding with the investigation. I know that he wants to take the information [REDACTED] involving these subjects. I have also asked (b) (7)(C) to prepare a list of resources that HQ can provide (personnel and equipment) to support this investigation. I will keep you posted as things arise.

George T. Gillett

Assistant Special Agent in Charge  
ATF - Phoenix Field Division



From: (b) (7)(C)  
 Sent: Thursday, December 17, 2009 2:39 PM  
 To: (b) (7)(C)  
 Subject: FW: Updated with [REDACTED] and [REDACTED]  
 Categories: IG, F&F

Dave: See below. I understand that (b) (7)(C) has more to be added.

From: (b) (7)(C)  
 Sent: Thursday, December 17, 2009 10:58 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: Updated with [REDACTED] and [REDACTED]

This is updated with [REDACTED] and (1) more for [REDACTED] who are (b) (7)(E) ..Had Firearms Seized in Mexicali

Suspect Gun (TOTAL)

[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	20
(b) (7)(C)	[REDACTED]	5
[REDACTED]	[REDACTED]	5
[REDACTED]	[REDACTED]	8
[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	0
(b) (7)(C)	[REDACTED]	18
(b) (7)(C)	[REDACTED]	58
[REDACTED]	[REDACTED]	3
[REDACTED]	[REDACTED]	13
[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	0
(b) (7)(C)	[REDACTED]	32
[REDACTED]	[REDACTED]	1
[REDACTED]	[REDACTED]	20
(b) (7)(C)	[REDACTED]	0
[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	1
(b) (7)(C)	[REDACTED]	0
[REDACTED]	[REDACTED]	0
[REDACTED]	[REDACTED]	1

(b) (7)(A)

\* trace (b) (7)(A)

Trace (b) (7)(A)

Trace (b) (7)(A)

(b) (7)(C)		110	*Trace	(b) (7)(A)
(b) (7)(C)		104		
(b) (7)(C)		3	*Trace	(b) (7)(A)
		1	*Trace	(b) (7)(A)
(b) (7)(C)		103		
		0		
		25		
		16		
		1	*trace	
TOTAL		548		

(b) (7)(C)  
**Intelligence Research Specialist**  
**Bureau of Alcohol, Tobacco, Firearms and Explosives**  
**SWB Field Intelligence Support Team**

[Redacted]

---

**From:** Gillett, George T. Jr.  
**Sent:** Friday, January 08, 2010 4:05 PM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:** Briefing Paper  
**Attachments:** briefing paper II (3).docx

Bill --

---

Status BP on the ongoing trafficking investigation.

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Office: [REDACTED]

Phoenix Field Division  
Phoenix Group VII (SWB Group)  
Briefing Paper on: 785115-10-0004

Background

1. This investigation has currently identified more than [REDACTED] individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands. The straw-purchase suspects currently identified are associated with one another through [REDACTED]. To date (September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.
2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico.
3. (b) (7)(A) [REDACTED]  
[REDACTED]  
(b) (7)(A) [REDACTED]
4. (b) (7)(A) [REDACTED]  
(b) (7)(A) [REDACTED]  
(b) (7)(A) [REDACTED]
5. [REDACTED]
6. (b) (7)(E) [REDACTED]  
(b) (7)(E) [REDACTED]



7. (b)(7)(A) & (E)  
(b)(7)(A) & (E)  
(b)(7)(A) & (E)

8. On January 5, 2010, ASAC Gillett, GS (b)(7)(C) and case agent SA (b)(7)(C) met with AUSA Emory Hurley, who is the lead federal prosecutor on this matter. Investigative and prosecution strategies were discussed and a determination was made that there was (b)(5) & (7)(A)

gathered. This investigation was briefed to United States Attorney Dennis Burke, who concurs with the assessment of his line prosecutors and (b)(5) this investigation.

9. [REDACTED] be delivered during the next purchase of firearms by the suspects in this investigation. The [REDACTED] will be monitored through the resources at the El Paso Intelligence Center (EPIC) and the weapon(s) will be interdicted prior to their crossing of the Mexican border.

10. A joint strategy/de-confliction meeting is planned for Friday, January 15, 2010, with representatives from ICE, DEA, and ATF. This investigation, as well as other ongoing investigations, will be briefed to all present.

Investigative Techniques Used to Date

11. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:

- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

Strategy

12. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation. (b)(5) & (7(A))

(b) (7)(A)

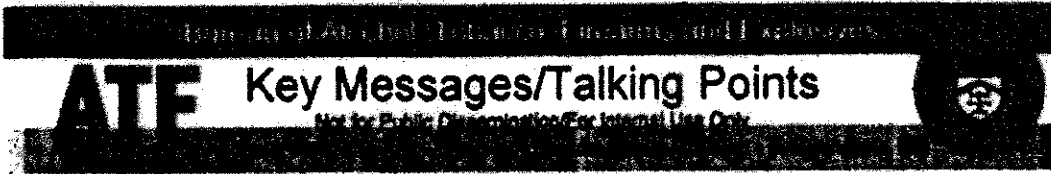
13. (b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

14.

[REDACTED]



Center for Public Integrity/L.A. Times/CBS News  
(3/2/2011)

### **Cartel Focused Firearms Trafficking Strategy**

- In September 2010, ATF deployed the Project Gunrunner Cartel Focused Strategy, which reflects ATF's increased national emphasis on firearms trafficking enforcement related to the Southwest Border and the importance of identifying and prioritizing trafficking investigations in which a nexus to Mexican Drug Trafficking Organizations (DTOs) and cartels may be established.
- Our revised approach places greater emphasis on investigations that target specific cartels and the persons responsible for organizing and directing firearms trafficking operations in the United States. This approach requires conducting our investigations in a more creative and comprehensive manner by fully incorporating our agency's unique regulatory, investigative, and analytical capabilities and resources.
- The addition of investigative tools, such as the proposed reporting requirement for certain types of rifles, would greatly increase the availability of key information on firearms trafficking organizations that are responsible for the trafficking to Mexico. The AR and AK style rifles are the preferred "tools of the trade" for the Mexican cartels.
- In addition to fully utilizing ATF's resources, this strategy relies on coordination with other law enforcement agencies, such as the Drug Enforcement Administration (DEA) through greater use of the Organized Crime Drug Enforcement Task Force (OCDETF) program and the El Paso Intelligence Center (EPIC).
- The strategy places a greater emphasis on multi-defendant conspiratorial cases that focus on persons who organize, direct, and finance cartel-related firearms and explosives trafficking operations.
- The strategy is multi-faceted and includes: the inspection of licensed firearms dealers and the investigation into straw purchasers and illegal traffickers; improved data collection, analysis and exploitation of information; the sharing and leveraging of information available to other law enforcement agencies; improved investigative coordination and information sharing with the Government of Mexico.
- In response to the ever increasing reach of the Mexican cartels into the heartland of the United States to obtain firearms in support of their drug trafficking operations, Project Gunrunner has evolved into a national strategy.

- ATF is dedicated to the mission of stemming the illegal flow of firearms domestically in the United States and internationally, and in no way sanctions the sale or transfer of firearms for illegal purposes. Additionally, ATF has not and will not knowingly allow guns to cross illegally to Mexico.

#### **United States Attorney Prosecutorial Guidelines**

- Just as each ATF field division emphasizes different strategies based on criminal trends and patterns and the types of crimes that plague each division's area of responsibility, each U.S. Attorney's Office has its own priorities and guidelines. In an area such as the Southwest Border where the purchase of large numbers of firearms is commonplace, the threshold for prosecution of federal firearms violations is much higher than in those areas where fewer people purchase multiple firearms.
- ATF must make every effort to meet the specific USAO prosecutorial guidelines in order to successfully perfect investigations.

#### **Fast and Furious – January 2011**

- These investigations address the key goals of ATF's mission to combat violent crime and ATF's Southwest border firearms trafficking strategy which are:
  - Ensure the safety of the communities situated along the Southwest Border
  - Disrupt and dismantle the firearms trafficking networks responsible for the diversion of firearms from lawful commerce and into the hands of the Mexican Drug Trafficking Organizations (DTOs).
- Investigations such as Fast and Furious demonstrate the commitment of ATF and its law enforcement partners to adapt and improve techniques that address the diversion of guns from legal U.S. commerce to criminal use in Mexico.
- Mexican DTOs and other associated criminal groups operating along the Southwest Border are actively pursuing 'weapons of choice' in the U.S. commercial firearms market.
- The Fast and Furious investigation is just one of a number of firearms trafficking cases perfected by the Organized Crime Drug Enforcement Task Force (OCDETF) Strike Force, a multi-agency team of investigators from ATF, DEA, ICE, IRS, and the Phoenix Police Department. The mission of the Strike Force is to combat Drug Trafficking Organizations (DTO's) in the Phoenix metropolitan area by combining the resources and expertise of the participating agencies.

3

TPs: Public Integrity/L.A. Times/CBC News  
3/2/2011**Demand Letter 3 Proposal Opposition**

- This reporting requirement would provide ATF with another tool to detect and dismantle firearms trafficking organizations that supply criminals both in the United States and Mexico with the tools they desperately need to fuel their attack on the good citizens of both nations.
- The only people that the proposed reporting requirement will hamstring are the drug cartel members and the people who provide them with the firearms use to terrorize and murder the people on both sides of our Southwest border.
- Similar reporting requirements for handguns have been in place for decades and have not put any additional burden on law abiding citizens or caused any undue hardship, in time or finances, on the Federal firearms Licensee (FFL).
- If this measure is not enacted, the murderous drug cartels benefit and will continue business as the same when acquiring firearms from the U.S. sources. More innocent lives in Mexico and the United States will be lost, because ATF wasn't allowed to identify gun traffickers before their tools of the trade were used in violent crime.
- ATF is undertaking this effort in order to increase our ability to detect and disrupt the firearms trafficking networks responsible for diverting firearms from lawful commerce into the hands of criminal gangs that threaten citizens on both sides of the border. Through DOJ's Southwest Border Initiative, the Administration is working to prevent transnational criminal organizations (TCOs) along the Southwest border and in Mexico from obtaining firearms and to combat firearms-related violence affecting communities on both sides of the border.
- This is just one more tool for us to use to fight violent crime. The primary purpose of requiring FFLs to report multiple long gun sales in the identified Border States is to identify those firearms traffickers and to stop the criminal activity and deadly violence plaguing SW Border States and Mexico.
- The FFLs will be required to submit a short form that will take a few minutes to fill out. The reporting requirement for handguns has not prevented law abiding citizens from purchasing as many handguns as they desire, and has in no way affected the bottom line of the FFLs or any member of the firearms industry.
- This is not a firearms registration. It is simply another tool for ATF to have at its disposal for investigating firearms trafficking crimes. **There is no federal gun registry.**
- This proposal mirrors the reporting requirements for the multiple sales of handguns. The information provided is reviewed to gather actionable intelligence on firearms traffickers before the firearms they provide to the drug cartels and other violent criminals are

recovered in crimes. If the information is not connected to any criminal acts within two years, the information is purged.

- A common misconception is that criminals are not going into FFLs and purchasing firearms. NICS reporting and ATF intelligence show that they are.
  - Straw purchaser need to know that every time buy a gun and hand it off to a criminal and its used in a violent crime, they have blood on their hands too.
- 
- We have cases where straw purchasers have told ATF investigators that they have made multiple purchases of these types of firearms. Additionally, through the analysis of intelligence gleaned from firearms trace requests, ATF has been able to identify additional instances of crime guns stemming from multiple sales.
  - The intelligence that ATF will be able to glean from these reports will generate leads that ATF can follow to identify, disrupt, and dismantle the firearms trafficking organizations that supply firearms to drug trafficking organizations and other criminals in Mexico and the United States.
  - At any time, a law abiding citizen can walk into an federal firearms licensee, or licensed gun dealer, and purchase one or more firearms, including semiautomatic versions of the AK-47 or AR-15, without any special requirement from the federal government. The legal purchase and possession of a large number of firearms is not illegal. In a firearms trafficking case, we must prove that the purchasers' intentionally falsified the firearms transfer record (ATF Form 4473) or acted as straw purchaser. The 4473 asks "*Are you the actual transferee/buyer of the firearms (s) listed on this form?*"

### DL 3 Public Comments

- ATF received more than 12,600 public comments on the reporting requirement for the multiple sales of certain rifles as of midnight February 15 when the public comment period ended. More than 8,900 of those comments were in support of the proposal; less than 4,000 were opposed:
- Those against the Demand Letter say "it's a waste of time," "it's a burden on the FFL," and "it's unlawful or illegal." Those who are in support of it say "it's about time," "it's a tool that will help ATF detect illegal trafficking along the southwest border and in our own cities," and "stopping firearms trafficking to Mexico should be a priority."
- Anytime you talk about firearms in the Unites States, it acts like a lightning rod for controversy. You have people on both sides of the issue who feel very strongly. It's the job of ATF to tackle the issues of firearms-related crime and firearms trafficking every day.

5

TPs: Public Integrity/L.A. Times/CBC News  
3/2/2011

- ATF must do everything we can in accordance with the Gun Control Act to stop illegal firearms trafficking and keep firearms out of the hands of criminals who look to cause harm to innocent people in the U.S. and abroad.
- The states of Texas, Arizona, California, and New Mexico, respectively, are the top four source states of firearms to Mexico. Additionally, they are the four states that share a land border with Mexico.
- ATF will review the type of intelligence gathered and the number of criminal cases perfected as a result of the new measure. This information will be used to determine if the program was successful and should be extended, or discontinued.
- We have intelligence to show that these types of firearms are the "tools of the trade" for the Cartels in Mexico. According to ATF trace data, investigative experience, and Mexican law enforcement officials, a large number of certain types of semiautomatic rifles with detachable magazines, such as AR and AK variants, are being used in violent crimes in Mexico.

#### Senator Grassley Letters

- We have briefed staffers from Senator Grassley's office about allegations. However this is an ongoing criminal investigation. I am certain all of his questions will be answered and he will be satisfied as this case is prosecuted in U.S. District Court.
- ATF is committed to investigating and dismantling firearms trafficking organizations and will continue to pursue those cases vigorously with all available investigative resources.
- Since its 2006 inception, ATF's *Project Gunrunner* investigations have seized in excess of 10,000 firearms and 1.1 million rounds of ammunition destined for Mexico. Today, there are over 4,600 open *Project Gunrunner* investigations throughout the United States looking into the firearm traffickers that facilitate violence in Mexico.

#### Lack of Resources

- ATF has roughly 5,000 employees and about half are operational. Could we use more resources? Absolutely. The more agents and investigators that we can put on the street, the greater the effect we can have on violent crime. The more resources that we can provide to our people, the better we can serve the public.
- It can be argued that ATF's unofficial motto is the maxim, "We do more with less". In the time that I have been with ATF, the men and women that I work with have proved that to me time and time again. However, there comes a point when even the best and brightest need additional resources to shine. Every other major federal law enforcement agency has enjoyed times of significant growth. ATF currently has the same number of special agents that our predecessors had during prohibition.

6

TPs: Public Integrity/L.A. Times/CBC News  
3/2/2011**DHS Agents's Deaths**

- First, let me say that the death of CBP Agent Brian Terry and ICE Agent Jaime Zapata are tragic losses and our hearts and prayers go out to the families of the agents.
  - ATF does not knowingly allow firearms to cross the border into Mexico. ATF must follow leads until there is reason to believe that a crime has been committed. The mere purchase and possession of a large number of firearms is not illegal.
- 
- The ATF orders cite that there are instances where agents may observe the illegal transfer of a firearm to identify criminal violations and potential suspects in furtherance of an investigation. In some instances it just makes sense to go after the "top guy" in a criminal network who is trafficking hundreds of guns instead of the straw purchaser who is trafficking a few.
  - The 2<sup>nd</sup> Amendment of the Constitution gives law-abiding citizens the right to possess firearms. Firearms are a commodity; just like a television, or microwave oven, or an automobile. The men and women of ATF have sworn an oath to uphold the Constitution; therefore it is our duty to protect the rights of our fellow citizens. In protecting those rights, ATF must be able to articulate that a crime is being committed before seizing property. The mere purchase or possession of a large number of firearms is not a crime, nor should it be. However, the diversion of firearms from lawful commerce and into the hands of gang members, drug dealers, and other criminals is a crime that demands ATF's attention; and in order for ATF to properly address this issue, we need additional resources and tools such as the Demand Letter.
  - ATF who have sworn an oath to uphold the Constitution and protect the public from violent crime. By following the law, ATF accomplishes its mission to protect the public while upholding its oath to the Constitution.
  - Can more be done to stem the flow of firearms into Mexico? Yes. However, we must take care not to violate the rights of law abiding American citizens.

###

**Cleared by:**

FO/AD:	M Chait
PGA/AD:	J McDermond
PGA/PAD:	S Thomasson
PGA/PAD:	(b) (6) 3/2/2011
PGA/PAD:	(b) (6) 3/2/2011
PGA/PAD:	(b) (6) 3/2/2011



**Talking Points:**

(Using the "Ws" method – you can change if you desire)

**What:**

- Proactive investigations focused on eliminating the entire firearms trafficking network, not just the straw purchasers (aka "street corner drug dealers")
  - ATF led firearms trafficking investigations into several firearms trafficking networks based in metro Phoenix
- 
- Some initiated as early as October, 2009
  - OCDETF Strike Force effort.
  - Multi-agency (ICE, IRS, DEA, Phoenix PD)
  - Almost exclusively "weapons of choice" which we have openly discussed many times before
  - Alleged violations of Federal firearms laws by individuals involved in the illegal acquisition and transfer of firearms, money laundering, etc (the USAO will cover specific charges per case in more detail). Thus we should only state what is in the indictment when it comes to numbers of firearms. The indictment for Fast and Furious will not have the total number of firearms trafficked. Note: We have seized 352 firearms here in the U.S. in our effort to stop the trafficking while still making the larger case, and about 250 have been seized in Mexico. We will update the numbers again next week.

**Who:**

- Metro Phoenix area based complex firearms trafficking organizations

**For Fast and Furious:**

- [REDACTED]
- Firearms primarily destined for Sinaloa DTO (based on traces, seizures in Mexico and other investigative data gathered during investigations)
- A large group of straw purchasers [REDACTED] were used extensively to spread out purchases and evade detection (another time to address Multiple Sale on Long Guns issue)

**How:**

- Trafficking organizations used a large number of straw purchasers to spread out sales
- Shows straw purchases and the use of straw purchasers is still a major issue (lack of criminal history, need money, unlimited resource, etc)
- Firearms were purchased at numerous FFLs in the metro area

- Firearms were trafficked to Mexico in a variety of ways but mainly in small loads using vehicles crossing into Mexico via POEs

**For Internal ATF Use Only:**

**At no time during the Fast and Furious investigation, or ANY investigation conducted by the Phoenix Field Division highlighted above, did ATF personnel knowingly allow firearms to be trafficked to Mexico. Every available resource as well as every possible investigative and judicial process was used to prevent this from taking place. As a result of these efforts in the Fast and Furious case and as of October of 2010 to the best of our knowledge all firearms purchasing and trafficking activity by this organization has ended. This is due to several factors not the least of which are the many proactive measures taken by the agents assigned to Phoenix Group VII. It should also be noted that throughout the course of the investigation numerous seizures were made by other State, local and Federal law enforcement agencies at the direction of Phoenix Group VII in order to ensure the seized firearms did not reach their intended destination but also to ensure the leadership of this firearms trafficking organization was not "tipped off" to the proactive measures taken while the larger conspiracy case was being prepared for the USAO.**

Phoenix Press Conference Key Messages and Talking Points:  
(Some will be specific to Fast and Furious and some will address all the cases)

**Key Messages:**

Since the second GRIT initiative ended in August, 2010 the Phoenix Field Division has continued to proactively address firearms trafficking to Mexico in support of ATF's Project Gun Runner

These investigations demonstrate the commitment on the part of ATF and its Federal, State, ~~Local, Tribal and International partners to address the criminal activity involved in the~~ trafficking of firearms to Mexico

These investigations address the key goals of ATF's Southwest border firearms trafficking strategy which are:

- o Prevent violent crime
- o Ensure the safety of the communities situated along the Southwest Border
- o Disrupt and dismantle the firearms trafficking networks responsible for the diversion of firearms from lawful commerce and into the hands of the Mexican Drug Trafficking Organizations (DTOs)

These investigations all involved tireless effort on the part of ATF employees using a wide variety of investigative methods to fully identify those responsible for trafficking firearms to Mexico

ATF's firearms tracing system, eTrace, played a key role in assisting in identifying the individuals involved in violating Federal firearms laws in these investigations

In all of these cases a large number of certain types of rifles, such as AR and AK variants with detachable magazines were purchased by straw purchasers as part of complex firearms trafficking organizations and then recovered in violent crimes in Mexico, according to ATF trace data, investigative experience, and Mexican law enforcement official reports

Substantiates the fact that Mexican DTOs and other associated criminal groups operating along the SWB are actively pursuing "weapons of choice" in U.S. commercial firearms market

These cases demonstrate the ongoing trafficking of firearms by Mexican DTOs and other associated groups operating in Arizona and the need for the reporting of multiple sales for certain types of rifles in order to ferret out those intent on providing firearms to these criminal groups

(b) (6)

**From:** (b) (6)  
**Sent:** Friday, March 12, 2010 7:34 PM  
**To:** Phoe-Group VII  
**Subject:** Monday Morning Meeting, Strike force 9:30am

To all;

It has been brought to my attention that there may be a schism developing amongst the group. This is the time we all need to pull together not drift apart. We are all entitled to our respective (albeit different) opinions however we all need to get along and realize that we have a mission to accomplish.

I am thrilled and proud that our Group is the first ATF Southwest Border Group in the country [REDACTED]. On that note I thank everyone for their efforts thus far and applaud the results we have achieved in a short amount of time.

Whether you care or not people of rank and authority at HQ are paying close attention to this case and they also believe we (Phoenix Group VII) are doing what they envisioned the Southwest Border Groups doing. It may sound cheesy but we are "The tip of the ATF spear" when it comes to Southwest Border Firearms Trafficking.

We need to resolve our issues at this meeting. I will be damned if this case is going to suffer due to petty arguing, rumors or other adolescent behavior.

I don't know what all the issues are but we are all adults, we are all professionals, and we have a exciting opportunity to use the biggest tool in our law enforcement tool box. If you don't think this is fun you're in the wrong line of work - period! This is the pinnacle of domestic U.S. law enforcement techniques. After this the tool box is empty. Maybe the Maricopa County Jail is hiring detention officers and you can get paid \$30,000 (instead of \$100,000) to serve lunch to inmates all day.

One last point is that we have many of our brother and sister ATF agents coming into town to assist us on this case. We have to put our best effort forward and lead by example. No one wants to leave their families behind, or leave their case work behind to come help someone who does even appreciate their sacrifice.

We need to get over this bump in the road once and for all and get on with the mission at hand. This can be the most fun you have with ATF, the only one limiting the amount of fun we have is you!

(b) (6)

Group Supervisor  
Phoenix Group VII  
[REDACTED]

**Chait, Mark R.**

---

**From:** Chait, Mark R.  
**Sent:** Saturday, July 10, 2010 7:08 PM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C) McMahon, William G.  
**Subject:** Re: GRIT SA detailee extension request

Ok approved. (b) (7)(C) please cover for PFD. GRIT will still end as planned. Thanks Mark R. Chait Assistant Director Field Operations

\*\*\*

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----- Original Message -----

**From:** Newell, William D.  
**To:** Chait, Mark R.  
**Sent:** Sat Jul 10 19:03:52 2010  
**Subject:** Re: GRIT SA detailee extension request

11 total yes, 6 for the Strike Force where they have been an invaluable help on the Fast/Furious case, which we will be taking down. IOIs going home as scheduled.

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)

\*\*\*

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----- Original Message -----

**From:** Chait, Mark R.  
**To:** Newell, William D.  
**Sent:** Sat Jul 10 18:40:20 2010  
**Subject:** Re: GRIT SA detailee extension request

So its 11 SAs for 30 days. Will we take down the F and F case during this time? Also will all IOIs be going home on schedule?

Mark R. Chait  
Assistant Director  
Field Operations

\*\*\*

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----- Original Message -----

From: Newell, William D.  
To: Chait, Mark R.  
Sent: Sat Jul 10 18:16:35 2010  
Subject: GRIT SA detailee extension request

I'm sending this to you because I just talked to (b) (7)(C) and he thinks Bill is out early next week. He says he has SWB funds to cover this. The Strike Force SAs would be about \$36k and the others who want to extend would be about \$32k for a total of about \$68-69k. All have received their home office approval and I will clear with SACs. The issue I have is do you want to call this a GRIT extension, which technically ends August 6, or just a SWB detail? I don't care either way I just need these folks through mid-Sept, especially as we wind down the "Fast and Furious" case.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)

\*\*\*

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----- Original Message -----

From: Newell, William D.  
To: McMahon, William G.; (b) (7)(C)  
Sent: Sat Jul 10 11:02:45 2010  
Subject: GRIT SA detailee extension request

The following GRIT SAs, all currently detailed to the Phoenix Strike Force/Gunrunner group, have requested extensions. We desperately need them especially as we wind down the "Fast and Furious" case. All have cleared this extension with their respective RACs and I will clear with their SACs once I get your concurrence on funding.

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

Also, 5 other SAs, assigned to Phoenix and Tucson have expressed a desire to stay but I would prioritize the Strike Force SAs as my first choice.

Bill Newell

Special Agent in Charge

ATF Phoenix Field Division (AZ and NM)

\*\*\*

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**Chait, Mark R.**

---

**From:** Chait, Mark R.  
**Sent:** Saturday, July 10, 2010 6:40 PM  
**To:** Newell, William D.  
**Subject:** Re: GRIT SA detailee extension request

So its 11 SAs for 30 days. Will we take down the F and F case during this time? Also will all IOIs be going home on schedule?

Mark R. Chait

~~Assistant Director~~  
 Field Operations

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\*\*\*

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----- Original Message -----

**From:** Newell, William D.  
**To:** Chait, Mark R.  
**Sent:** Sat Jul 10 18:16:35 2010  
**Subject:** GRIT SA detailee extension request

I'm sending this to you because I just talked to (b) (7)(C) and he thinks Bill is out early next week. He says he has SWB funds to cover this. The Strike Force SAs would be about \$36k and the others who want to extend would be about \$32k for a total of about \$68-69k. All have received their home office approval and I will clear with SACs. The issue I have is do you want to call this a GRIT extension, which technically ends August 6, or just a SWB detail? I don't care either way I just need these folks through mid-Sept, especially as we wind down the "Fast and Furious" case.

Bill Newell

Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)

\*\*\*

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----- Original Message -----

From: Newell, William D.

To: McMahon, William G.; (b) (7)(C)

Sent: Sat Jul 10 11:02:45 2010

Subject: GRIT SA detailee extension request

The following GRIT SAs, all currently detailed to the Phoenix Strike Force/Gunrunner group, have requested extensions. We desperately need them especially as we wind down the "Fast and Furious" case. All have cleared this extension with their respective RACs and I will clear with their SACs once I get your concurrence on funding.

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

Also, 5 other SAs, assigned to Phoenix and Tucson have expressed a desire to stay but I would prioritize the Strike Force SAs as my first choice.

Bill Newell

Special Agent in Charge

ATF Phoenix Field Division (AZ and NM)

\*\*\*

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**Chait, Mark R.**

---

**From:** Chait, Mark R.  
**Sent:** Wednesday, July 14, 2010 10:25 AM  
**To:** Newell, William D.  
**Cc:** McMahon, William G.  
**Subject:** Re: SIR

Bill - can you see if these guns were all purchased from same FFL and at one time. We are looking at anecdotal cases to support a demand letter on long gun multiple sales. Thanks Mark R. Chait Assistant Director Field Operations

---

\*\*\*

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----- Original Message -----

**From:** Newell, William D.  
**To:** McMahon, William G.; Boxler, Michael B.; Chait, Mark R.;  
 (b) (7)(C)  
**Sent:** Tue Jul 13 19:37:57 2010  
**Subject:** Fw: SIR

Another SIR coming. (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)

\*\*\*

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----- Original Message -----

**From:** (b) (7)(C)

To: Needles, James R.; Newell, William D.; (b) (7)(C); Significant Activity Report  
Sent: Tue Jul 13 18:54:52 2010  
Subject: SIR

---

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES SIGNIFICANT INFORMATION REPORT

---

DATE: July 13, 2010  
FROM: Phoenix Field Division  
FIELD OFFICE: Phoenix VII Field Office

---

CASE INFORMATION

CASE NUMBER: 785115-10-0004  
CASE TITLE: (b) (7)(C) et al  
SPECIAL AGENT: (b) (7)(C)  
TELEPHONE NUMBER: [REDACTED]

---

SYNOPSIS OF INCIDENT/ACTIVITY:

Recovery of (b) (7)(A) ongoing [REDACTED] investigation.

---

NARRATIVE OF INCIDENT/ACTIVITY:

(b)(7)(A) & (C)

(b) (7)(A)

(b)(7)(A) & (C) ongoing [REDACTED]

case; Operation Fast & Furious, 785115-10-0004. (b) (7)(C)

(b) (7)(A)

(b)(7)(A) & (C)

(b)(7)(A) & (C)

(b)(7)(A) & (C)

(b)(7)(A) & (C)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

**Chait, Mark R.**

---

**From:** McMahon, William G.  
**Sent:** Monday, May 03, 2010 5:22 PM  
**To:** Chait, Mark R.  
**Subject:** FW: Exit Strategy Fast and Furious  
**Attachments:** Exit Strategy Fast and Furious 2.docx

William G. McMahon  
 Deputy Assistant Director (West)  
 Office of Field Operations  
 Office - [REDACTED]

**From:** Newell, William D.  
**Sent:** Tuesday, April 27, 2010 9:33 PM  
**To:** McMahon, William G.  
**Subject:** Fw: Exit Strategy Fast and Furious

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 Cell: (b) (7)(C)

\*\*\*  
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---

**From:** (b) (7)(C)  
**To:** Newell, William D.  
**Sent:** Tue Apr 27 21:26:28 2010  
**Subject:** Exit Strategy Fast and Furious  
 Mr. Newell,

Attached is an update on proposed action and an exit strategy for the Fast and Furious investigation.

(b) (7)(C)  
 Bureau of ATF  
 Phoenix Field Division  
 Supervisor, Phoenix V  
 [REDACTED]

## Operation Fast and Furious Exit Strategy

Operation Fast and Furious is a large scale investigation with many competing priorities and considerations; investigative priorities, prosecutorial strategy, and international considerations.

- Investigative priorities – It is the goal of every agent to thoroughly investigate this case to encompass the highest level targets possible and exhaust all investigative leads. We strive to dismantle the entire organization and identify all the different facets possible; the straw purchasers, the transporters, the facilitators, the organizers, the money source(s), and ultimately the Cartel connection receiving the firearms in the Republic of Mexico.
- Prosecutorial strategy – It is the goal of every prosecutor to have the best evidence available to charge, try and convict as many defendants as possible. This being the case they have a stake in building the strongest case with the best evidence which may ultimately be taken in front of a jury. Additionally a straw purchase prosecution requires different evidence and burden of proof than an international firearms trafficking conspiracy.
- International considerations – The Republic of Mexico has a right to be concerned with the number of firearms illegally entering their country from the United States. It is hard to express that arresting any one straw purchaser in and of itself will not solve the full spectrum of the problem. Meanwhile the straw purchasers in this case continue to purchase firearms almost daily.

In an effort to juggle these competing priorities we acknowledge there must be an end to every investigation. Our current end goal to bring this stage of the investigation/prosecution to a successful conclusion for the agents and attorneys working this investigation is to successfully [REDACTED] (b) (7)(C) [REDACTED] engaging in a firearms trafficking conspiracy with other individuals both currently identified and others yet to be identified above his level in the organization.

We acknowledge that [REDACTED] is not the actual goal itself but an ends to a means in that (b) (7)(C) and other straw purchasers are the lowest rung on the preverbal criminal firearms trafficking organizational ladder. (b)(5) & (b)(7)(C) [REDACTED] (b) (5) [REDACTED] another member of the organization. Thus without actual [REDACTED] between (b) (7)(C) (b)(5) & (7)(A) [REDACTED] (b) (5) [REDACTED] where (b) (7)(C) [REDACTED] (b) (7)(C) is mentioned re-enforces the fact [REDACTED] that others listen to him, defer/seek his approval, and are under his direction.

[REDACTED]

[REDACTED]

The piece of the conspiracy that we are missing  
(b)(7)(A) & (C)

from Mexico. We know from the investigation that this communication is currently being  
(b) (7)(E)

[REDACTED]

Another challenge we would like to overcome before the conclusion of this phase of the investigation is to identify and track the source of the finances utilized to purchase over \$900,000.00 in firearms in 6 months. The financial investigation has moved slowly largely

(b) (7)(E)

(b) (7)(E)

(b) (7)(C)

Our goal is to bring this investigation to a successful conclusion in the minds of the ATF agents working the investigation and the Assistant United States Attorney prosecuting the case, while keeping in mind the international considerations involved in a high-profile collaborative strategy.

- 30 - day target goal: [REDACTED]

- 60 - day target goal: [REDACTED]

- 90 - day target goal: [REDACTED]

[REDACTED] Secure Grand Jury Indictments against targets of this investigation and "take-down" the organization with multiple arrests and search warrants.

---

This exit strategy is a flexible time-line with numerous variables. The case could be concluded sooner than 90 days or longer than 90 days but we feel that based on where we currently are in the investigation that this 90 day goal appears reasonable.



---

**From:** Gillett, George T. Jr.  
**Sent:** Monday, January 04, 2010 4:09 PM  
**To:** (b) (7)(C)  
**Subject:** FW: briefing paper II  
**Attachments:** briefing paper II.docx

---

**From:** (b) (7)(C)  
**Sent:** Monday, January 04, 2010 10:29 AM  
**To:** Gillett, George T. Jr.  
**Subject:** briefing paper II

Phoenix Field Division  
Phoenix Group VII (SWB Group)  
Briefing Paper on: 785115-10-0004

Background

1. This investigation has currently identified more than [REDACTED] individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands. The straw-purchase suspects currently identified are associated with one another through [REDACTED]

[REDACTED] To date (September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico.

3. (b) (7)(A) [REDACTED]

(b) (7)(A) [REDACTED]

4. (b) (7)(A) [REDACTED]

(b) (7)(A) [REDACTED]

(b) (7)(A) [REDACTED]

5. [REDACTED]

Investigative Techniques Used to Date

6. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:

[REDACTED]

Strategy

7. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation. (b)(5) & (7(A))

(b) (7)(A)

8. (b)(5) & (7(A))  
(b)(5) & (7(A))  
(b)(5) & (7(A))

9. The ultimate goal is to [REDACTED] identify and prosecute all co-conspirators of the DIO (b) (5)

(b) (5)

(b) (5)

#### Additional Resources

10. This investigation is a Southwest Border "Gunner" investigation initiated by Phoenix Group VII. Group VII did not exist in FY'09 and was newly formed FY'10 under the Southwest Border Strategy to focus on investigations involving the trafficking of firearms to Mexico. As such, Group VII currently is comprised of (b) (7)(E) Special Agents (b) (7)(E) of (b) (7)(E) and one Group Supervisor.

11. In order to conduct [REDACTED] Group VII is totally reliant on other groups and TFO to provide additional bodies. If those agents or TFO have other investigative priorities, [REDACTED] If one or more of the agents in Group VII is on leave or training we have 2-3 agents [REDACTED]

12. It is hereby respectfully requested that additional bodies be brought in for the purpose of aiding/assisting in this investigation. [REDACTED] The [REDACTED] (especially of the (b) (7)(A) [REDACTED] which have been requested/ordered by targets of this investigation.) [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 12:16 PM  
**To:** (b) (7)(C)  
**Subject:** Purchase Summary  
**Attachments:** Copy of FIREARM SPREADSHEET.xls

(b) (7)(C)

~~Attached is the purchase summary I downloaded from the S Drive. The first tab at the bottom of the spreadsheet is titled Purchase summary. Open it up and you can then print out the sheet. I will be in Phoenix on Monday (12/21) and I will see you then. Call me on my cell phone if you have a problem opening the file.~~

(b) (7)(C)

Asset Forfeiture Investigator  
Phoenix Field Division  
Office [REDACTED]  
Cell [REDACTED]  
Fax [REDACTED]

## PURCHASE SUMMARY

<u>NAME</u>	<u>PURCHASES</u>
(b) (7)(C)	\$ 5,414.15
(b) (7)(C)	8,126.64
(b) (7)(C)	8,121.22
(b) (7)(C)	33,707.34
<hr/>	
(b) (7)(C)	7,038.39
(b) (7)(C)	12,879.85
(b) (7)(C)	5,414.15
(b) (7)(C)	47,639.08
(b) (7)(C)	62,857.65
(b) (7)(C)	1,951.92
(b) (7)(C)	10,828.30
(b) (7)(C)	<u>8,662.63</u>
<b>TOTAL PURCHASES</b>	<b>\$ 212,641.32</b>

NOTES

Multiple purchases - no receipts  
Multiple purchases - no receipts

One purchase - no receipt

---

One purchase - no receipt

One purchase - no receipt  
One purchase - no receipt

One purchase - no receipt  
Multiple purchases - no receipts

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 3:16 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Phoenix Group VII biweekly update 12-18-09

(b) (7)(C)

Thank you for getting back to me so quickly.

---

Did this group purchase over (b)(5), (b)(7)(A) or am I not reading your description correctly?

Please let me know. Thanks.

785115-10-0004, (b) (7)(C) et al: This case is a large scale conspiracy of (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(C) Intelligence Officer  
Phoenix FIG  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 11:21 AM  
**To:** (b) (7)(C) Gillett, George T. Jr.  
**Subject:** Phoenix Group VII biweekly update 12-18-09



**From:** (b) (7)(C)  
**Sent:** Monday, January 04, 2010 9:50 AM  
**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

(b) (7)(C)

Just got back to work. (b) (7)(E)  
(b) (7)(E) Do you have (b) (7)(E) on hand to send to them to  
(b) (7)(E) If not, how about (b) (7)(E) (b) (7)(E)  
(b) (7)(E) E-mail me or give me a shout when you can.

Thanks,

(b) (7)(C)  
ATF EPIC Branch Chief  
Office: (b) (7)(E)  
Fax: (b) (7)(E)

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 30, 2009 3:04 PM  
**To:** (b) (7)(C)  
**Cc:** Gillett, George T. Jr.  
**Subject:** (b) (7)(E)

(b) (7)(C)

(b) (7)(E)  
(b) (7)(E)

Now you are going to be victim of your own success. (b) (7)(E)  
(b) (7)(E) (b) (7)(E)  
(b) (7)(E)  
(b) (7)(E)  
(b) (7)(E)  
(b) (7)(E)

As always your help is appreciated,

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
(b) (7)(E)

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, December 22, 2009 6:47 PM  
**To:** (b) (7)(C)  
**Subject:** Re: Update

Pls call my cell when you have time.

~~George T. Gillett~~  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 Cell: [REDACTED]

\*\*\*\*\*

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----- Original Message -----

**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Tue Dec 22 18:40:16 2009  
**Subject:** Re: Update

Got a call from DEA source Mon. am. (b) (7)(A)  
 (b) (7)(A) I will be meeting with ICE S/A (b) (7)(C) tomorrow unless directed otherwise. Also meeting with IRS and PPD det. (b) (7)(A)

\*\*\*

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----- Original Message -----

**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Tue Dec 22 14:56:29 2009  
**Subject:** Update

Is there an update on the investigation? Any more purchases? Any info from the DEA source?

George T. Gillett  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 Cell: [REDACTED]

\*\*\*\*\*

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**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 03, 2009 2:14 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

The nexus.

---

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*  
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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Thu Dec 03 14:12:04 2009  
**Subject:** RE:  
The op plan or the Nexus.

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 03, 2009 12:12 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

Let's discuss.

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*  
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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Thu Dec 03 14:10:29 2009  
**Subject:**  
Mr. Gillett,

(b) (7)(C) received a request from AUSA Hurley to complete a Nexus on the ICE case they presented to us a few weeks ago. AUSA Hurley told her IF everything was in order once he reviews the case he was (b) (5)

(b)(5), (b)(7)(C)

Also, attached is the op plan for the deal today along with suspect pic...

(b) (7)(C)

(b) (7)(C)

Special Agent Phoenix Group VII

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, November 10, 2009 9:32 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

Thanks!

\*\*\*  
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---

**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Tue Nov 10 21:29:52 2009  
**Subject:** Re:

We're all clear and on our way home

\*\*\*\*\*  
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---

**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Sent:** Tue Nov 10 19:38:12 2009  
**Subject:** Re:

No worries. Good luck.

\*\*\*  
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---

**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Tue Nov 10 19:37:14 2009  
**Subject:** RE:

(b)(7)(A) & (C)

(b) (7)(A)

(b) (7)(C)

---

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, November 10, 2009 5:34 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

OK. Thanks. I'll take a look at it later tonight or sometime tomorrow and get back with you.

\*\*\*

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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Tue Nov 10 19:23:51 2009  
**Subject:**

For your review. We are trying to squeeze this in under the wire for the OCDETF meeting next Tuesday. I have already sent it to assigned AUSA (b) (7)(C) for her review and have sent the request into EPIC for the required backgrounds to be completed. Upon your review I will send to OCDETF Coordinator (b) (7)(C) who spoke to AUSA (b) (7)(C) who advised she will be able to review and return on Thursday morning.

Thank you.

(b) (7)(C)  
**Special Agent Phoenix Group VII**  
(b) (7)(C)

**From:** Newell, William D.  
**Sent:** Tuesday, December 28, 2010 11:29 AM  
**To:** Needles, James R.; Gillett, George T. Jr.; (b) (7)(C)  
**Subject:** Fw: Fast and Furious Talking Points

This is what I came up with. Get together to review and we'll discuss next week. I'll then forward up to McMahon for review before sending to PGA. At that point we'll need to ensure the USAO PIO is in the loop and our office in Mex City.

Case Specific Talking Points:

(Using the "W" method for simplicity)

What:

- ATF led firearms trafficking investigation into widespread complex network based in metro Phoenix
- Initiated in October, 2009 (correct?)
- OCDETF Strike Force effort
- Multi-agency (ICE, IRS, DEA, Phoenix PD)
- Almost exclusively "weapons of choice" (we can list types based on percentage of all guns we know purchased, for instance (b) (7)(A))
- We can list the # of guns seized in US and Mexico (b) (7)(A)

(b) (5)

- Was a proactive investigation focused on the entire trafficking organization, not just straw purchasers, these type of efforts take time to develop

Who:

- Metro Phoenix area based complex firearms trafficking organization
- Led in US by (using indictment info)
- For/By Sinaloa DTO (can we say this with certainty? If not then we can use trace info to make the point)
- (b) (7)(A)

Why:

- Fulfilling main objective of Project Gunrunner, which is to "disrupt/dismantle" organizations trafficking firearms to Mexico and Mexican DTOs
- Prevent criminals in US and Mexico getting their hands on "tools of the trade"
- Shows DTOs are operating and/or manipulating trafficking organizations here in US
- Shows that Arizona continues to be a significant source of firearms trafficked to Mexico
- Shows Mexican DTOs are still actively seeking out large numbers "weapons of choice" in US commercial market to continue fight in Mexico against the GOM

How:

- Trafficking organization used a large number of straw purchasers to spread out sales
- Shows straw purchases and the use of straw purchasers is still a major issue (lack of criminal history, need money, unlimited resource, etc)
- Firearms were purchased a numerous FFLs in the metro area
- Firearms were trafficked to Mexico in a variety of ways but mainly in small loads using vehicles crossing into Mexico via POEs

\*\*\*  
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have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** Thomasson, Scot L.

**To:** Newell, William D.

**Cc:** Mangan, Thomas G. (b) (7)(C) McDermond, James E.; McMahon, William G.; Needles, James R.

**Sent:** Thu Dec 23 11:51:53 2010

**Subject:** Fast and Furious Talking Points Bill,

I hope this finds you doing well during the holidays.

---

I understand that the AUSO in Arizona has agreed to indict several suspects in the fast and furious investigation in the near future.

Understanding that the USAO and DOJ will handle all media on this issues and in an effort to be ready for a request from DOJ for talking points and key messages, (TP/KM), that is sure to come with a very short turnaround, I would like Tom to gin up talking points and key messages on this investigation and send them up so that we can get HQ edits and clearances.

I am unsure when they actual indictment date is and I am sure it has been a moving target for you all but getting the TP several weeks ahead of the indictment is key.

The plan is to hold the TP/KM until DOJ requests them BUT to have them ready as the request is sure to come.

Thank you and have a happy holiday.

Scot

Scot L. Thomasson

Chief

ATF Public Affairs Division

Washington, DC

Desk [REDACTED]

Cell [REDACTED]



**Phoenix Press Conference Key Messages and Talking Points:**

(Some will be specific to Fast and Furious and some will address all the cases)

**Key Messages:**

Since GRIT press conference in August, 2009 the Phoenix Field Division has continued to proactively address firearms trafficking to Mexico in support of ATF's Project Gun Runner

These investigations demonstrate the commitment on the part of ATF and its Federal, State, ~~Local, Tribal and International partners to address the criminal activity involved in the~~ trafficking of firearms to Mexico

These investigations address the key goals of ATF's Southwest border firearms trafficking strategy which are:

- Prevent violent crime
- Ensure the safety of the communities situated along the Southwest Border
- Disrupt and dismantle the firearms trafficking networks responsible for the diversion of firearms from lawful commerce and into the hands of the Mexican Drug Trafficking Organizations (DTOs)

These investigations all involved tireless effort on the part of ATF employees using a wide variety of investigative methods to fully identify those responsible for trafficking firearms to Mexico

ATF's firearms tracing system, eTrace, played a key role in assisting in identifying the individuals involved in violating Federal firearms laws in these investigations

In all of these cases a large number of certain types of rifles, such as AR and AK variants with detachable magazines were purchased by straw purchasers as part of complex firearms trafficking organizations and then recovered in violent crimes in Mexico, according to ATF trace data, investigative experience, and Mexican law enforcement official reports

Substantiates the fact that Mexican DTOs and other associated criminal groups operating along the SWB are actively pursuing "weapons of choice" in U.S. commercial firearms market

These cases demonstrate the ongoing trafficking of firearms by Mexican DTOs and other associated groups operating in Arizona and the need for the reporting of multiple sales for certain types of rifles in order to ferret out those intent on providing firearms to these criminal groups

**Talking Points:****(Using the "Ws" method – you can change if you desire)****What:**

- Proactive investigations focused on eliminating the entire firearms trafficking network, not just the straw purchasers
  - ATF led firearms trafficking investigations into several firearms trafficking networks based in metro Phoenix
- 
- Some initiated as early as October, 2009
  - OCDETF Strike Force effort.
  - Multi-agency (ICE, IRS, DEA, Phoenix PD)
  - Almost exclusively "weapons of choice" which we have openly discussed many times before
  - Alleged violations of Federal firearms laws by individuals involved in the illegal acquisition and transfer of firearms, money laundering, etc (the USAO will cover specific charges per case in more detail). Thus we should only state what is in the indictment when it comes to numbers of firearms. The indictment for Fast and Furious will not have the total number of firearms trafficked. Note: We have seized 352 firearms here in the U.S. in our effort to stop the trafficking while still making the larger case, and about 250 have been seized in Mexico. We will update the numbers again next week.

**Who:**

- Metro Phoenix area based complex firearms trafficking organizations

**For Fast and Furious:**

- [REDACTED]
- Firearms primarily destined for Sinaloa DTO (based on traces, seizures in Mexico and other investigative data gathered during investigations)
- A large group of straw purchasers [REDACTED] were used extensively to spread out purchases and evade detection (another time to address Multiple Sale on Long Guns issue)

**How:**

- Trafficking organizations used a large number of straw purchasers to spread out sales
- Shows straw purchases and the use of straw purchasers is still a major issue (lack of criminal history, need money, unlimited resource, etc)
- Firearms were purchased at numerous FFLs in the metro area

- Firearms were trafficked to Mexico in a variety of ways but mainly in small loads using vehicles crossing into Mexico via POEs
-

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



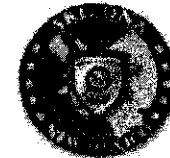
**Bureau of Alcohol, Tobacco, Firearms and Explosives  
Gun Runner Impact Team (GRIT)  
Phoenix Field Division  
May 1 – August 6, 2010**

HOCR ATF - 001211

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



## Gun Runner Impact Team



- The U.S. Department of Justice's Southwest Border (SWB) Initiative is a focused and comprehensive approach to address the violence inflicted by the Mexican Drug Cartels on both sides of the U.S.-Mexico Border. ATF's part in this initiative is ***Project Gunrunner***
- Project Gunrunner's primary goal is to stem the trafficking of firearms to Mexican Drug Cartels by identifying and investigating those responsible and to combat firearms related violence affecting communities on both sides of the border
- Gun Runner Impact Teams (GRIT) were developed as a supplement of ATF resources along the SWB and from May 1 through August 6, 2010 a GRIT was deployed to ATF's Phoenix Field Division. The Phoenix GRIT consisted of 84 ATF personnel including Special Agents, Industry Operations Investigators, and Support Staff from around the country.

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



# GRIT Impact



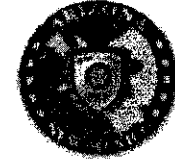
- 174 investigations were initiated by the Phoenix GRIT.
- To date 66 defendants have been referred for prosecution.
- Follow up on all investigative leads is ongoing.

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

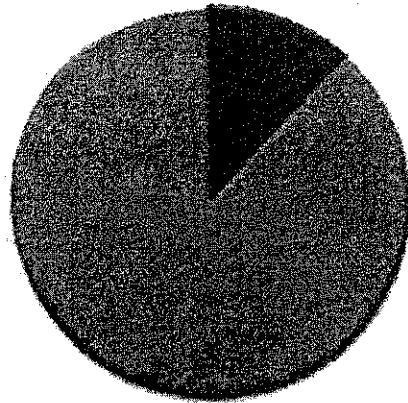
U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



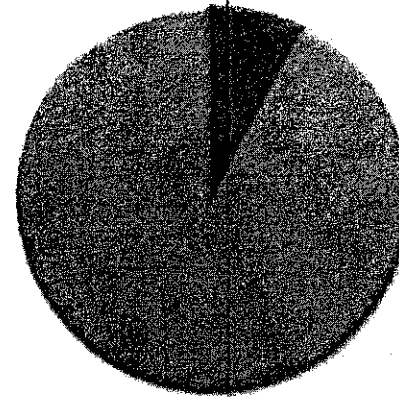
## Completed FFL Inspections (GRIT)



Warning Letters / Warning Conferences / Revocation  
110



Voluntary Surrender  
69



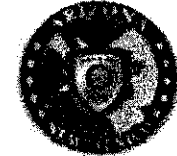
# Total FFL Inspections – 806

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



# Completed FFL Inspections



When violations of the law and regulations are disclosed during an inspection, a report of violations is issued to the licensee that outlines the discrepancy and the requirements for corrective action. ATF also works to gain cooperation and compliance from federal firearms licensees (FFLs) by issuing warning letters and holding warning conferences. Despite these remedial actions, on rare occasions ATF encounters a licensee who fails to comply with the law and regulations and demonstrates a lack of commitment to improving his or her business practices. In such cases where willfulness is demonstrated, ATF's obligation to protect public safety may require revocation of the federal firearms license.

- There were 806 completed FFL inspections in the Phoenix Field Division during GRIT.
- 69 FFLs voluntarily surrendered their licenses.
- ATF took administrative action against 110 FFLs:
  - 1 Revocation was recommended
  - 109 warning letters or warning conferences were completed.

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives



U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms

Explosives



# PHC ...IX GRIT SEIZURES



**1285 Firearms Seized**



**71,774 Rounds of Ammunition Seized**



**\$7763 in Currency Seized**



**676.2 Grams of Cocaine Seized**

Source - Bureau of Alcohol, Tobacco, Firearms and Explosives

---

**From:** Newell, William D.  
**Sent:** Thursday, December 16, 2010 3:44 PM  
**To:** Gillett, George T, Jr. (b) (7)(C)  
**Subject:** December Fast and Furious BP Supplemental December 16 2010  
**Attachments:** December Fast and Furious BP Supplemental December 16 2010.docx

Same – pls review and put watermark on two pages and return to me. Thanks

---

**Briefing Paper**

Phoenix Field Division

Phoenix Group VII (OCDETF Strike Force/Gunrunner)

ATF Investigation 785115-10-0004

Operation: Fast &amp; Furious, OCDETF No. SW-AZP-0496

**Supplemental**

On December 15/16, 2010, after the shooting death of U.S. Border Patrol Agent Brian Terry near Rio Rico, Arizona (approx 25 miles north of Nogales) Southern Arizona law enforcement officers/agents responded and conducted a search of the area. This search resulted in the arrest of four individuals. One of them, (b) (7)(C) was shot in the exchange of gunfire [REDACTED]

In addition and during the search of the area two RomArm/Cugir, Model GP WASR 10, 7.62x39mm AK-47 rifles, serial numbers (b) (7)(A) and (b) (7)(A) were recovered near the scene of the shooting. An Urgent firearms trace requested by ATF agents on-scene determined (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

complex firearms trafficking organization acquired several hundred firearms was initiated in October 2009 and is being conducted in conjunction with ICE/HSI, IRS, DEA and the Phoenix Police Department.

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

purchasers identified in the "Fast and Furious" investigation and recommended by ATF for prosecution to the U.S. Attorney's Office in Phoenix, Arizona.

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

On December 15, 2010, ATF agents, assisted by ICE, the USMS and the Phoenix Police Department located (b)(7)(A) & (C)

(b)(7)(A) & (C)

(b) (7)(A)

(b)(7)(A) & (C)

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**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, December 15, 2010 8:05 PM  
**To:** Newell, William D.  
**Cc:** Needles, James R.  
**Subject:** Fw: FYI...

Bill -

Fyi. Also, the USMS will be contacting GS (b) (7)(C) to assist.

---

George T. Gillett  
ASAC - Phoenix Field Division  
[REDACTED]

\*\*\*  
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---

**From:** (b) (7)(C)  
**To:** Newell, William D.; Needles, James R.; Gillett, George T. Jr.  
**Cc:** (b) (7)(C)  
**Sent:** Wed Dec 15 20:00:47 2010  
**Subject:** FYI

(b) (7)(A) & (C)

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 16, 2010 1:26 PM  
**To:** Newell, William D.  
**Subject:** Charged gun buys

**(b)(5), (b)(7)(A)**

---

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

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**From:** Newell, William D.  
**Sent:** Friday, July 23, 2010 2:56 PM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: (b) (7)(A)

Just talked to (b)(7)(A) & (C) [redacted]  
having the (b)(7)(A) & (C) [redacted]  
main frustration was not getting any feedback from Intel and/or SAs requesting info.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
Office [redacted]

**From:** Newell, William D.  
**Sent:** Friday, July 23, 2010 11:05 AM  
**To:** Gillett, George T. Jr.  
**Subject:** (b) (7)(A)

DO NOT FORWARD

Need you to get to the bottom of this. (b) (7)(C) just came up and was frustrated regarding a request he received yesterday afternoon from (b) (7)(C). She asked him for registration info on the owner of a (b) (7)(A)

(b)(7)(A) & (C) [redacted]

(b)(7)(A) & (C) [redacted]

(b)(7)(A) & (C) [redacted]

(b) (7)(A) [redacted]

(b)(7)(A) & (C) [redacted]

Not sure if we know she did that and whether that's a trend we want to continue.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
Office [redacted]

**From:** (b) (7)(C)  
**Sent:** Friday, July 23, 2010 10:47 AM  
**To:** Newell, William D.  
**Subject:** FW: (b) (7)(A)

Aqui esta la informacion. saludos

**From:** (b)(7)(A) & (C)  
**Sent:** Thursday, July 22, 2010 1:50 PM  
**To:** (b)(7)(A) & (C)  
**Subject:** RE (b) (7)(A)

No se donde esta. Solamente se que uso ese carro cuando compro la municion. Poreso necesito saber quien es el dueno del carro. Tengo una foto del hombre, pero no tengo nombre para seguir la investigacion.

(b) (7)(C)  
*Intelligence Research Specialist*  
*Bureau of Alcohol, Tobacco, Firearms & Explosives*  
*Phoenix Field Division*  
*Intelligence Group*  
 Office: [REDACTED]  
 Cell: [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, July 22, 2010 1:47 PM  
**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(A)

Pero esta en el area de nogales o yuma o por donde?

**From:** (b) (7)(C)  
**Sent:** Thursday, July 22, 2010 1:41 PM  
**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(A)

Es informacion que me acaban de dar. Hay prisa porque no queremos que regrese a Mexico. Son agentes de ATF que me dieron esta informacion y hasta que sepa mas sobre la placa, no tengo mucha informacion sobre el hombre. Ya le hable a un amigo que trabaja con ICE en caso que pase a Mexico el carro. Por favor do avisar en cuanto tengas la informacion sobre la placa.

Cuando tenga mas informacion, te le doy.

Gracias

(b) (7)(C)  
*Intelligence Research Specialist*  
*Bureau of Alcohol, Tobacco, Firearms & Explosives*  
*Phoenix Field Division*  
*Intelligence Group*  
 Office: [REDACTED]  
 Cell: [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, July 22, 2010 1:37 PM



**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(A)

Claro, ya esta, ya mande la solicitud. Donde vieron a este sujeto? Ya va para Mexico? No lo pueden detener y verificar si es ciudadano americano? Quien lleva el asunto (agente y supervisor)? Gracias y saludos

---

**From:** (b) (7)(C)  
**Sent:** Thursday, July 22, 2010 1:26 PM  
**To:** (b) (7)(C)  
**Cc:** PhoenixFIG  
**Subject:** (b) (7)(A)

(b) (7)(C)

---

Por favor me puedes conseguir informacion sobre una placa y foto del dueno:

(b) (7)(A)

El hombre se fue en este carro.

(b) (7)(A) (\$20.00 each, two (2) boxes of BMG (American Eagle XM33) .50 ammunition (10 rounds per box) (\$40.00 each), and one (1) drum magazine (\$89.00). The Unknown male paid \$1,960.00 cash for the items.”

Gracias,

(b) (7)(C)  
*Intelligence Research Specialist  
Bureau of Alcohol, Tobacco, Firearms & Explosives  
Phoenix Field Division  
Intelligence Group  
Office: [REDACTED]  
Cell: [REDACTED]*

---

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, January 26, 2010 1:34 PM  
**To:** Newell, William D.  
**Cc:** (b) (6)  
**Subject:** OCDETF Presentation

Bill -

---

I wanted to advise you that (b) (6) presented their OCDETF proposal today on Fast and Furious. Both agents did an outstanding job, not only with the presentation by making sure it was clear, concise and comprehensive, but also answering a few follow-up questions. As a result, their OCDETF proposal was unanimously approved.

(b) (6) represented ATF extremely well.

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Office: [REDACTED]

---

**From:** Newell, William D.  
**Sent:** Wednesday, June 09, 2010 11:48 AM  
**To:** Gillett, George T. Jr.  
**Cc:** Needles, James R.  
**Subject:** GRIT intel referrals

Chase down something for me. Chait told me this morning that he got an e-mail from McDermond stating that we (Phoenix FIG) were not keeping all our GRIT Intel info in N-Force and not "sharing" it with OSII. I asked Chait if he was referring to the "Fast and Furious" issue that has since been resolved and he (Chait) said he (McDermond) was referring to the GRIT. Must be coming from (b) (6) and if so I want him to tell us exactly what he told McDermond and why, I getting very tired of this BS with OSII but don't have enough info at this point to contact McDermond and clear this up, which I will do.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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---

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, December 02, 2009 10:52 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C) Newell, William D.  
**Subject:** 785115-10-0004, (b) (7)(C)

(b) (7)(C)

---

I spoke with (b) (7)(C) and (b) (7)(C) yesterday. Both are on board with the sensitivity of this case and the limitations for disclosure under rule 6(e). Per our discussion, please add (b) (7)(C) to the 6(e) list and provide him with N-Force access asap. Also, I know I have been added to the 6(e) list, but I do not currently have N-Force access to this investigation. Please allow me N-Force access as well.

George

ATF - Phoenix Field Division  
 Cell: [REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C) Gillett, George T. Jr (b) (7)(C)  
 (b) (7)(C)

**Sent:** Tue Nov 03 14:12:44 2009

**Subject:** ICE - Request #100536

Good Afternoon Division Chief (b) (7)(C)

The Violent Crime Analysis Branch has processed your request to provide a weekly report of the total number of firearm traces submitted by ICE.

(b) (3) (Public Law 111-117)

Attached is an Excel spreadsheet for (b)(3)(Public Law 111-117)

If you have any questions or need additional information please contact (b) (7)(C) Chief, Violent Crime Analysis Branch at (b) (7)(C)

*Please be advised that the Consolidated Appropriations Act of 2009, Public L. 111-8, which became effective on March 12, 2009, restricts the disclosure of any part of the contents of the Firearms Tracing System or any information required to be kept by Federal Firearms Licensees pursuant to 18 USC 923(g), or required to be reported pursuant to 18 USC 923(g)(3) and 923(g)(7).*

*The information, which is being provided per your request, is for official law enforcement use only and may only be disseminated by the Bureau of Alcohol, Tobacco, Firearms and Explosives to a Federal, State, local, tribal, or foreign law enforcement agency, or a Federal, State, or local prosecutor, solely in connection with and for use in a criminal investigation or prosecution; or a Federal agency for a national security or intelligence purpose. This disclosure restriction shall not be construed to prevent the sharing or exchange of such information among and between Federal, State, local, or foreign law enforcement agencies, Federal, State, or local prosecutors, and Federal national security, intelligence, or counterterrorism officials; or the publication of statistical aggregate data regarding firearms traffickers and trafficking channels, firearms misuse, felons, and trafficking investigations. If you have questions regarding these restrictions please contact ATF legal counsel prior to disclosing any of the information provided in this correspondence outside of ATF.*

Thank You,

(b) (7)(C)

Contractor

Violent Crime Analysis Branch  
 [REDACTED]

---

**From:** Newell, William D.  
**Sent:** Friday, December 03, 2010 4:02 PM  
**To:** (b) (7)(C)  
**Subject:** December "Fast and Furious" Briefing Paper  
**Attachments:** December Fast and Furious BP for SAC.docx

Here you go. If there's something you see that needs changing or you want to add something feel free. I send one of these up monthly to keep HQ briefed but feel free to use for the same purpose if need be.

---

**Briefing Paper**

Phoenix Field Division

Phoenix Group VII (OCDETF Strike Force/Gunrunner)

ATF Investigation 785115-10-0004


Operation: Fast &amp; Furious, OCDETF No. SW-AZP-0496


**Case Background**

The Phoenix Group VII Field Office is conducting an investigation entitled "Operation Fast & Furious" in conjunction with Immigration and Customs Enforcement (ICE), Drug Enforcement Administration (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation was approved as an OCDETF case by the Southwest Region as SW-AZP-0496.

Since October 2009, ATF and the other partners listed above have been investigating a firearm trafficking organization that is being funded by the Sinaloa drug trafficking organization (DTO), a narcotics trafficking organization well known for violent criminal activity in Mexico. This organization is also involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into southern Arizona to be distributed throughout the United States. The OCDETF investigation of this organization is addressing violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through "straw" purchasers using narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization spent approximately 1.25 million dollars in cash at various Phoenix area Federal Firearms Licensees to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico using false compartments in various vehicles through various international Ports of Entry in Arizona and Texas.

 Through these investigative techniques agents have been able to identify a large number of additional co-conspirators and disrupt the illegal activities of this firearms trafficking organization by seizing numerous firearms and narcotics. To date, over three hundred firearms and over fifty pounds of marijuana have been recovered by agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

Over the past several weeks agents, in conjunction with the investigative partners and the United States Attorney's Office (USAO) have been preparing for the indictment of  individuals in this investigation. (b)(5) & (7)(A)

  
(b)(5) & (7)(A)

(b)(5) & (7(A))

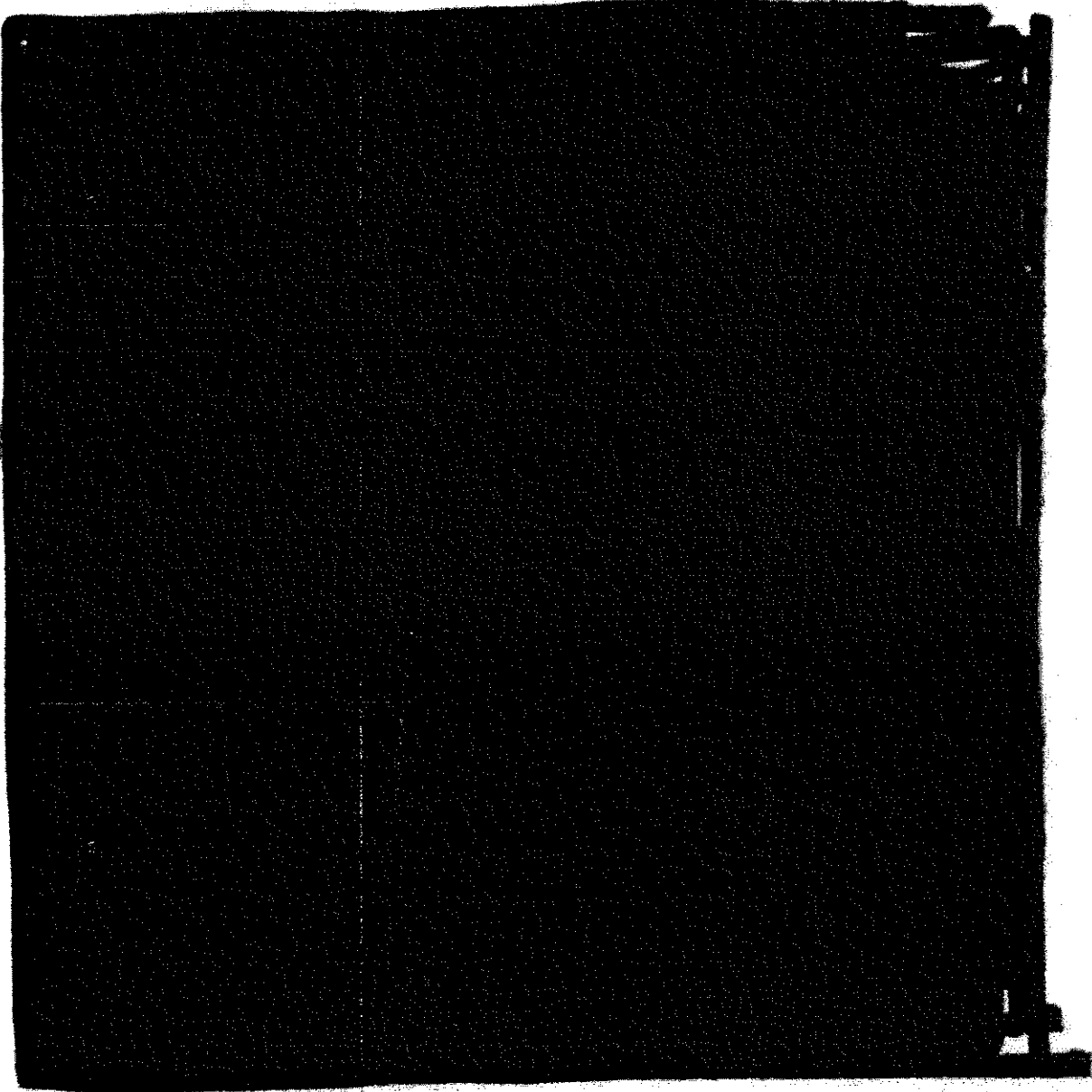
(b)(5) & (7(A))

(b)(5) & (7(A))

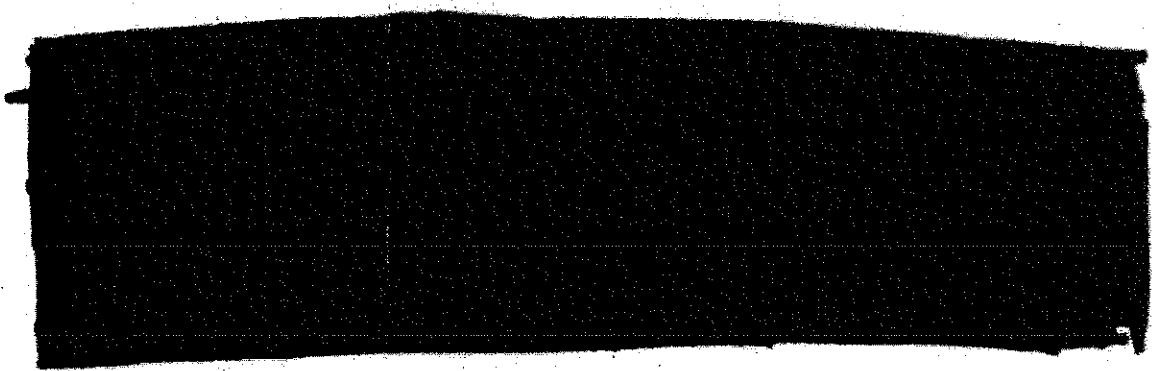
On December 3<sup>rd</sup> SAC Newell and ASAC Needles met with the USA, Chief of Criminal and lead AUSA for this case and discussed all these matters.

---

**List of Defendants Referred to USAO for Prosecution:**







**Proposed Charges:**

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1. (b) (5) [Redacted]
2. (b) (5) [Redacted]
3. (b) (5) [Redacted]
4. (b) (5) [Redacted]
5. (b) (5) [Redacted]
6. (b) (5) [Redacted]
7. (b) (5) [Redacted]
8. (b) (5) [Redacted]
9. (b) (5) [Redacted]
10. (b) (5) [Redacted]
11. (b) (5) [Redacted]
12. (b) (5) [Redacted]
13. (b) (5) [Redacted]

14. (b) (5)

15. (b) (5)

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U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

APR 29 2010

Washington, DC 20536

www.atf.gov

**Briefing Paper**

**Office of Strategic Intelligence and Information  
Violent Crime Intelligence Division  
Violent Crime Analysis Branch  
April 22, 2010**

**SUBJECT:** Firearms Trafficking to Mexico**PURPOSE:** To provide context for the analysis of U.S.-Mexico drug trafficking and firearms trafficking**BACKGROUND:**

There seems to be a tendency within ATF to analogize Mexican cartels' drug trafficking to the United States with firearms trafficking from the United States to the Mexican drug cartels. The drug trafficking into the United States and the firearms trafficking into Mexico involve two distinctly different processes.

Mexico has a large market for firearms, and the United States has a large market for drugs. The U.S. drug market is enormous in geographic terms. The cartels must transport the drugs from Mexico to all areas of the United States. The cartels understand there is a significant increase in risk the longer the distance drugs are transported. However, in order to lower their risk the cartels have become very adept at concealing their drug shipments. Transporting drugs over long distances is also expensive, and the cartels consider the costs and benefits of doing this. Transporting drugs to the large cities of the northern United States allows the cartels to tap into an enormously profitable market. However, purchasing firearms for Mexico in these areas, with their stricter gun laws, is not strategically or fiscally feasible. The cartels can purchase all of the firearms they need in Mexico from Federal Firearms Licensees (FFLs) in Texas and Arizona with less trouble and lower transportation costs.

There is no area in the United States without a local drug operation. Some of these operations are operated by cartels, some are operated by local groups and controlled by the cartels, and some are operated by local groups and supplied by the cartels. However, in most instances these drug operations obtain the firearms they need for day-to-day operations at the local level. The cost of purchasing firearms locally, especially for drug operations in the north, is likely lower than transporting firearms from the Southwest. In most instances, these firearms will remain with the local drug operation and are not trafficked to Mexico. Again, the cartels understand the

- 2 -

## Firearms Trafficking to Mexico

danger of traveling long distances with large quantities of firearms, which are not easily concealed. The firearms of choice for the Mexican drug cartels are .223 and 7.62 military-style rifles, with a recent increase in 7.62 pistols. Also, the cartels must transport large quantities of currency back to Mexico, and that takes priority over firearms. In areas outside the Southwest border, ATF should concentrate on information sharing, partnering with local Drug Enforcement Agency (DEA) field offices, and increased enforcement efforts against armed domestic drug trafficking operations.

---

The cartels minimize their risk by acquiring the firearms they need in Mexico as close to the U.S.-Mexico border as possible. While cartels have nearly unlimited funds for purchasing firearms and an endless supply of potential straw purchasers to buy them, the cartels' biggest issue is the transportation of firearms across the border. Unlike drugs, and because of the cartels' influence over local government entities, once in Mexico the cartels do not have to worry about concealing the firearms as much and transporting them long distances. It helps that two States along the U.S.-Mexico border have more lenient firearms laws and a significant number of FFLs. For these reasons, Texas and Arizona are always the top two source States for short time-to-crime (time-to-crime measures the time between a lawful sale of a firearm from an FFL to its recovery at a crime scene by law enforcement) firearms recovered in Mexico. A third border State, California, was formerly a major source State for short time-to-crime firearms recovered in Mexico, but the passage of stricter firearms laws has resulted in a dramatic decrease. Because the cartels' firearms of choice are military-style rifles, California's waiting period for the purchase of an assault rifle had a significant impact. The fourth border State, New Mexico, has never been a short time-to-crime source State, nor does it even have a significant number of FFLs.

Time-to-crime is the most significant indicator for affective resource allocation in targeting firearms trafficking to Mexican drug cartels. While there are firearms trafficked to Mexico from other U.S. States, the number of short time-to-crime firearms is not nearly as high as in Texas and Arizona. Total firearms recovered and traced in Mexico regardless of time-to-crime would indicate that other U.S. States are significant sources of trafficked firearms. The problem with underestimating the significance of time-to-crime is that firearms were trafficked to Mexico for decades with minimal efforts at enforcement.

## RECOMMENDATIONS:

If ATF intends to have an impact on firearms being trafficked to Mexico today, it must concentrate on short time-to-crime firearms. Time-to-crime of 1 year or less tells ATF where firearms are being purchased now. This allows us to properly focus enforcement efforts. The sooner ATF can get to a straw purchaser the greater the chance of gaining their cooperation as a confidential informant or cooperating witness. ATF intelligence should be centered on short time-to-crime firearms (especially .223 and 7.62 assault-style rifles and 7.62 caliber pistols) recovered in Mexico and along the Southwest border, and firearms trafficking enforcement efforts should be directed to Texas and Arizona.

- 3 -

## Firearms Trafficking to Mexico

Finally, there should also be a constant collection and exchange of intelligence information from all field divisions to identify links between the firearms trafficking and drug trafficking operations. Establishing an automated overlay of crime gun data with DEA drug cartel data would have a huge return on investment. (EPIC is able to query multiple data sets including crime gun and NADDIS; however, it is done with a national focus and more emphasis needs to be placed on the Field Division level.)

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### QUESTIONS AND ANSWERS:

The maps and trace studies are meant to be reviewed together. This would present a more detailed picture of the firearms trafficking to Mexico. The maps represent a visual synopsis of the trace data.

- What do the maps and trace studies tell us?

The trace data tells us there was a significant increase in the number of firearms recovered and traced in Mexico, from CY 2007 to CY 2008. As of now there is a decrease in CY 2009, but the trace numbers are still higher than CY 2007, and ATF believes the numbers will increase as more traces with CY 2009 recoveries are processed.

The trace data also tells us that the number of firearms recovered and traced in Mexico with a time-to-crime of less than 1 year is increasing in the Mexico States along the U.S. border.

Also increasing is the number of military-style rifles being recovered and traced in Mexico in the States along the U.S. border and in the Mexico States of Jalisco and Michoacan.

Specifically, all recovered and traced firearms in Mexico have source States throughout the United States, but the predominant source States are along the Southwest border.

Recovered and traced firearms with a time-to-crime of less than 1 year are predominantly sourced from Texas and Arizona. The metro areas of McAllen, Houston, Dallas, and Phoenix (Maricopa County) appear to be major source locations.

Recovered and traced military-style rifles are predominantly sourced from Texas and Arizona. The metro area of McAllen, Houston, and Phoenix (Maricopa County) appear to be major source locations.

- 4 -

## Firearms Trafficking to Mexico

The primary sources of firearms recovered and traced in the Mexico border States are the adjoining U.S. States along the border. Baja California receives firearms from Arizona and southern California. Sonora receives firearms from the area around Phoenix. Tamaulipas and Nuevo Leon receive firearms from the area around McAllen, TX. Chihuahua receives firearms from all four U.S. border States but mainly from the area around El Paso. Coahuila receives firearms from Texas. The densely populated States along Mexico's Pacific coast, Jalisco, Michoacan, and Guerrero, receive firearms from all areas of the United States, with an emphasis on the Southwest border States, but with no strong concentration. The State of Sinaloa, although not a border State, received firearms primarily from the Phoenix area and southern California.

The Mexico State maps are based on all firearms recovered and traced, including short time-to-crime in the analysis, which would probably reduce the focus to the Southwest border States.

- Is there a change in the firearms of choice in Mexico from 2007 to 2009?

Yes. The 7.62 and .223 caliber assault-style rifles have become the firearms of choice in Mexico, especially along the U.S. border.

Recently, the 7.62 pistol (similar design to a 7.62 rifle receiver) has become a firearm of choice.

- Do the firearms trafficking trends continue?

Yes. The trafficking trends have remained steady for the last 3 years.

The short time-to-crime firearms trafficked to Mexico continue to be primarily sourced from Texas and Arizona. While there are instances of firearms being trafficked from other States to Mexico, Texas and Arizona remain the top source States.

- Does this data support ATF operational efforts both short term and long term?

Yes. Emphasis of ATF operational efforts should be on short time-to-crime trafficking routes. As Texas and Arizona are the primary States for short time-to-crime trafficking, the majority of ATF's trafficking efforts should be directed to these two States.

In other areas of the United States, ATF should make a concerted effort to prosecute all armed domestic drug traffickers who are directly linked to the cartels—thus, a two-pronged attack against the cartels.

- 5 -

## Firearms Trafficking to Mexico

Also, there should be a constant collection and exchange of intelligence information from all field divisions to identify links between the firearms trafficking and drug trafficking, as well as a constant flow of information to and from DEA.

- Does this data show a direct link to cartels?

No, trace statistics alone cannot show a direct link to a cartel. However, when trace information is combined with incident intelligence collected by the Field Intelligence Support Branch, it is safe to say that the .223 and 7.62 assault-style rifles and 7.62 pistols are being obtained and used by the cartels. Additionally, FISB is increasingly collaborating with DEA for cartel-related intelligence.

- If this document were taken to the Deputy Attorney General (DAG), would the DAG understand it?

Yes. If this briefing paper was submitted for context and the maps were an addendum, then the DAG would receive the total vision of the factors. Together, the VCAB trace studies and maps draw a clear picture of the firearms trafficking trends to Mexico for CY 2007 through CY 2009. If this information is presented to the Department of Justice, the Deputy Attorney General will understand these trends—and will probably want to know what ATF is doing in Texas and Arizona to address the short time-to-crime firearms being trafficked to the drug cartels in Mexico and what impact ATF can have on the drug cartel operations in the United States.

- The Director and Deputy Director (DD) would like analysis that tells a story of what is taking place, if ATF operations are sound. Could VCAB provide such an analysis?

Yes. If the Director and DD identify which metrics they use to determine the soundness of operations, then VCAB can conduct analysis to measure impacts.

The short story is that .223 and 7.62 military-style rifles and 7.62 pistols are being trafficked from Texas and Arizona to Mexico.

ATF operations need to be tailored for the firearms problems in each field division or at the very least to regions involving several field divisions. No more one-size-fits-all strategies. Mexico firearms trafficking and domestic firearms trafficking are different. Only certain ATF field divisions should be concentrating on firearms trafficking to Mexico. ATF field divisions that do not have a Mexico trafficking problem need to concentrate on domestic trafficking. Some ATF field divisions try to identify firearms trafficking to Mexico that does not exist in their enforcement area. Many field divisions investigate an isolated incident of

- 6 -

## Firearms Trafficking to Mexico

firearms trafficking to Mexico, which may lead them to believe they have a Mexican firearms trafficking problem, when in fact they do not.

Field divisions must advise OSII of their major firearms problems (trafficking to and/or from gangs, violent drug traffickers, problem FFLs, etc.), after which OSII can tailor the trace analysis and intelligence products to those problems.

- Do trafficking trends show a change in trafficking patterns?

No. The trafficking trends have remained the same for the last 3 years. As previously stated, the short time-to-crime firearms trafficked to Mexico continue to be primarily sourced from Texas and Arizona.

- Should we look to change our operation?

Yes. Emphasis should be on short time-to-crime trafficking routes. Texas and Arizona are the primary States for short time-to-crime trafficking. As such, the majority of ATF's efforts should be directed to these two States. ATF is not investigating some multiple sales fast enough along the Southwest border.

- Does it make sense according to the trace data to conduct a Gun Runner Impact Team (GRIT) in Phoenix?

Yes, VCAB believes a GRIT would be beneficial in Arizona, due to the increased trace data connecting firearms purchased in the Phoenix area and recovered in Mexico.

## CONCLUSIONS:

Mexico is apparently seizing many more firearms than they are tracing. There is a significant time delay (seizure date to trace date) in the submission of Mexico traces. The trace delay results in the loss of valuable timely intelligence. There was also a significant amount of duplicate traces submitted in CY 2009. Processing duplicate traces is a drain on the tracing system, especially for domestic traces.



Firearms Trafficking to Mexico

Number of Firearms Submitted to be Traced  
 Compared to Firearms Recovered and Traced from Mexico  
 between 01-JAN-07 and 31-DEC-09

<u>Category</u>	<u>Year</u>	<u>Submitted</u>	<u>Recovered and Traced</u>
Total Traces	2007	(b) (3) (Public Law 111-117)	
	2008	[REDACTED]	
	2009	[REDACTED]	
Duplicate Traces Submitted (not included in above totals)	<u>Year</u>	<u>Duplicates</u>	
	2007	(b) (3) (Public Law 111-117)	
	2008	[REDACTED]	
	2009	[REDACTED]	

If ATF had not received the CD from the Mexico military, the number of Mexico traces would have been much smaller. The CD significantly raised the recovered and traced numbers for CY 2007 (more than [REDACTED]), CY 2008 (almost [REDACTED]), and CY 2009 (more than [REDACTED]).

Also, many Mexico traces are missing important recovery and possessor information. This lack of information greatly reduces the intelligence value of the traces. If better detail relating to recovered firearms can be gathered, OSII will have better analytic products for decision-makers.

If further information is required, please contact the Chief, Violent Crime Intelligence Division, at [REDACTED]

(b) (6)

Terrence L. Clark  
 Chief, Violent Crime Intelligence Division

(b) (7)(C)

From: (b) (7)(C)  
Sent: Friday, March 12, 2010 11:18 AM  
To: (b) (7)(C)

(b) (7)(C)

(b)(7)(A) & (D)

(b)(7)(A) & (D)

Regarding (b) (7)(C) am waiting to hear back from AUSA McDonald. He assured me this week that he would contact (b) (7)(C) regarding an interview. When I hear back I will contact you.

Thanks,

s/A (b) (7)(C)  
ATF - El Paso Field Office  
Office: [REDACTED]  
Cell: [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 5:20 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE:

I am not sure if you are referring to firearms from the US in general or firearms related to our case. We were not aware of any firearms related to our case being used in any homicides. If this has occurred, would you please forward or send the reports because this is information that we do not have.

Thank you,

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 5:14 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

(b) (7)(A)

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Wed Jul 28 20:11:12 2010  
**Subject:** RE:

Carlos,

I spoke with (b) (7)(C). She does not want any information released [REDACTED].  
Thank you for the offer though.

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 3:29 PM  
**To:** (b) (7)(C)  
**Subject:** RE:

I will keep trying, the only way they might bring that gun here is if I tell them a little about the case

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 5:21 PM  
**To:** (b) (7)(C)

file://Z:\Responsive (b) (7)(C)

**Subject:** RE:

Ha ha...I don't know about that. I got a lot of time left before that ever happens. I am still anticipating celebrating the completion of the required 3 yr probation period on August 19<sup>th</sup>. ☺ Thank you for checking though. I appreciate it.

**From:** (b) (7)(C)

**Sent:** Wednesday, July 28, 2010 3:08 PM

**To:** (b) (7)(C)

**Subject:** RE:

---

~~By the time the red tape clears to move that gun, u will be the director. The area where it's at is in the heart of Sinaloa. Cartel country, nobody from the USG is going there. A picture is all we can do~~

**From:** (b) (7)(C)

**Sent:** Wednesday, July 28, 2010 5:03 PM

**To:** (b) (7)(C)

**Cc:** (b) (7)(C)

**Subject:** RE:

Okay. This one would be a good f/a recovery to be able to present at trial. Any possibility that they will retain it until we are able to examine it or move it to a safer location for examination?

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)

**Sent:** Wednesday, July 28, 2010 2:51 PM

**To:** (b) (7)(C)

**Cc:**

**Subject:**

(b) (7)(C)

The 50. Cal that was recovered is in an area of Mexico that's unsafe for USG travel. In other words it's too dangerous for us to go there and look at it. The military is sending us pictures.

(b) (7)(C)

Assistant Country Attache, Mexico City  
Office [REDACTED]  
Mexico cell [REDACTED]  
Nextel Direct [REDACTED]

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Friday, April 23, 2010 8:29 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

(b) (7)(C)

I appreciate the concern but if everything works and goes according to plan we (b) (7)(A)  
border. We have no plans on letting any firearms (b) (7)(E) cross from the U.S. into Mexico.

Thanks!

(b) (7)(C)

Group Supervisor  
Phoenix Group VII

**From:** (b) (7)(C)  
**Sent:** Friday, April 23, 2010 11:30 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

(b) (7)(C) there is something I want you to be aware of. (b) (7)(E) and in saying

(b) (7)(E)  
(b) (7)(E)

S/A (b) (7)(C)  
Project Officer  
Technical Operations Branch  
Office  
Cell

**From:** (b) (7)(C)  
**Sent:** Friday, April 23, 2010 2:07 PM  
**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

Thanks!

**From:** (b) (7)(C)  
**Sent:** Friday, April 23, 2010 10:21 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

(b) (7)(C) I have good news.

[Redacted]

Hopefully this gives you more peace of mind. Thanks, and have a good weekend.

(b) (7)(C)

Project Officer  
Technical Operations Branch  
[Redacted] Office  
[Redacted] Cell

---

From: (b) (7)(C)  
Sent: Wednesday, April 21, 2010 4:22 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE: (b) (7)(E)

(b) (7)(C)

We appreciate everything you are doing on this.

[Redacted]

Thanks again,

(b) (7)(C)

Group Supervisor  
Phoenix Group VII  
[Redacted]

---

From: (b) (7)(C)  
Sent: Wednesday, April 21, 2010 12:15 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: (b) (7)(E)

(b) (7)(C) just wanted to keep you advised of where we are with this.

[Redacted]

(b) (7)(E)

don't normally add tech jargon into emails like this but I just want to let you know exactly where we are at and that we are trying to get it done for you as quickly as possible.

S/A (b) (7)(C)  
Project Officer

Technical Operations Branch  
[REDACTED] Office  
[REDACTED] Cell

---

(b) (7)(C)

---

**From:** Newell, William D.  
**Sent:** Thursday, March 03, 2011 11:15 AM  
**To:** Chait, Mark R. (b) (7)(C)  
**Subject:** Fw: August 11, 2010 letter on my door.pdf - Adobe Acrobat Professional  
**Attachments:** August 11, 2010 letter on my door

Was on GS's door. Put there by SA (b) (7)(C)

\*\*\*\*\*

~~NOTICE: This e-mail message and any attached files are intended solely for the use of the addressee(s) named above in connection with official business. This communication may contain Sensitive But Unclassified information that may be statutorily or otherwise prohibited from being released without appropriate approval. Any review, use, or dissemination of this e-mail message and any attached file(s) in any form outside of the Bureau of Alcohol, Tobacco, Firearms & Explosives or the Department of Justice without express authorization is strictly prohibited.~~

---

**From:** (b) (7)(C)  
**To:** Newell, William D.  
**Sent:** Thu Mar 03 11:12:38 2011  
**Subject:** August 11, 2010 letter on my door.pdf - Adobe Acrobat Professional



**NEW PHOENIX VII OFFICE PROCEDURES:**

- ANY INVESTIGATION INVOLVING AN FFL MUST BE CLEARED WITH SA (b) (7)(C) PRIOR TO ANY INVESTIGATIVE ACTIVITY.
- PRIOR TO HAVING ANY CONVERSATION WITH AN FFL OR ANY EMPLOYEE OF AN FFL, CONFER WITH SA (b) (7)(C) AND OBTAIN A PRE-AUTHORIZED SCRIPT FOR THE SUBSEQUENT CONVERSATION (IF AUTHORIZED).

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- IF ANY AGENT OBTAINS INFORMATION REGARDING THE RECOVERY OF ANY GUN, REFER SAID INFORMATION TO SA (b) (7)(C) PRIOR TO SUBMITTING A TRACE REQUEST OR TAKING ANY OTHER INVESTIGATIVE ACTIVITY.
- PRIOR TO OPENING A CASE IN NFORCE, QUERY NFORCE TO ENSURE THAT THE SUBJECT OF YOUR CASE IS NOT CURRENTLY UNDER INVESTIGATION BY SA (b) (7)(C). WHILE SA (b) (7)(C) IS CURRENTLY INVOLVED IN A MAJOR COMPLEX CASE, SHE RETAINS THE RIGHT OF FIRST REFUSAL TO ANY ADDITIONAL TRAFFICKING CASES.
- IF SA (b) (7)(C) ACTUALLY GRANTS YOU THE AUTHORITY TO DO YOUR JOB, YOU SHALL REVIEW ALL SUBSEQUENT INFORMATION WITH SA (b) (7)(C) AS SHE RETAINS RIGHT OF FIRST REFUSAL TO ANY SUBSEQUENT INVESTIGATION. (PLEASE NOTE THAT (b) (7)(C) IN THE ABSENCE OF BEING INFORMED BY YOU, WILL CONDUCT A PARALLEL INVESTIGATION OF YOUR INVESTIGATIVE ACTIVITIES).
- ANY INVESTIGATION THAT ULTIMATELY RELATES TO THE SINALOAN CARTEL OF (b) (7)(C) MUST BE COORDINATED WITH SA (b) (7)(C) (b) (7)(C).
- PLEASE NOTE THAT THE ABOVE DESCRIBED PROCEDURES ARE UNILATERAL AND NOT RECIPROCAL TO SA (b) (7)(C).
- ANY AGENT HAVING CONCERNS ABOUT THE ABOVE LISTED PROCEDURES, PLEASE CONTACT YOUR GROUP SUPERVISOR (b) (7)(C). HE WILL FORWARD YOUR CONCERNS TO SA (b) (7)(C) AND ADVISE IF SHE WILL AUTHORIZE ANY AMENDMENT OF DEVIATION TO SAID PROCEDURES.

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 30, 2009 3:03 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C); Gillett, George T. Jr.; Needles, James R.; Phoe-Group IV, Intell  
**Subject:** RE: SWB Briefing Paper - December 14, 2009  
**Attachments:** SWB Briefing Paper - December 28 2009.doc

---

Please reply with any submissions you might have for the SWB Briefing Paper by C.O.B. Wednesday January 6, 2010. A copy of the latest briefing paper is attached for your review.

Thank you.

(b) (7)(C) Acting Division Operations Officer  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428

fax : [REDACTED]

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**December 28, 2009**

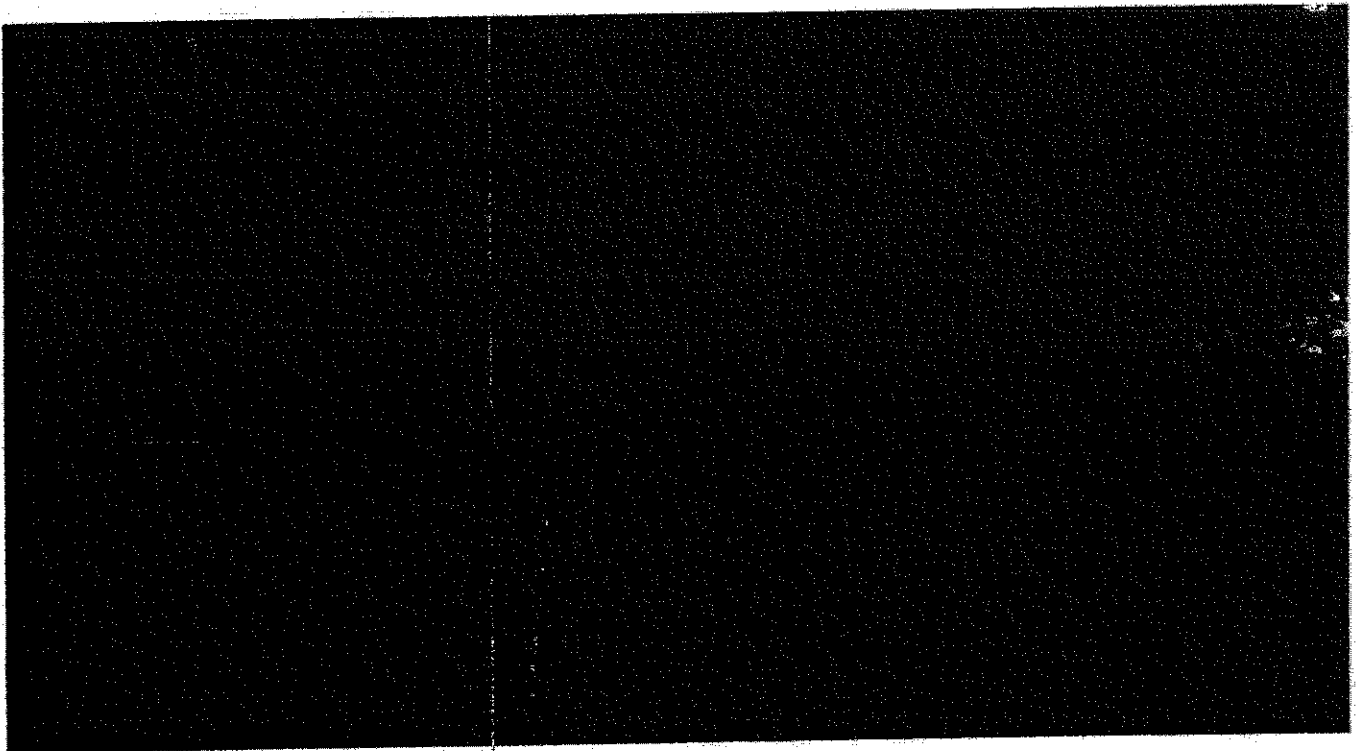
**The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.**

**FIELD OPERATIONS**

**DALLAS FIELD DIVISION**

**Investigations:**

**EI Paso Field Office**



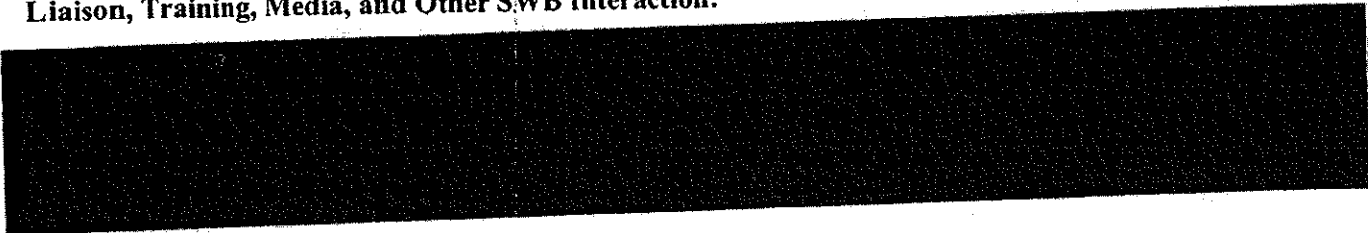
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NOT FOR DISSEMINATION OUTSIDE ATF**

Tulsa Field Office



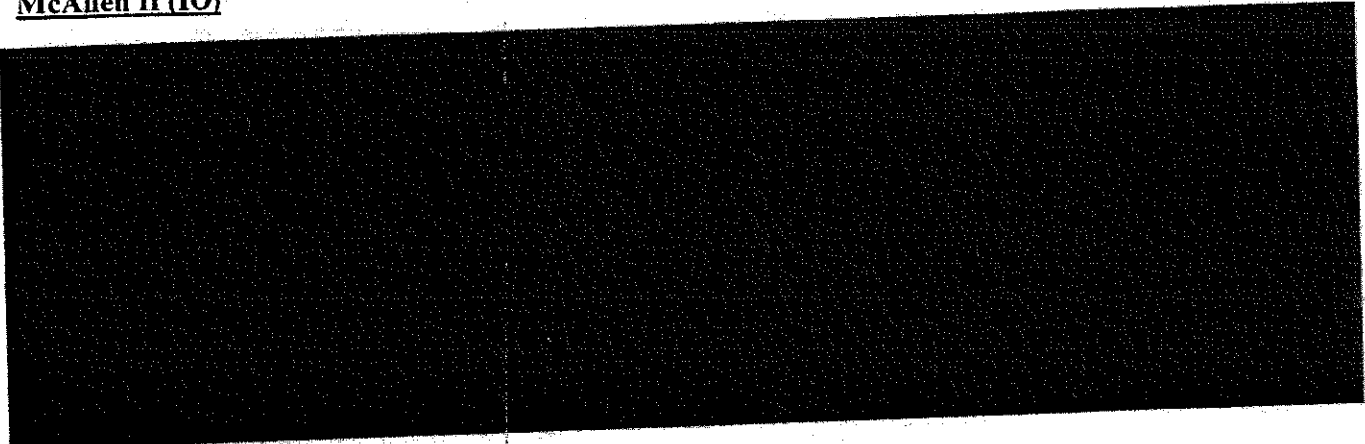
HOUSTON FIELD DIVISION

Liaison, Training, Media, and Other SWB Interaction:



Investigations:

McAllen II (IO)



McAllen III



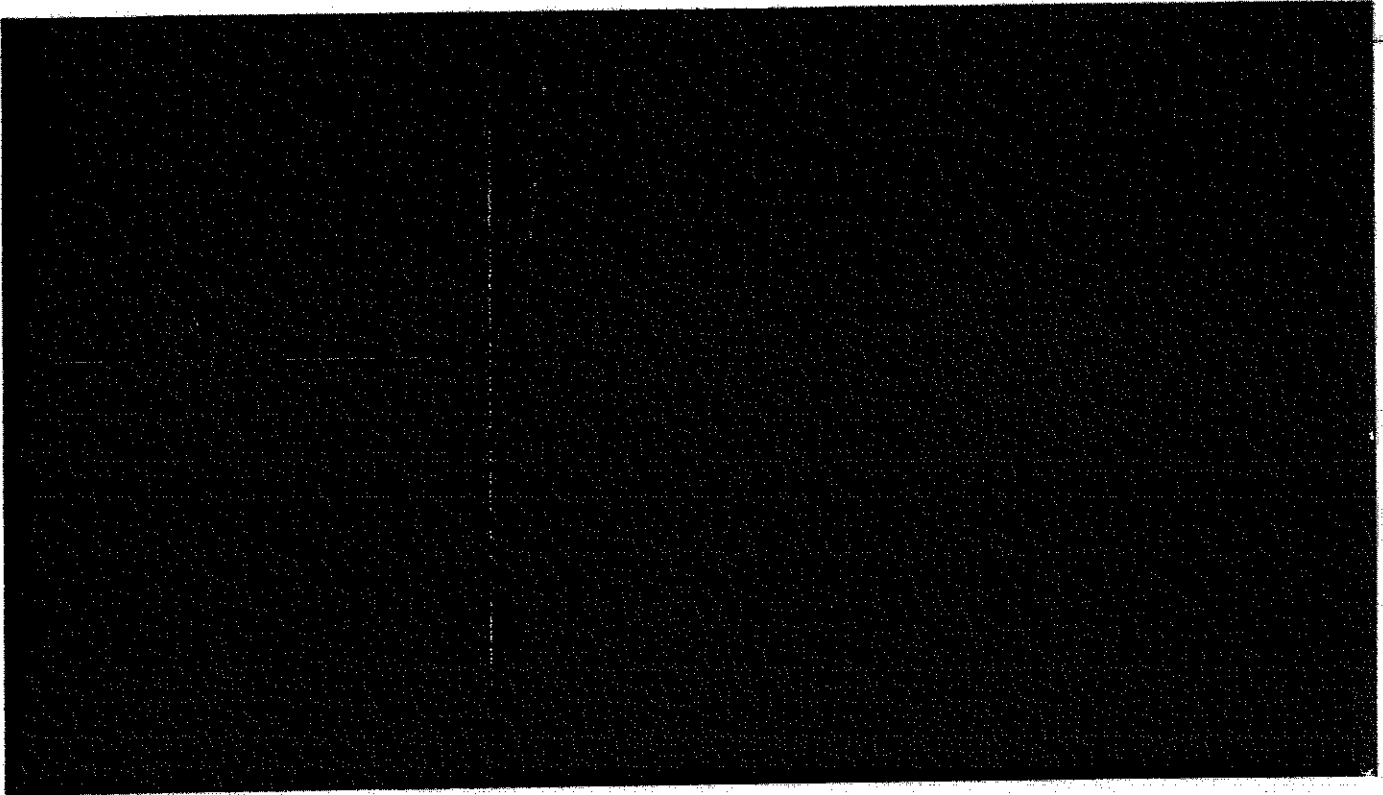
LOS ANGELES FIELD DIVISION

No new updates provide for this period:

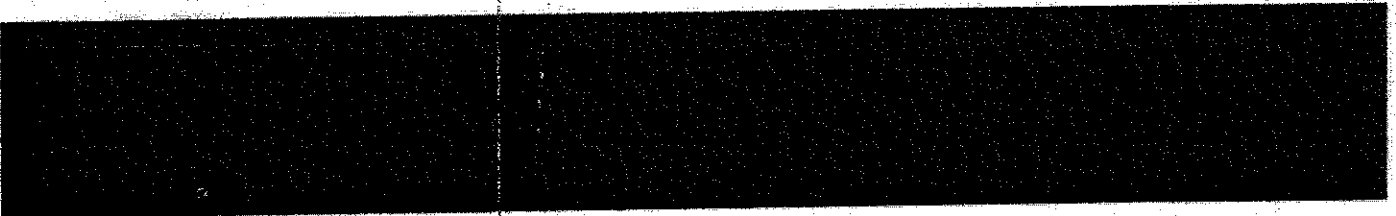
PHOENIX FIELD DIVISION

Investigations:

Phoenix Group I



Phoenix Group VII



785115-10-0004 (b) (7)(C)

This case (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A)

(b) (7)(A)

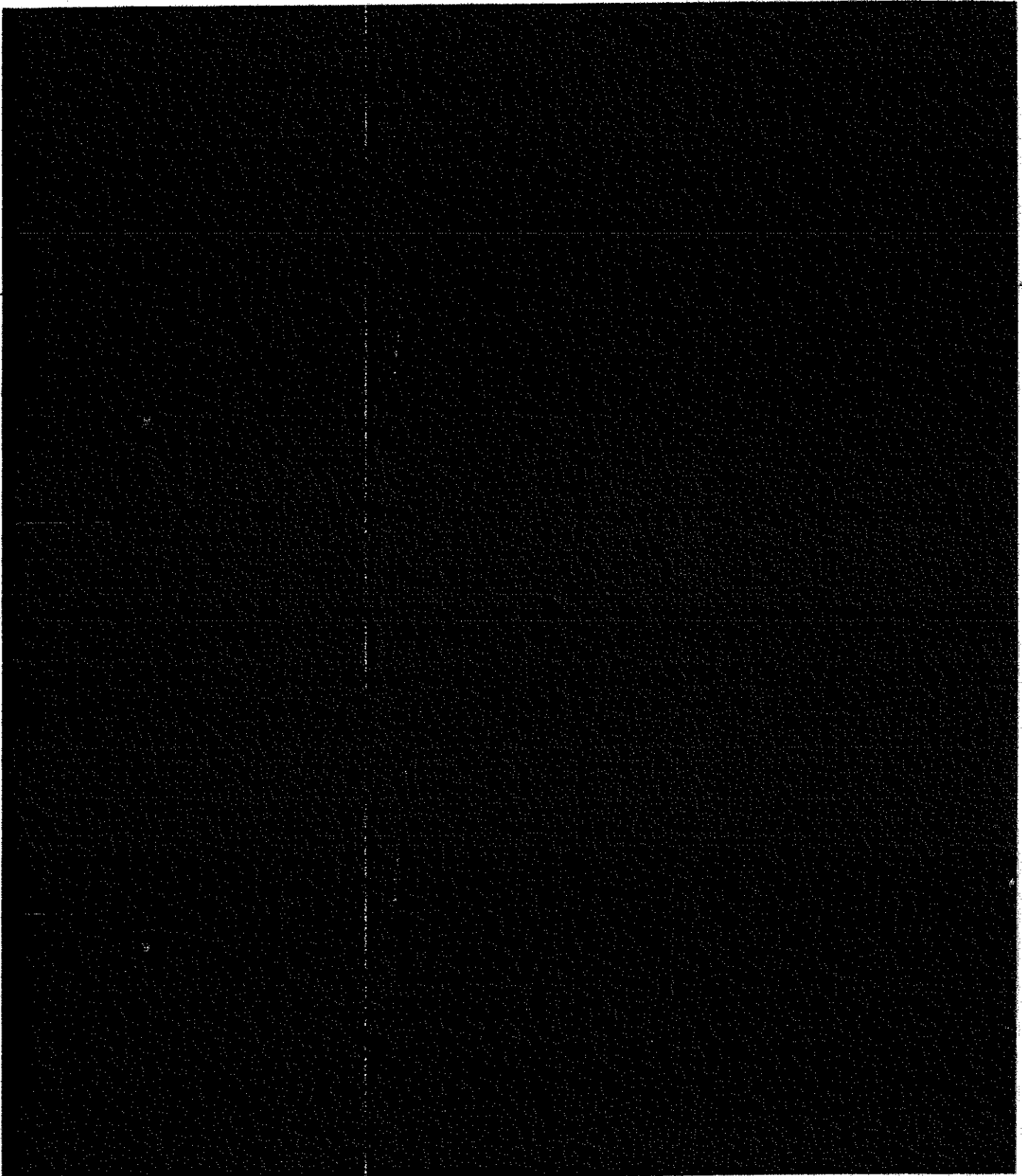
this crew. Additionally, the group supervisor and special agents from Group VII met with DEA officials.

with EPIC

Group VII has coordinated

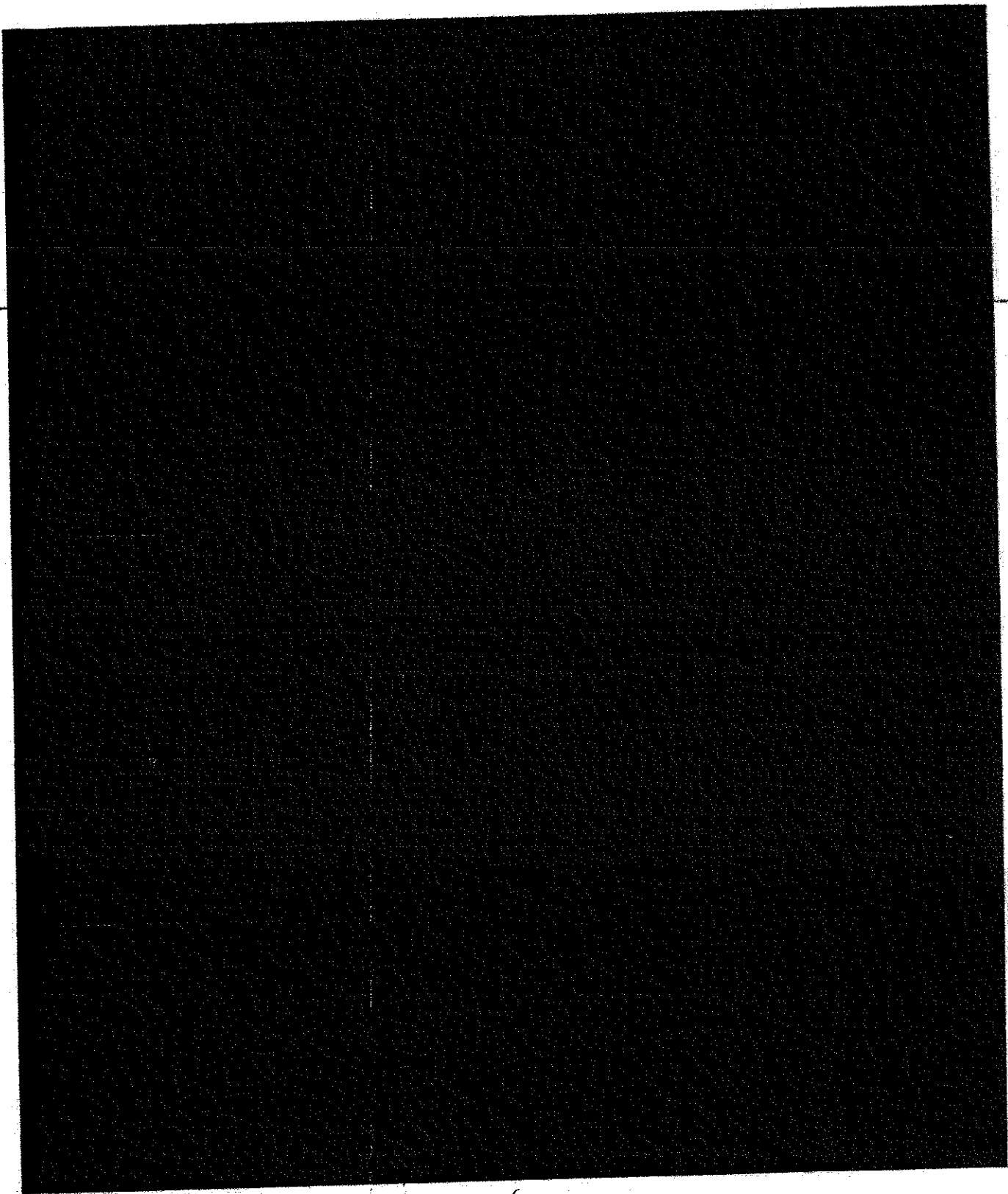
Las Cruces Group I

OFFICE OF STRATEGIC INTELLIGENCE AND INFORMATION



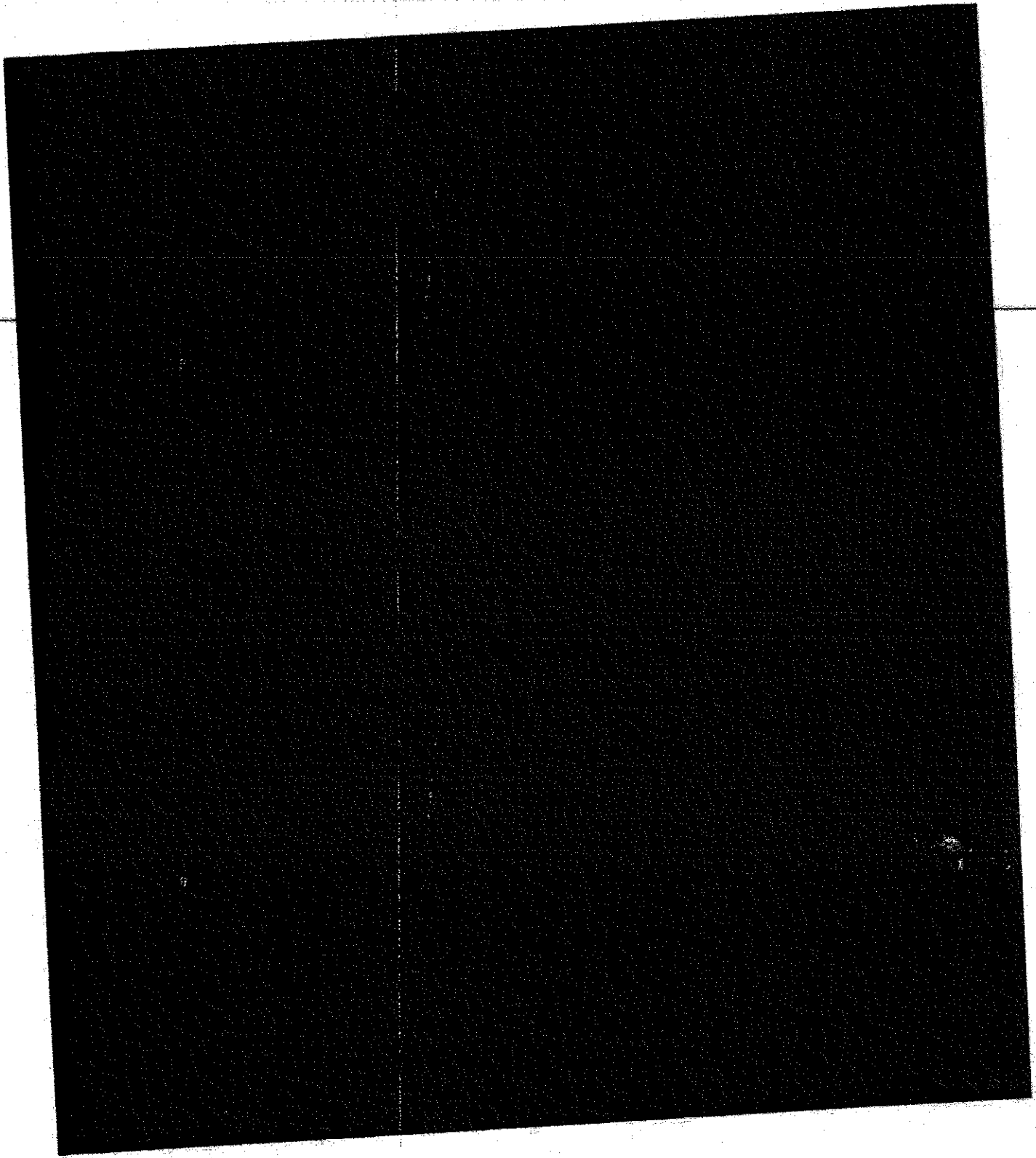
5

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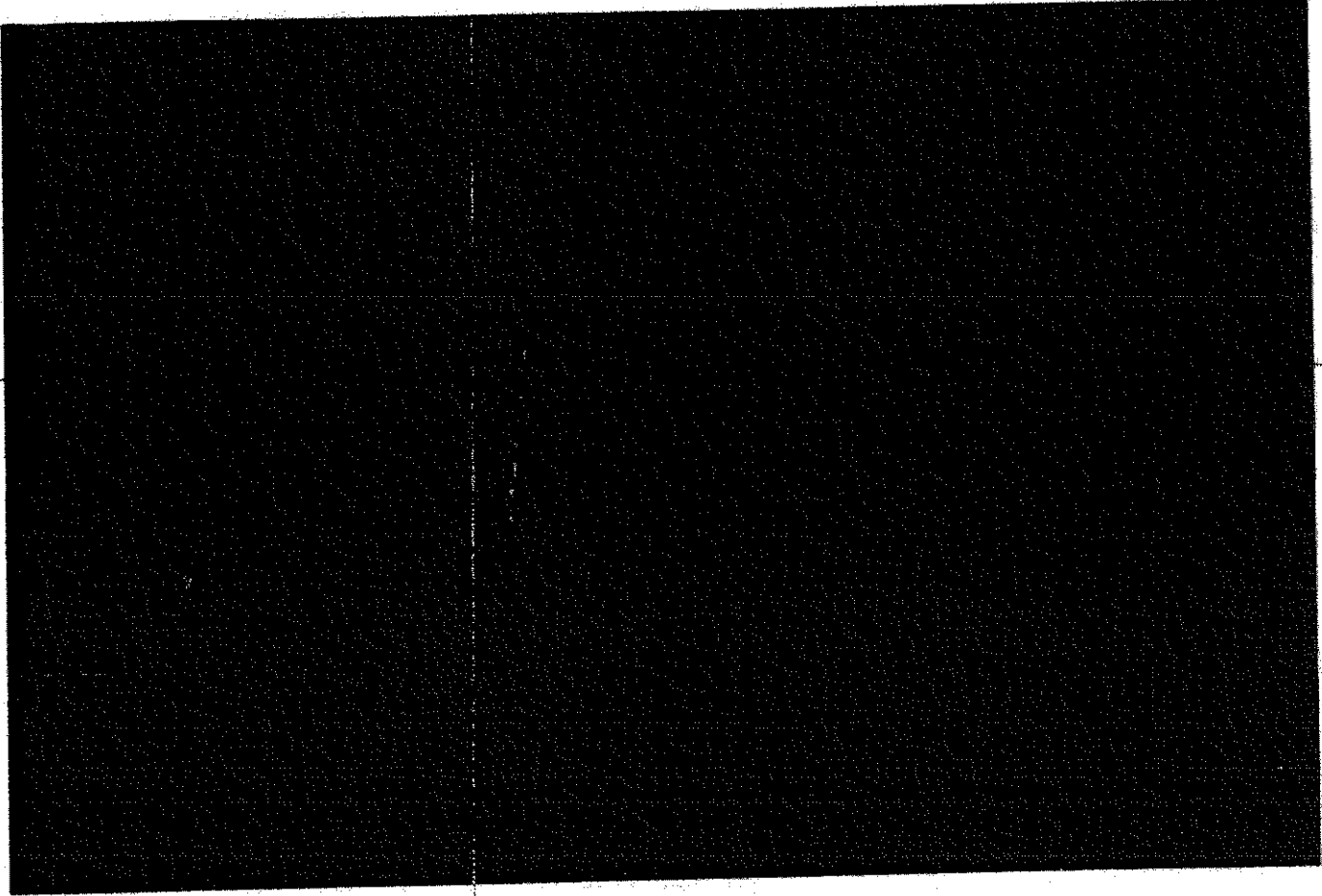


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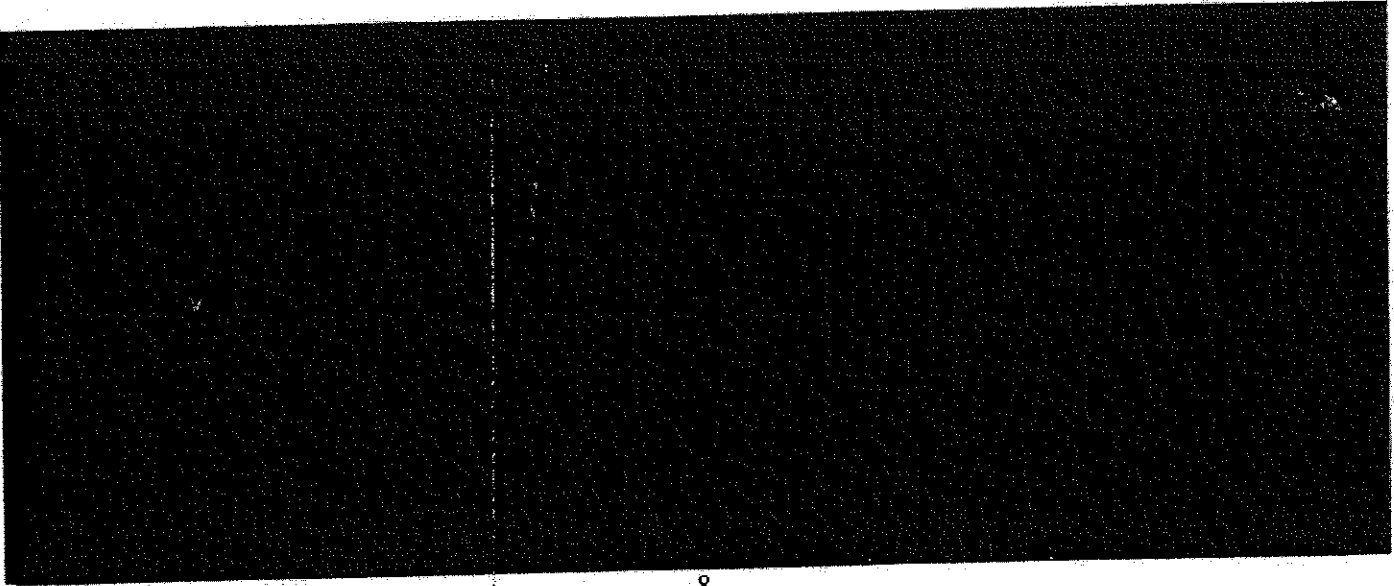




7  
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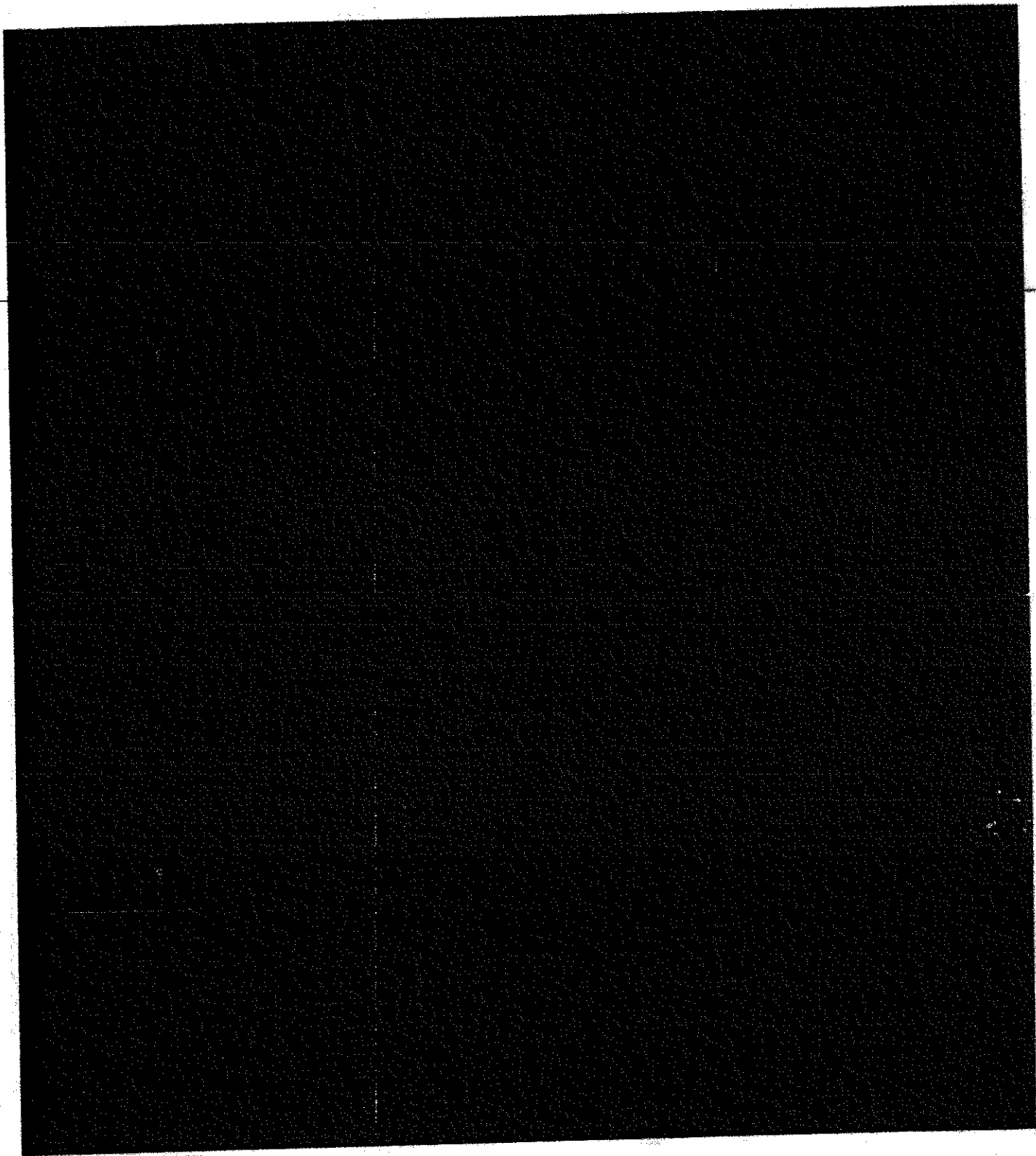
**INTERNATIONAL AFFAIRS OFFICE**



8  
**INTERNAL USE ONLY**  
**NOT FOR DISSEMINATION OUTSIDE ATF**

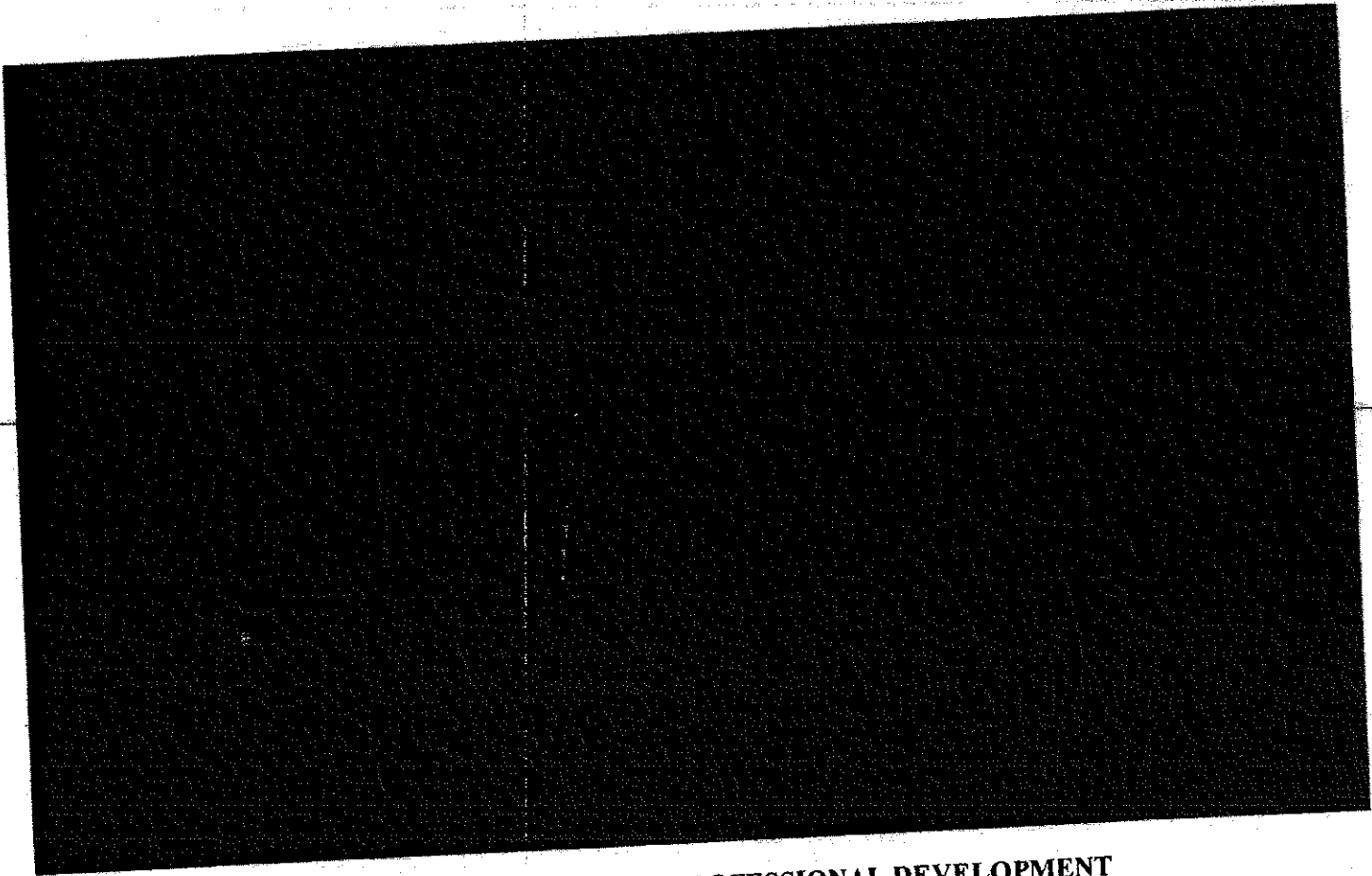


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10

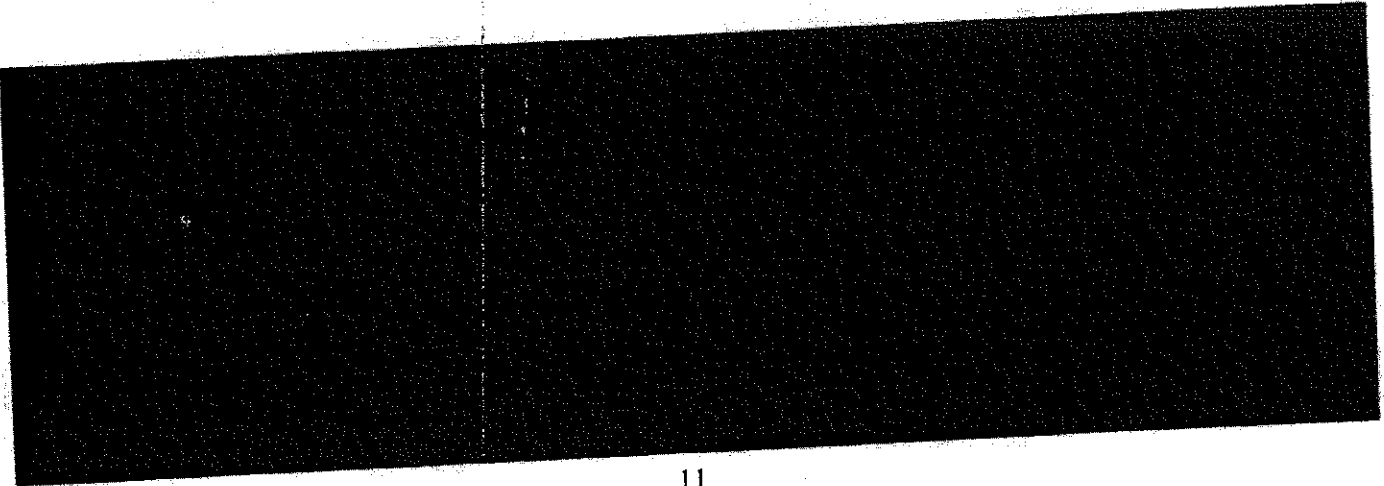
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NOT FOR DISSEMINATION OUTSIDE ATF



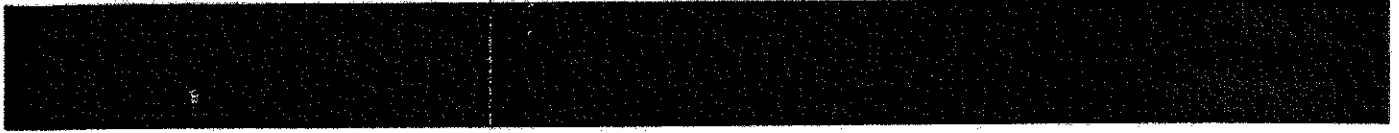
**OFFICE OF TRAINING AND PROFESSIONAL DEVELOPMENT**



**ENFORCEMENT PROGRAMS AND SERVICES**



**11**  
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**NOT FOR DISSEMINATION OUTSIDE ATF**



12  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 11:14 AM  
**To:** (b) (7)(C); (b) (7)(C)  
**Cc:** (b) (7)(C); Gillett, George T. Jr.; Needles, James R.; Phoe-Group IV, Intell  
**Subject:** FW: SWB Briefing Paper - December 14, 2009  
**Attachments:** SWB Briefing Paper - December 14, 2009.doc

---

Please reply with any submissions you might have for the SWB Briefing Paper by C.O.B. Wednesday December 23<sup>rd</sup>. A copy of the latest briefing paper is attached for your review.

Thank you.

(b) (7)(C) Intelligence Officer  
Phoenix FIG  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428  
[Redacted]  
fax [Redacted]  
[Redacted]

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**December 14, 2009**

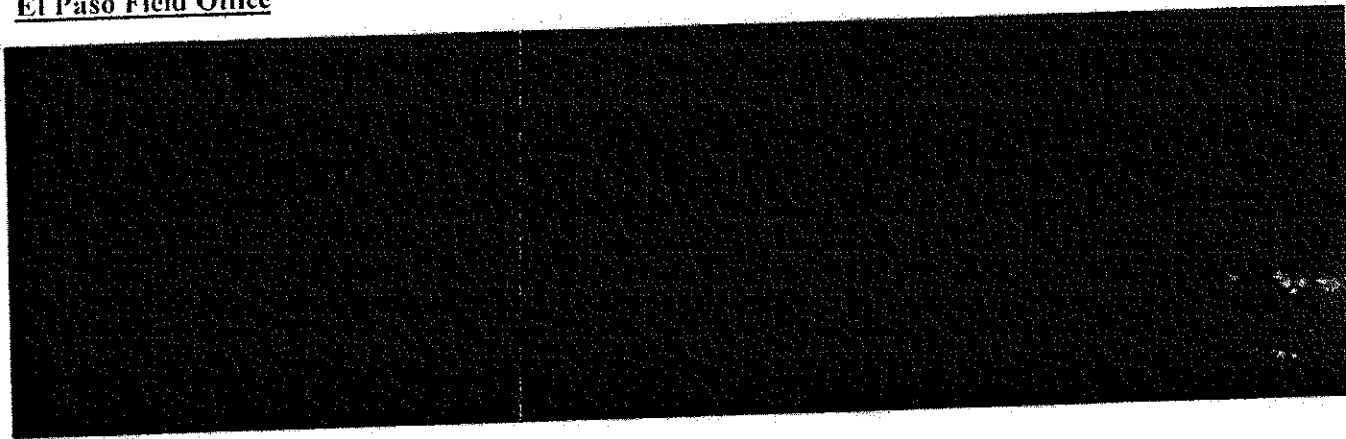
**The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.**

**FIELD OPERATIONS**

**DALLAS FIELD DIVISION**

**Investigations:**

**El Paso Field Office**



**Tulsa Field Office**



**INTERNAL USE ONLY  
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HOUSTON FIELD DIVISION

Investigations:

Houston VIII



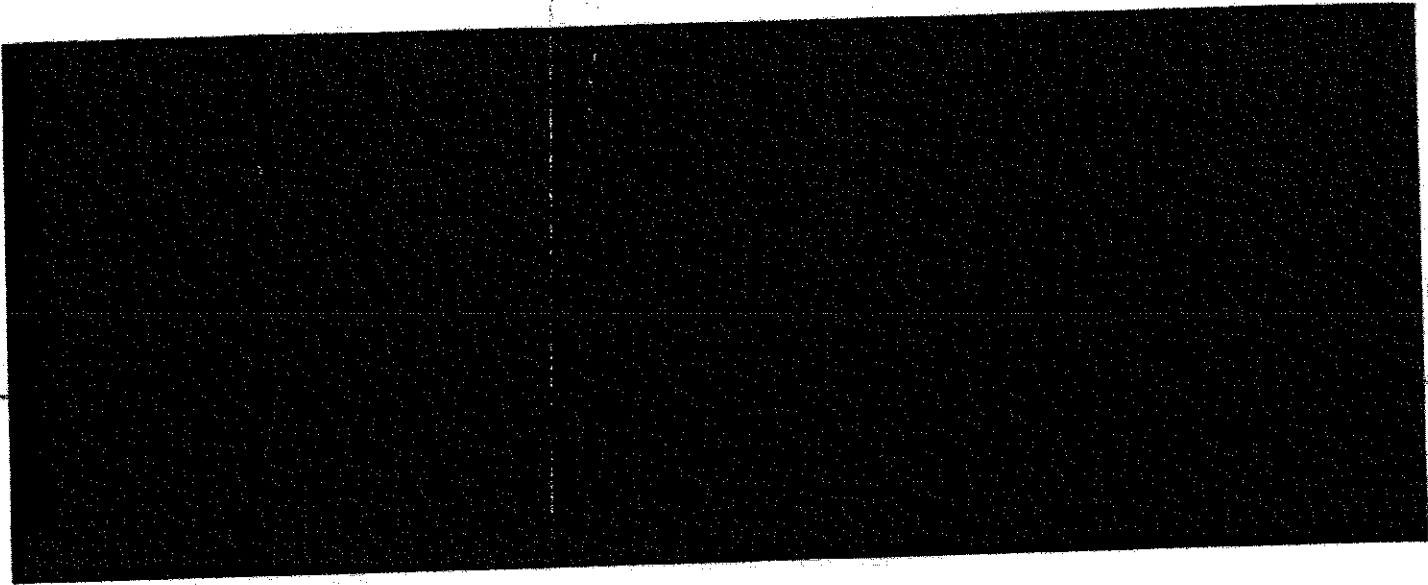
Houston IX



McAllen I



2  
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McAllen II (IO)



San Antonio II (IO)

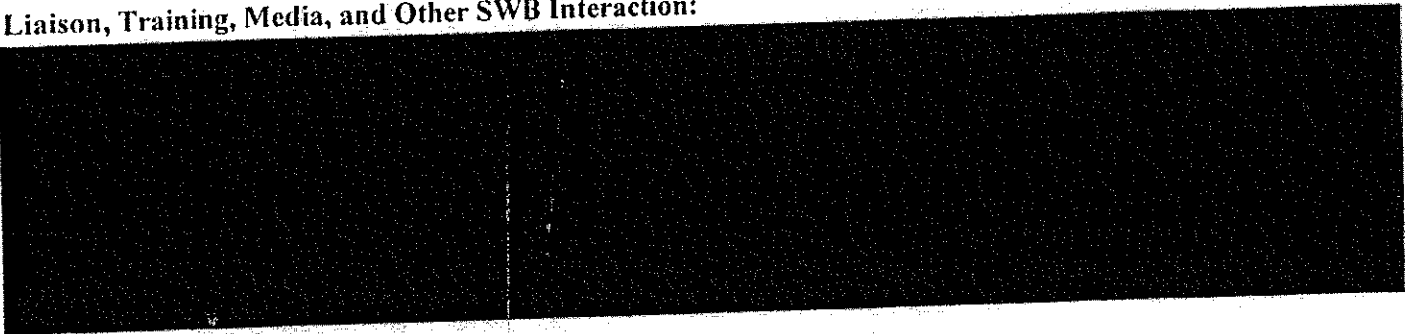


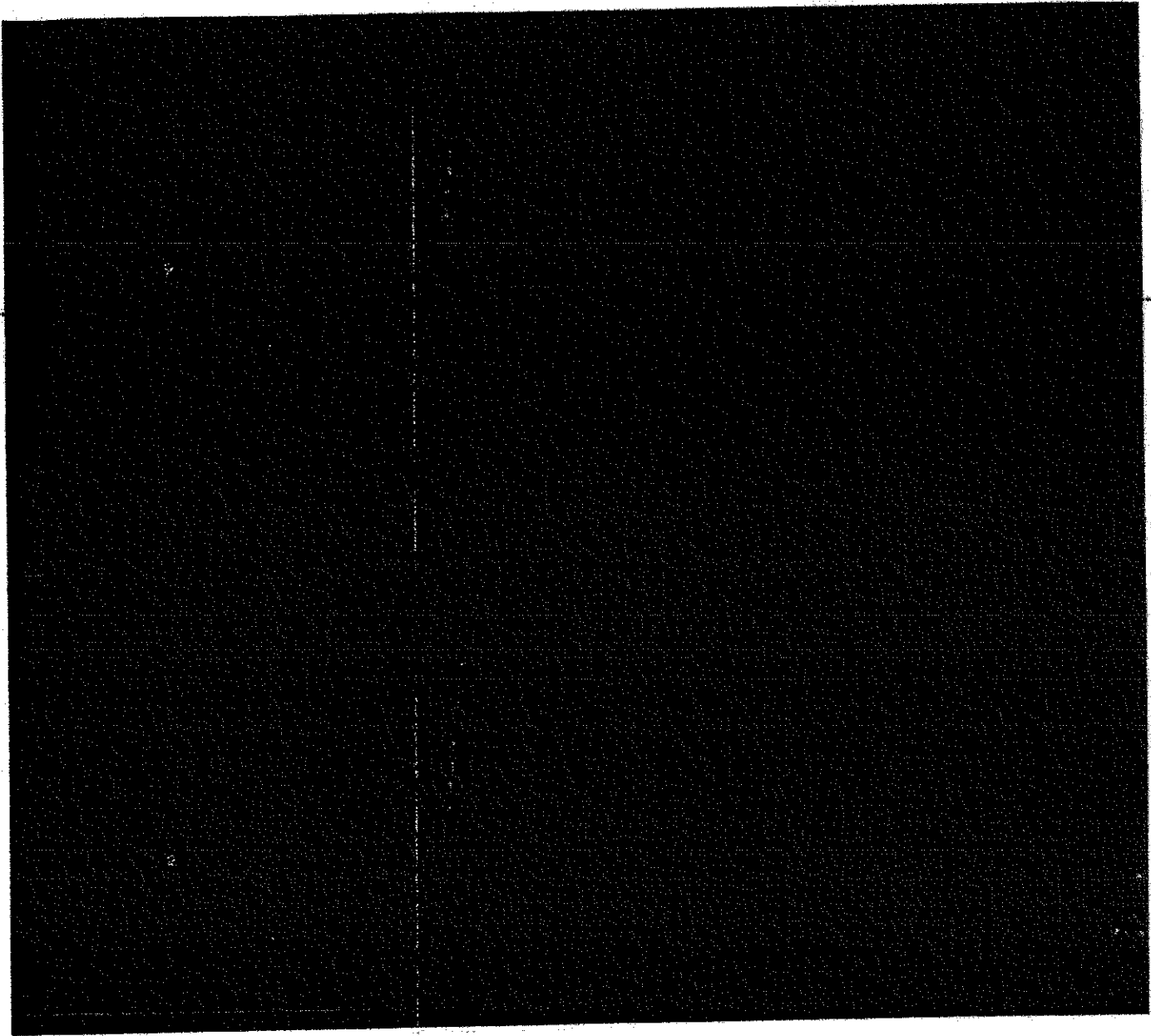
LOS ANGELES FIELD DIVISION

No new updates provide for this period.

PHOENIX FIELD DIVISION

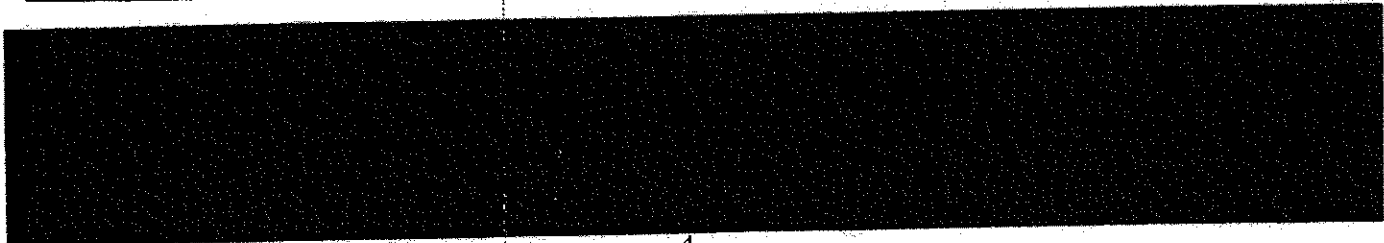
Liaison, Training, Media, and Other SWB Interaction:





**Investigations:**

**Phoenix Group VII**



[Redacted]

785115-10-0004.

(b) (7)(C)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

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(b) (7)(A)

(b) (7)(A)

Tucson Group I

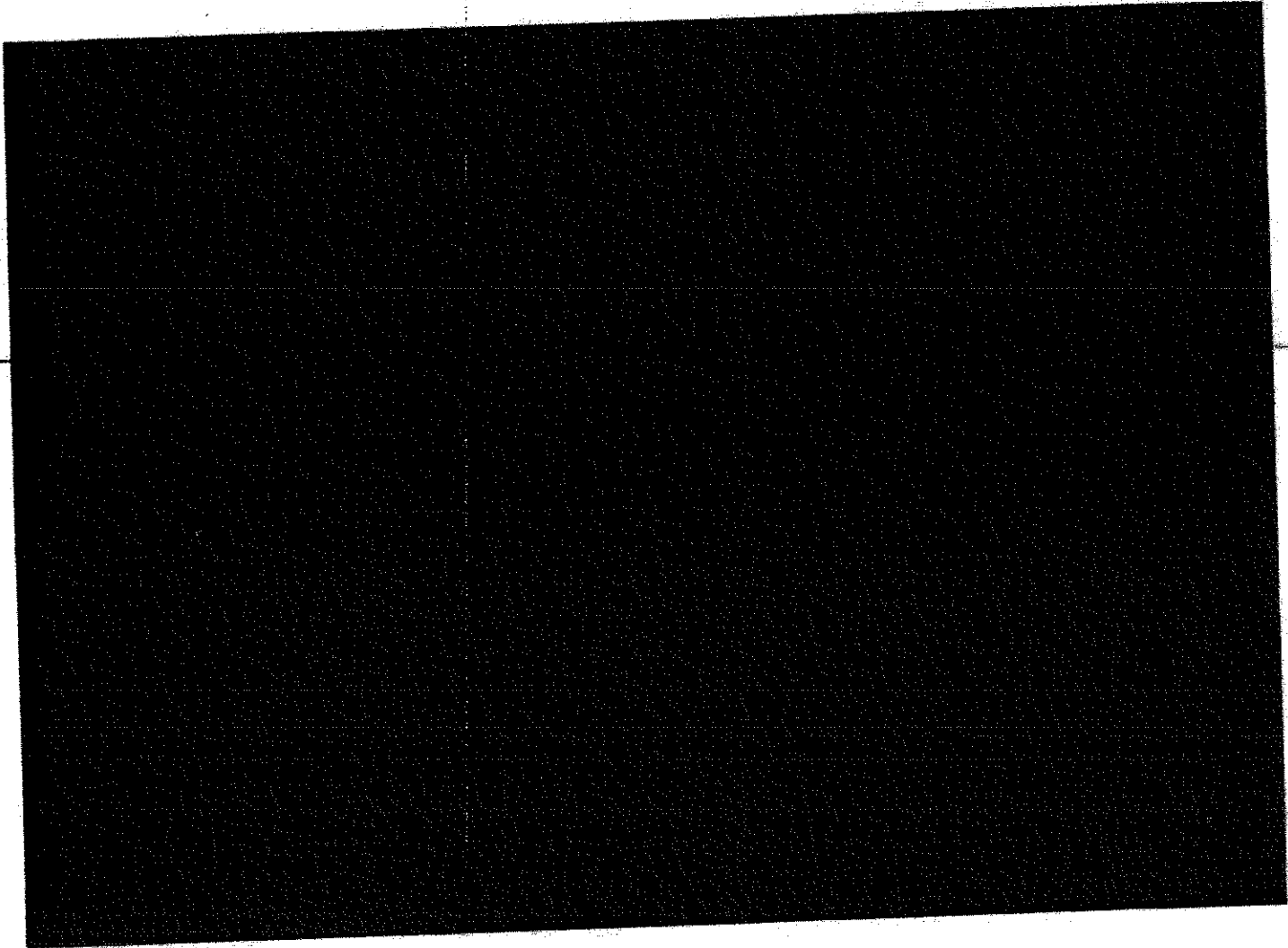
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OFFICE OF STRATEGIC INTELLIGENCE AND INFORMATION

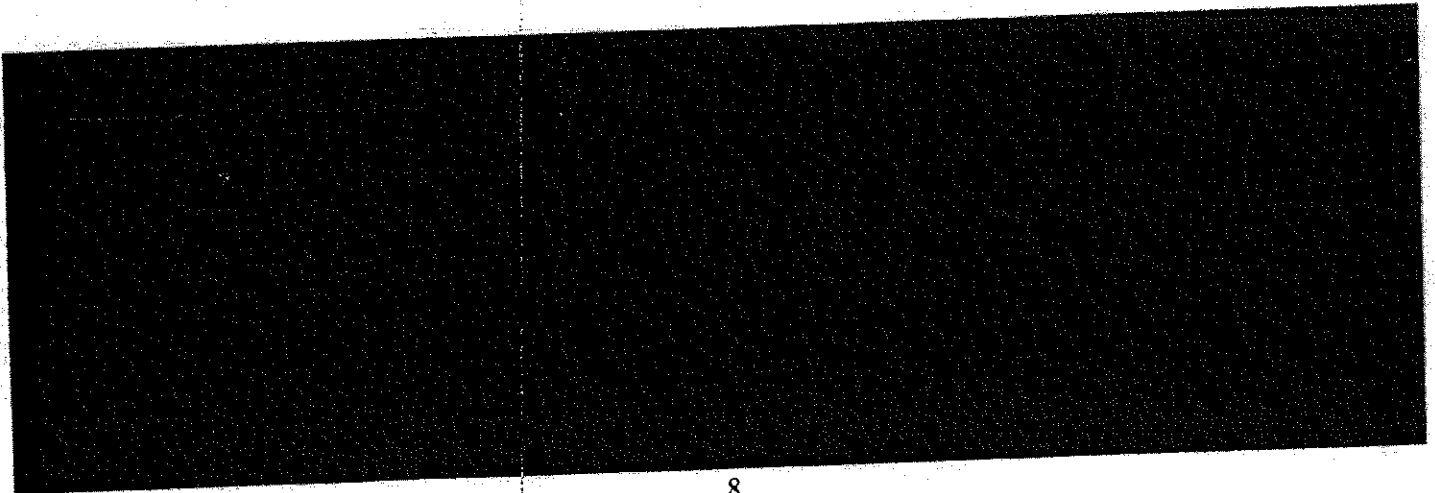
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6  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

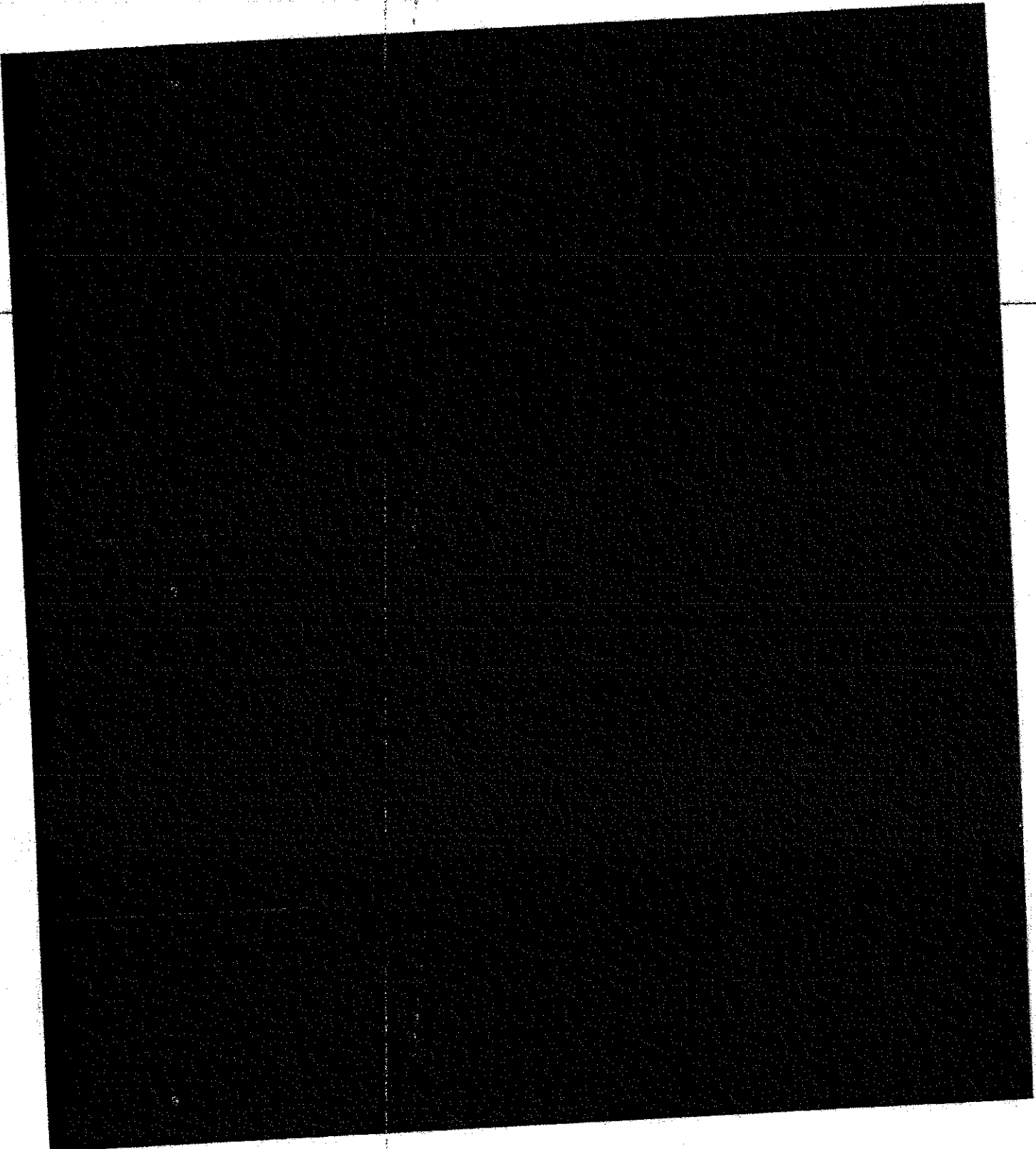
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NOT FOR DISSEMINATION OUTSIDE ATF



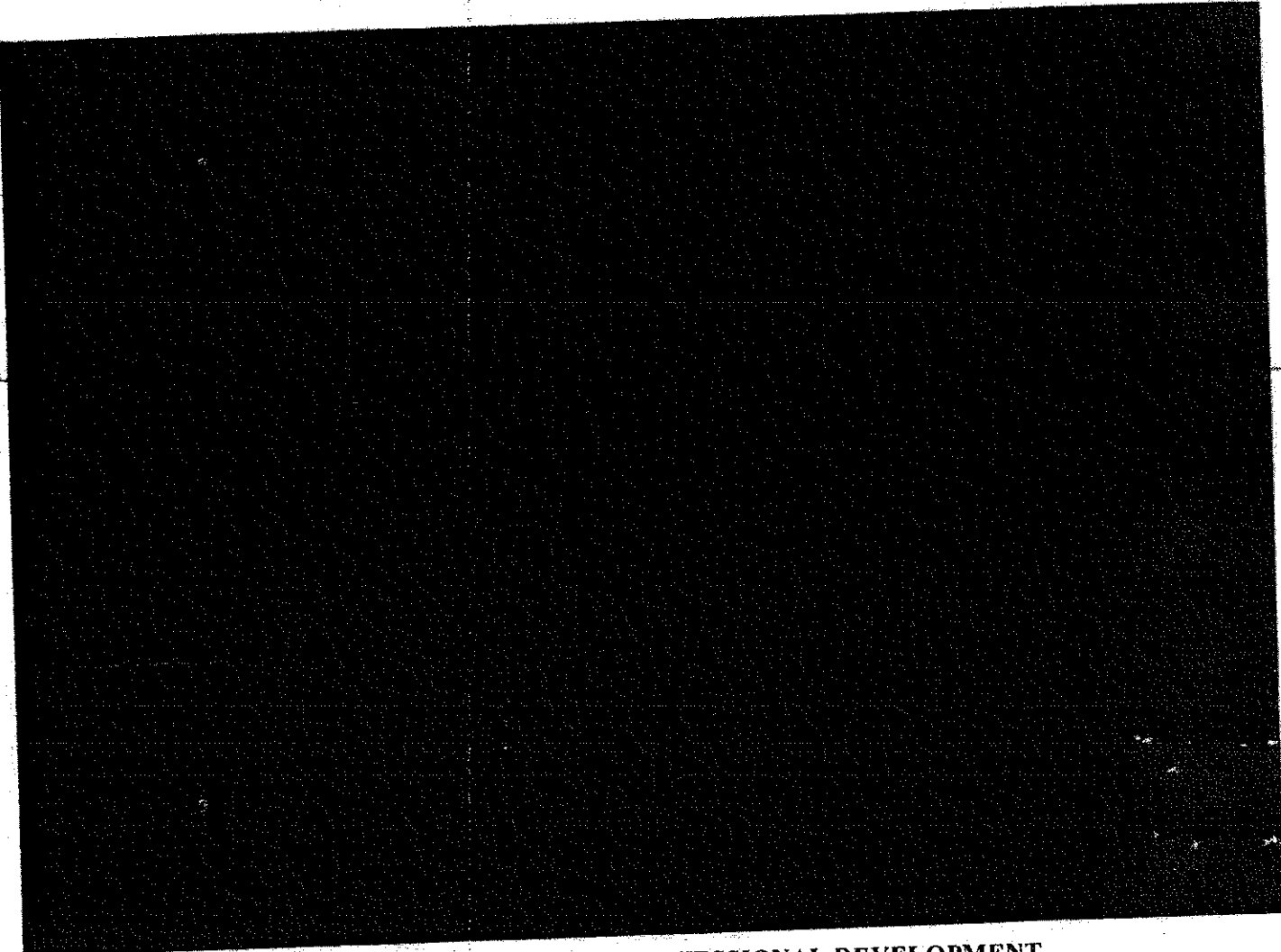
**INTERNATIONAL AFFAIRS OFFICE**



8  
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**NOT FOR DISSEMINATION OUTSIDE ATF**



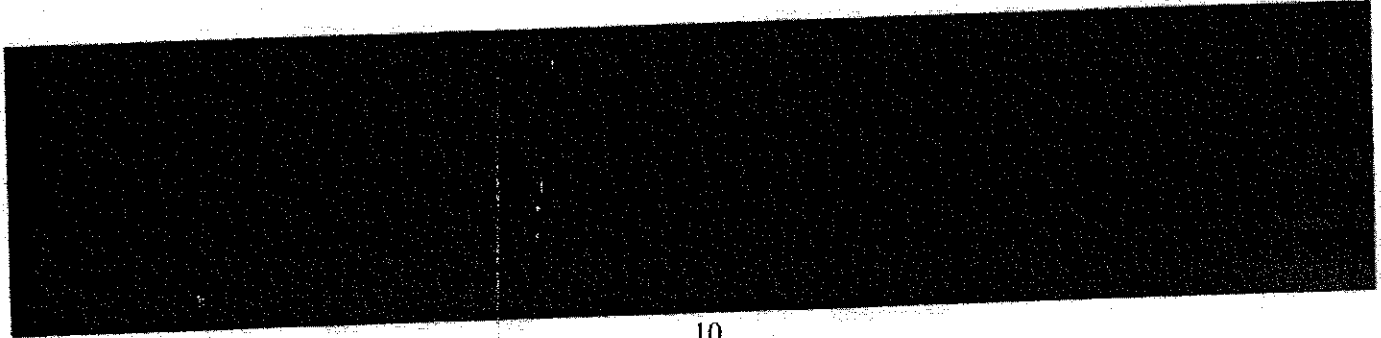




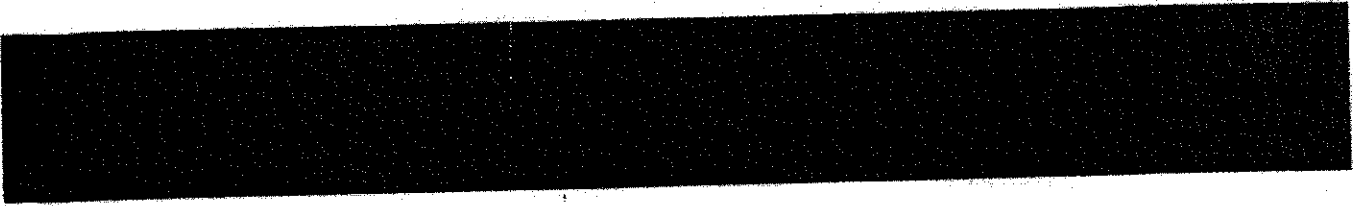
**OFFICE OF TRAINING AND PROFESSIONAL DEVELOPMENT**



**ENFORCEMENT PROGRAMS AND SERVICES**



10  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF



11  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

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**From:** (b) (7)(C)  
**Sent:** Friday, January 29, 2010 2:38 PM  
**To:** Phoe-CE Supervisors; Phoe-IO Supervisors  
**Cc:** (b) (7)(C)  
**Subject:** FW: SWB Briefing Paper - January 25, 2010  
**Attachments:** SWB Briefing Paper - January 25, 2009.doc

Please reply with any submissions you might have for the SWB Briefing Paper by C.O.B. Wednesday February 3<sup>rd</sup>. ~~The SAC, DIO and both ASACS have stressed they want to capture all of the good work your groups are~~  
doing for Project Gunrunner.

I have attached the latest briefing paper for your review.

Thank you.

(b) (7)(C) Acting Division Operations Officer  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428

fax [REDACTED]

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**January 25, 2009**

**The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.**

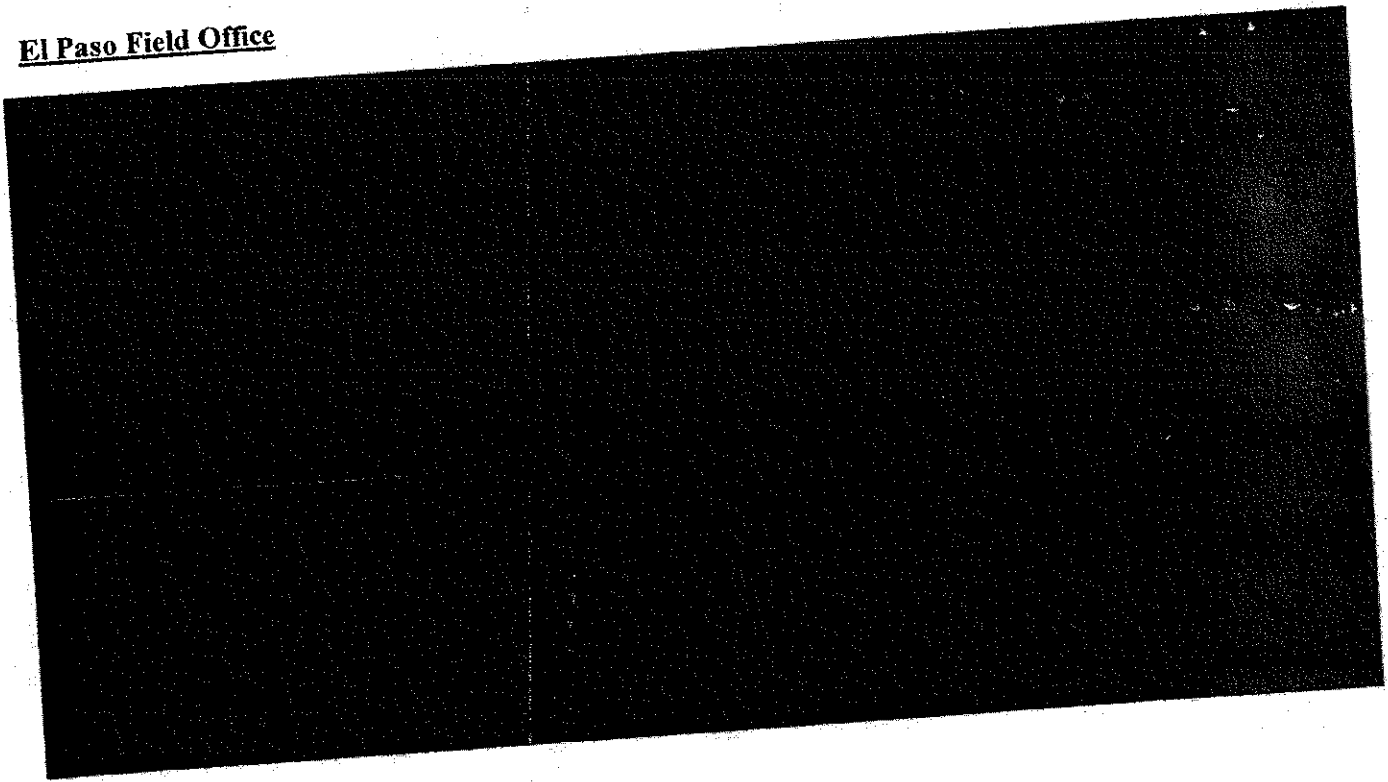
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**FIELD OPERATIONS**

**DALLAS FIELD DIVISION**

**Investigations:**

**El Paso Field Office**



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Fort Worth Field Office



HOUSTON FIELD DIVISION

Investigations:

McAllen I Field Office



2  
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**LOS ANGELES FIELD DIVISION**

No new updates provide for this period.

**PHOENIX FIELD DIVISION**

**Investigations:**

**Phoenix Group VII**

[REDACTED]

785115-10-0004 (b) (7)(C) et al: (b) (7)(A) (b) (7)(A)

(b) (7)(A)

(b) (7)(A) (b) (7)(A) (b) (7)(A) ATF special agents continue to coordinate with the DEA and also to work with the USAO

[REDACTED]

(b) (7)(A)

**Tucson Group II**

[REDACTED]

3  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

---

**From:** Gillett, George T. Jr.  
**Sent:** Friday, February 26, 2010 3:37 PM  
**To:** Newell, William D.  
**Cc:** Needles, James R.  
**Subject:** FW: Phoenix Field Division Submissions Related to Mexico Cartels  
**Attachments:** Phoenix Field Division-MexCartelNex.docx

I have reviewed the attached document and made subtle changes to hone accuracy. For your review and forwarding.

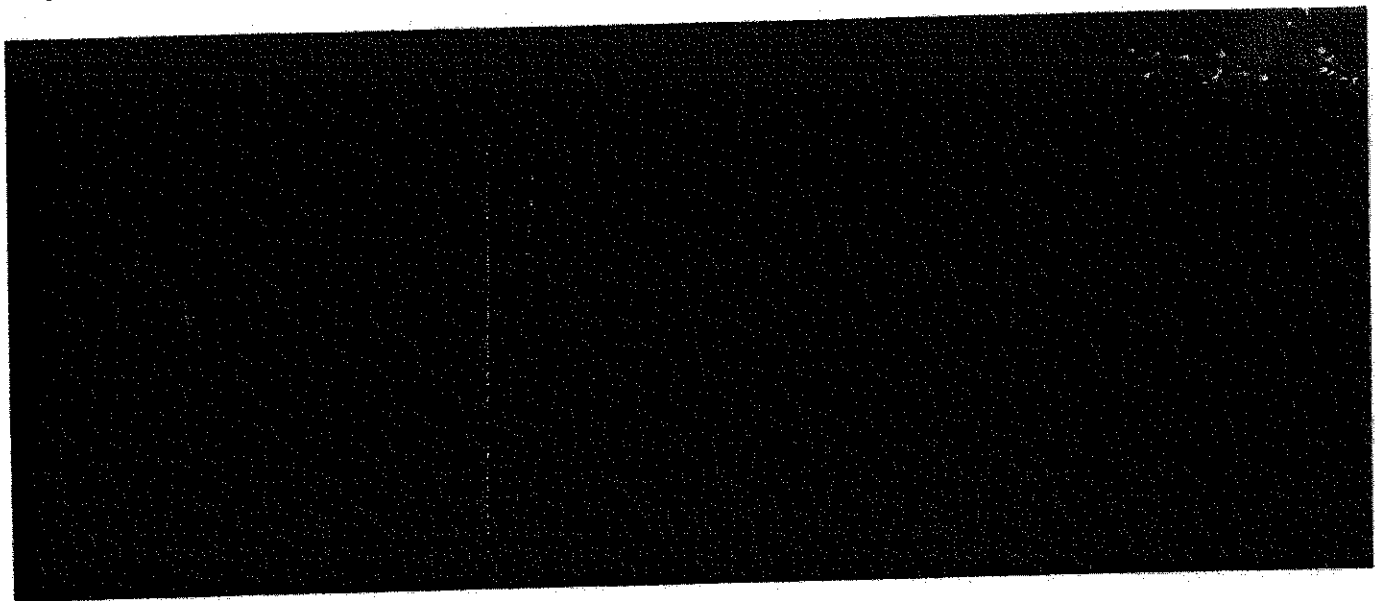
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**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Office: (602) 776-5400

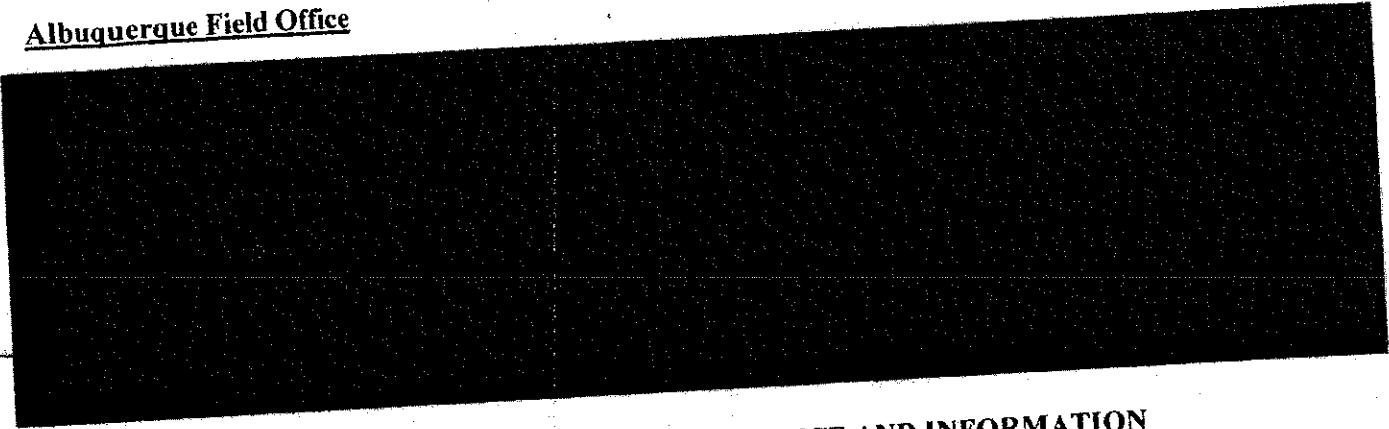
**From:** (b) (7)(C)  
**Sent:** Thursday, February 25, 2010 4:18 PM  
**To:** Needles, James R.  
**Cc:** Gillett, George T. Jr.  
**Subject:** Phoenix Field Division Submissions Related to Mexico Cartels

Jim,

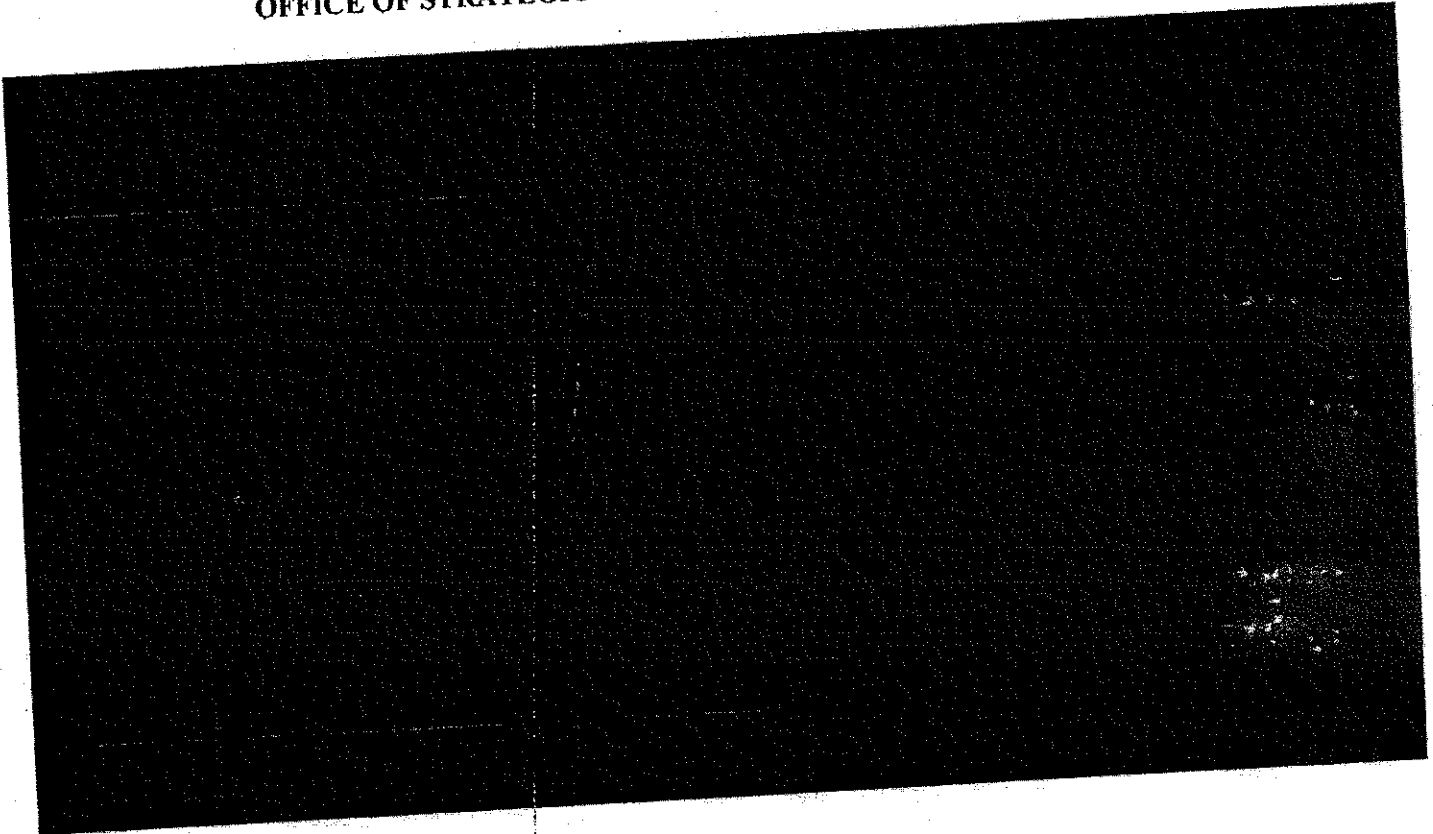
Attached for your review you will find summaries of the cases provided by our group supervisors. (b) (7)(C) (b) (7)(C) request asked for the following response. Please respond by 12:00 p.m. (Eastern), on Friday, February 26, 2010, by e-mail to FMS Program Analyst (b) (7)(C) and Special Agent (b) (7)(C) Negative replies are required.



Albuquerque Field Office

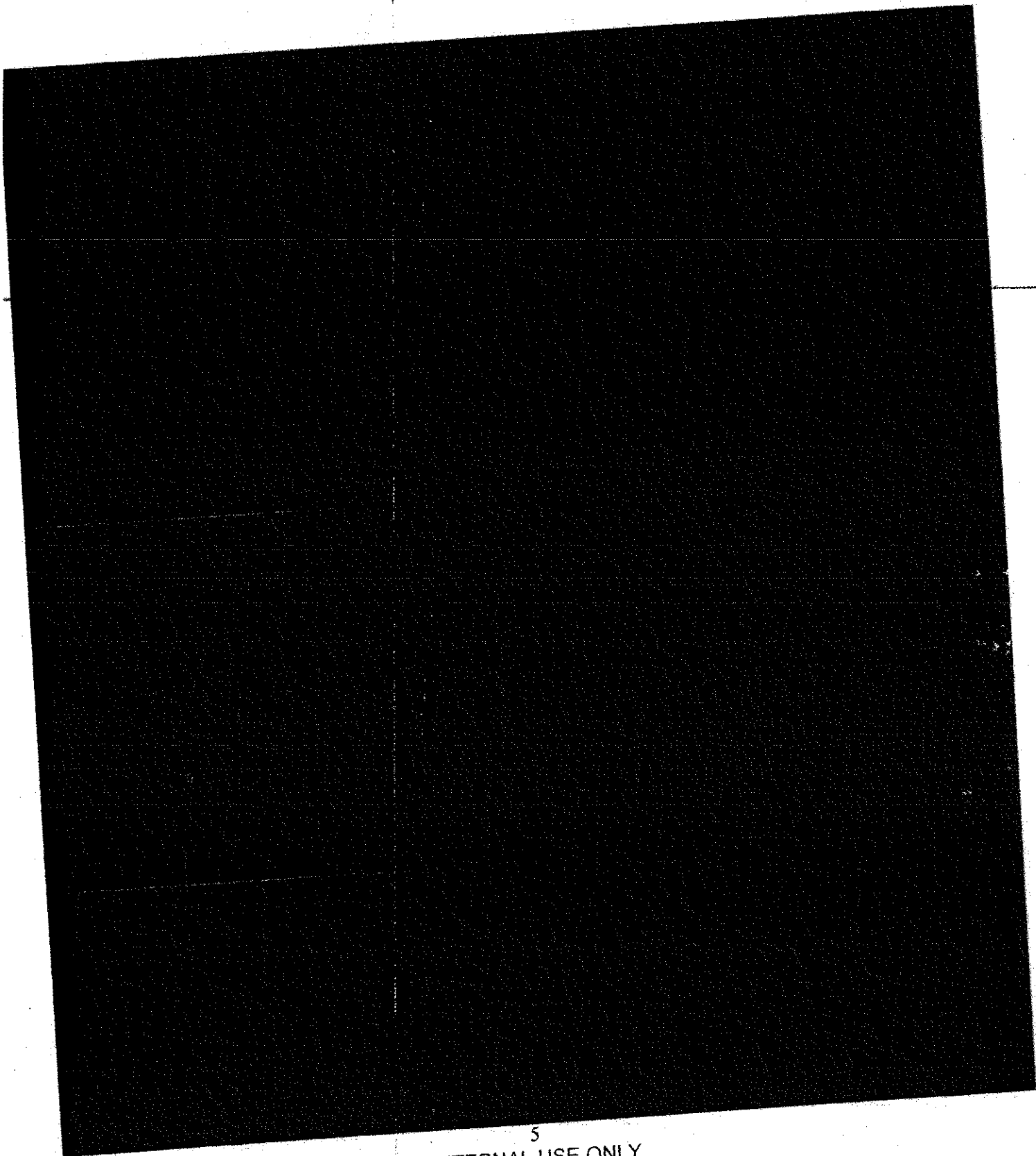


OFFICE OF STRATEGIC INTELLIGENCE AND INFORMATION



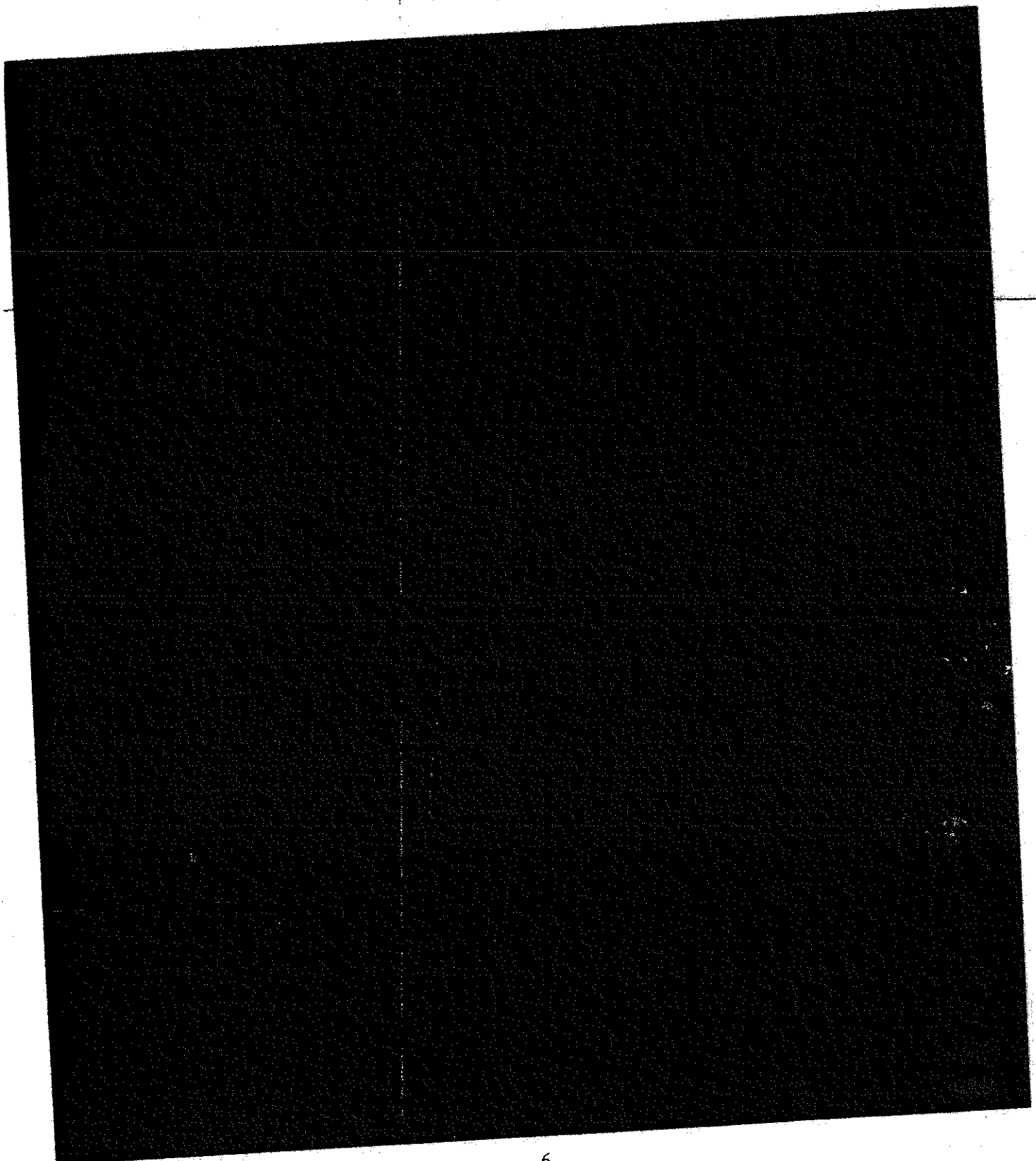
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INTERNAL USE ONLY  
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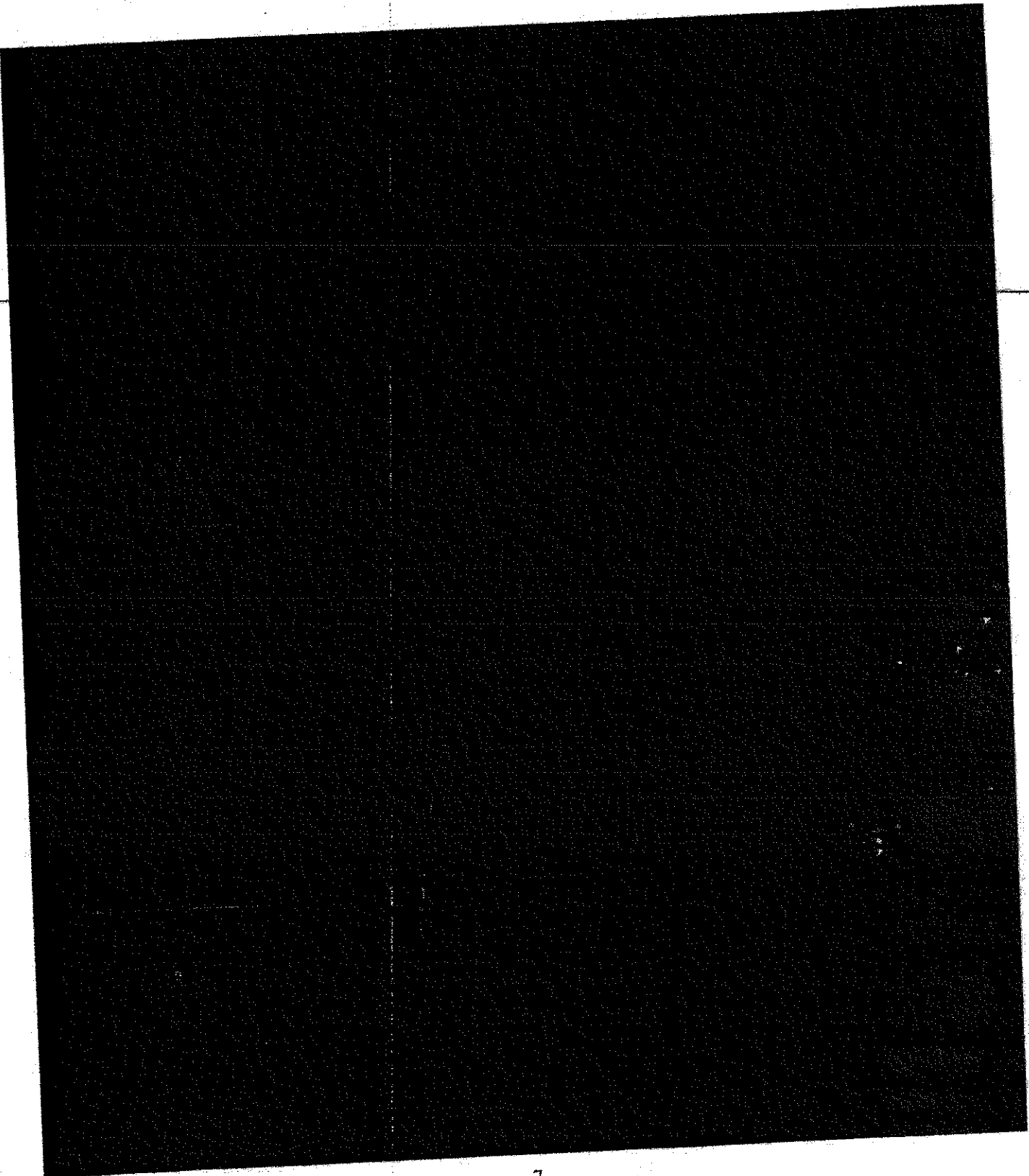
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INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

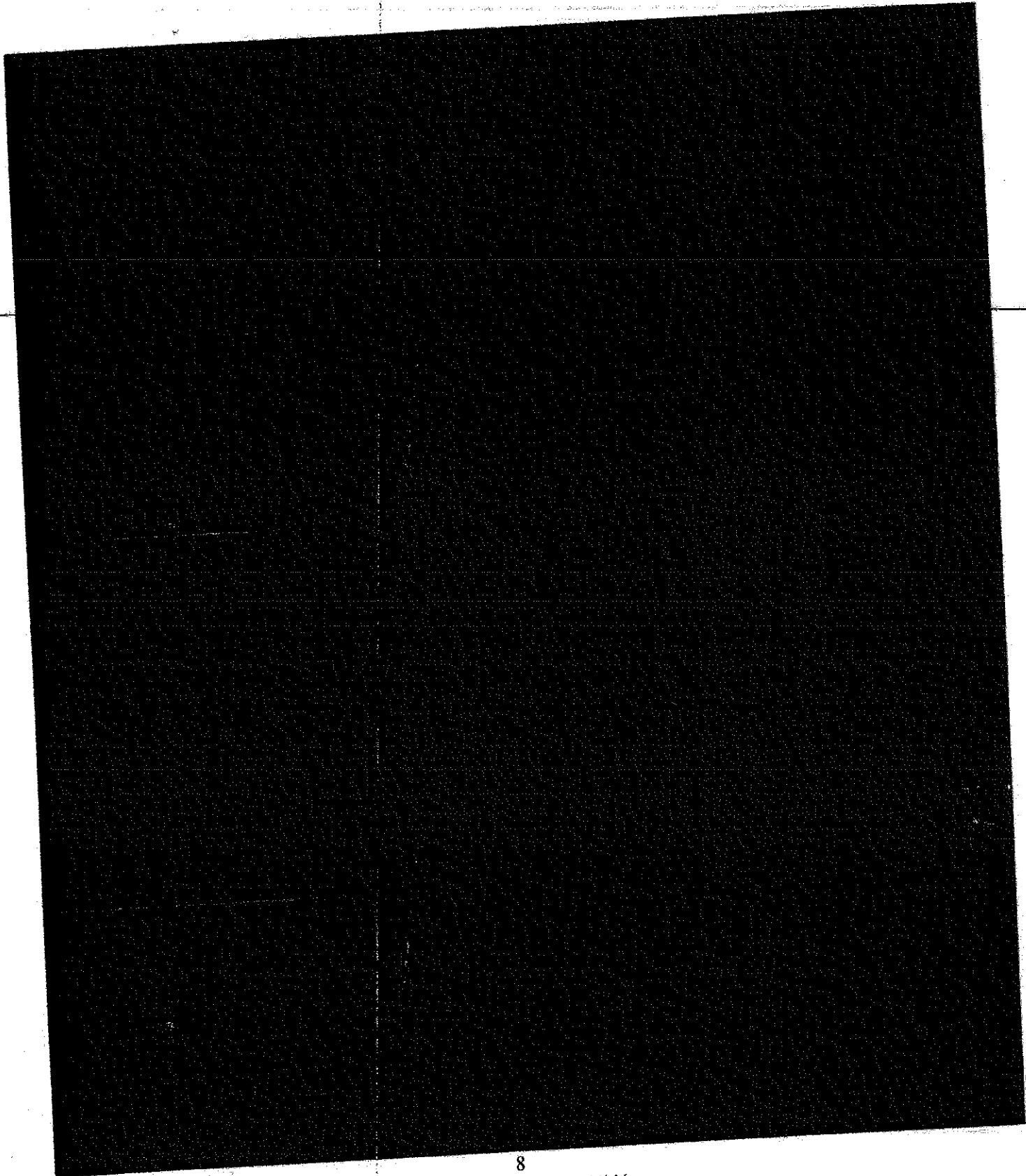


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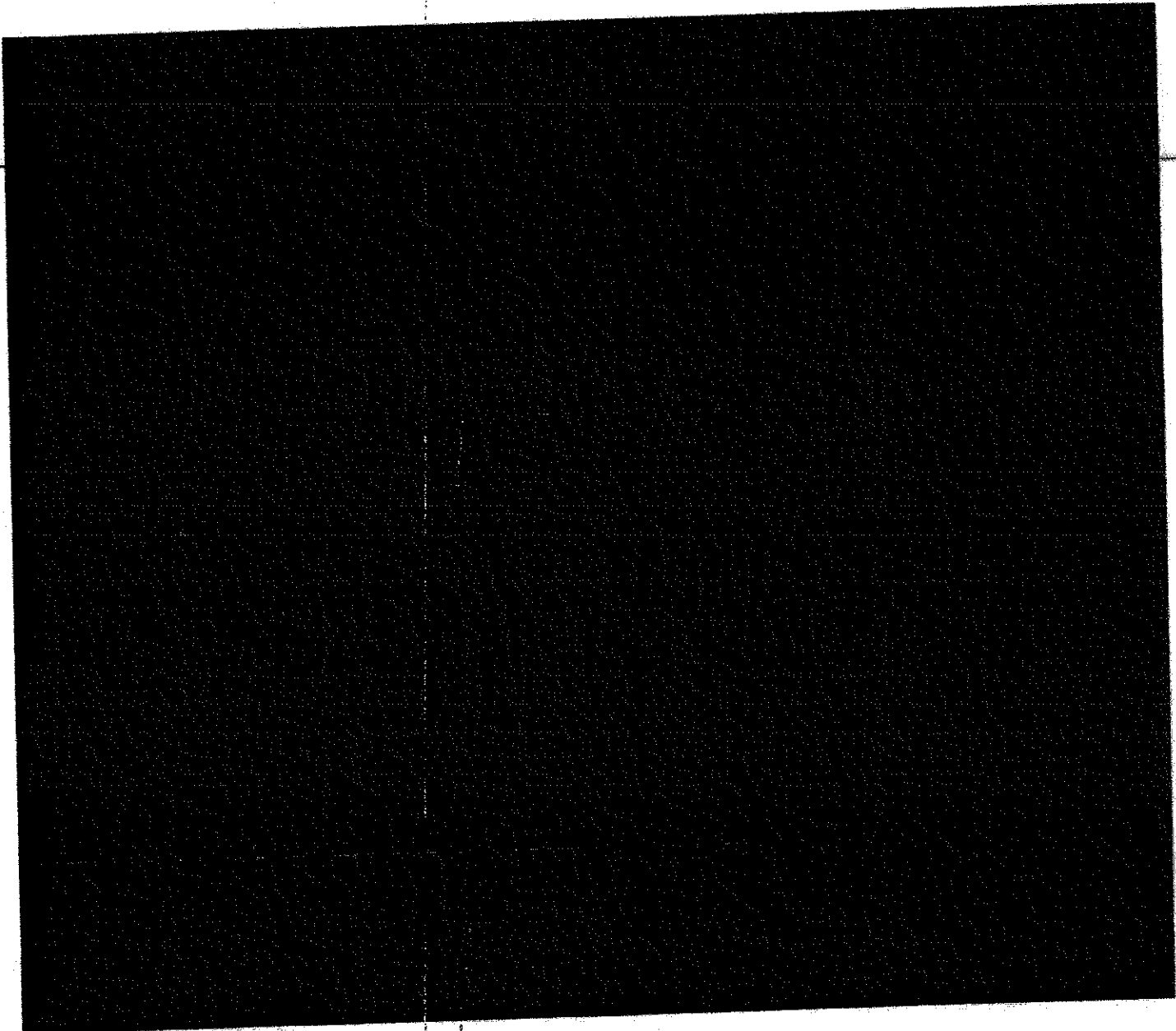


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INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF



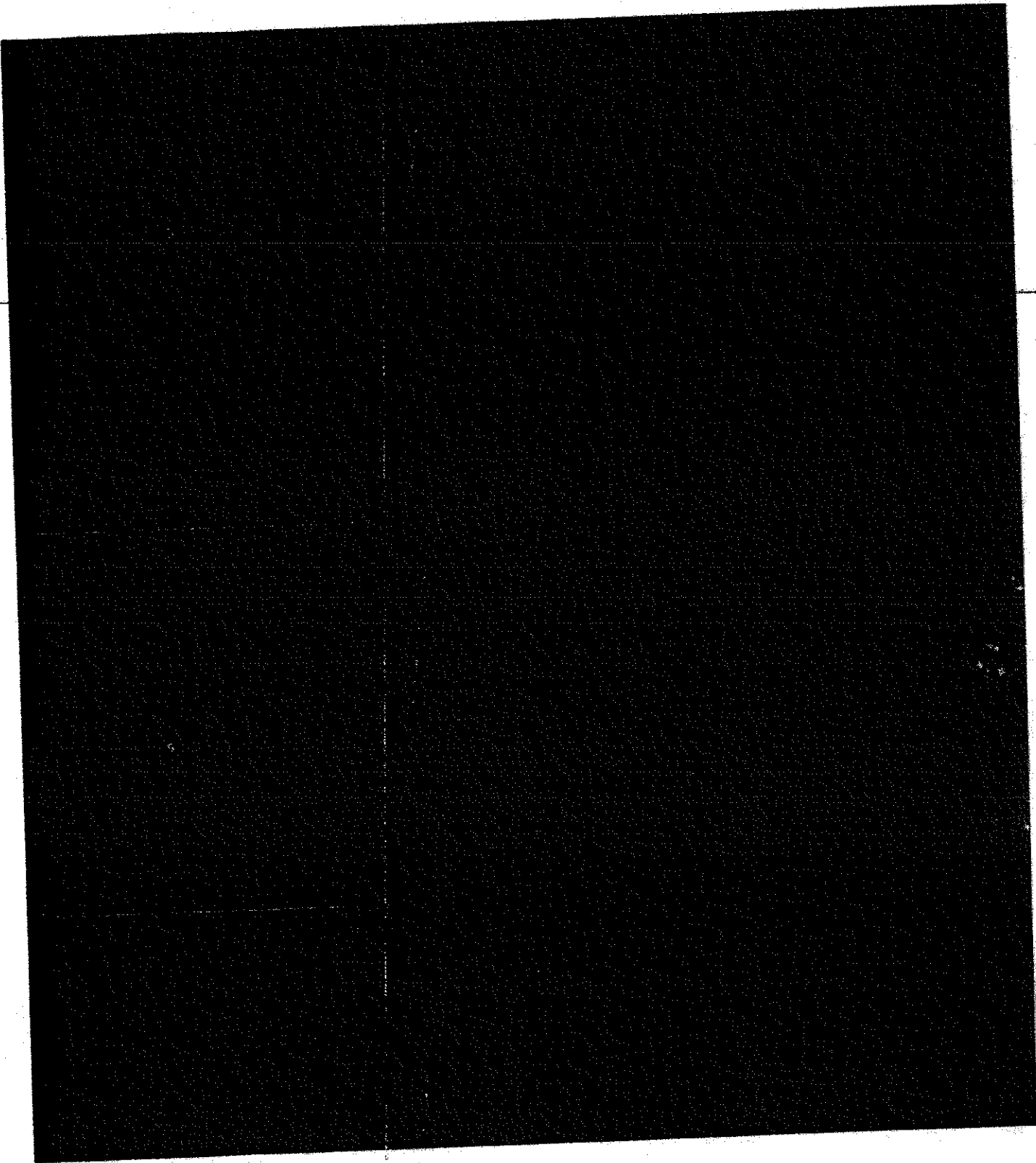


**INTERNATIONAL AFFAIRS OFFICE**

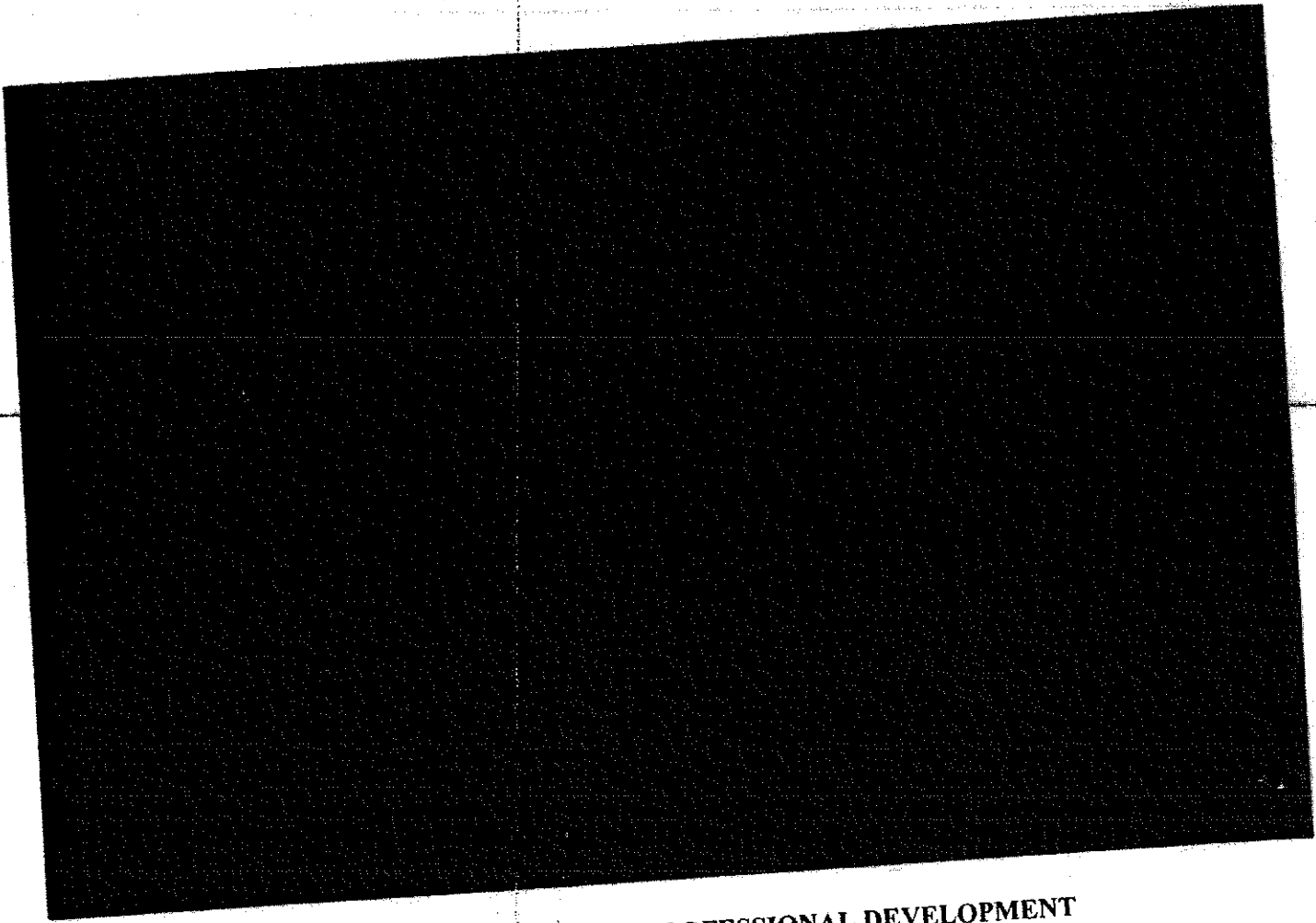


9

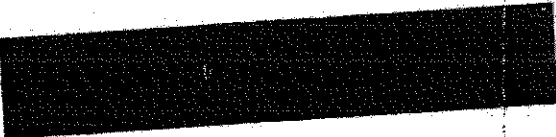
**INTERNAL USE ONLY  
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10  
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**OFFICE OF TRAINING AND PROFESSIONAL DEVELOPMENT**



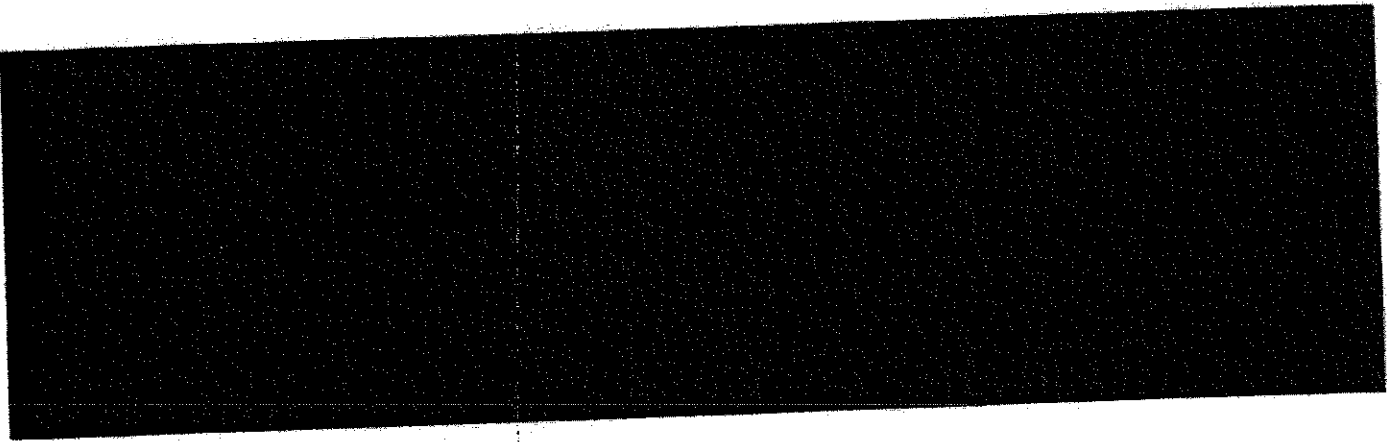
**ENFORCEMENT PROGRAMS AND SERVICES**



11  
**INTERNAL USE ONLY**  
**NOT FOR DISSEMINATION OUTSIDE ATF**

12  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF



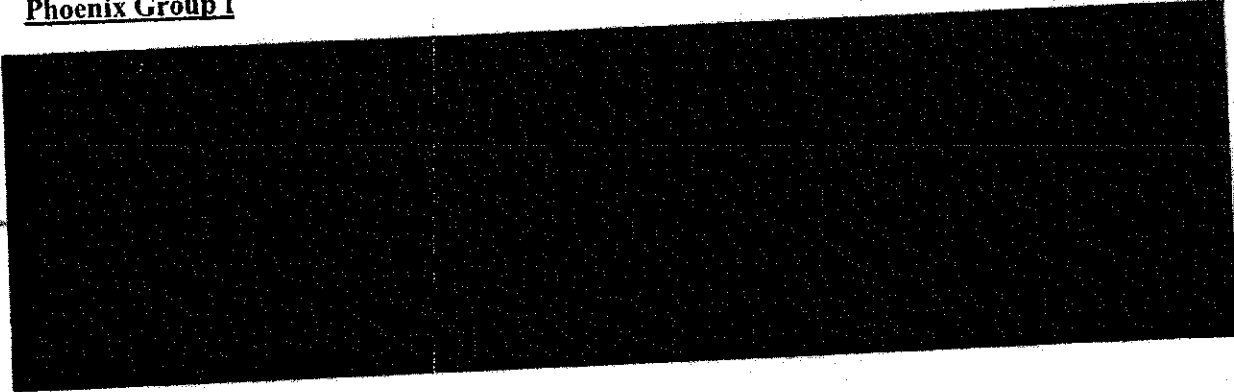


(b) (7)(C) Acting Division Operations Officer  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428

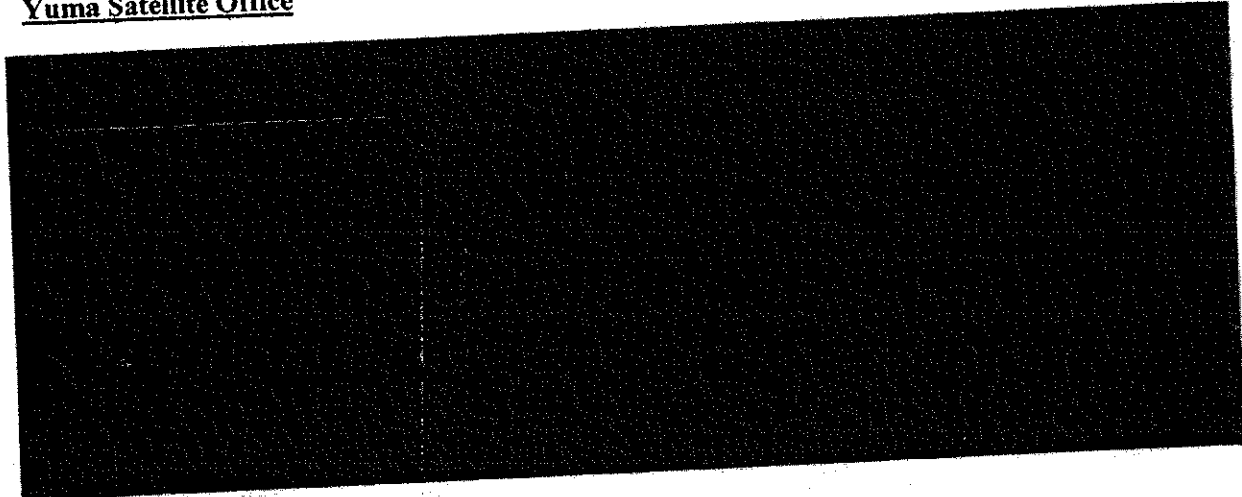
fax [redacted]

Phoenix Field Division – Project Gunrunner Investigations with a Nexus to Mexico Cartels  
*February 25, 2010*

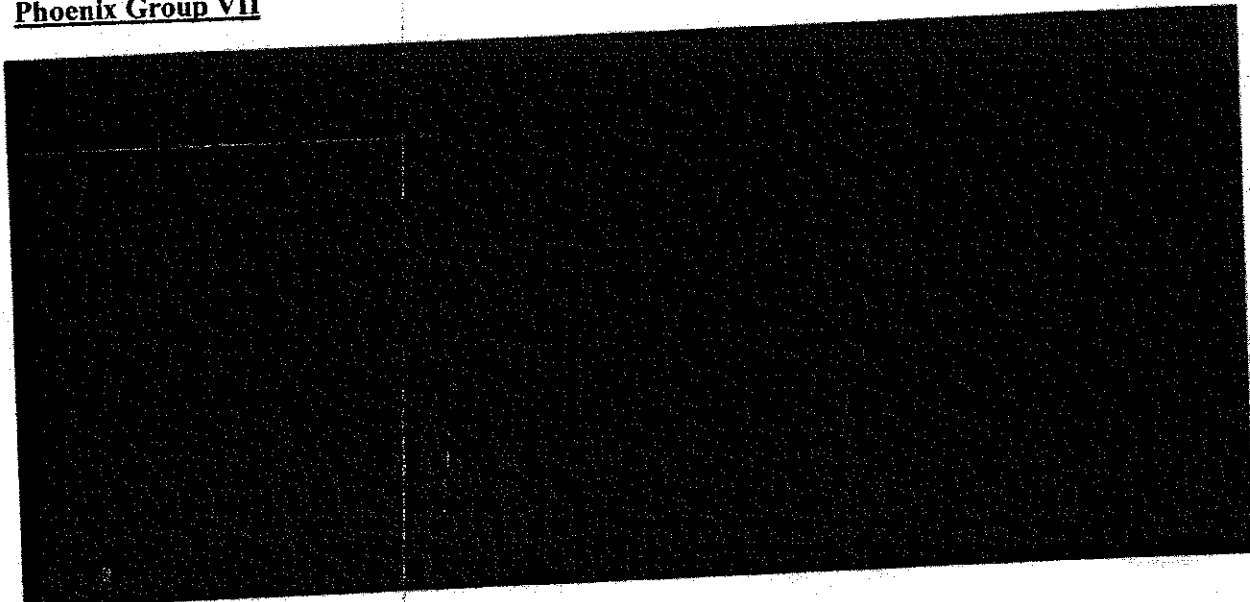
Phoenix Group I



Yuma Satellite Office



Phoenix Group VII



[Redacted]

785115-10-0004 (b) (7)(C)

This case (b) (7)(A)

(b) (7)(A) [Redacted]

(b) (7)(A) (b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) (b) (7)(A)

(b) (7)(A)

This is an approved ATF OCDETF investigation (SWAZP0496). ATF is continuing to receive data (b) (7)(A)

(b) (7)(A) This investigation has ties

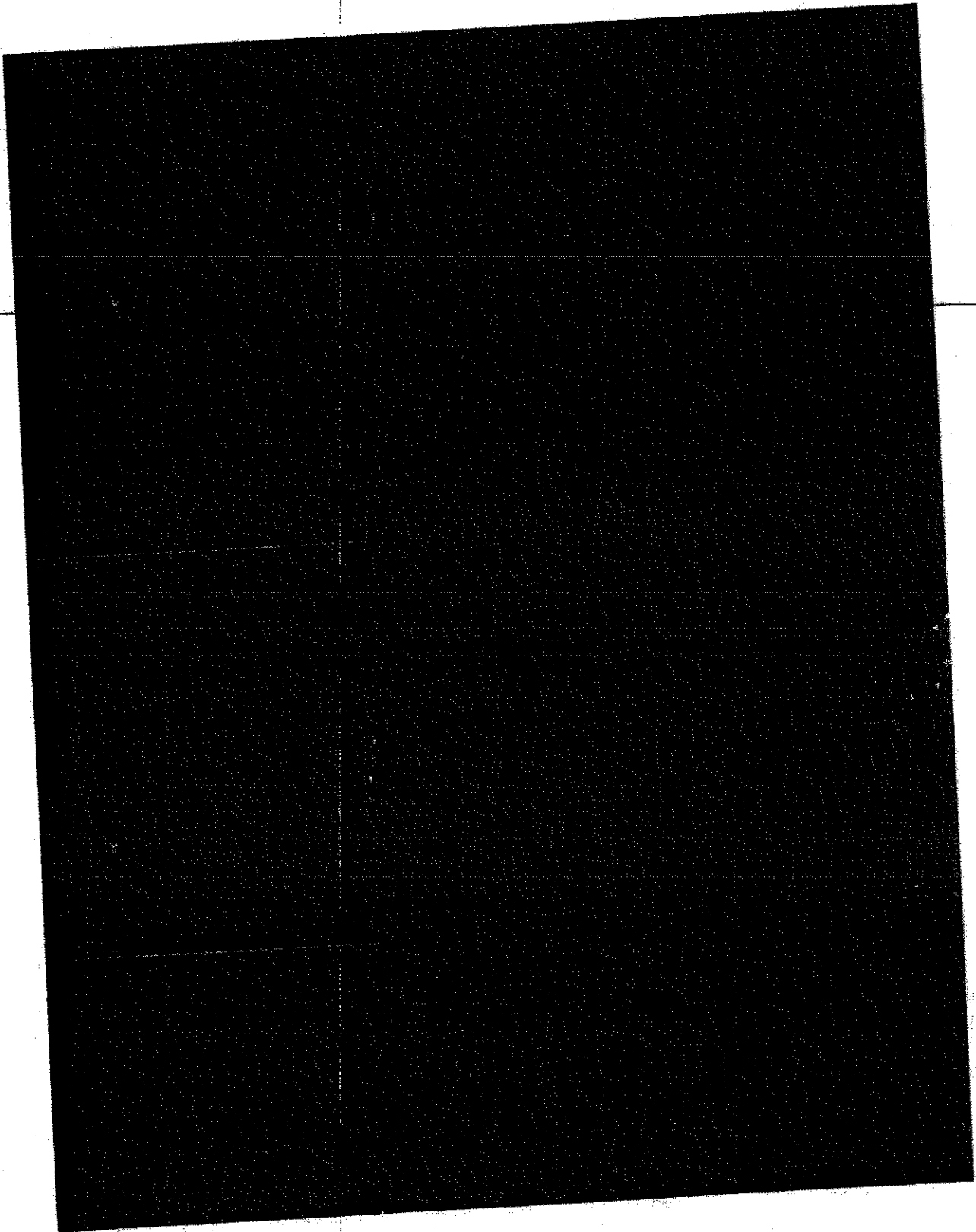
to [Redacted]

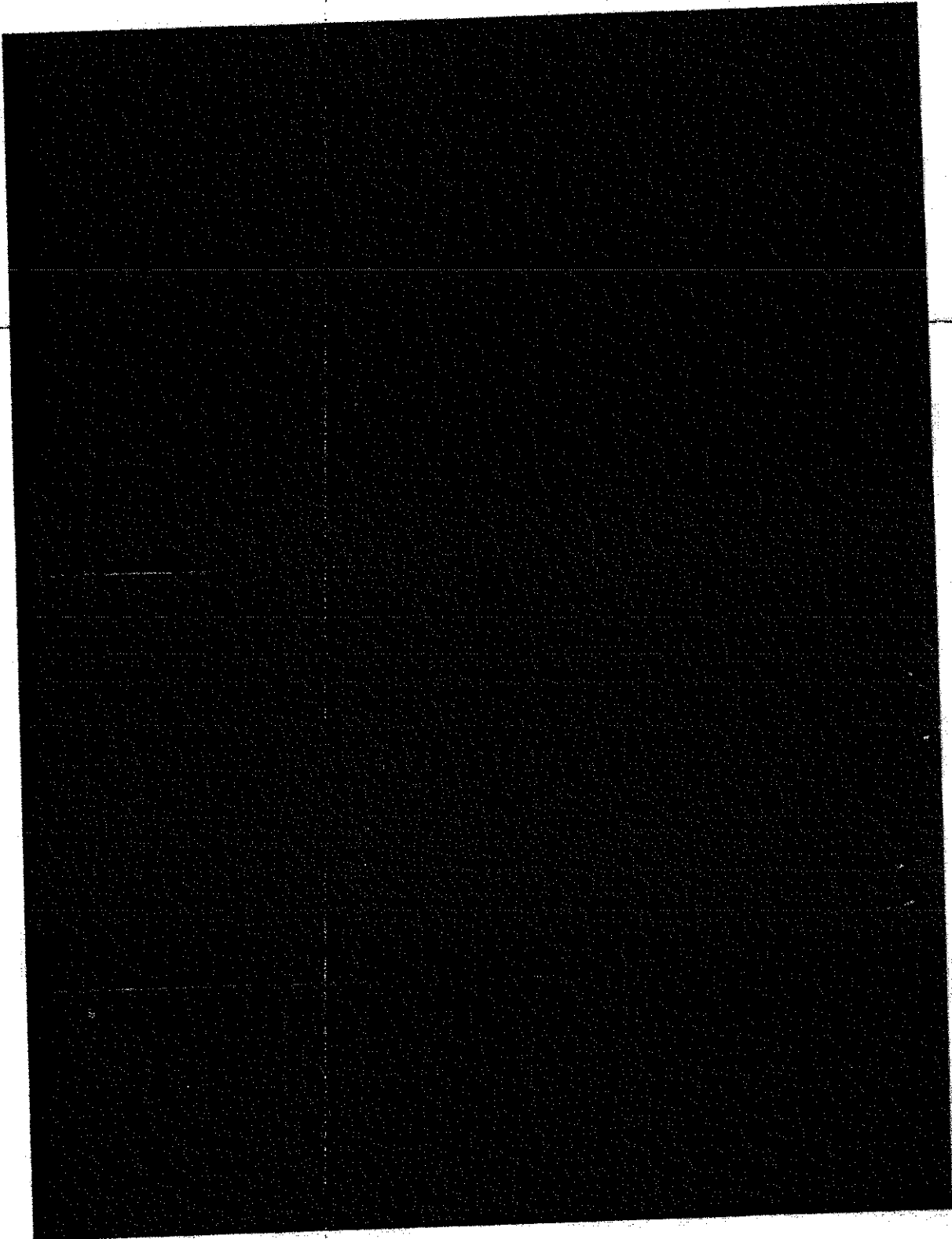
Tucson Group I

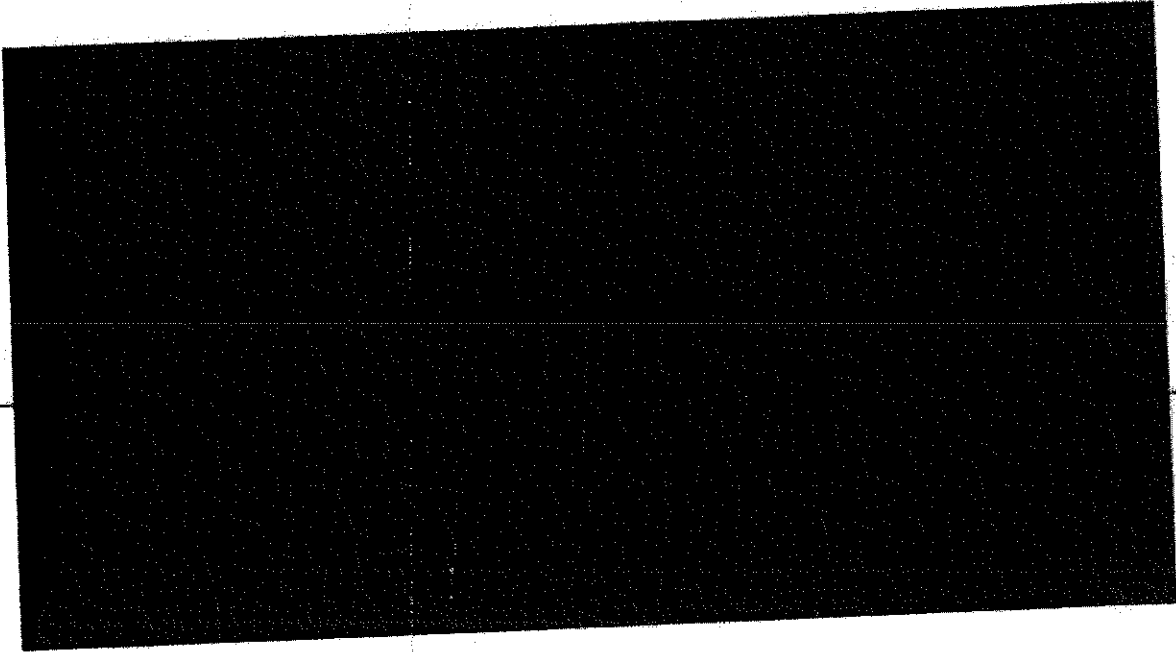
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Tucson Group II

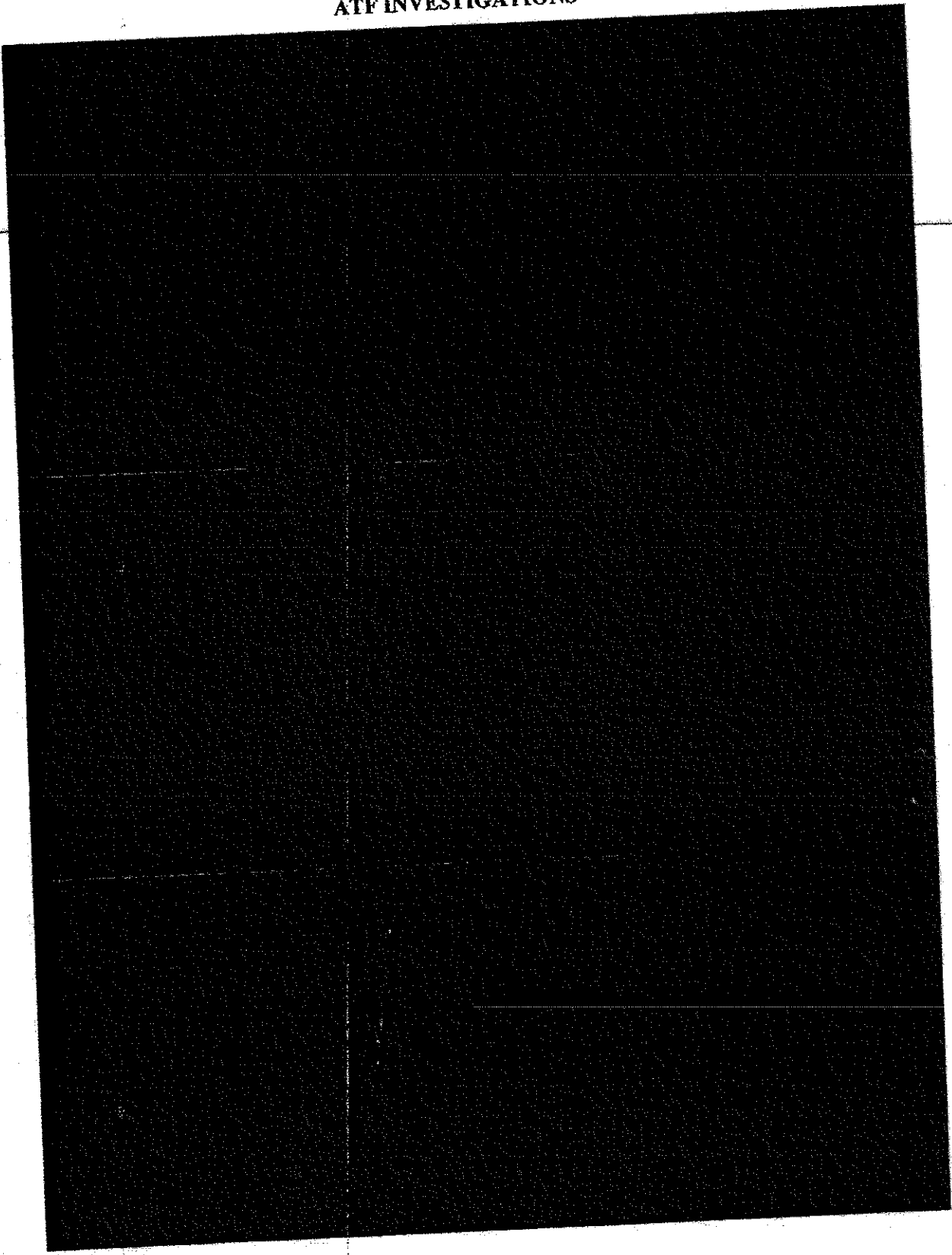
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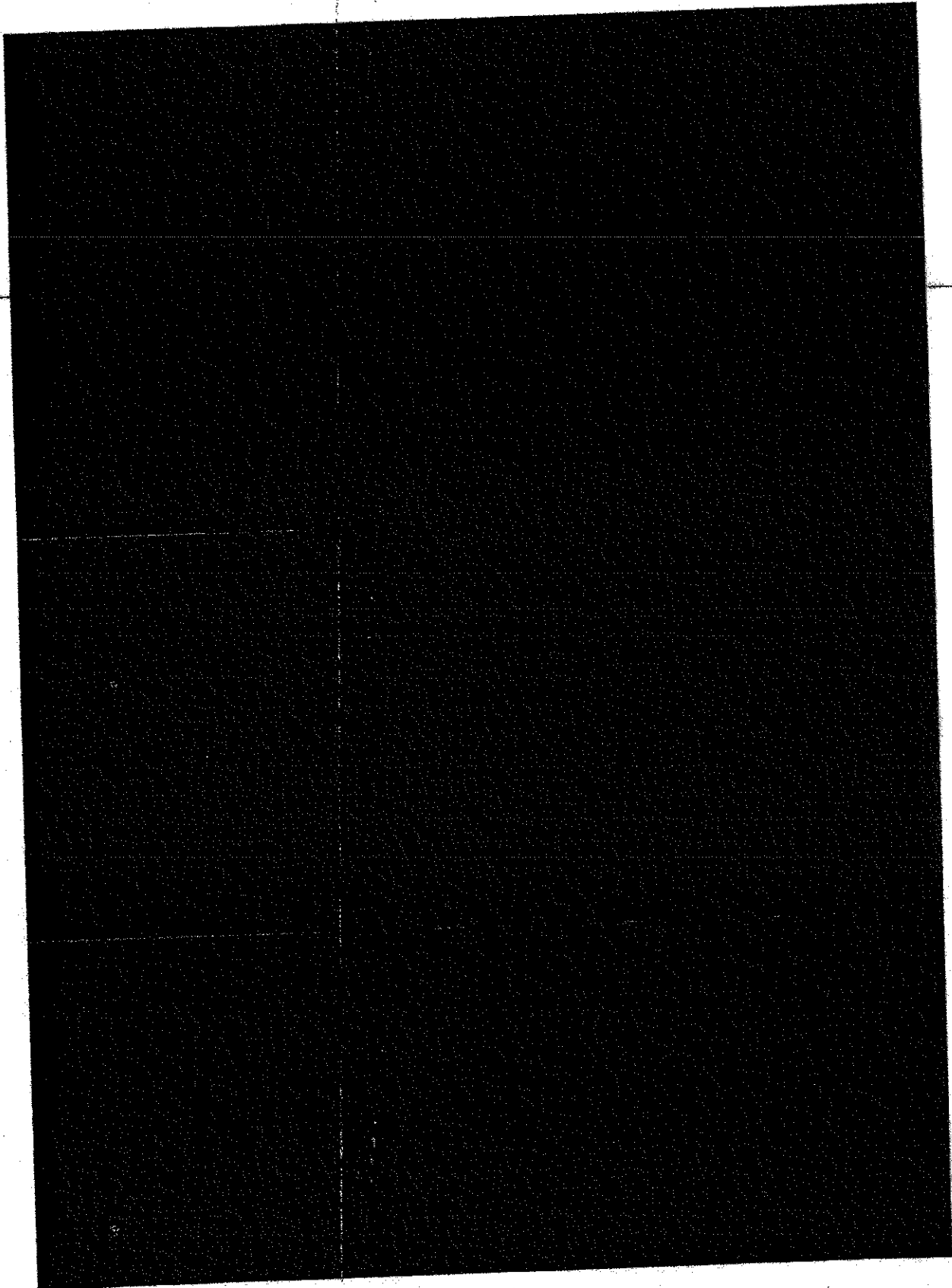




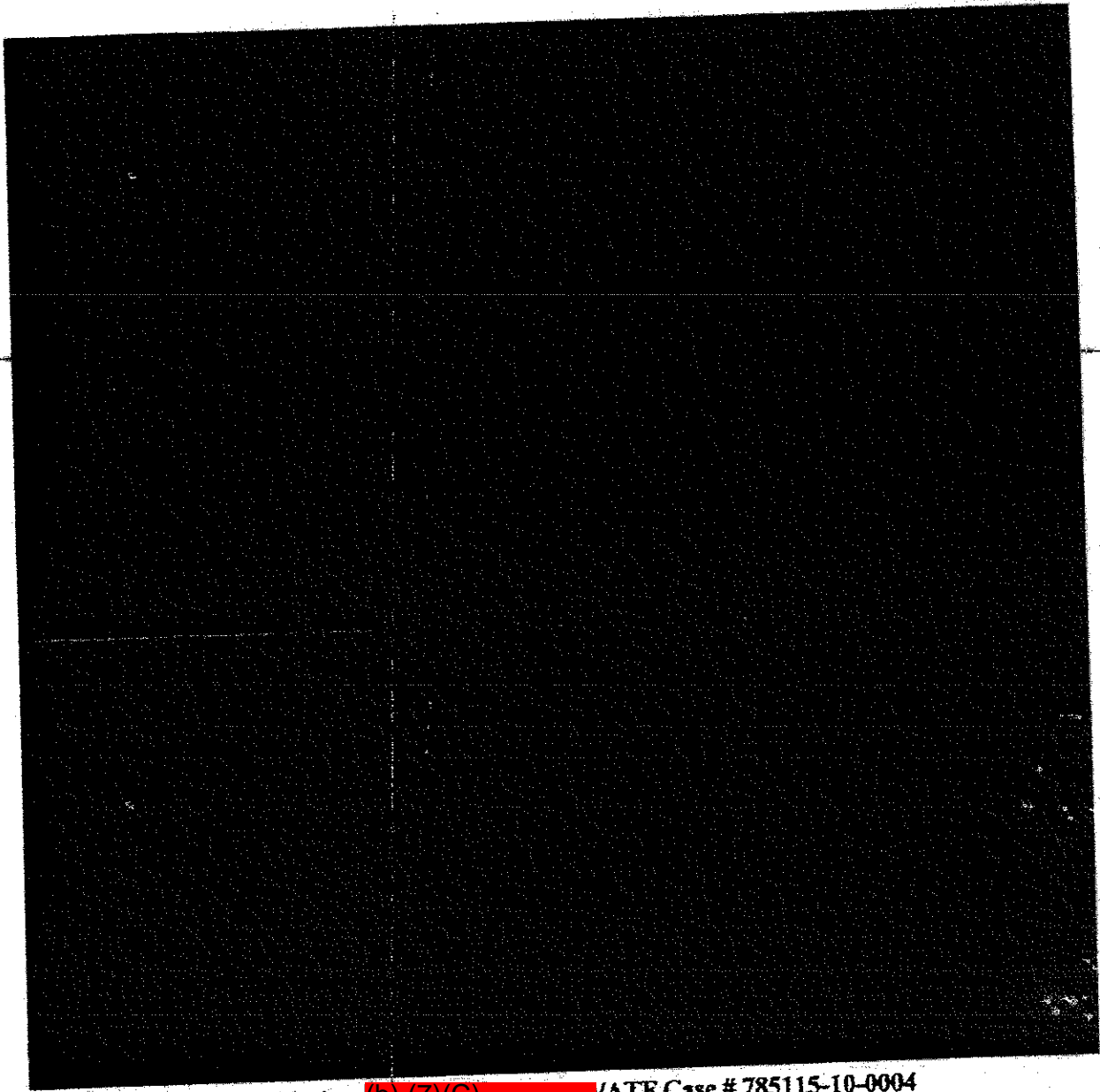


**ATF INVESTIGATIONS**









Operation: Fast and Furious (b) (7)(C) ATF Case # 785115-10-0004

This is an approved ATF OCDETF investigation (# SWAZP0496) that (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

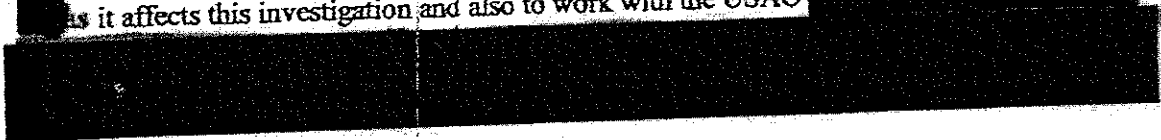
(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

ATF special agents continue to coordinate with the DEA

as it affects this investigation and also to work with the USAO



(b) (7)(A) (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

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(b) (7)(A)

(b) (7)(A)

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(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b)(7)(A) & (C)

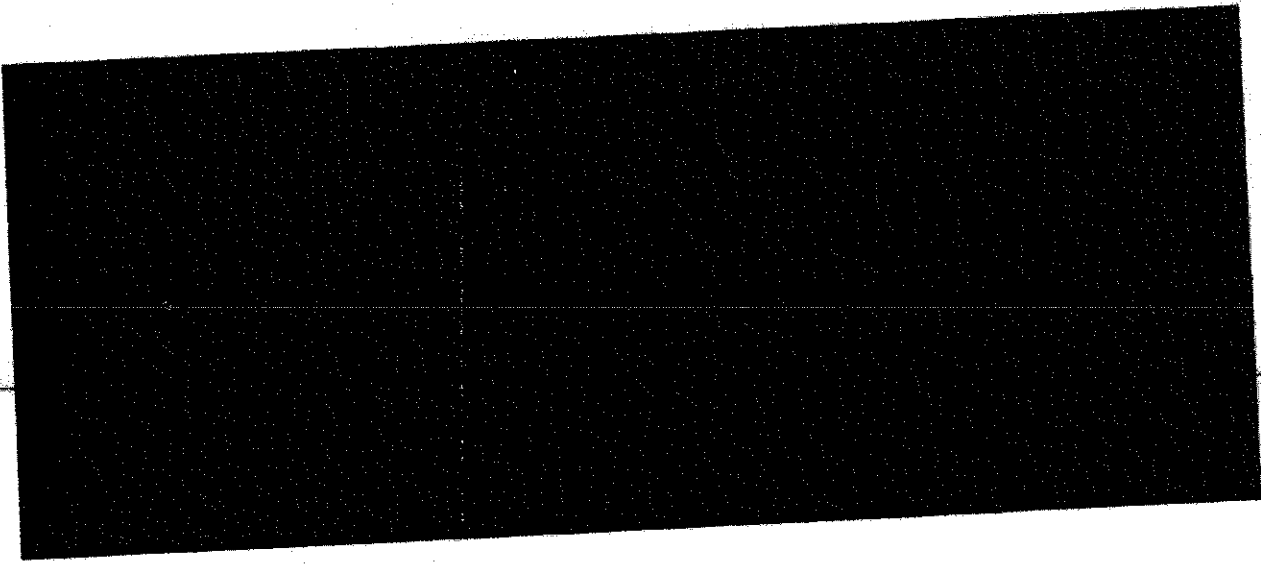
his investigation and judicial process is continuing.

(b) (7)(A)

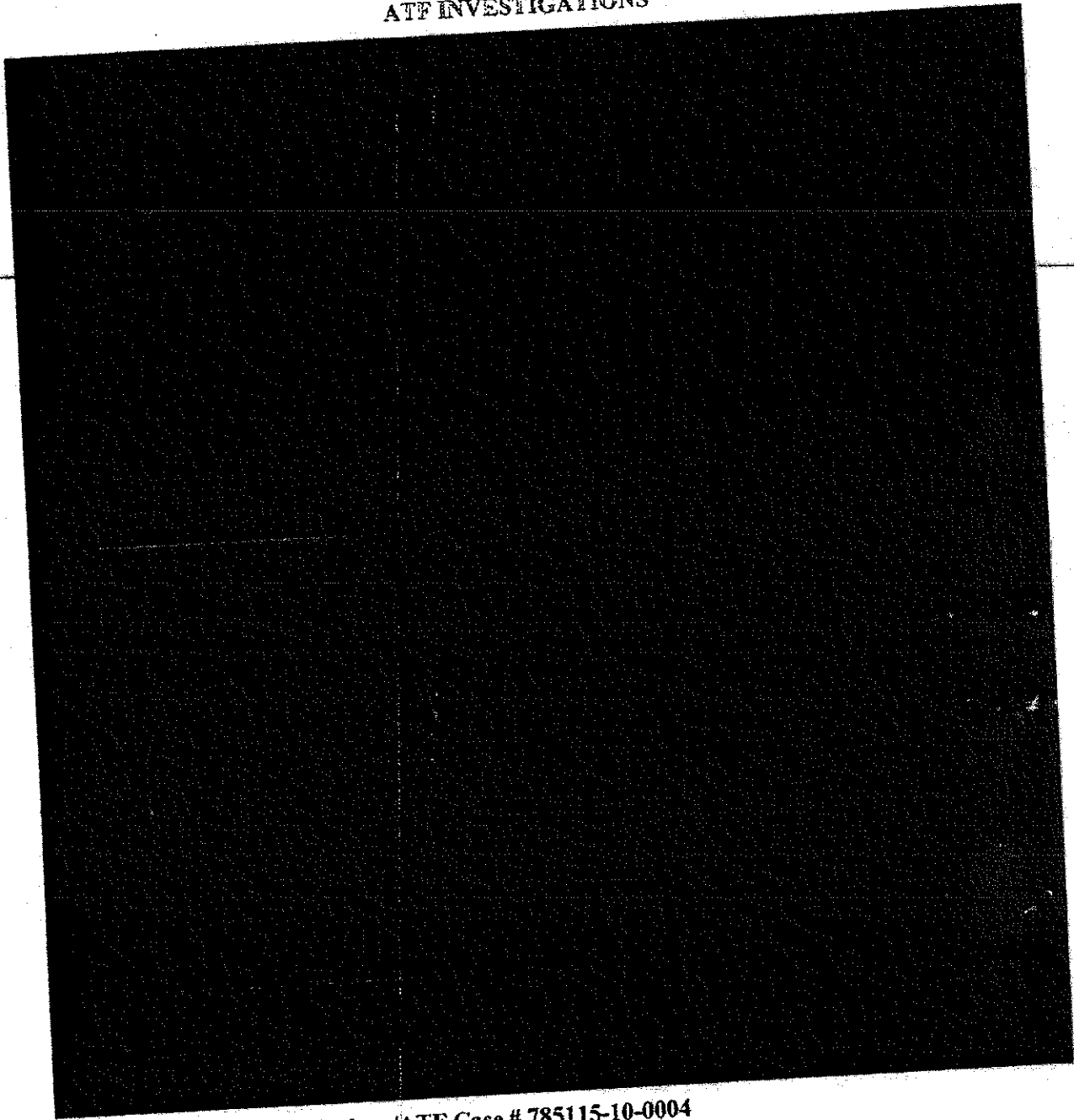
(b) (7)(A)

(b) (7)(A)

(b) (7)(A)



ATF INVESTIGATIONS



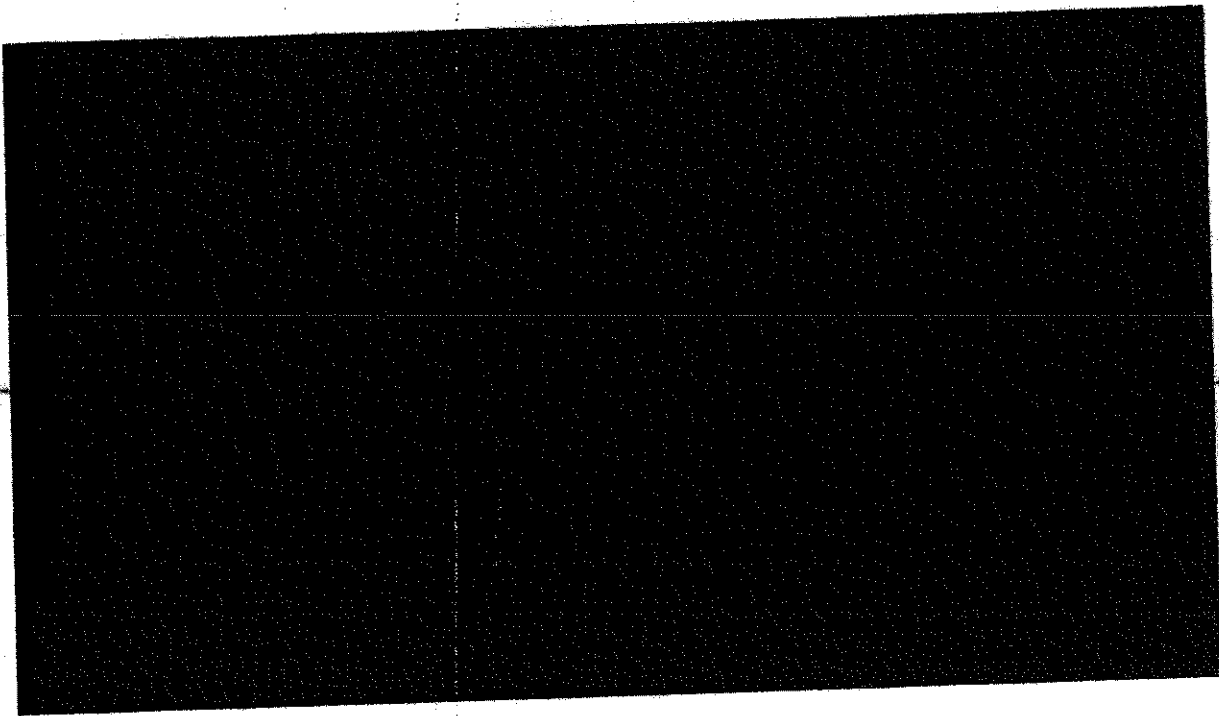
Operation: Fast and Furious/ATF Case # 785115-10-0004

This case (b) (7)(A) (b) (7)(A) (b) (7)(A)  
(b) (7)(A) (b) (7)(A) (b) (7)(A)  
(b)(3) (Public Law 111-117) & (7)(A) (b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A)

(b) (7)(A) (b)(3) (Public Law 111-117) & (7)(A)





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**From:** (b) (7)(C)  
**Sent:** Tuesday, February 16, 2010 7:30 PM  
**To:** Phoe-CE Supervisors; Phoe-IO Supervisors (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RESPONSE Requested by C.O.B. Thursday February 18th - SWB Briefing Paper  
**Attachments:** SWB Briefing Paper - February 8, 2010.doc

Supervisors,

---

Please respond by C.O.B. this Thursday February 18<sup>th</sup> with any case summaries/updates (include title and case number) you might have for our division's submission to the SWB Briefing Paper. The latest paper is attached for your review.

Thank you.

(b) (7)(C) Acting Division Operations Officer  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428

fax [REDACTED]

LAW ENFORCEMENT SENSITIVE

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**February 8, 2010**

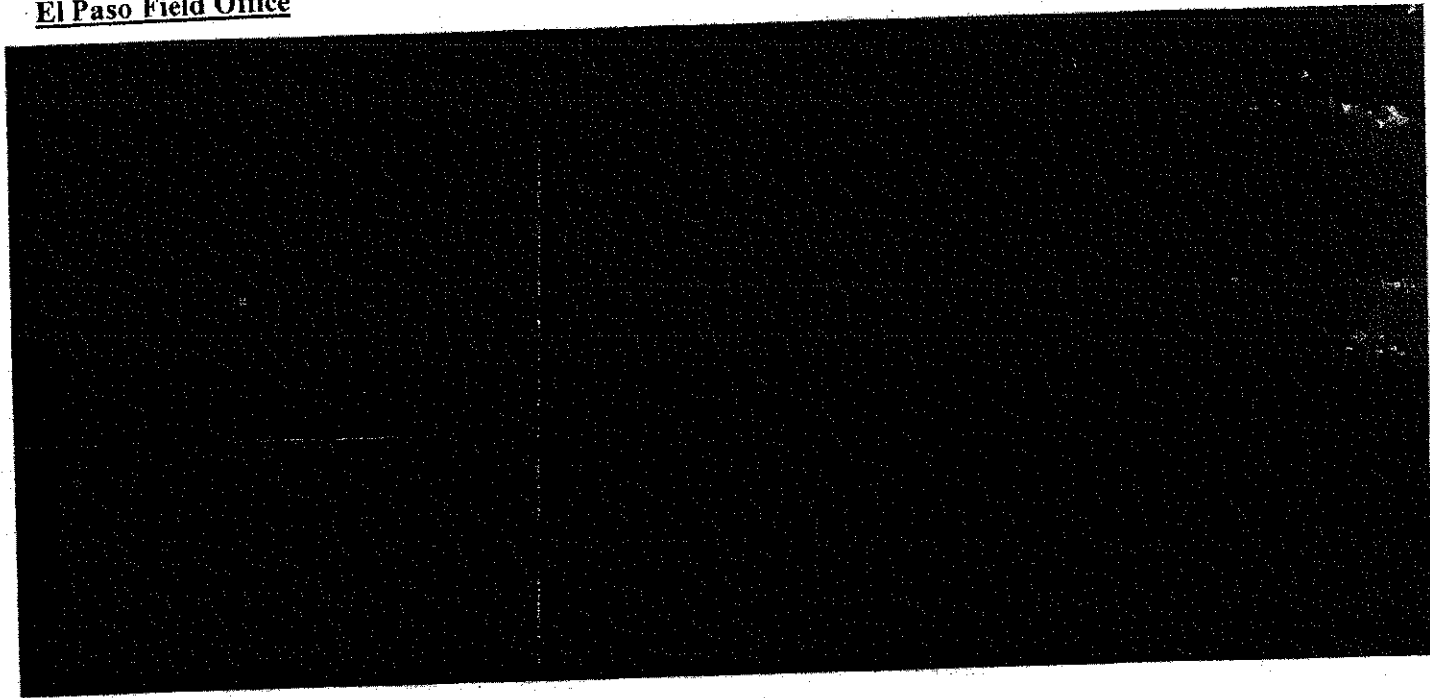
~~The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.~~

**FIELD OPERATIONS**

**DALLAS FIELD DIVISION**

**Investigations:**

**El Paso Field Office**

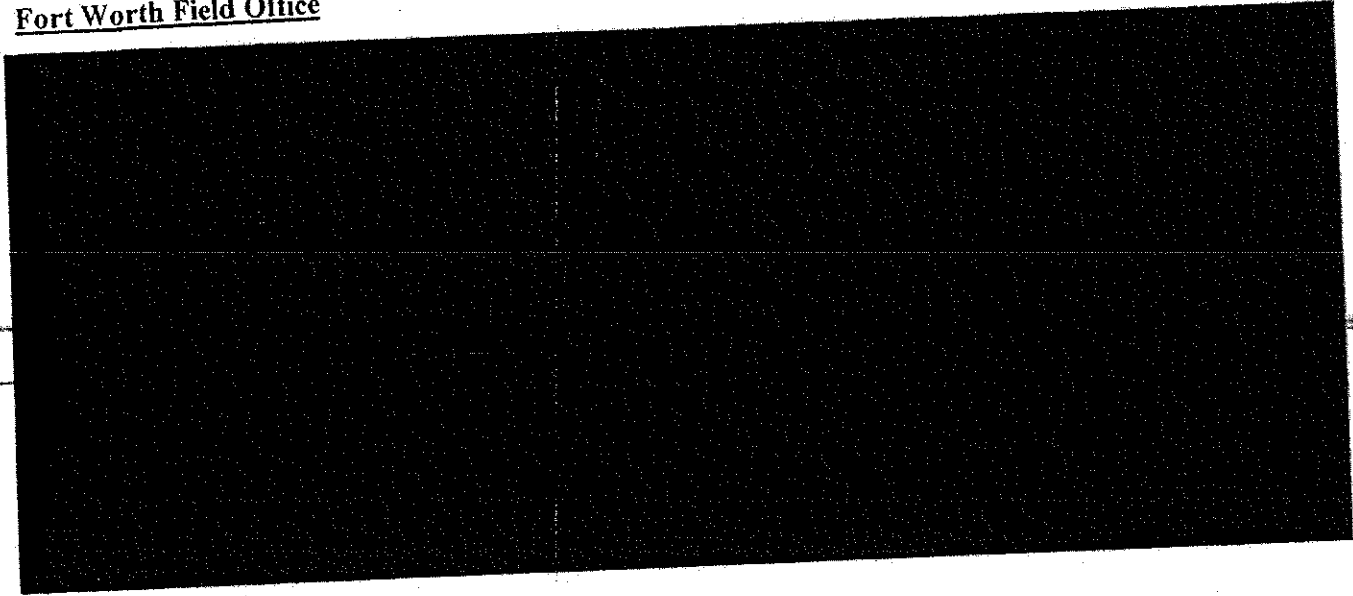


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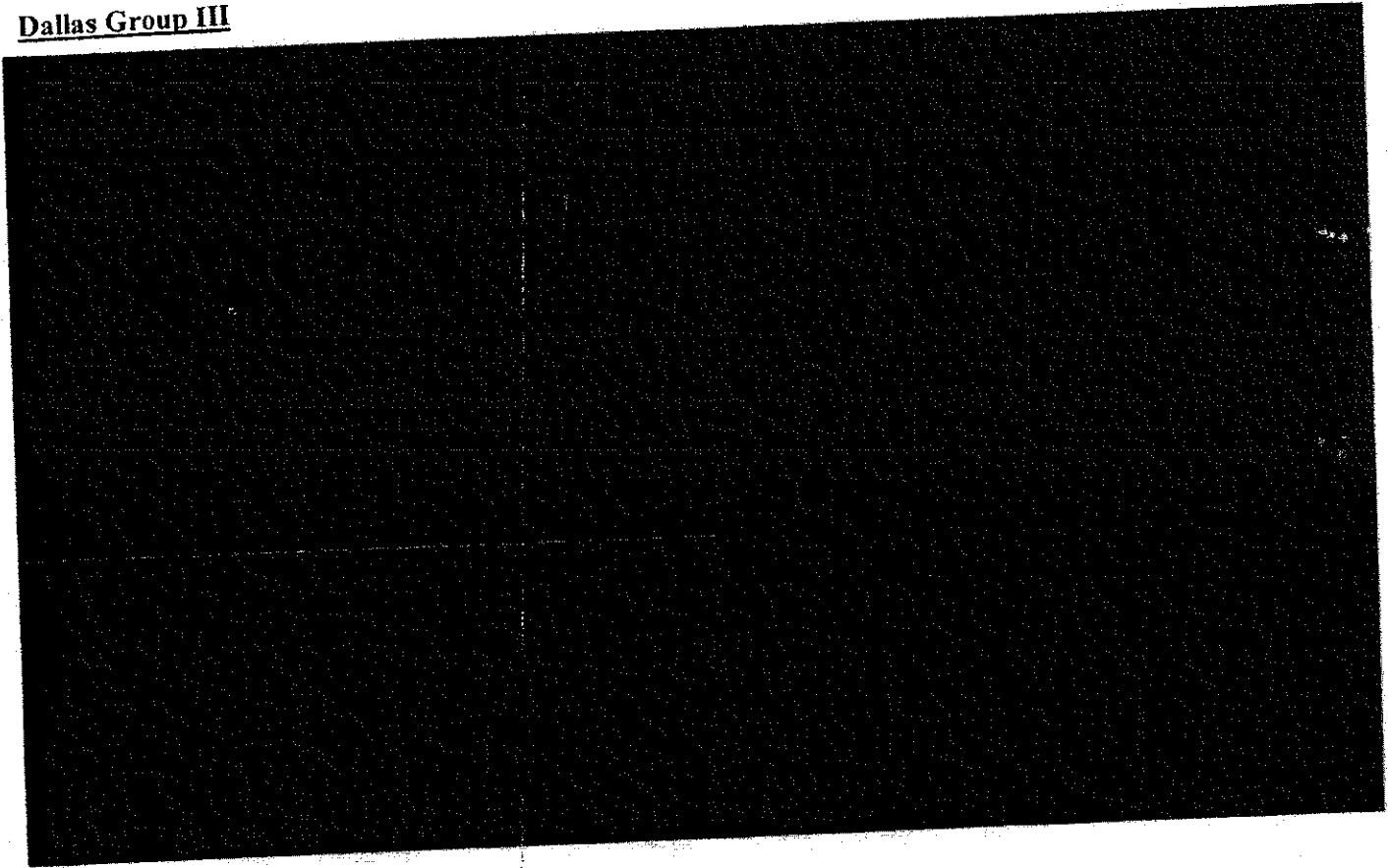


LAW ENFORCEMENT SENSITIVE

Fort Worth Field Office



Dallas Group III



2  
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NOT FOR DISSEMINATION OUTSIDE ATF

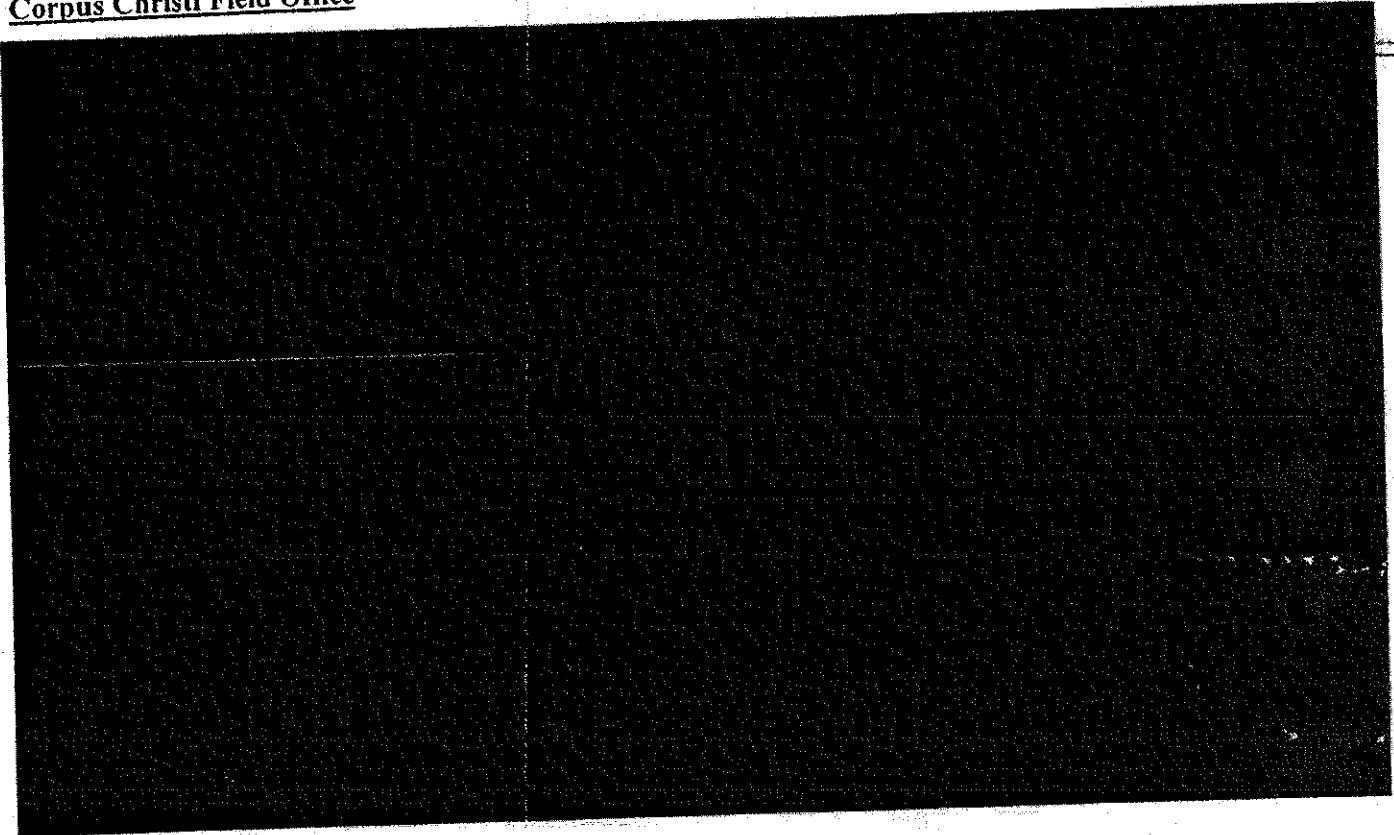
LAW ENFORCEMENT SENSITIVE



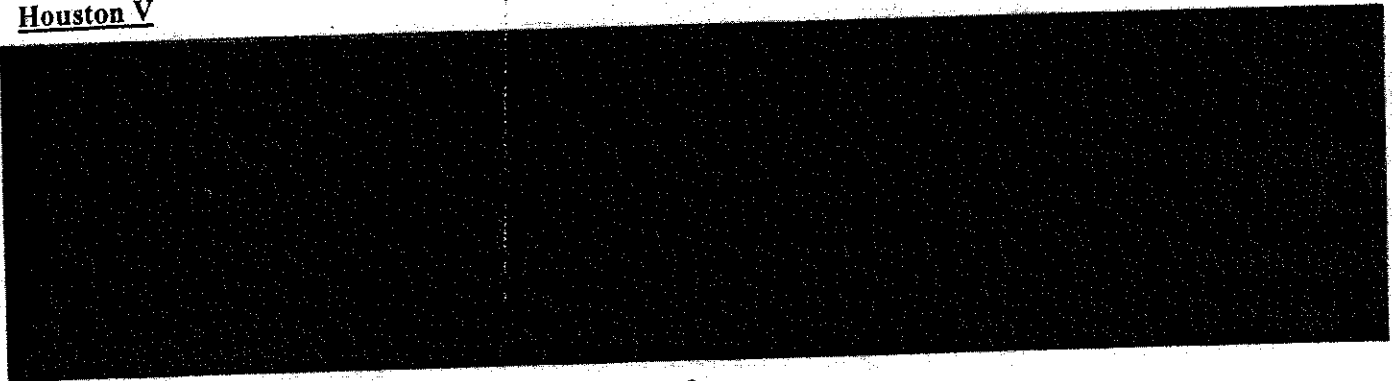
HOUSTON FIELD DIVISION

Investigations:

Corpus Christi Field Office

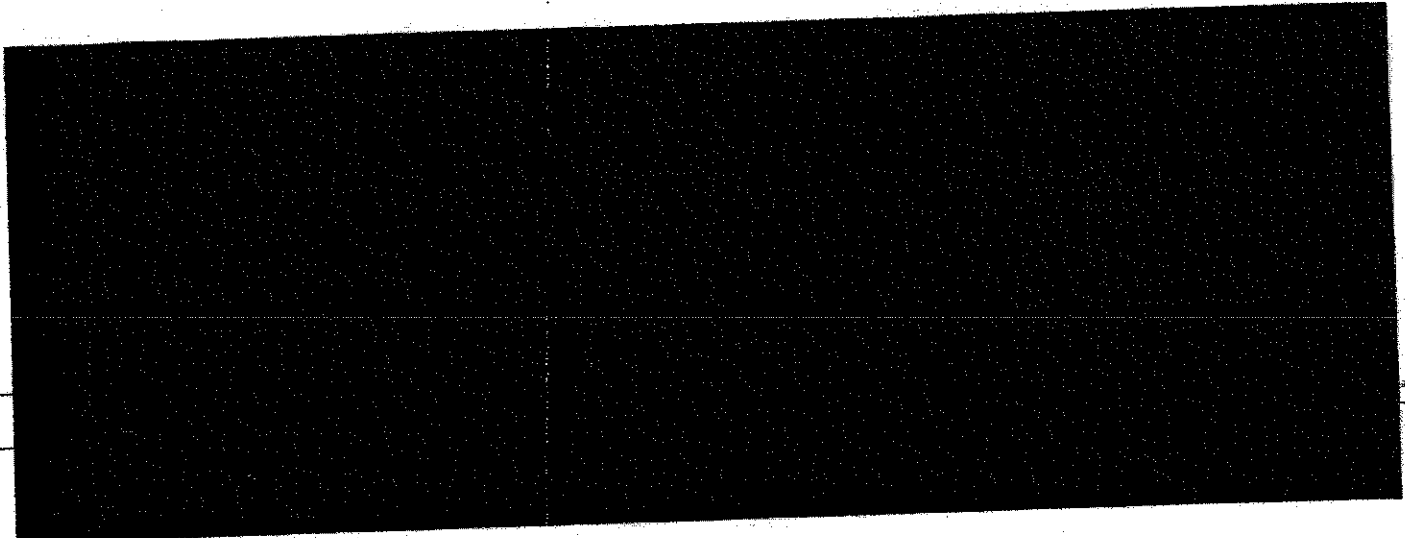


Houston V



LAW ENFORCEMENT SENSITIVE  
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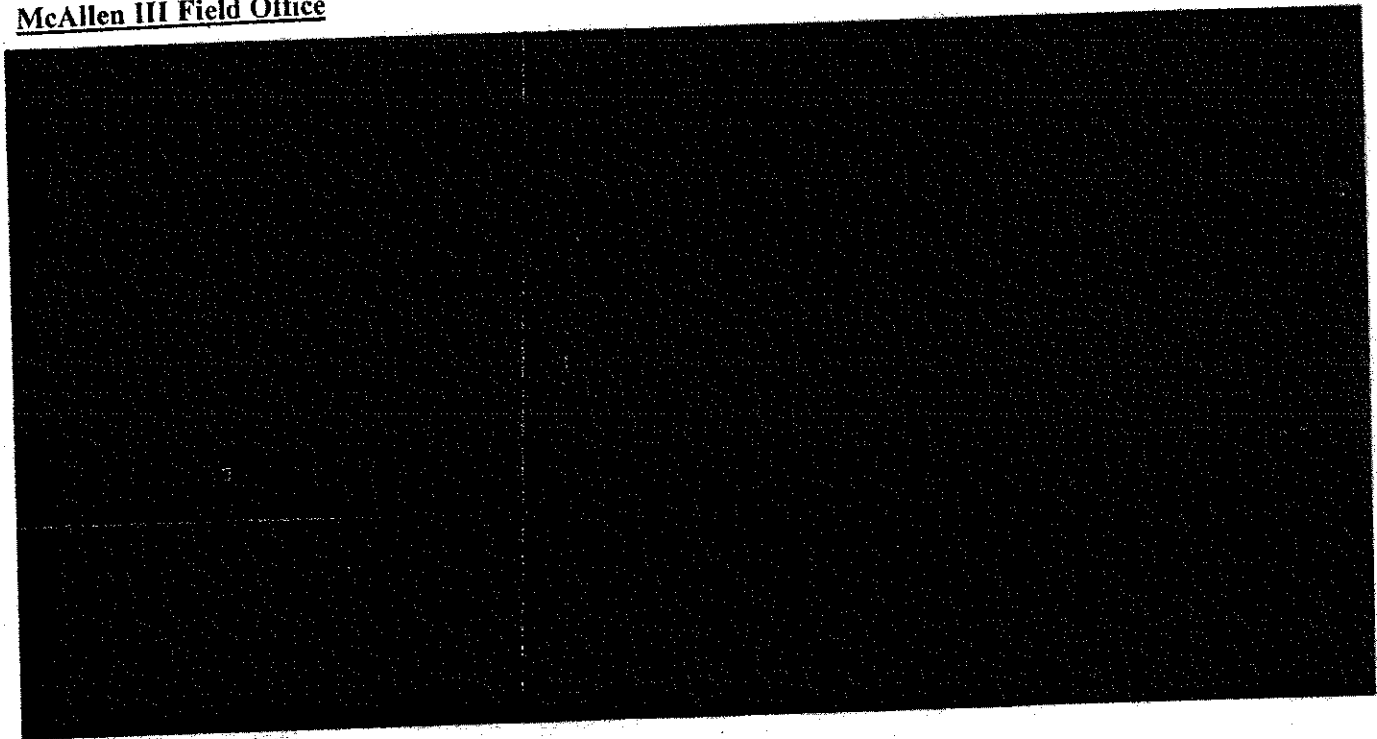
LAW ENFORCEMENT SENSITIVE



Houston VIII



McAllen III Field Office

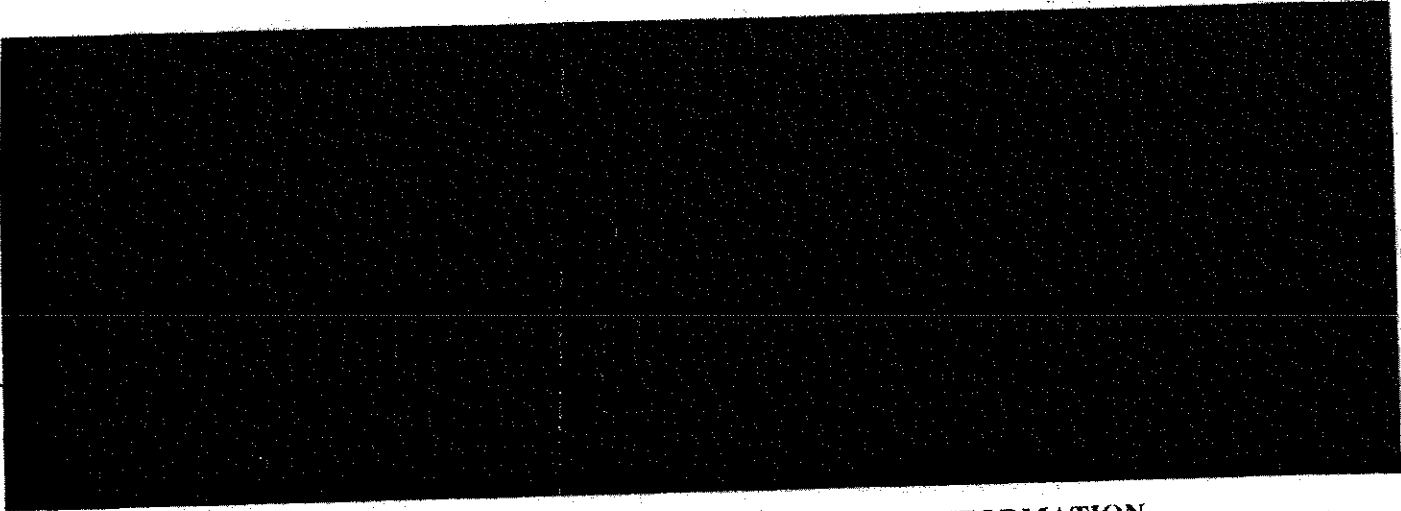


LOS ANGELES FIELD DIVISION

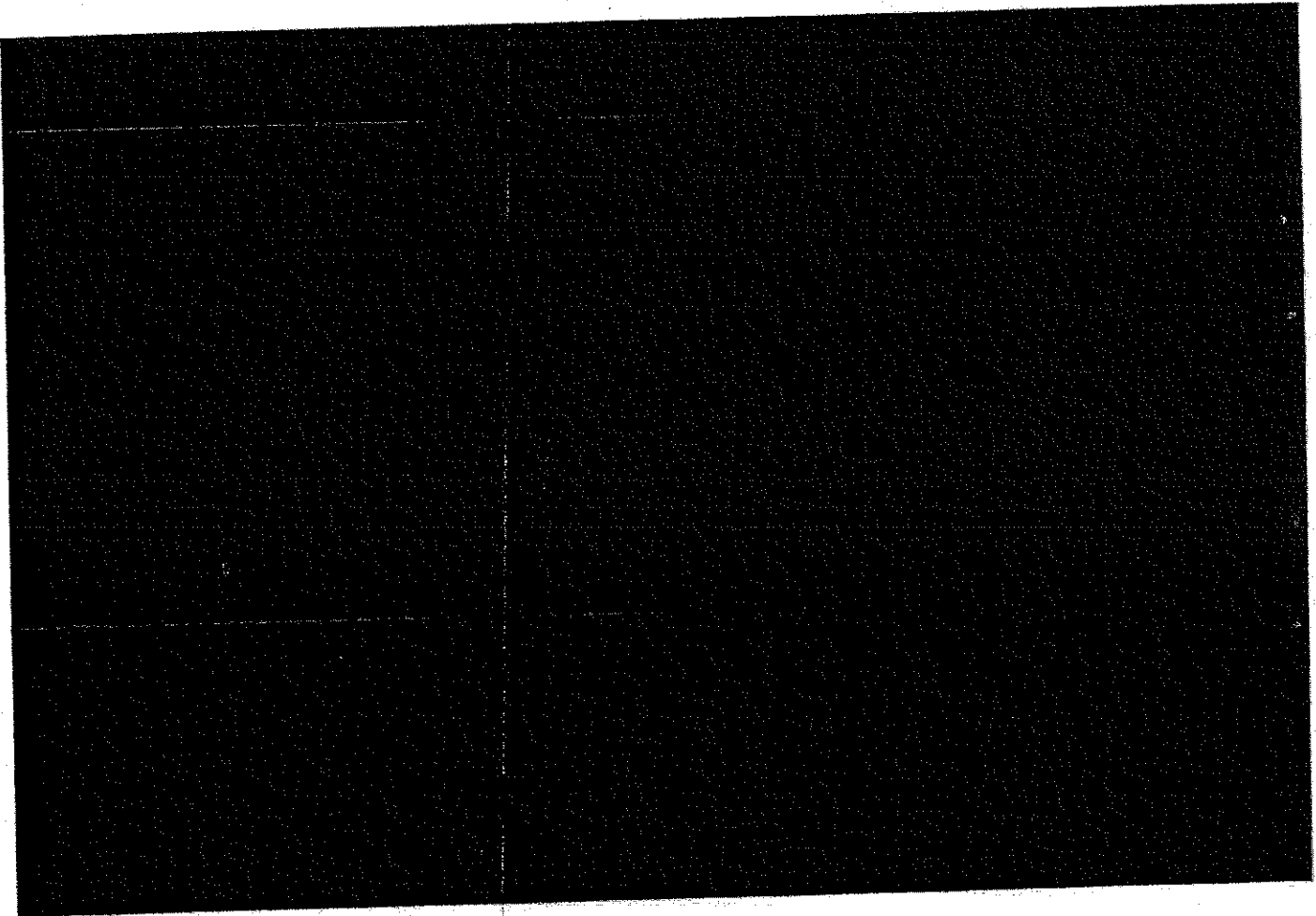
No new updates provide for this period.

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NOT FOR DISSEMINATION OUTSIDE ATF

LAW ENFORCEMENT SENSITIVE



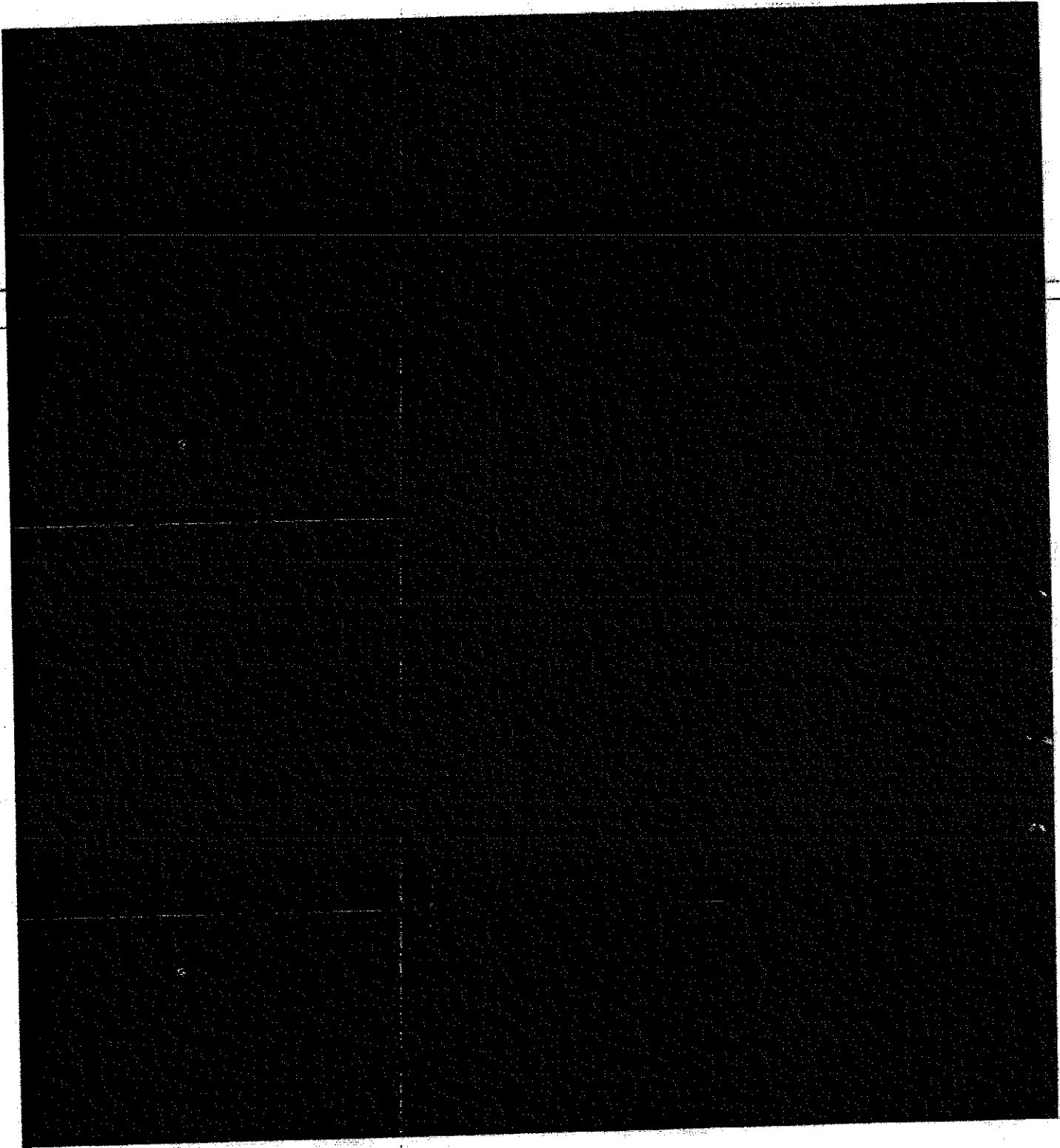
OFFICE OF STRATEGIC INTELLIGENCE AND INFORMATION



6

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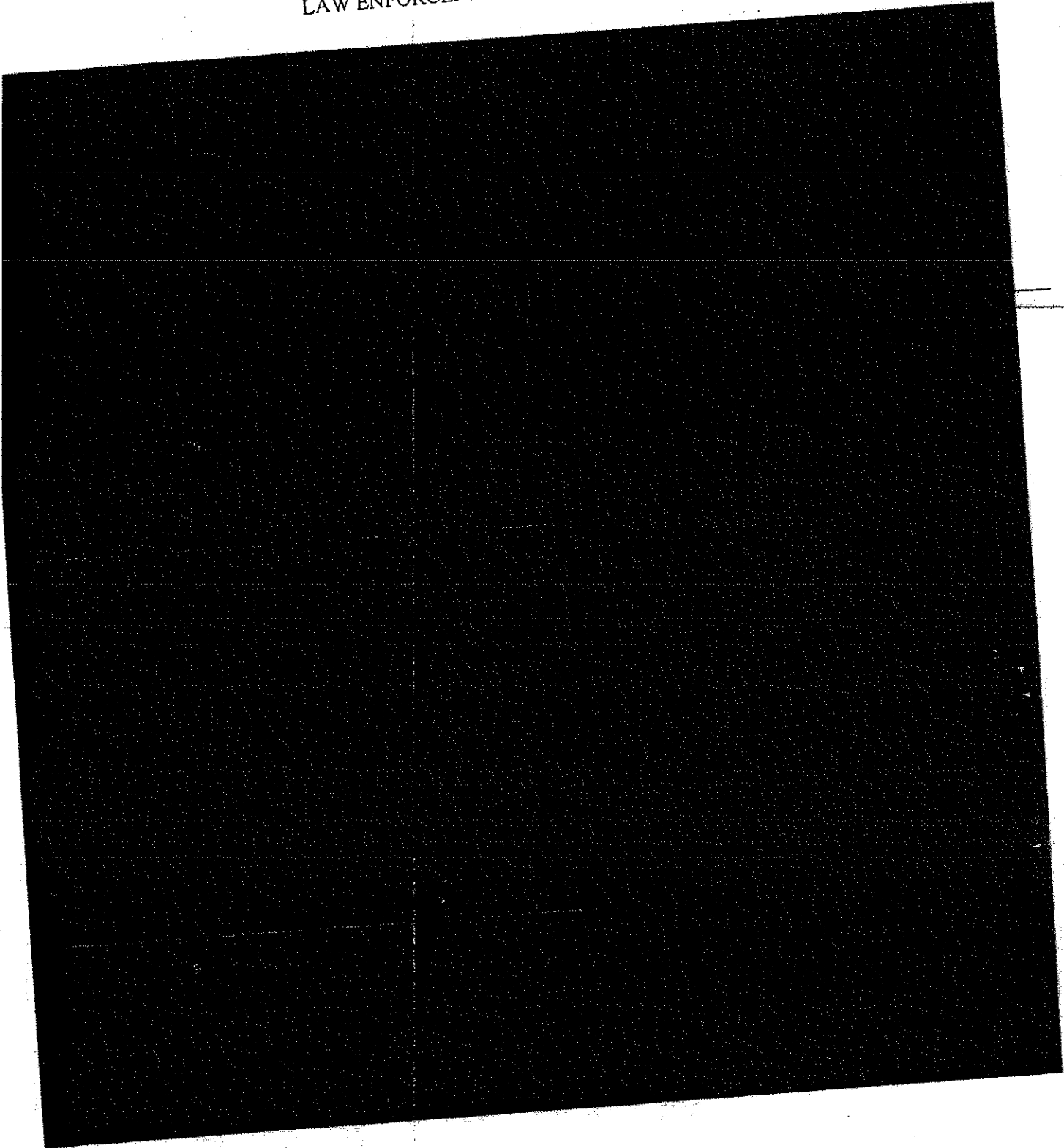
LAW ENFORCEMENT SENSITIVE



7

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LAW ENFORCEMENT SENSITIVE

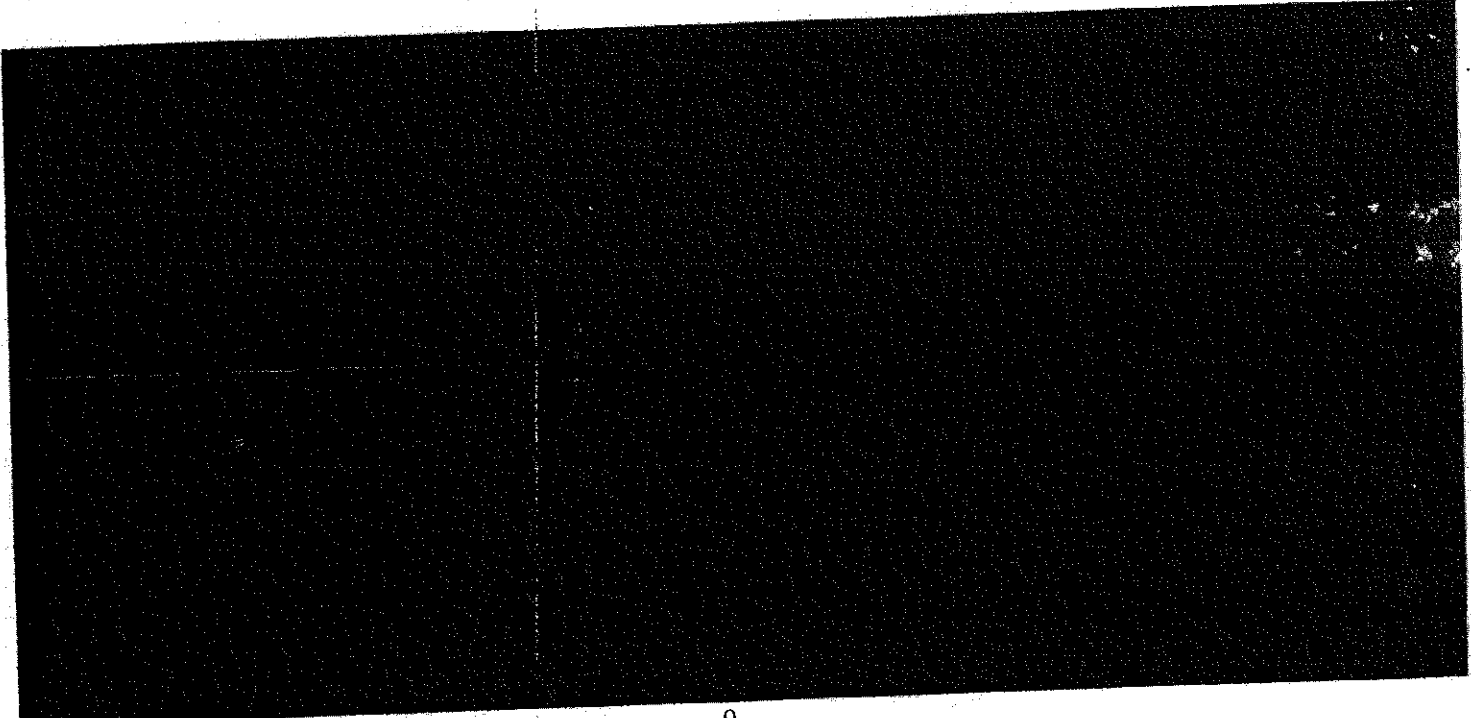


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LAW ENFORCEMENT SENSITIVE



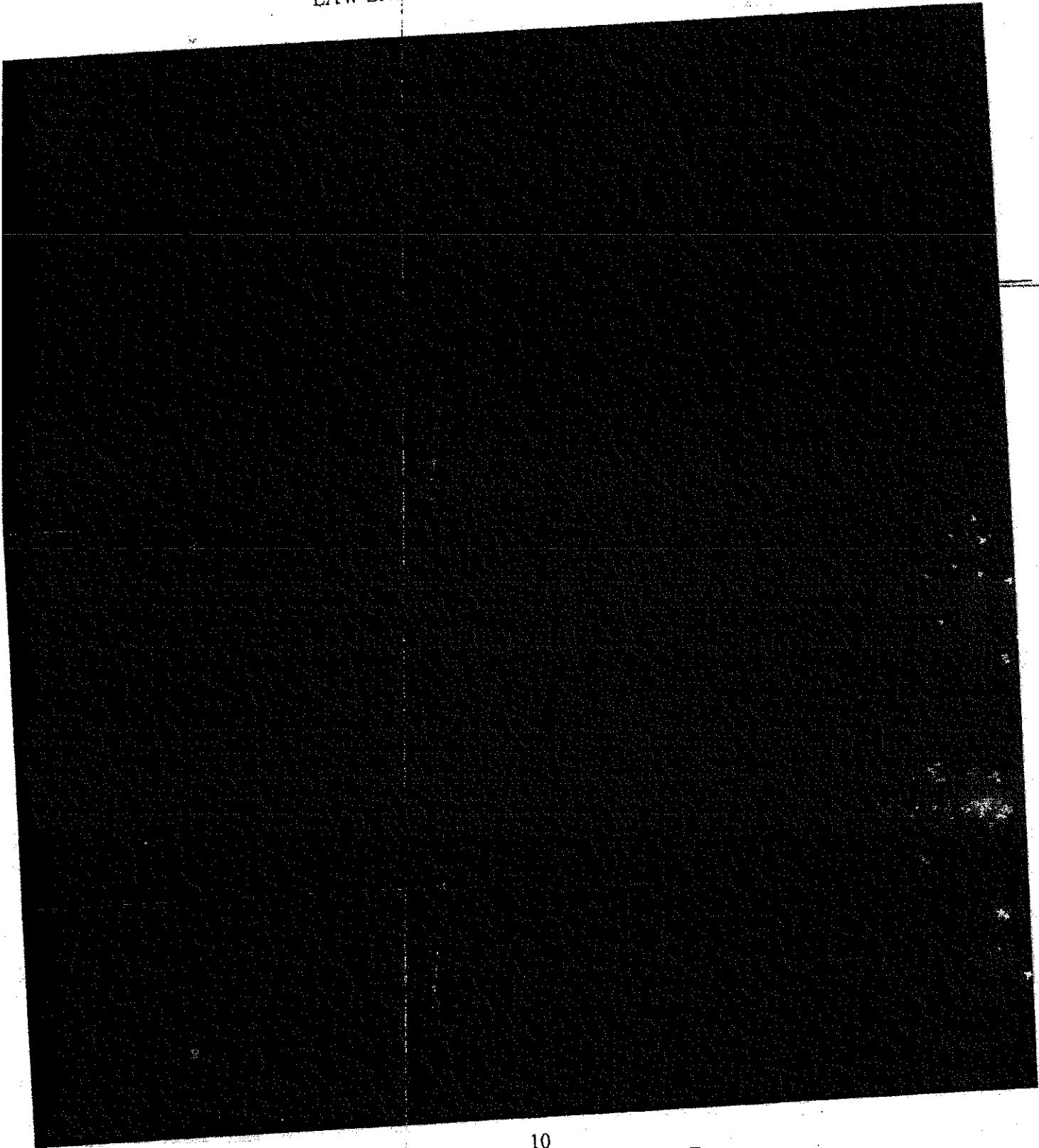
INTERNATIONAL AFFAIRS OFFICE



9

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LAW ENFORCEMENT SENSITIVE

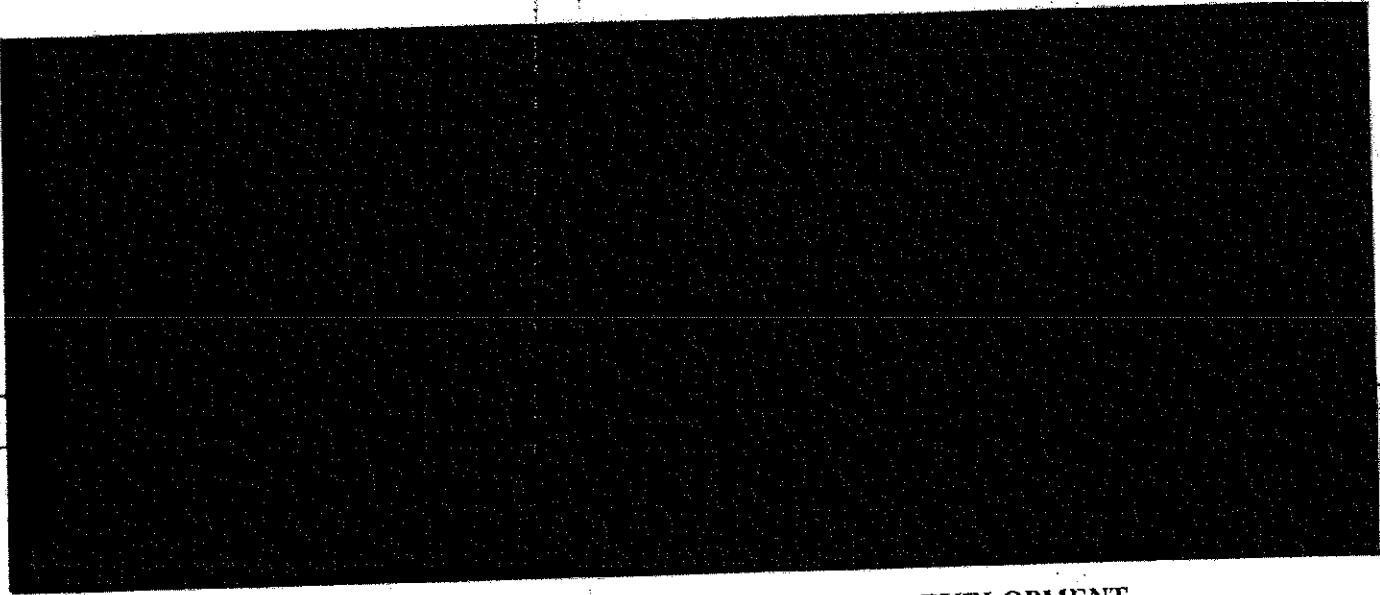


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LAW ENFORCEMENT SENSITIVE



OFFICE OF TRAINING AND PROFESSIONAL DEVELOPMENT



ENFORCEMENT PROGRAMS AND SERVICES



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LAW ENFORCEMENT SENSITIVE

PHOENIX FIELD DIVISION

Investigations:

Phoenix Group VII



785115-10-0004

(b) (7)(C)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

Last week, this case was presented by ATF

and granted OCDETF status by the Phoenix committee who will forward the proposal to the Houston Regional Committee.

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

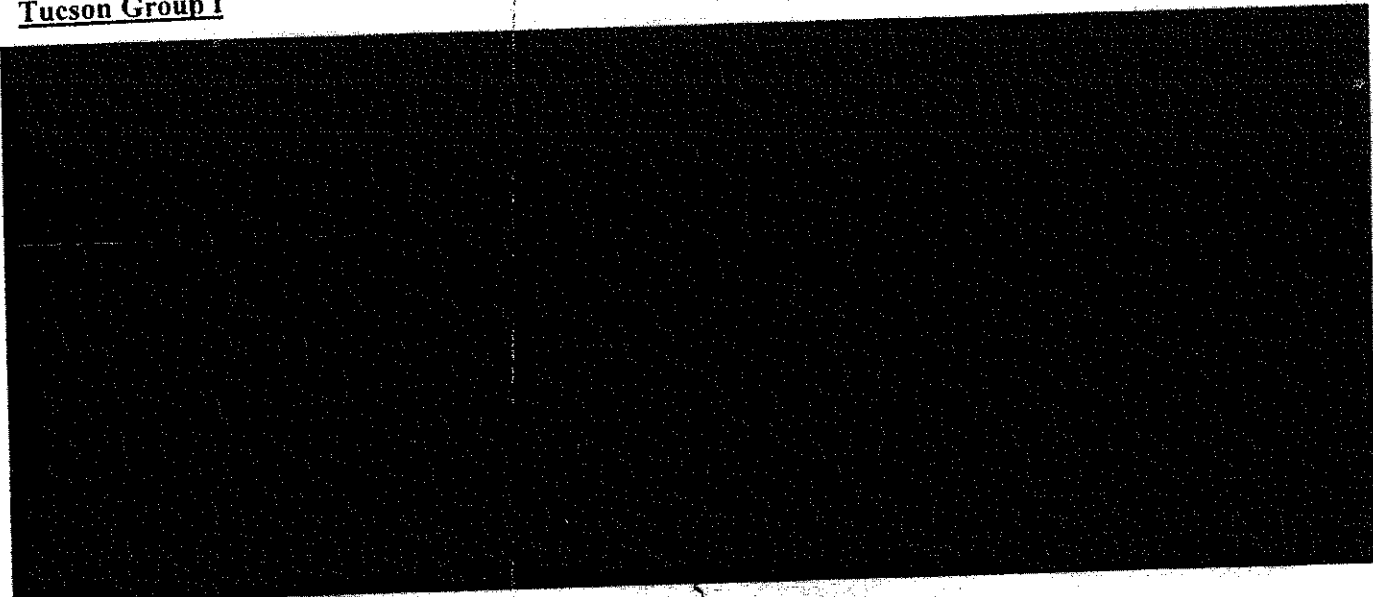
(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

Tucson Group I



LAW ENFORCEMENT SENSITIVE  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

**From:** (b) (7)(C)  
**Sent:** Tuesday, February 16, 2010 7:28 PM  
**To:** (b) (7)(C)  
**Cc:**  
**Subject:** RE: Memo to PGR

(b) (7)(C)

You guys don't have to do anything from your end. I just want you to be aware of what we are telling them. This is how the process works: The body of this e-mail is going to be translated by our translator at the ATF Mexico City Office and put on ATF letterhead. (b) (7)(C) will sign the memorandum and I will have it certified here at the TJ Consulate as an official U.S. Government document and forward to PGR. Do you have the firearms information on the Agua Prieta Sonora seizure? I definitely want to include this.

Thanks,

(b) (7)(C)

Assistant Country Attaché  
Tijuana Field Office

(U.S. Desk Line)  
(Tijuana Desk Line)  
(Mobile)  
(Direct Connect)

**From:** (b) (7)(C)  
**Sent:** Tuesday, February 16, 2010 4:18 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Memo to PGR

Three quick questions for you;

1. Who should the Memo be addressed to; Ambassador US Embassy Mexico?
2. What should the subject line be; official notification/official referral of information?
3. We have 1 firearm recovered on 11/15/09 in Agua Prieta, Sonora; do you what that included?

Thanks and I have attached the Memo I am drafting,

(b) (7)(C)  
Supervisor  
Group VII

**From:** (b) (7)(C)  
**Sent:** Tuesday, February 16, 2010 5:00 PM  
**To:** (b) (7)(C)  
**Subject:** Memo to PGR

(b) (7)(C)

Good talking to you today. To follow-up what we discussed in our telephone call I have attached below the content of the letter for PGR.

*Since the summer of 2009, authorities with the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) an agency within the United States Department of Justice have been investigating a gun trafficking network that is supplying firearms to drug trafficking organizations in the Country of Mexico. Recently through collaborative efforts between the ATF and the Mexican PGR, firearms have been identified that can be linked to this gun trafficking network that is under investigation.*

(b) (7)(A)

The following firearms traced back to the US investigation (b) (3) (Public Law 111-117)  
(b) (3) (Public Law 111-117)

(b) (7)(A)

(b) (7)(A)

The following firearms traced back to the US investigation (b) (3) (Public Law 111-117)  
(b) (3) (Public Law 111-117)

(b) (7)(A)

*in the City of Tijuana. Some of these firearms also traced back to the investigation in the United States.*

The following firearms traced back to the US investigation: (b) (3) (Public Law 111-117)  
(b) (3) (Public Law 111-117) (b) (3) (Public Law 111-117)  
(b) (3) (Public Law 111-117)

(b) (7)(A)

*incident again traced back to the on-going investigation in the United States.*

The following firearms traced back to the US investigation: (b) (3) (Public Law 111-117)  
numbers

Thanks,

(b) (7)(C)

Assistant Country Attaché  
Tijuana Field Office

(U.S. Desk Line)

(Tijuana Desk Line)

[REDACTED] (Mobile)  
[REDACTED] (Direct Connect)

---

---

From: Gillett, George T. Jr.  
Sent: Saturday, February 20, 2010 6:14 PM  
To: (b) (7)(C)  
Subject: SAC and I concur

Take the guns off as soon as BP can get them.

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

---

\*\*\*  
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From: Gillett, George T. Jr.  
Sent: Saturday, February 20, 2010 5:06 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Re: Guns from Phoenix VII investigation (b) (7)(A)

(b) (7)(C)

In the event you need them:

Yuma -

(b) (7)(C)

Home: [Redacted]  
Cell: [Redacted]

El Centro -

(b) (7)(C)

Cell: [Redacted]

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [Redacted]

\*\*\*  
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----- Original Message -----

From: (b) (7)(C)  
To: Gillett, George T. Jr.  
Sent: Sat Feb 20 16:08:23 2010  
Subject: Re: Guns from Phoenix VII investigation (b) (7)(A)

(b) (7)(A)  
(b) (7)(A) CBP can claim the seizure but my opinion is that those guns spend the night in an ATF vault.

\*\*\*\*\*  
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----- Original Message -----

From: Gillett, George T. Jr.  
To: (b) (7)(C)  
Cc: Newell, William D.  
Sent: Sat Feb 20 15:48:22 2010

Subject: Re: Guns from Phoenix VII investigation (b) (7)(A)

Excellent. Good work. Keep me posted.

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

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----- Original Message -----

From: (b) (7)(C)  
To: Gillett, George T. Jr.  
Sent: Sat Feb 20 15:46:26 2010  
Subject: Re: Guns from Phoenix VII investigation are (b) (7)(A)

The vehicle is stopped at a strip mall and [REDACTED] guns inside it. (b) (7)(C) and Tucson agents have eyes on it. [REDACTED]

\*\*\*\*\*

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----- Original Message -----

From: Gillett, George T. Jr.  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Sent: Sat Feb 20 15:24:39 2010  
Subject: Guns from Phoenix VII investigation are (b) (7)(A)

GS (b) (7)(C) will be contacting both of you. This investigation is a high priority.

(b) (7)(C) has done an outstanding job of refocusing gun show resources so far.

You have full Division support and resources.

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).



---

**From:** Newell, William D.  
**Sent:** Monday, February 22, 2010 11:56 AM  
**To:** (b) (7)(C); Gillett, George T. Jr.  
**Subject:** RE: FYI photo from this weekend...

Mr. Gillett sent it to me yesterday but thank you. Also, I talked to the USA this morning about this case and advised him of the seizure and link to the Tucson OCDETF case. He was taken aback by some of the facts I informed him about (including the fact that we are up to approx 800 guns) so I am setting up a briefing for him (alone no USAO "posse") about this case and several other cases I feel his is being misled about. Should be within the next couple of weeks so be prepared. Thanks.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
Office - [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, February 22, 2010 9:53 AM  
**To:** Gillett, George T. Jr.; Newell, William D.  
**Subject:** FYI photo from this weekend...

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Monday, January 04, 2010 4:09 PM  
**To:** (b) (7)(C)  
**Subject:** FW: briefing paper II  
**Attachments:** briefing paper II.docx

---

**From:** (b) (7)(C)  
**Sent:** Monday, January 04, 2010 10:29 AM  
**To:** Gillett, George T. Jr.  
**Subject:** briefing paper II

---

Phoenix Field Division  
Phoenix Group VII (SWB Group)  
Briefing Paper on: 785115-10-0004

Background

1. This investigation has currently identified more than <sup>(b) (7)(D)</sup> individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands. The straw-purchase suspects currently identified are associated with one another

[Redacted]

To date (September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico.

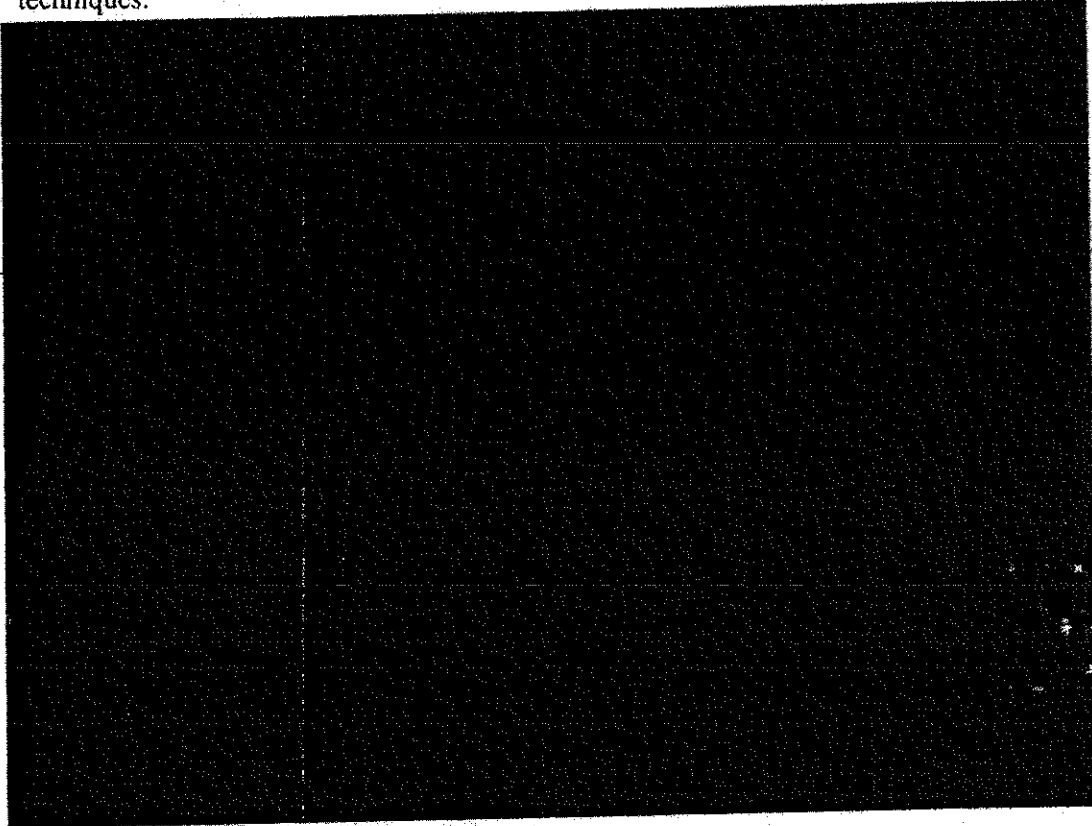
3. (b) (7)(A) [Redacted]  
[Redacted] (b) (7)(A)  
(b) (7)(A)

4. (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

5. [Redacted]

Investigative Techniques Used to Date

6. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:



Strategy

7. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation. (b)(5) & (7(A))

(b)(5) & (7(A))

8. (b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

9. The ultimate goal is [REDACTED] (b) (5)

(b) (5)

(b) (5)

#### Additional Resources

10. This investigation is a Southwest Border "Gunner" investigation initiated by Phoenix Group VII. Group VII did not exist in FY'09 and was newly formed FY'10 under the Southwest Border Strategy to focus on investigations involving the trafficking of firearms to Mexico. As such, Group VII currently is comprised of (b) (7)(E) Special Agents (b) (7)(E) (b) (7)(E) and one Group Supervisor.

11. In order to conduct (b) (7)(E) Group VII is totally reliant on other groups and TFO to provide additional bodies. If those agents or TFO have other investigative priorities, (b) (7)(E) If one or more of the agents in Group VII is on leave or training we have 2-3 agents (b) (7)(E) (b) (7)(E)

12. It is hereby respectfully requested that additional bodies be brought in for the purpose of aiding/assisting in this investigation, mainly in the capacity of surveillance. [REDACTED] will necessitate intensive, possibly round the clock, surveillance to prevent firearms from traveling south into the Country of Mexico (especially of the [REDACTED] which have been requested/ordered by targets of this investigation.) (b) (7)(E)

---

**From:** (b) (6)  
**Sent:** Tuesday, October 19, 2010 1:44 PM  
**To:** Newell, William D.  
**Subject:** another version  
**Attachments:** Oct write up for (b) (6).ocx

Mr. Newell,

Here is something I recently sent to (b) (6) for OCDETF purposes. It is much more generic and all information that you are well aware of. I just thought I would offer another version to my 80 page document.

---

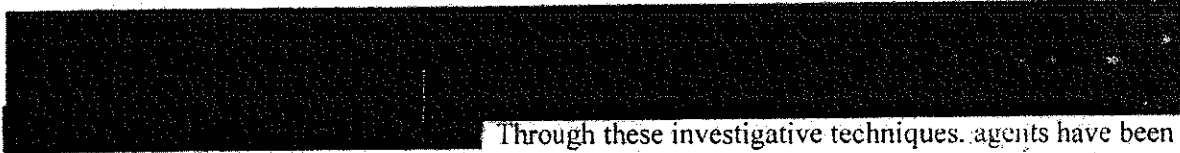
Thanks,


(b) (6)

The Phoenix Group VII Field Office is conducting this investigation; **Operation Fast & Furious**, in conjunction with the Immigration and Customs Enforcement (ICE), Drug Enforcement Agency (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation, sponsored by ATF, was approved as an OCDETF case by the Southwest Region as SWAZP-0496.

Since October 2009, ATF, and other partners listed above, have been investigating a firearm trafficking organization that is being funded by a drug trafficking organization (DTO) that is involved in violent criminal activity in Mexico, Southern Arizona and many other regions of the United States. This organization is involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into Southern Arizona to be distributed throughout the United States. In support of this criminal activity the organization often uses violent/deadly enforcement tactics. These acts contribute to violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through straw purchasers using bulk narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization has spent approximately 1.25 million dollars in cash at various Phoenix area FFLs to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico using non-factory compartments in various vehicles through various Ports of Entry (POE's) in Arizona and Texas.

 Through these investigative techniques, agents have been able to identify additional co-conspirators and disrupt the firearms trafficking organization by seizing numerous firearms and narcotics. To date, over three hundred (300) firearms and over fifty (50) pounds of marijuana have been recovered by ATF agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

Agents are in the process of transitioning to the prosecutorial phase of the investigation. Agents have identified over (b) (7)(A)  of the firearms trafficking organization. Agents anticipate the indictment, arrest, and conviction of the identified members of the organization in the upcoming months.

---

From: Needles, James R.  
Sent: Friday, October 29, 2010 12:46 PM  
To: Newell, William D.  
Subject: RE: Fast and Furi.

Of the [REDACTED] individuals we know of who are supplying firearms to the organization, (b)(7)(A) & (C)

(b) (7)(A)

(b) (7)(A) [REDACTED] and are notified if they make a purchase.

-----Original Message-----

From: Newell, William D.  
Sent: Friday, October 29, 2010 4:46 AM  
To: Needles, James R.  
Subject: Fw: Fast and Furi.

Get with (b) (7)(C) since you're together and give me a status on firearms purchase activity over the past 30 days of the suspects in this case. An e-mail will suffice.

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
To: Newell, William D.  
Cc: McMahon, William G.  
Sent: Fri Oct 29 07:39:08 2010  
Subject: Re: Fast and Furi.

I'm concerned that we are not shutting down the activity waiting on an indictment. Thanks Mark R. Chait Assistant Director Field Operations

\*\*\*

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transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

----- Original Message -----

From: Newell, William D.  
To: Chait, Mark R.  
Cc: McMahon, William G.  
Sent: Fri Oct 29 07:29:16 2010  
Subject: Re: Fast and Furi.

No, they pushed it to December 7. I was supposed to meet with the USA ~~this coming week to discuss the delay but he canceled due to an~~  
"unexpected" DC trip. I'm going to call him today.

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
To: Newell, William D.  
Cc: McMahon, William G.  
Sent: Fri Oct 29 07:27:17 2010  
Subject: Fast and Furi.

Any news on a quicker indictment?  
Mark R. Chait  
Assistant Director  
Field Operations

\*\*\*

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---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 13, 2010 12:12 PM  
**To:** (b) (7)(C)  
**Cc:** Phoe-Group VII; Gillett, George T. Jr.  
**Subject:** Delayed yet again

To all;

[Redacted]

(b) (7)(A)

(b) (7)(A)

[Redacted]

Sincerely,

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII

[Redacted]

---

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, October 05, 2010 3:36 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Document1  
**Attachments:** briefing paper II (3).docx; Doc1.docx

Can you put it in a BP format for me?

---

**From:** Newell, William D.  
**Sent:** Tuesday, October 05, 2010 12:11 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Re: Document1

Have him put into a BP format, will most likely go to DAG.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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---

**From:** Gillett, George T. Jr.  
**To:** Newell, William D.  
**Sent:** Tue Oct 05 14:50:12 2010  
**Subject:** Fw: Document1

I have not reviewed but don't want to stand in the way of progress.

George T. Gillett  
ASAC, Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Tue Oct 05 14:46:43 2010  
**Subject:** Document1  
Here it is...

**Phoenix Field Division  
Phoenix Group VII (SWB Group)  
Briefing Paper on: 785115-10-0004**

**Background**

1. This investigation has currently identified more than <sup>(b) (7)(D)</sup> individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands. The straw-purchase suspects currently identified are associated with one another [REDACTED]

[REDACTED] To date  
(September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico.

3. (b) (7)(A) [REDACTED]  
[REDACTED] (b) (7)(A)  
(b) (7)(A) [REDACTED]

4. (b) (7)(A) [REDACTED]  
(b) (7)(A) [REDACTED]  
(b) (7)(A) [REDACTED]

5. [REDACTED]

6. [REDACTED]

7. (b)(7)(A) & (E)  
(b)(7)(A) & (E)

8. On January 5, 2010, ASAC Gillett, GS (b)(7)(C) and case agent SA (b)(7)(C) met with AUSA Emory Hurley, who is the lead, federal prosecutor on this matter. Investigative and prosecution strategies were discussed and a determination was made that there was

(b)(5) & (7(A)

gathered. This investigation was briefed to United States Attorney Dennis Burke, who concurs with the assessment of his line prosecutors and (b)(5) & (7(A) this investigation.

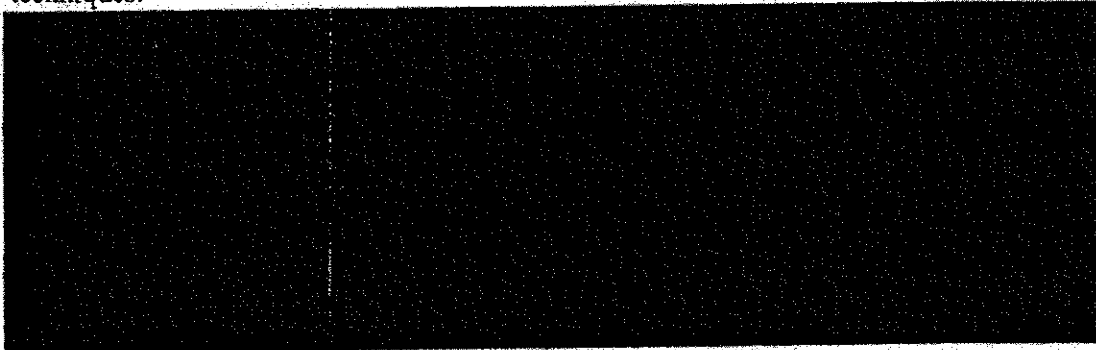
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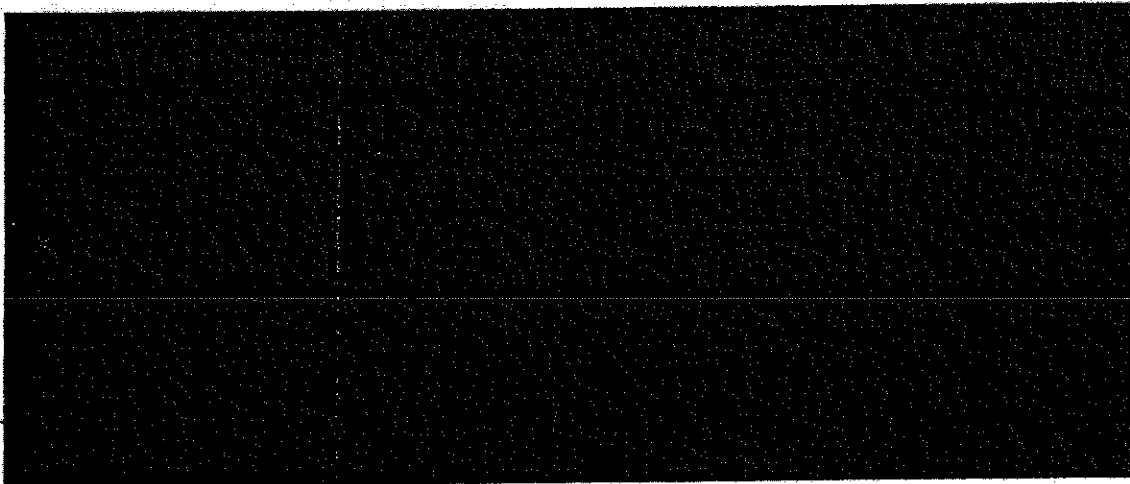
and the weapon(s) will be interdicted prior to their crossing of the Mexican border.

10. A joint strategy/de-confliction meeting is planned for Friday, January 15, 2010, with representatives from ICE, DEA, and ATF. This investigation, as well as other ongoing investigations, will be briefed to all present.

#### Investigative Techniques Used to Date

11. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:





Strategy

12. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation. (b)(5) & (7(A))

(b) (7)(A)

13. (b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

14. The ultimate goal is (b) (5)

(b) (5)

(b) (5)

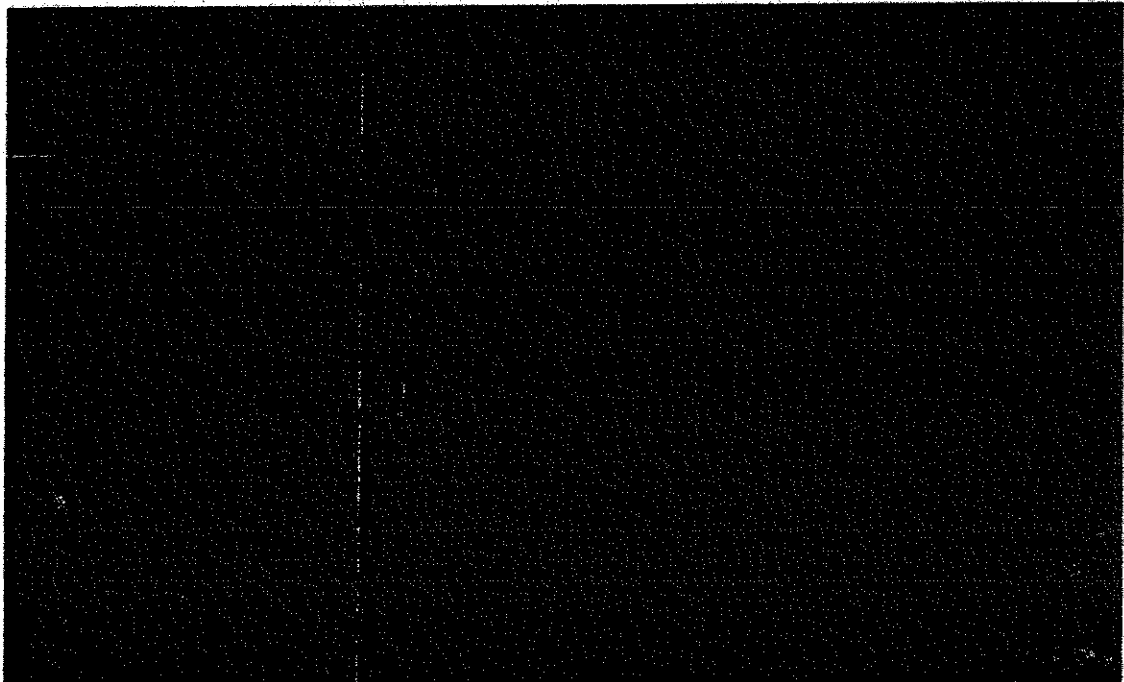
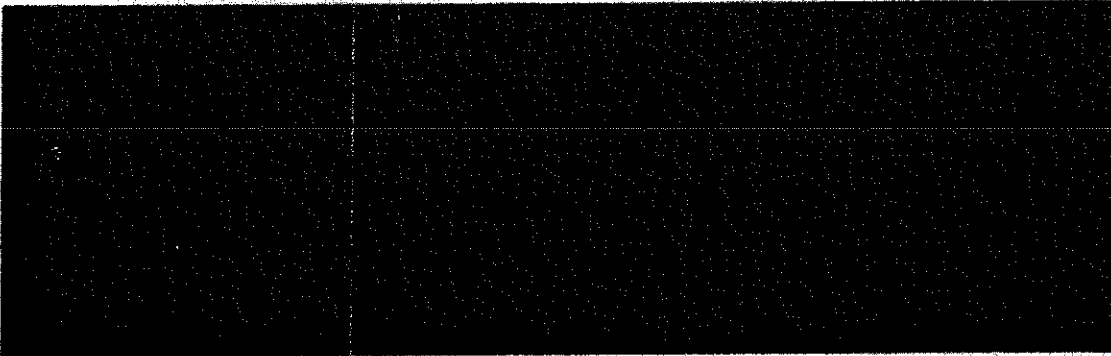
**Dates of Travel:** August 29, 2010 – September 2, 2010

**Reason for Travel:** Follow-up to our first meeting (August 10, 2010) with PGR SIEDO Director [REDACTED] and her Chief Deputy of Narcotics [REDACTED].

The following are brief summaries of the meetings and events of note during our trip to Mexico City.

[REDACTED]

[REDACTED]



**Conclusions/Summary:**

Overall, this trip to Mexico City was successful in at least two regards: (1) it further demonstrated that the District of Arizona is a present force in Mexico and it strengthened relationships and contacts with both the GoM and amongst agencies working in the Embassy; and (2) with regard to the [REDACTED] and other weapons and drug trafficking investigations, it evidenced SIEDO's great willingness to cooperate in an unprecedented manner. Moving forward, we plan on returning to Mexico City once again to meet with the witnesses that SIEDO plans on making available to us. We plan on securing copies of all the prosecution and



investigation files related to the summaries that SIEDO has already provided. Agent (b) (7)(C) plans on gaining access to firearms in military custody for her and ATF's review. We (b)(7)(A) & (D) (b)(7)(A) & (D) for the OCDEFT Strike Force. And, finally, we plan on continuing to utilize these newly discovered and strengthened relationships to develop strong investigations and prosecutions in the future.

---

**FIREARMS TRAFFICKING TO THE UNITED MEXICAN STATES  
THROUGH ARIZONA**

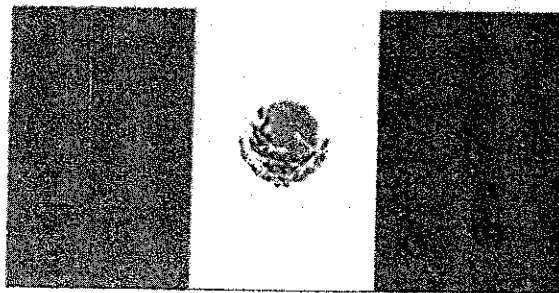
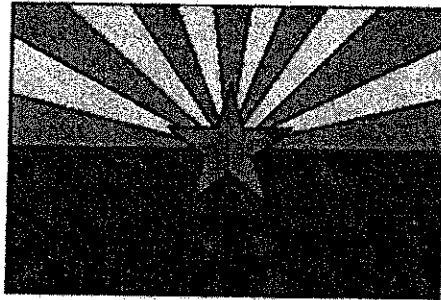
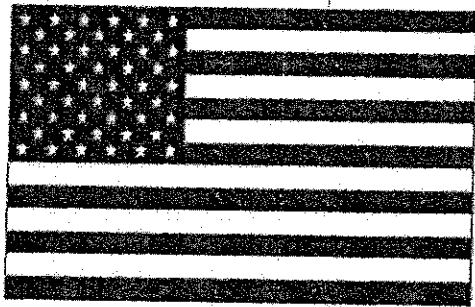
**“Project Iron River”**

**Prepared by**

**SPECIAL AGENT ADAM R. PRICE  
DIVISION OPERATIONS OFFICER**

**BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES  
PHOENIX FIELD DIVISION**

**SEPTEMBER 2005**



**LAW ENFORCEMENT SENSITIVE**

## Index

- I. Overview
- II. Violence Along the Border
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- IV. Drugs and the Arms Trade
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- VI. Current Enforcement Initiatives (Operation Southbound)
- VII. Proposed Enforcement and Regulatory Strategy
  - A. "Project Iron River"
  - B. New Field Offices (Yuma, Sierra Vista, Nogales, Douglas)
  - C. Trafficking Training for State/Local Officers
  - D. Tracing/NIBIN Agreements with Border Patrol and ICE (Arizona)
- VIII. Appendix

~~LAW ENFORCEMENT SENSITIVE~~

## INTRODUCTION

The Southwest border of the United States is becoming one of the most prominent social and political issues of the day. The issues of drug, human and weapons trafficking both north and south along the border has created a condition of regional instability that, if left unchecked, will eventually degrade into a serious threat to U.S. national security.

This report examines the issue of firearms trafficking from the State of Arizona to Mexico, particularly the Mexican states of Sonora, Baja California, and Sinaloa where Arizona-source firearms are trafficked to drug cartels in these areas. It takes into account the various factors that affect the market for firearms in Mexico, and discusses strategies that have been previously employed to address this issue. Finally, information will be presented that supports the proposal for a focused enforcement and regulatory initiative designed to impact firearms trafficking along the Southwest U.S. border.

Although firearms trafficking occurs along the entire length of the U.S. –Mexico border, this report is limited to the source states identified within the geographic area of the Phoenix Field Division, and source states outside the Phoenix Field Division where Arizona is identified as a transit point for firearms to Mexico.

This report was prepared using the substantial resources of ATF, specifically the Phoenix IV (Intelligence) Field Office, the National Tracing Center, Crime Gun Analysis Branch, Firearms Imports Branch, Office of Strategic Intelligence and Information, International Programs Branch, Office of Legislative Affairs, El Paso Intelligence Center (EPIC), and the ATF Mexico Country Office. In addition, the resources and assistance of the U.S. Border Patrol, Immigration and Customs Enforcement (ICE), Drug Enforcement Administration (DEA), the U.S. Department of State and the Central Intelligence Agency are referenced and acknowledged.

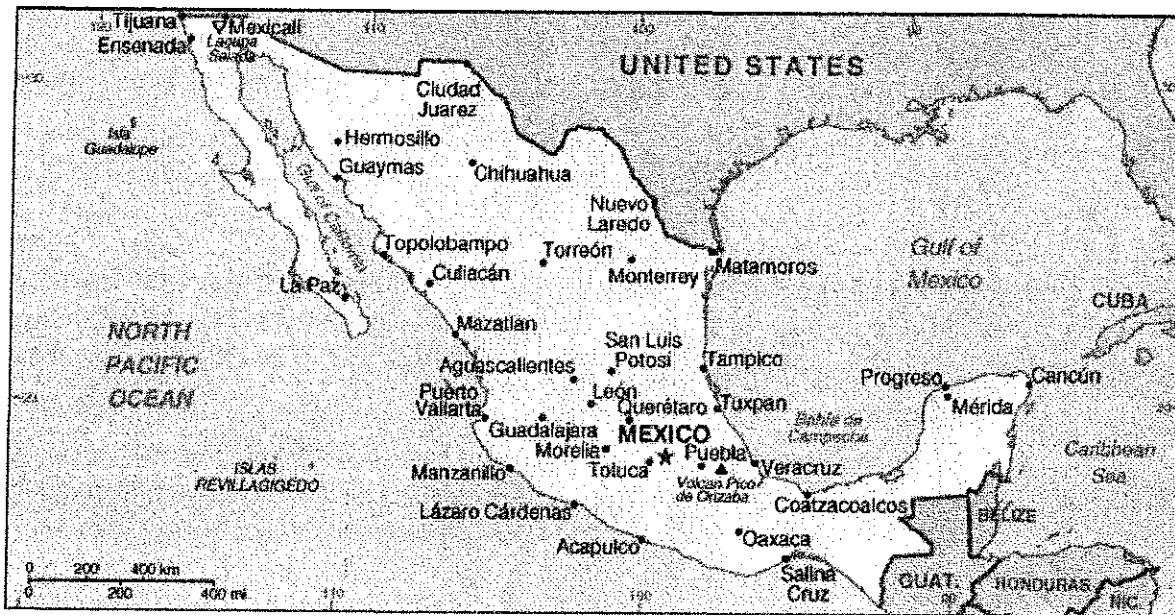
Particular thanks and acknowledgement are given to Resident Agent in Charge (b) (7)(C) who contributed valuable information to this report. Additional thanks are given to Program Analyst (b) (7)(C) Crime Gun Analysis Branch, for creating the enclosed maps based on relevant tracing data.

~~LAW ENFORCEMENT SENSITIVE~~

I.

**OVERVIEW**

Mexico shares its border with the United States over a total distance of 1951 miles. Four U.S. states share a common border with Mexico: Texas, New Mexico, Arizona and California. Of these four states, Texas has the longest shared border at 1254 miles. Arizona is next with 382 miles, followed by New Mexico and California with 175 miles and 140 miles respectively.



Mexico's total land mass makes it slightly smaller than three times the size of Texas. The population of Mexico as of 2005 is listed as 106,202,903, making it the 11<sup>th</sup> most populated country in the world. The median age for Mexico's population is approximately 25 years of age, with females composing the greater percentage.

**LAW ENFORCEMENT SENSITIVE**

-2-

Mexico's government is a Federal Republic, with the country being administratively subdivided into thirty-one states and one Federal District. The government is a mix of U.S. constitutional theory and civil law system, with judicial review of legislative actions. The President of Mexico is currently **Vicente Fox Quesada** who, as a member of the National Action Party (PAN), became the first freely elected President of Mexico since 1910 when the political structure of Mexico became dominated by the Institutional Revolutionary Party (PRI). President Fox was elected in 2000 to a six year term, and under the terms of the Mexican Constitution, cannot be reelected to a second term.

### **The Arizona Border**

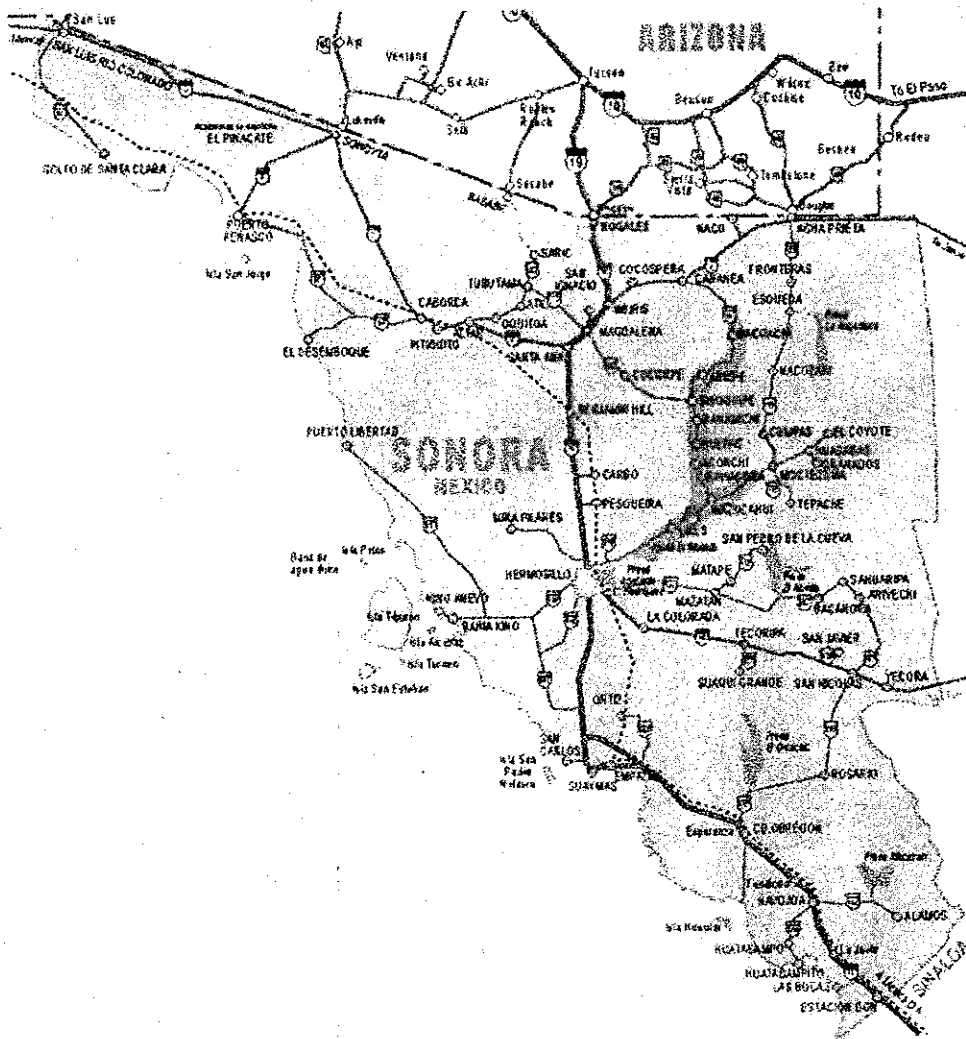
Arizona is bordered by two Mexican States, Sonora and Baja California, although of the 382 miles of shared border, Baja California makes up only approximately 22 miles of that total. Along the Arizona - Mexico border, there are 3 major Points of Entry (POE's) into the U.S. located (east to west) at Douglas, Nogales and Yuma (San Luis). These three POE's are major transit points for vehicles and persons entering and leaving the U.S. There is a large presence of U.S. Border Patrol and Immigration and Customs Enforcement (ICE) personnel at these locations and, since they are primary points for commerce between Arizona and Mexico, traffic is generally heaviest through these points. Smaller POE's are located at Naco, Sasabe and Lukeville. These POE's are in more remote areas with smaller towns or villages nearby, and tend to be staffed with far fewer border enforcement agents on either side of the border. In addition, there are other, even smaller, border crossing points at Bisbee Junction and Lochiel. Along the entire length of the Arizona - Mexico border, there are literally hundreds of illegal crossing points that are used by drug, human and arms traffickers. These crossing points are often on private lands of ranchers and farmers in southern Arizona, and these locations have become center stage in recent, highly publicized events such as the Minuteman Militia gathering near Naco, Arizona in April and May 2005.

Unlike California, where the U.S. government erected a steel wall between Mexico and the U.S. with the goal of curbing the entry of illegal aliens as well as drugs, there is no wall to delineate the border between Arizona and Mexico. The vast majority of the Arizona - Mexico border is extremely rugged and inhospitable terrain consisting of mountains, canyons, rolling brushland and flat, open desert. A large portion of this area consists the Tohono O'Odham Indian Reservation, several national parklands, and the Barry Goldwater Air Force Range, used for aerial attack training. Most of the border in Arizona is delineated by cattle fences that are generally nothing more than three-strand barbed wire fences with large gaps between them, easily able to keep cattle from straying into Mexico, but certainly not designed for preventing humans from crossing.

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It is the ease of entry through a very porous border, the limited resources of the Border Patrol to cover such an extensive, remote and rugged area, and the undeniable profit motive from drug, human and weapons trafficking into the U.S. that make the Arizona border the best option for smugglers of all kinds to operate with only a slight chance of detection.

### SONORA



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# BAJA CALIFORNIA



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## Some Factors That Affect Trafficking From Arizona

### Geography

The strength of California's state firearms laws, which allows the individual purchase of one firearm per month per household, has forced would-be buyers to seek other markets. By virtue of its proximity to the borders of California and Mexico, this makes Arizona, with its less than stringent gun laws, a natural source state for acquiring larger quantities of firearms of all types to be trafficked not only to Mexico, but to gang members, criminals and other prohibited persons in California as well. From a firearms trafficker's perspective, Yuma, Arizona is perfectly situated in the far southwest corner of Arizona on the eastern border of California, along Interstate 8 heading to San Diego and Los Angeles, and only 22 miles from the San Luis, Mexico point of entry. In addition, there are several points of entry to Mexico located along the southern California border that are all within a 2-hour drive from Yuma. As a result, Yuma has become a prime destination for a multitude of criminals on both sides of the border who are seeking firearms. Unfortunately at this time there is no ATF presence in Yuma, and its location makes it difficult to consistently work from either Tucson (6 hours) or Phoenix (5 hours).

### Politics

Gun control in Mexico is obviously far more stringent than in the U.S. By comparison, Mexican gun laws are draconian. No civilian may own a handgun larger than .38 caliber. .22 caliber hunting rifles and 12 gauge shotguns with a 26 inch barrel are approved. A permit is required to buy any firearm, but the permit process is extremely expensive and time consuming wherein obtaining a permit may take up to year or more. All firearms in Mexico are required to be registered with the Ministry Of Defense. In Mexico, firearms may not be carried in public, either openly or concealed. A citizen is allowed to purchase a maximum of four firearms.

The difficulty in legally obtaining firearms, and the strict controls placed on their purchase and movement in Mexico creates a condition where Mexican citizens are forced to come to the U.S. In order to minimize the risk of detection, these individuals tend to gravitate to areas that are close to the border where transportation times will be minimized, and routes may be chosen that are marginally patrolled.

### Drug Trafficking

There are several significant drug "cartels" or drug trafficking organizations (DTO's) that operate in Mexican states that border Arizona, or are market areas for firearms purchased in Arizona. Further information regarding this issue will be covered in **Section IV**.

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## II.

### Violence Along the Border

In the last few years, there has been an upswing in reports of violence along the U.S. – Mexico border. In May 2005, the U.S. Department of State issued a travel advisory for Americans planning trips to Mexico, particularly to the border areas. Kidnappings were becoming more of a regular threat to not only U.S. officials, but to tourists as well. In some cases, armed individuals would ambush tourists and force them at gunpoint to go to an ATM and withdraw the maximum amount possible. In some cases, the tourist would then be taken to a remote location and shot. Mexico's 2004 murder rate is an astonishing 18 percent compared to 5.6 percent for the U.S.

Firearms violence in Mexico is not limited to Americans traveling there. Homicide rates in many Mexican states have risen sharply. In Sinaloa State, there have been approximately 2700 murders in the last 5 years; an average of 540 murders per year. This has largely been attributed to the turf war between the Sinaloa Cartel and the Arellano Felix DTO, which is believed to be responsible for 70% of the aggravated homicides in Sinaloa alone. In response, Sinaloa State has added 14 additional homicide detectives to their ranks.

Since January 2005, more than 600 people have been killed in an ongoing war between rival drug cartels using high-powered handguns and assault rifles fighting for control of drug smuggling routes on the Texas-Mexico border.

In Tijuana, Baja California, there have been over 2000 murders in the last eight years, averaging 300 murders per year. The majority of these murders were tied to organized crime or drug trafficking. This is also true of Nogales, Sonora State where the homicide rate has increased by 25 percent in the last year.

Law enforcement officers in Mexico are especially prone to be targeted for violence. No case illustrates this point better than the case of Municipal Police Chief Alejandro Dominguez-Coello who was shot and killed only seven hours after accepting the post in Nuevo Laredo, Tamaulipas, just across the border from Laredo, Texas. On the same day, seven gunmen wearing ski masks entered a hospital in Chihuahua State and killed a Federal Investigations Agency (AFI) agent who was recovering from gunshot wounds there. In addition, two agents acting as bodyguards for the wounded agent were also subdued and killed.

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On August 8, 2005, a fragmentation grenade was thrown into the station of the Federal Preventive Police (PFP) in Guerrero State. The attack occurred at shift change when a large number of officers were expected to be in the station. The explosion damaged several vehicles but no injuries were reported. Officers also recovered an unexploded M26 fragmentation grenade near the station.

On August 20, 2005, more than 500 soldiers and federal, state and local police in Michoacan State engaged in a gun battle with members of the Sinaloa drug cartel, associated with Joaquin "Chapo" Guzman. A senior police commander was wounded in the exchange. Thirty suspects were taken into custody along with an alleged 40 AK-47 rifles, 14 handguns, grenades and thousands of rounds of ammunition. The gunmen were allegedly preparing to move against members and associates of the Gulf Cartel, headed by Osiel Cardenas Guillen, in an effort to ride the Sinaloa region of any presence of Gulf cartel members once and for all.

Attacks and threats to U.S. law enforcement officers are also not uncommon. On June 30, 2005, two agents of the U.S. Border Patrol were ambushed in the desert outside Nogales, Arizona. The attackers wore black uniforms with masks, and fired at the agents with what is believed to be 7.62x39 (AK-47 type) weapons. Both agents were wounded. The next day, a stash of several hundred pounds of marijuana was found buried nearby. This case is interesting in that the wounded agents reported that the attackers were using military tactics of shoot and move to cover while advancing, flanking movements, and paired cover techniques. Had a Border Patrol helicopter not frightened off the advancing attackers, it is likely that both agents would have been killed. A later search of the area seemed to indicate that the attackers policed their expended brass, as none was found at the scene.

Open source reports indicate that attacks against Border Patrol agents have been increasing. So far in the Tucson Sector this year there have been 165 reported acts of assault against Border Patrol agents compared to a total of 118 for the whole of FY2004. These reports also show that vehicle rammings have increased by 188 percent and shootings have increased by 122 percent.

In Mexico this year, authorities claim to have seized 8,187 firearms from drug cartels. According to reports, these weapons are large caliber, military-style assault weapons such as Thompson, Galil, Uzi, H&K, FN-FAL, as well as U.S.-made TOW missiles and Russian-made RPG's.

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One should note that several Mexican states that do not border Arizona were mentioned in the paragraphs above. Although Arizona is bordered by Sonora and Baja California, these states do not necessarily show the greatest number of firearm traces simply due to their immediate proximity to Arizona. The States of Guerrero, Chihuahua, Sinaloa, Michoacan and Tamaulipas have all been determined to be significant market areas for

firearms purchased in Arizona, as shown by current tracing data. It is in these states that drug cartel activity is the most prevalent; therefore the demand for firearms is greatest.

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### III.

## Targeting Firearms Traffickers

### One Possible Explanation for Trafficking

For at least the last five years, without fail, the top three U.S. states shown as sources for crime guns recovered in Mexico have been Texas, California and Arizona. This order was changed only slightly in 2004 when California took the "top source state" distinction from Texas. Perhaps somewhat surprisingly, Florida, Oklahoma and Illinois have switched back and forth, moving into the top five occasionally over each of the last three years. A closer examination of the possible causes for this consistency of results reveals that each of the aforementioned states has a large population of American-born persons of Mexican descent. Further, each of these states has an economy heavily based in agriculture, which attracts Mexican migrant farm workers.

For decades, generations of Mexican families have immigrated to the United States to work in the fields during growing seasons, returning to Mexico for the winter months. While Texas, California, and Arizona have very large, steady Mexican influences, Florida, Oklahoma and Illinois are three areas in the U.S. where the Mexican communities are growing rapidly. In fact, it has been reported that there are more Mexican Americans in Cook County (Chicago), Illinois than there are in Harris County (Houston), Texas. A look at the source state map for the continental U.S. (see map next page) confirms that the greater concentration of firearms purchases for crime guns recovered in Mexico are in areas with large Mexican or Mexican American communities. This certainly bears itself out when looking at California, where a significant concentration of firearms purchases can be seen inland away from the major cities, along the valleys east of San Francisco where most of California's agricultural industry thrives.

One of the biggest issues facing Mexico is the state of its economy. Mexico has an average unemployment rate of 3.2%, with an underemployment rate of over 25%. Over 40% of Mexico's population lives below the poverty line. This is significant as it becomes a primary factor driving the number of immigrants from Mexico into the United States. The migrants send money back to their families in Mexico in the culturally accepted practice called "*remesas*". The Bank of Mexico says that remesas are the most stable source of foreign currency for the country, reaching US \$9.2 billion dollars (an increase of 17.8%). This represents an estimated 30% of Mexico's household monetary income, and if stopped, could have a dire effect on Mexico's already critical poverty levels.

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The trend of migrants coming to the U.S. for seasonal work, and then returning to Mexico still continues today. Economic conditions there still force some Mexican citizens to risk illegal entry into the U.S. in order to find work. While they are in the U.S., migrants acquire one or two firearms at a time through a variety of means, then smuggle them back to Mexico upon their seasonal return. This pattern of behavior is likely repeated by thousands of other migrants as well, who are trafficking firearms back into Mexico, but not always for criminal purposes. The stringency of Mexico's gun laws, as discussed earlier, makes illegally acquiring firearms a virtual necessity for those unable to otherwise defend themselves or their families in a nation steeped in violence.

The pattern of smuggling small quantities of firearms into Mexico has been dubbed by Mexico's Ministry of Foreign Relations as "*trafico hormiga*", or "ant trafficking". This analogy is based on the trafficker's behavior being similar to a column of ants, marching by the thousands, carrying small portions of goods piece by piece in pursuit of a larger objective. The ants, they say, can be members of sophisticated criminal organizations or migrants making a little extra cash. "When there are thousands of people crossing the border each day, there are only a certain number that can be stopped and searched," said an official with the Federal Preventive Police (PFP). "And usually, it's a very small number."

#### **U.S. Firearms Availability and the Impact on Mexico**

The reality of poor border enforcement in Mexico helps firearms traffickers exploit the heavy volume of vehicular traffic flowing in and out of Mexico each day. The odds of detection are minimal compared to the profit that can be gained by successfully smuggling just a few handguns. A firearm that costs \$125 in the U.S. can be sold for as much as 5 times that value in certain Mexican states.

Critics suggest that the United States supplies guns to every corner of the world. While this might be an overstatement, there is no doubt that there is a vast supply of firearms available for purchase here. The ready availability of firearms in the U.S. has been exploited by many countries and criminal organizations from South America to Asia and Europe. Mexico is no different. There is currently a voracious demand for firearms in Mexico due to the strict controls placed on legitimate gun acquisition and ownership. Another demand, greater than the first, is placed by drug traffickers and organized crime figures who need firearms to protect (or expand) their enterprises. The growing number of assault rifles and semiautomatic weapons showing up in shootouts and assassinations has bolstered the arms caches of Mexico's drug cartels, officials in both countries say. They feed one of the highest rates of gun homicide anywhere in the world.

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Mexico blames the U.S. for the massive surge of guns moving into their country, "like a river of iron" said Mexico's former Attorney General, Raphael Macedo de la Concha. They say the U.S. has not put this issue at the same priority level as they have the issue of drugs. "Ironically", according to Sr. Macedo, "the guns in Mexico are being used by the people who are doing the things that most concern the United States...drug trafficking".

### Identifying Firearms Traffickers

*(NOTE: Much of the information in this section is based on studies and reports by Resident Agent in Charge (b) (7)(C) In particular, his 2002 report "Identifying U.S.-Based Firearms Traffickers" is excerpted due to its relevance to the issue of arms trafficking to Mexico).*

Like any other firearms trafficker, those running guns to Mexico are motivated by two primary factors: 1) opportunity, and 2) profit. There is no lack of opportunity when acquiring guns in the U.S., but prices are too high to engage in the business casually. In addition, the risk of apprehension (especially by Mexican authorities) might dissuade some traffickers from selling any significant quantity. Most often the trafficker will identify buyers in advance who provide them a "shopping list". The buyer must have the cash available, and the means to get the guns to the buyer, thus completing the chain of opportunity. To the "professional" trafficker, if making the delivery is too difficult, the risk outweighs the possible benefits.

According to a 2002 report by ATF Resident Agent in Charge (b) (7)(C) entitled "Identifying U.S.-Based Firearms Traffickers", there are several types of traffickers in Mexican-American communities that can be readily identified. They are 1) the straw purchaser, 2) the border trafficker, 3) the seasonal trafficker, 4) the second-hand merchant and, 5) the narcotics trafficker.

### Straw Purchasers

A straw purchaser may acquire a firearm for a friend or family member not realizing that he or she (the purchaser) has committed a crime. In many cases, no compensation is offered. In other cases, the straw may often be a single mother living on public assistance, an elderly person on social security, drug addicts, homeless persons, or others who perform the service of buying firearms on a "fee-for-service" basis, for the little extra money it provides. The straw purchaser seldom ever takes the firearms they purchase to Mexico themselves. In most all cases, they provide the acquisition service and nothing more. Traffickers who are somewhat familiar with U.S. gun laws will not use the same straw purchaser over and over again.

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**The Border Trafficker**

The border trafficker is a straw purchaser as well, but takes steps beyond just simply making the purchase. Moving back and forth across the border, the border trafficker has the opportunity to identify buyers in Mexico, or be identified as a source by buyers in Mexico. He takes orders from customers, fills them (for a fee), then moves the guns across the border. He is the one incurring the risk, so the fee for the border trafficker's service is higher than the routine straw purchaser. The advantage for the end user is "plausible deniability" in the event the trafficker is caught. The disadvantage for the end user is loss of money and product.

**The Seasonal Trafficker**

The seasonal trafficker may be an individual living in the U.S. who makes seasonal or occasional trips to Mexico to visit family. These individuals may bring one or two firearms and small quantities of ammunition concealed in their vehicles during family gatherings, weddings, funerals, holidays, etc. The migrant farm worker, mentioned previously, falls into this category and contributes to the "ant traffick" that is referenced by the Mexican government. Seasonal traffickers are difficult to detect due to the sporadic nature of their activity, which may be based on a sudden opportunity to make a small profit or possibly defray the cost of the trip by trafficking small amounts of guns and ammunition.

**The Second-Hand Merchant (Contraband Smugglers)**

Other individuals, known as contraband smugglers or "*fayuqueros*", make routine trips to Mexico from the U.S. border states carrying truckloads of commercial goods from flea markets or bulk wholesalers such as Sam's Club or Costco. Although this practice is against Mexican law, it is most often overlooked. Military and Customs officials at border checkpoints are often unwilling to unload the heavily laden vans or trucks, and simply wave them through, especially if they are recognized as "regulars" through the checkpoint. Concealed in these loaded trucks and vans are firearms and ammunition. The business of contraband smuggling lends itself to arms trafficking as a secondary venture.

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### **The Narcotics Trafficker**

It can be argued that the same methodology used to smuggle narcotics can be applied to firearms equally as well. Narcotics traffickers use large vehicles such as vans, trucks and SUV's that have many natural voids in which to conceal merchandise. Firearms and ammunition are hidden in these vehicles, usually in small amounts, and then simply driven across the border. The larger the vehicle, the more potential for successfully concealing contraband cargo.

More sophisticated operations use several vans or trucks at once, collectively moving a much higher volume of goods, thereby increasing their chances for success, and limiting their losses if a vehicle is lost to law enforcement.

### **Methods of Acquisition**

Individuals that traffick firearms to Mexico are, in essence, no different than the domestic trafficker. Those that traffick firearms want to acquire their product in a method and manner that makes them least likely to come to the attention of law enforcement. Tracing data for firearms recovered in Mexico suggests that pawn shops are becoming popular once again as the method of choice for traffickers. It should be noted that traces showing a very long time to crime (10 years or more) may have actually been purchased much more recently at a pawn shop. In fact, (b) (3) (P.L. 111-117) shop, is shown as being the highest source FFL for crime guns recovered in Mexico from the Phoenix Field Division.

The continued recruitment of straw purchasers remains a favored method of concealing the true purchaser's identity. As mentioned previously, the trafficker may approach drug addicts, homeless persons, family members or friends, and offer a small payment for doing the paperwork.

The method of acquisition that will yield some of the highest percentages of firearms traffickers taking guns to Mexico, especially in Arizona, are through gun shows and flea markets. The opportunities for obtaining firearms through non-licensed dealers are endless, with no paperwork or background checks involved. The smart trafficker will cultivate a relationship with such a person, and he will gradually make larger and larger orders, taking delivery at the gun show. Eventually, the trafficker will begin to make phone contact with the non-licensee and place orders for particular weapons, taking immediate delivery on arrival. This type of business is also practiced by the less scrupulous FFL's as well.

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### **Some Indicators of Possible Trafficking**

Routine checking of Online LEAD can yield excellent results in identifying potential traffickers. From LEAD, patterns can be observed such as frequency of an individual purchaser; type, caliber or manufacturer of a firearm (indicating preference); or multiple and repetitive purchases of the same type of firearms to name just a few.

To identify a possible purchaser who may be trafficking firearms to Mexico (or elsewhere), preliminary suspicions may be developed based on evaluating Online LEAD data. Further investigation can be conducted, such as examining ATF F 4473's for some of the following: 1) multiple purchases of the same type of firearm, 2) mistakes on the form which would indicate difficulty understanding English, 3) place of birth in Mexico, 4) no evidence that the person lived at the address indicated on the 4473, 5) use of a form of identification obtained just prior to the purchase.

The suspected purchaser can also be queried in TECS to determine if there were any border crossings made by the individual subsequent to the purchase.

### **Weapons of Preference as Indicators**

One method to identify traffickers of firearms to Mexico is to use the technique of "weapon profiling". In Mexico, the .38 Super is still a highly valued status item and a weapon of choice among drug traffickers, and is an almost certain indicator that a load of firearms is bound for Mexico. Likewise, the AR-15, AK-47, MAK-90, SKS, or other similar weapon is in high demand in Mexico, particularly by drug traffickers.

A recent report by the ATF National Tracing Center, done for this study, indicates that the caliber traced most often in Mexico is the .22 caliber. This confirms the theory of "ant traffick", and indicates that individuals moving back and forth between the U.S. and Mexico often bring with them a caliber of firearm approved by the government of Mexico. Hence, the penalties for being caught with the firearm are not likely to be as severe as being caught with a caliber the government deems "for the exclusive use of the military". This category would cover nearly every firearm but .38 or smaller.

The acquisition and trafficking of ammunition is also done extensively from Arizona to Mexico. The reporting requirements on the part of the FFL are non-existent, and there is virtually no real penalty for being caught with ammunition in the U.S., even illegal aliens. In several cases in recent weeks, the Border Patrol has stopped vehicles driven by Mexican citizens, carrying thousands of rounds of ammunition. These leads may be used as indicators for whom the loads are bound, i.e. small caliber rounds like .22's can be considered for the most part to be smuggled illegally, though not likely for criminal use.

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Larger caliber rounds however, like .38 Super, .223 and 7.62x39 for example, are known to be the favored calibers for drug traffickers. The trafficking of these calibers of ammunition may be indicative of some criminal intent, and the suspects should be interviewed extensively.

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#### IV.

### Drugs and Firearms in Mexico

Mexico is the largest manufacturer/supplier of methamphetamine to the United States. It is the largest grower/supplier of marijuana to the United States. It is also the most common transshipment point for cocaine entering the United States from South America, and second in terms of its production of heroin. In a supply and demand world, the U.S. has the demand, and Mexico is more than ready to provide the supply. Without question, the profit that can be gained from drug trafficking to the U.S. is staggering. The U.S. government estimates that Mexican drug cartels make upwards of \$60 billion USD per year. That figure represents nearly 30% of Mexico's Gross Domestic Product (GDP), although Mexico itself claims only 10 percent. This might explain why Mexico seems somewhat reluctant to seriously engage in counter-drug efforts, since between the "remesas" and drug trafficking, their national economy apparently hinges on the survival of the "underground economy".

Mexico is inundated in drugs and drug-related violence. Numerous drug trafficking organizations (DTO's) vie for control of territories, towns, and villages throughout the entire country. Firearms are used extensively as a tool of the trade, and assassinations are frequently carried out between competing drug gangs. Law enforcement officers, prosecutors and politicians are often targeted for assassination whenever they publicly take a stand against drug traffickers. This section identifies the main DTO's that operate in Sonora State and Baja California, both bordering Arizona. As of late 2004, Sonora was the Mexican state with the most drug-related crime, with more than 60% of its total crime rate being tied to the drug trade.

#### **"The Federation"**

The Federation, also called the "Golden Triangle Alliance" is a consolidation or "realignment" of separate DTO's in Mexico; the Carrillo-Fuentes Organization (Juarez Cartel), the Guzman/Salazar Organization (Sinaloa Cartel), the Esparragoza Moreno Organization (Juarez Cartel), the Ignacio Villareal Organization and the Zambada/Garcia Organization (Sinaloa Cartel). According to a Defense Intelligence Agency (DIA) report, the Federation operates extensively in Chihuahua, Durango, Coahuila, Zacatecas, and Sinaloa. This cartel also has substantial influence in portions of nearly all Mexican states that border the Pacific Ocean, which means that these DTO's control the legitimate as well as the illegitimate ports used to facilitate the massive shipments of narcotics via marine vessels. In total, their influence is felt in 29 of 31 Mexican states.

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The Federation has formed their alliance in order to move against Osiel Cardenas Guillen (Gulf Cartel) for control of the Nuevo Laredo drug trafficking corridor, which supplies cocaine, methamphetamine, heroin and marijuana to the eastern U.S. Although allied for a central purpose, recent DEA reports indicate that the struggle for power within the Alliance has resulted in Juarez Cartel kingpin Vicente Carillo Fuentes being pushed aside by Sinaloa Cartel kingpin Joaquin "El Chapo" Guzman. Guzman appears to be attempting to ascend to the position of total control of Mexico's entire drug trade.

## The Federation

### The Golden Triangle Alliance



Vicente  
Carillo  
Fuentes



Juan Jose  
Esparragoza  
"El Azul"



Ismael  
"El Mayo"  
Zambada  
Garcia



Ignacio  
"Coronel"  
Villareal



Joaquin  
"El Chapo"  
Guzman

### Guzman/Salazar Organization

This major DTO is headed by Joaquin "El Chapo" Guzman and Hector Luis Palma Salazar. It is unknown how many individuals are members or associates of this organization, but the size of the territory controlled by Guzman is quite extensive. The Drug Enforcement Administration (DEA) places Guzman/Salazar influence in at least 12 Mexican states. Of interest to Arizona, Guzman controls Sonora (especially the border city of Nogales), and he employs the services of a group called "Los Gueros" to move marijuana, cocaine and heroin into the U.S., primarily through Arizona.

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Intelligence reports indicate that the main points of entry and areas used to cross these drugs are Sonoyta (desert area west of Nogales), Agua Prieta (across the border from Douglas, Arizona) and Nogales. Figures have been placed at an estimated 10 tons of marijuana per month trafficked by the Guzman Organization and Los Gueros. Intelligence gathering and enforcement is complicated by the fact that Los Gueros are reportedly under the protection of Mexico's federal and state law enforcement.

### **Arellano Felix Organization**

The Arellano Felix Organization (AFO) is a DTO controlled by the brothers of the Arellano Felix family. The AFO controls the entire Baja California peninsula, as well as having a substantial presence in at least 15 Mexican states. The AFO was greatly weakened in 2002 when one of the brothers, Ramon Arellano Felix, was killed and another brother, Benjamin Arellano Felix, was arrested. It is believed that the youngest brother, Francisco (Javier) Arellano Felix and Manuel Aguirre Galindo have since taken charge of operations. DEA intelligence reports indicate that the AFO may actually be weakening to the point of collapse as a major DTO. Since the death of one Arellano Felix brother and the arrest of another, the Federation and its allies have been fighting for control of AFO territory. This has led to violent confrontations between AFO members and those of the Federation, particularly "Chapo" Guzman's Sinaloa Cartel, who may see an opportunity to exploit AFO's weakness and take over AFO territory. Wisely, the AFO have aligned themselves with Osiel Cardenas Guillen and his Gulf Cartel, giving them additional resources and protection. The war between the Federation and the AFO has served to significantly fuel the need for each organization to obtain firearms from the U.S.

Based on the proximity to Baja California, and substantiated by reports from the Yuma Police Department, the AFO and associates appear to come to Yuma FFL's to acquire their weapons. Recently, Yuma PD arrested a suspect who purchased 15 Colt AR-15's from a Yuma FFL. The individual was stopped as he approached the Arizona-Mexico border and was detained for questioning. The individual stated that he was instructed to go to Yuma, buy the weapons, and deliver them to a contact in Tijuana, Baja California. The contact, who provided the instructions and the money was alleged to be a representative of the AFO. According to Yuma PD Detective (b) (7)(C) this is one case among many similar occurrences.

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## **Gulf Cartel**

Although not in control of drug trafficking in Mexican states that border Arizona, the Gulf Cartel is worthy of mention due to the ongoing war between it, and "Chapo" Guzman (Sinaloa Cartel). The Gulf Cartel, headed by Osiel Cardenas Guillen, controls the majority of drug trafficking along the Mexican states bordering the Gulf of Mexico, particularly Tamaulipas and Vera Cruz, and the inland state of Nuevo Leon.

The majority of the drug related violence in Mexico is attributed to the war between Chapo Guzman and Osiel Cardenas. This scale of this war has recently reached unprecedented levels with both organizations fighting for control of drug routes into the U.S. at Nuevo Laredo, Tamaulipas, just across the border from Laredo, Texas. Firearms violence due to this war has often spilled over onto the Texas side of the border. The two cartels are also fighting for control of the states of Michoacan, Jalisco and Guerrero, all states noted for the production of marijuana, cocaine and heroin. It should be noted that Arizona is a significant source state for firearms recovered in each of these Mexican states, confirming that these cartels are arming themselves with U.S.-source firearms.

Osiel Cardenas has a private army -- "*Los Zetas*", comprised of former Mexican Special Forces soldiers. The Zetas were reportedly trained by U.S. Special Forces to help the government of Mexico fight their war on drugs, but the skills they learned received greater attention and far better pay from Cardenas and the Gulf Cartel. Many of the soldiers defected to join Cardenas, and the degree of violence against Guzman's drug interests increased dramatically as a result. At this time, there have been no confirmed instances of Los Zetas making a presence in Guzman-controlled Sonora, although an unconfirmed report indicated the Zetas may have recently been in or near Nogales, Sonora attempting to recruit Federation "soldiers" away from Guzman. Intelligence reports state that Guzman has hired his own private army, called "*Los Negros*" to combat Los Zetas. It has also been reported that Guzman is also recruiting members of the Central American gang "Mara Salvatrucha", or MS-13 to fight Los Zetas.

## **Firearm Recoveries in Mexico**

Mexico claims to have recovered approximately 15,000 firearms in the last year, of which they purport that 80-85% originated in the U.S. This issue is difficult to dispute since Mexico only has an official tracing protocol established with ATF through the National Center for Information, Analysis, and Planning in order to Prevent Crime (CENAPI), which is Mexico's primary intelligence arm of the Procuraduria General de la Republica (PGR), Mexico's Attorney General. This is the Federal level for Mexico. Thousands of firearms are recovered each year in Mexico's states and municipalities that do not get traced, nor is CENAPI necessarily notified of the seizure.

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This means that without comprehensive gun tracing equally implemented across all states, the true measure of U.S.-source crime guns recovered in Mexico will never be known. The data will always be incomplete, therefore making it difficult to develop enforcement strategies or identify high value targets in the U.S. Still, based on available tracing data, there is little doubt that the U.S., particularly the border states of California, Arizona, New Mexico and Texas, are prime source areas for firearms trafficked to Mexico.

For its part, Mexico appears to be making attempts to target firearms traffickers and possessors. The national firearms initiative "Seguro Mexico" was recently implemented and has already netted some impressive results. On August 17, 2005 for instance, three members of the Sinaloa Cartel (associated with "El Chapo" Guzman) were arrested. Located during the search were 3 AK-47's, 3 M-16's, 1 AR-15 and several .45 caliber and 9mm pistols.

Also on August 17, 2005, Federal Investigative Agency (AFI) personnel, and the Federal Prosecutors Office (PGR) seized numerous assault weapons and hundreds of rounds of ammunition during a search warrant in Sinaloa.

On August 16, 2005, acting under the anti-firearms initiative "Plan Emergente Sinaloa 2005", police in Sinaloa arrested several alleged cartel members and seized 23 high-powered assault-style weapons.

Not all firearms that are seized in Mexico necessarily come from the U.S. however. In a press statement on August 23, 2005, National Defense Secretary Gerardo Clemente Vega Garcia scolded Mexico governors for allowing weapons assigned to police officers in their states to fall into the hands of criminals. Garcia said that "officers take the weapons home, throw them away, or sell them; because every time we do an inspection, the police departments cannot account for all of the assigned weapons". Garcia may have been attempting to shift the spotlight; as it was revealed that many Mexican military weapons fall into the hands of criminals as well. In one report, 16,000 handguns and 6,000 rifles destined for the military were seized by Mexican police from drug gangs. While it is undoubtedly true that many military and police weapons do fall into criminal hands, available tracing data suggests that the vast majority of firearms originate from the U.S. commercial market.

The Mexican Border Patrol reports that in the past year they have seized 15,000 weapons of various calibers. Ninety-five percent of the weapons were seized along the northern border, and the remainder were seized in southern Mexico, near the border of Guatemala.

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According to police and military officials in Baja California, Federal, state and municipal police forces have seized 670 firearms, 11,377 rounds of ammunition, 223 magazines and 15 explosives devices as of August 1, 2005.

A Mexican intelligence report (unconfirmed) indicates that, in addition to being a source of supply for firearms to Mexico, the U.S. is also a transshipment point for firearms originating overseas. In particular, Port Langley, British Columbia was named as a "landing point" for AK-47's from Kosova, Serbia, and other former Eastern Bloc states. The weapons are funneled through California, Arizona and Texas, eventually being delivered to Mexico. These firearms are then moved onto "go-fast" boats, taken down the Baja Coast either in the Pacific or the Gulf of California, and moved to drug trafficking organizations in the Mexican states of Sinaloa, Guerrero and Oaxaca.

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## V.

**Allegations of Corruption in Mexican Law Enforcement**

Mexican law enforcement has long been stereotyped as being systemically corrupt. But a wave of murders that have claimed nearly 600 lives, primarily in states along the Mexico-U.S. border, has forced that country to re-evaluate its law enforcement standards.

Anthony Placido, the DEA acting assistant administrator for intelligence, quoted in a New York Times article as he recently testified before Congress, that "Law enforcement in Mexico is all too often part of the problem rather than part of the solution. This is particularly true at the municipal and state levels of the government."

In a New York Times article, dated July 5, 2005, four police officers were interviewed. Each one confirmed that there was widespread corruption and abuses within their departments. However, the officers stopped short of admitting that they or their fellow officers served as lookouts and hit men for drug organizations.

In Mexico, the starting salary for a municipal officer is less than \$350 per month. Medical insurance is basic, and if they are killed, their families get \$6000...barely enough to bury them. Officers have to buy their own equipment, such as handcuffs and body armor. Worse, officers have to buy their own bullets, which force them to come to the U.S. and try to smuggle bullets back across the border like criminals. Firearms training is virtually non-existent as a result of the bullet shortage; so many officers go on the street never having fired their weapons.

On August 11, 2005, the Mexican National Defense Secretariat (SEDENA) cancelled an order it placed for 500 Belgian sub-machineguns after the Belgian government inquired (as is standard practice in legitimate international arms trade) about the final destination of the weapons. The Belgian Parliament cited Mexico's fragile democracy and the ongoing conflict in Chiapas as points of concern. The firearms were to be imported and given to an elite group of the President's security forces and three Mexican Army airborne Special Forces units.

In Baja California, the State Attorney General's Office is currently investigating 250 state police officers for allegations of corruption regarding drugs and organized crime. In addition, reports have been received which indicate that there are at least 30 Nogales, Sonora police officers who are corrupt and are working for the Chapo Guzman Organization.

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### Arizona-Mexico Politics

On June 18, 2005, Arizona Governor Janet Napolitano and Sonora, Mexico Governor Eduardo Bours met at the Plenary Session for the Arizona-Mexico Commission. They released a joint statement, communicating their plan to establish the Arizona and Sonora border as the "safest in the U.S." In this statement, each Governor criticized their respective Federal governments, saying "We are aware that many actions and goals we propose are negatively impacted by Federal policies in both countries; policies that we consider to be ineffective and under funded. We believe that despite these Federal limitations, we should be innovative within our state authority by proposing reforms that will allow better security coordination and, thereby, greater economic growth in our region".

The "Sonora Plan" as it is named by Governor Bours, proposes the establishing of a "common safety perimeter that in the first instance will allow us to stop any terrorist from entering the United States through Sonoran territory". Further, the plan calls for the development of coordinated strategies implemented by the two states to combat drug and human trafficking. Finally, a system to enhance the information and intelligence exchange between law enforcement agencies is being developed.

On August 15, 2005, Arizona Governor Napolitano declared a state of emergency for Arizona's four border counties of Cochise, Pima, Santa Cruz and Yuma. The week prior, New Mexico Governor Bill Richardson declared a state of emergency for six New Mexico counties that border Mexico. When speaking on issues that relate to Arizona's border with Mexico, Governor Napolitano has always been an outspoken critic of the Federal government. In an address made at the signing of an executive order creating the Arizona-Sonora Border Security Council, Governor Napolitano said, "Washington has done little to address the rapidly growing problem of crime along the southwest border". She added, "The Federal government has failed to secure our border, and the health and safety of all Arizonans is threatened by violent gangs...and other dangerous criminals". In particular, she addressed the growing issue of violence due to drug trafficking that is affecting the border counties of Yuma, Pima, Santa Cruz and Cochise. The executive order enables Arizona to free up \$1.5 million to cover overtime expenses for officers in the four affected counties for a period of 30 days, after which the effectiveness of the program would be evaluated.

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In an agreement between the Arizona and Sonora Governors, dated August 18, 2005, Arizona and Sonora began the process of developing a Joint Operations and Command Center for critical incidents, as well as planning joint emergency exercises and drills for crises near the border. In a similar move, Sonora Governor Bours signed a security proclamation and authorized Mexican police radios to be issued to Arizona officers to facilitate better communication between agencies during border-related enforcement activity.

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## VI.

### Current Border Enforcement Initiatives in Arizona

At this time, the Phoenix Field Division currently has only one dedicated initiative that makes its priority the issue of guns illegally trafficked to Mexico. The OCDETF-funded "Operation Southbound" (785040-04-0027) uses confidential sources and physical surveillances to identify straw purchasers at Phoenix area FFL's. In addition, the technique of using undercover reverses has been utilized with great success. To date, this initiative has proven successful in selectively targeting individuals who display common indicators for arms trafficking, or who openly solicit FFL's for "off paper" firearms sales.

Began in October 2003 as an ATF Phoenix investigation, the volume of information and the sheer number of suspects identified, particularly those that could be directly tied to drug trafficking operations in Mexico, made it clear that Operation Southbound should be consolidated into an OCDETF case. The proposal was presented to, and approved by, the Southwest OCDETF Committee in October 2004.

To date, Operation Southbound has yielded the following results:

Firearms Seized: 214	Value: \$144,484
Ammunition Seized: 22,659	Value: \$2266

#### Narcotics Seized:

Cocaine: 12.5 kilos	Value: \$182,000
89 grams Crack cocaine	Value: \$8000
Marijuana: Nearly 9000 pounds	Value: \$4.5 million (approximately)
Methamphetamine: Over one pound	Value: \$10,000

Currency:	Value: \$316,458
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Vehicles:	11 vehicles, 1 trailer, and 2 ATV's.
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In addition to the seizures of property, Operation Southbound has resulted in the spin-off of seventy-three (73) separate cases. The task force group working with Operation Southbound consists of Phoenix PD, Glendale PD, ICE, Border Patrol, and the U.S. Marshal's Service. Several of these cases have resulted in suspects (fugitives) being located and arrested in Mexico, and returned to the U.S. to face trial.

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**VII.****Proposal:****“Project Iron River”  
Phoenix Field Division**

The concept for “Project Iron River” was developed based on the clearly identifiable need to focus ATF’s unique expertise and resources on the issue of firearms trafficking to Mexico. The continually escalating violence along the border, and within Mexico, is largely fueled by the drug cartels struggling for control of the lucrative U.S. drug market. Often, this violence has crossed the border into the U.S., with Federal, state and local law enforcement officers being assaulted in increasing numbers. The power of the violent drug cartels described in this report has created a condition of social and political instability in a country that touches a significant portion of the U.S. border. These cartels continue to amass firearms which are used to murder political leaders, police officers, prosecutors and many innocent bystanders. This has de-stabilized the Southwestern U.S. border due to the drug-related violence spilling over into the U.S. states bordering Mexico. Two U.S. Governors have declared states of emergency and have been forced to spend millions of taxpayer dollars to protect their citizens from border related violence. Political tensions are high on this issue, and the national media is focusing more attention on the Federal government’s response. ATF is in the best position to proactively address the issue of drug related firearms violent crime along the border using its expertise in firearms trafficking investigations to identify, investigate, arrest and prosecute those persons responsible for arming drug cartels and other violent gangs that operate along the Southwestern U.S. border.

Project Iron River is named after the comment made by former Mexican Attorney General Raphael Macedo de la Concha, who compared the flow of guns from the U.S. into Mexico to a “river of iron”.

The basic tenets of this project involve extensive use of intelligence information to identify suspects and targets of investigative value; utilize the contributions of Industry Operations Investigators (IOI’s) to provide their expertise with inspections of the many Federal Firearms Licensees in the selected counties; initiate a public information campaign throughout Arizona that discusses the penalties associated with the straw purchasing of firearms; and aggressively prosecuting any individuals trafficking firearms to Mexican drug cartels or other violent criminals.

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It is anticipated that other Federal agencies will see the benefits of this initiative to their organizations, and will be able to contribute resources necessary to ensure its success.

There is currently no national-scale initiative within ATF dedicated to countering firearms trafficking to Mexico. Project Iron River seeks to fill that void with a concentrated effort towards a single objective: denying the drug cartels access to U.S.-source firearms will sharply curtail the number of drug related incidents of violence on both sides of the border. Theoretically, in order for a drug cartel to continue to grow, it must rely on intimidation or violence as a means to gather the land, territories, resources, money and protection it needs to expand into new areas. Denying the drug cartels in Mexico access to U.S.-source firearms robs the cartel of the implements it needs to facilitate this growth, forcing them to seek alternate markets where firearms may be more difficult to obtain. Making the cartels afraid to come to the U.S. for their firearms is the first step.

### Phase 1

#### Yuma, Arizona Field Office

Arizona is the third largest source state of firearms recovered in crimes in Mexico. According to the most recent tracing study done for the Phoenix Field Division, FFL's in Yuma, Arizona account for a proportionately greater number of firearms subsequently recovered in Mexico, particularly the Baja Peninsula, Sinaloa and Sonora.

Discussions with the U.S. Border Patrol, ICE, and the Yuma Police Department have revealed a very active pattern of firearms trafficking that occurs on a regular basis from Yuma, with the firearms crossing the border through the Arizona and California points of entry that are nearby. There is no ATF presence in Yuma to investigate these cases and refer them for Federal prosecution. Instead, local police officers are engaging in firearms trafficking interdiction and prosecuting these criminals for misdemeanor offenses at the state level where penalties are not a deterrent.

Phase One of Project Iron River involves opening a full field office in Yuma, Arizona. This office should be fully staffed with 1 RAC, 10 GS-1811 special agents, no less than three Industry Operations Investigators, and one investigative assistant. Yuma is a perfect crossroads for illegal arms trafficking due to its geographic position along Interstate 8, only minutes from the California border, and 22 miles from the San Luis, Mexico point of entry. In addition, a pedestrian crossing point is located at Algodones, a popular tourist shopping area approximately 3 miles from Yuma. There, it is possible for small amounts of firearms and ammunition to be carried across the border in a location where physical searches are uncommon.

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Yuma is also a magnet area for criminals and gang members from southern California who come there to buy firearms, avoiding the stringent California laws. Further, its proximity to the border makes Yuma a convenient location for Mexican drug organization "transporters" and associates, criminals, and gang members to obtain their weapons as well. Tracing data supports the fact that Yuma area guns are frequently recovered in Mexican states where drug cartel activity is heaviest and most violent.

From Yuma, there are many border points of entry that can be accessed in no more than one hour. In addition, criminals running firearms to California gang members can be in San Diego or Los Angeles in a matter of hours. Were a field office to be opened in Yuma, agents and inspectors there would be able to dedicate their efforts to the interdiction of southbound firearms destined not only for Mexico, but also westbound firearms trafficked to the major cities in California and the west coast. Initially, the Yuma Field Office could be established with the assistance of the Los Angeles Field Division, whose agents would cover the westbound guns, and the remainder of the agents would investigate the Mexico-bound firearms. Its unique location on the border of two U.S. states and one foreign country creates an equally unique opportunity to consolidate the efforts of the Phoenix and Los Angeles Field Divisions in a joint border enforcement initiative.

Tracing data also suggests that crime guns recovered in California and Mexico originate from FFL's in Parker, Arizona, Havasu City, Arizona, and Bullhead City, Arizona. Yuma Field Office personnel would be able to cover the western portion of Arizona where there are currently no ATF offices, in locations that are difficult to cover from Phoenix.

Benefits to opening the Yuma Field Office are: 1) guaranteed immediate success in proactively generating viable and significant international and domestic firearms trafficking cases; 2) the support of the local ICE and Border Patrol offices who have pledged agents and temporary office space if necessary; 3) the support of the Yuma Police Department, who has likewise indicated interest in supporting a "task force" on firearms trafficking; 4) substantially impacting the flow of weapons illegally trafficked to Mexican drug cartels as well as gang members in California.

The U.S. Attorney for the District of Arizona is keenly interested in supporting a Southwest border project and has pledged the support of his satellite office located in Yuma.

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### Sierra Vista Satellite Office

In addition to the Yuma Field Office proposal, it is also strongly recommended that an ATF satellite office be opened at the same time in Sierra Vista, Arizona. Staffing recommendations for this office are as follows: 6 special agents (with at least 1 intelligence officer), 3 IOI's, and 1 K-9 team. This office could be managed as a satellite office from either Tucson Group II (geographically closest), or Yuma (farther away, but dedicated to the same border enforcement project).

Sierra Vista is preferable as a satellite office location for several reasons. Due to its central location between several border points of entry, the Sierra Vista satellite office can cover the POE's at Nogales, Naco and Douglas in under an hour's drive to each. Naco, Arizona, which is known to be heavily active in drug and firearms trafficking, is less than 30 minutes from Sierra Vista. In addition, smaller entry points at Lochiel, and Sasabe could likewise be accessed in a very timely manner.

The U.S. Border Patrol, ICE, DEA and FBI each have offices in Sierra Vista. The U.S. Army's Intelligence Center at Fort Huachuca is also located in Sierra Vista, establishing a strong government presence in the area. An air wing of Customs and Border Protection (CBP) is also based at Fort Huachuca. CBP air wing supervisors at that location have stated that their aircraft could be made available for ATF use anytime. Considering the fact that the focus of the Sierra Vista satellite office would be firearms interdiction, especially involving drug trafficking and related violence, this arrangement would certainly be to the advantage of both ATF and CBP.

Casual discussions with intelligence officials at Fort Huachuca have indicated that there may be enough office space available on the Army post at no charge to ATF. This is advantageous in that Fort Huachuca is a secured, guarded military facility which ensures the safety of ATF personnel and property.

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**Phase II****Nogales Satellite Office  
and  
Douglas Satellite Office**

Opening an additional satellite office at Nogales, which is the primary route into Mexico from central Arizona via Interstate 19, would decentralize the ATF presence in the Sierra Vista satellite office and place personnel directly at the points of entry. Douglas is a primary route for drug and firearm shipments along the eastern edge of Arizona, just across the border from Agua Prieta, Mexico. The advantages to this deployment are that ATF personnel would be immediately available to respond and investigate any seizures made by ICE/CBP at the major Arizona POE's, or participate with those agencies proactively in investigations involving suspects trafficking firearms and narcotics.

DEA has a large office at Rio Rico, located several miles north of Nogales, and the DEA ASAC has offered ATF office space at their location at no charge. This would only serve to strengthen an already excellent working relationship between ATF and DEA in southern Arizona, and would also serve to provide real-time ATF involvement in large-scale drug trafficking investigations should it be determined that firearms are involved.

**ATF K-9 Team Assigned To Project Iron River**

As part of the overall enforcement plan for this project, it is requested that an ATF K-9 team be dedicated to provide support to the firearms detection and interdiction process. A trained K-9 team can readily respond to outbound searches at the POE's, providing a basis for more in-depth searches of vehicles and persons. In the case of a vehicle that has been identified as a trafficking vehicle through CI information, surveillances, or other investigative means, the K-9 team can work the vehicle, thereby validating the information, further bolstering that the value of the evidence at trial.

The K-9 team would best suit the mission by being placed in Tucson, Arizona. This would enable the team to respond rapidly to call outs at the border, in Tucson or in Phoenix. The response time to Phoenix would be approximately 1 hour, and response times to the border would vary between 45 minutes to 2 hours depending on where the callout was issued. Also, placing the K-9 team in Tucson would enable the team to train and work with other K-9's from the same program. (Tucson PD and the University of Arizona both have ATF-trained K-9's, but utilizing them for a border enforcement initiative would be problematic at best).

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### Investigative Strategy for Project Iron River

Project Iron River is viewed as being a dedicated, standalone initiative, focused on the interdiction of firearms destined for drug trafficking organizations in Mexico, and impacting drug related firearms violent crime along Arizona's border. Except where absolutely necessary, agents assigned to this project should not be tasked with other duties that can be handled by one of the two enforcement groups in Tucson. Only this way can resources be directed appropriately, and measurable, meaningful results obtained.

#### **Intelligence**

It is envisioned that Project Iron River will actually adopt the methodologies, and will embody the ATF phrase "Intelligence-Led Policing". The resources that already exist within ATF are perfectly suited to this type of initiative, and are substantial enough to virtually guarantee its success.

Utilizing the resources of the Phoenix Intelligence Group, Headquarters intelligence resources, and at least one intelligence officer or analyst dedicated to this project on-site, investigative leads will be developed. Frequent contact and coordination with the intelligence components of the other partner agencies, [REDACTED] will ensure that only the most valuable targets are selected for investigation.

[REDACTED]

For preliminary intelligence purposes, an unofficial study was made of factors that make up the profile of the average purchaser of firearms that are recovered in Mexico, but purchased in Arizona (see graph, next page). From examining 164 trace records for firearms purchased in Arizona over a 2-year period that were later recovered in Mexico, the following common factors were observed:

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[REDACTED]

The average purchaser was 34 years of age. Among Hispanic males, the average age was 27.

Of the 164 firearms traced from Arizona, 35 were recovered in Distrito Federal (Mexico City), 19 in Jalisco, 18 in Sinaloa, 16 in Queretaro, 13 in Durango, 8 in Oaxaca, 7 in Michoacan, 7 in Nuevo Leon, 6 in Sonora, 5 in Chiapas, 5 in Chihuahua, 4 in Nayarit, 3 in Guerrero, 2 in Tamaulipas, and 1 in Baja California.

Utilizing all available resources should be a priority for this initiative. [REDACTED]

[REDACTED]

[REDACTED]

#### **Training Provided to State and Local Agencies**

ATF personnel assigned to this project should liaison with the local police departments, sheriff's offices, and the Arizona Department of Public Safety. Officers from these agencies are often the first point of contact with many firearms and narcotics traffickers, and can provide valuable information and cooperation. Arrangements should be made to provide training to state and local agencies regarding firearms trafficking methodologies, recognizing concealment methods on persons and in vehicles, and other common indicators that ATF agents look for when investigating a trafficking scheme. During initial contacts where an officer suspects an individual might be a possible trafficker, the field interview questions can be extremely important. Training should emphasize this critical area.

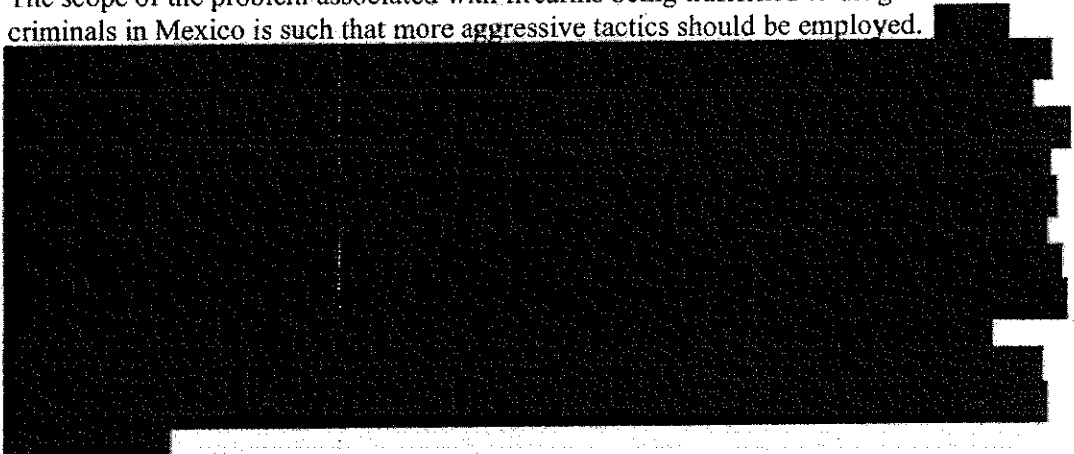
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Officers that encounter a suspected trafficker should attempt to determine where the vehicle is headed, then contact the local ATF office with the complete description of the vehicle, its occupants, and the basis for the officer's suspicion. The special agent can contact his or her ICE contact at the point of entry and arrange for an outbound inspection. The availability of a trained K-9 team for an outbound inspection will enhance the probability of locating and recovering evidence.

**Public Information Campaign**

The Phoenix Field Division Public Information Officer (PIO), in conjunction with assigned special agents and IOI's, should help design a public information campaign, specifically targeting the border FFL's, that educates and informs them about the risks of selling firearms to individuals they suspect of straw purchasing firearms for Mexican citizens, or others they believe may be taking the firearms to Mexico.

The scope of the problem associated with firearms being trafficked to drug cartels and criminals in Mexico is such that more aggressive tactics should be employed.



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**Tracing / NIBIN Agreements with ICE and Border Patrol**

[REDACTED]

[REDACTED]

[REDACTED]

**Conclusion**

This report has examined the major problems that exist along the Southwest U.S. border, particularly along Arizona's border with Mexico. Violence is a very real problem facing not only Arizona, but every state that shares a common border with Mexico. Drug cartels send untold numbers of associates to Arizona to acquire firearms to further their illegal enterprises. Criminals using U.S. source firearms have killed hundreds of people in the last several years during the ongoing battle for supremacy between Mexico's major drug cartels. The violence fueled by the drug trade has spilled over into the U.S., especially along the borders of Arizona and Texas. In fact, Arizona source firearms have been turning up in huge numbers in the region around Nuevo Laredo, currently ground zero in Mexico's drug war.

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This report and proposal is intended to illustrate the need to address a very real issue that impacts the Southwestern U.S. border. The citizens of Mexico, as well as the U.S. citizens along the border in four states, are inundated with drug related firearms violence. If the U.S. government is to have any significant impact, a national-scale, unified initiative headed by ATF, and dedicated to the common objective of stopping the illegal supply of firearms to Mexico is absolutely necessary. This initiative should certainly encompass all four U.S. border states, each working towards a single goal: to deny drug cartels the means to facilitate their growth in Mexico and the U.S. If funded appropriately, and given the necessary resources requested, cases will be made, and high value defendants will be prosecuted.

On a larger scale, this can only help ATF showcase its expertise and value in targeting the worst criminals and removing them from society. The success of Project Iron River can be shown as a model for future similar initiatives.

# APPENDIX



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**From:** (b) (7)(C)  
**Sent:** Wednesday, January 06, 2010 11:23 AM  
**To:** Gillett, George T. Jr.  
**Subject:** Additional Resources; I hope this is close to what you were looking for...? I can always change or add to it. - Thanks  
**Attachments:** briefing paper li.docx

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**Phoenix Field Division  
Phoenix Group VII (SWB Group)  
Briefing Paper on: 785115-10-0004**

**Background**

1. This investigation has currently identified more than [REDACTED] individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands. The straw-purchase suspects currently identified are associated with one another [REDACTED]

To date

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(September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico.

3. (b) (7)(A)

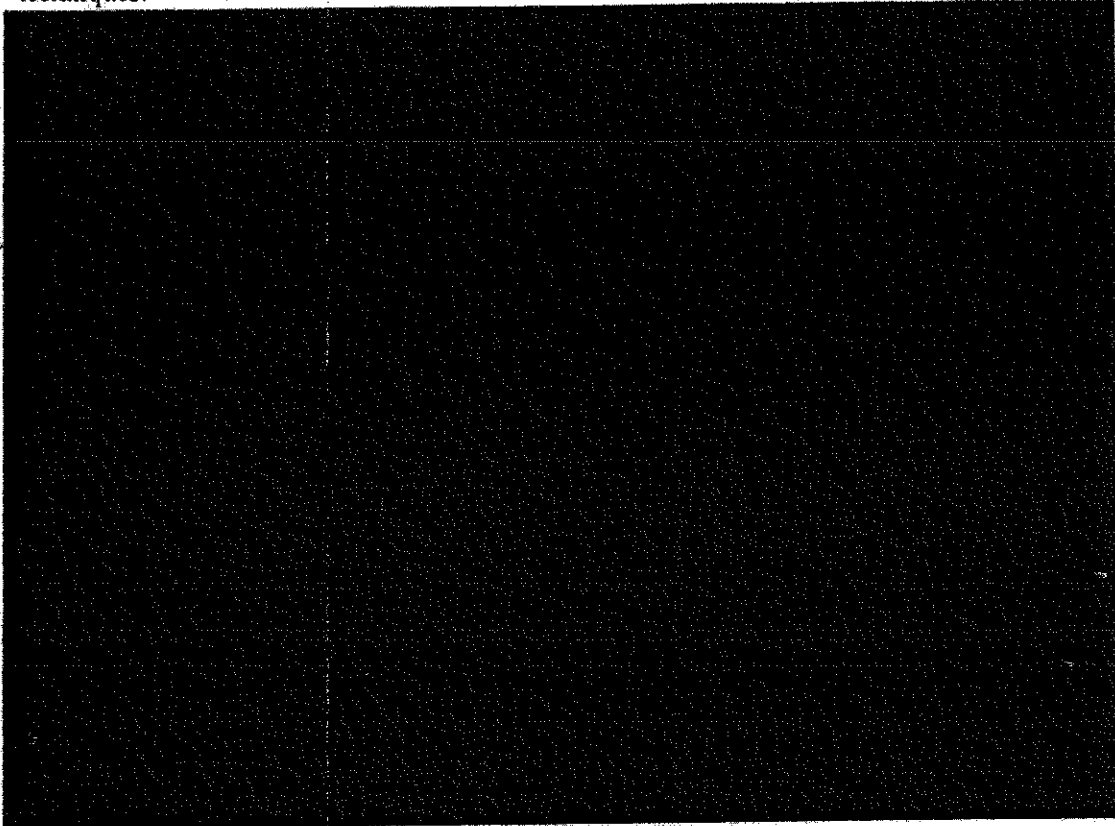
[REDACTED]  
[REDACTED] (b) (7)(A)  
[REDACTED] (b) (7)(A)

4. (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

5. [REDACTED]

Investigative Techniques Used to Date

6. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:



Strategy

7. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation. (b)(5) & (7(A))

(b) (7)(A)

8. (b)(5) & (7(A))  
(b)(5) & (7(A))  
(b)(5) & (7(A))

9. The ultimate goal is [REDACTED] to identify and prosecute all

(b) (5)

(b) (5)

#### Additional Resources

10. This investigation is a Southwest Border "Gunner" investigation initiated by Phoenix Group VII. Group VII did not exist in FY'09 and was newly formed FY'10 under the Southwest Border Strategy to focus on investigations involving the trafficking of firearms to Mexico. As such, Group VII currently is comprised of (b) (7)(E) Special Agents (b) (7)(E) and one Group Supervisor.

11. In order to conduct (b) (7)(E) Group VII is totally reliant on other groups and TFO to provide additional bodies. If those agents or TFO have other investigative priorities, (b) (7)(E) If one or more of the agents in Group VII is on leave or training we have 2-3 agents (b) (7)(E)

12. It is hereby respectfully requested that 6 additional special agents be brought in for an initial 30 day detail the purpose of aiding/assisting in this investigation, mainly in the capacity of surveillance. [REDACTED] will necessitate intensive, possibly round the clock, surveillance to prevent firearms from traveling south into the Country of Mexico. [REDACTED]

(b) (7)(E)

13. Additionally, these 6 agents will need vehicles, preferably rental vehicles enabling them to switch out vehicles so as not to get "burned," and communication devices. These communication devices would most likely need to be in the form of either Phoenix Police Department handheld radios or Nextel push-to-Talk cellular telephones.

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**From:** Newell, William D.  
**Sent:** Wednesday, October 20, 2010 11:42 AM  
**To:** McMahon, William G.  
**Subject:** Fast and Furious BP October 2010  
**Attachments:** [REDACTED] Oct write up for SAC.docx

As requested. Also, regarding the other "big" case SA (b) (7)(C) is working on, [REDACTED]

[REDACTED]

## Briefing Paper

Phoenix Field Division

Phoenix Group VII (OCDETF Strike Force/Gunrunner)

ATF Investigation 785115-10-0004

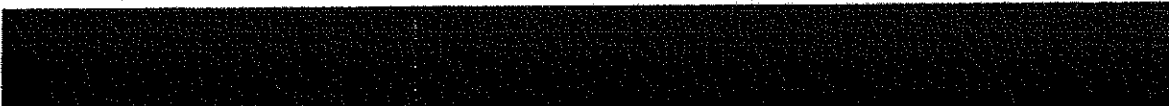
Operation: Fast & Furious, OCDETF No. SW-AZP-0496



### Case Background

The Phoenix Group VII Field Office is leading an investigation entitled "Operation Fast & Furious" in conjunction with Immigration and Customs Enforcement (ICE), Drug Enforcement Administration (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation, sponsored by ATF, was approved as an OCDETF case by the Southwest Region as SW-AZP-0496.

Since October 2009, ATF and the other partners listed above have been investigating a firearm trafficking organization that is being funded by the Sinaloa drug trafficking organization (DTO), a narcotics trafficking organization well known for violent criminal activity in Mexico. This organization is also involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into southern Arizona to be distributed throughout the United States. The OCDETF investigation of this organization is addressing violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

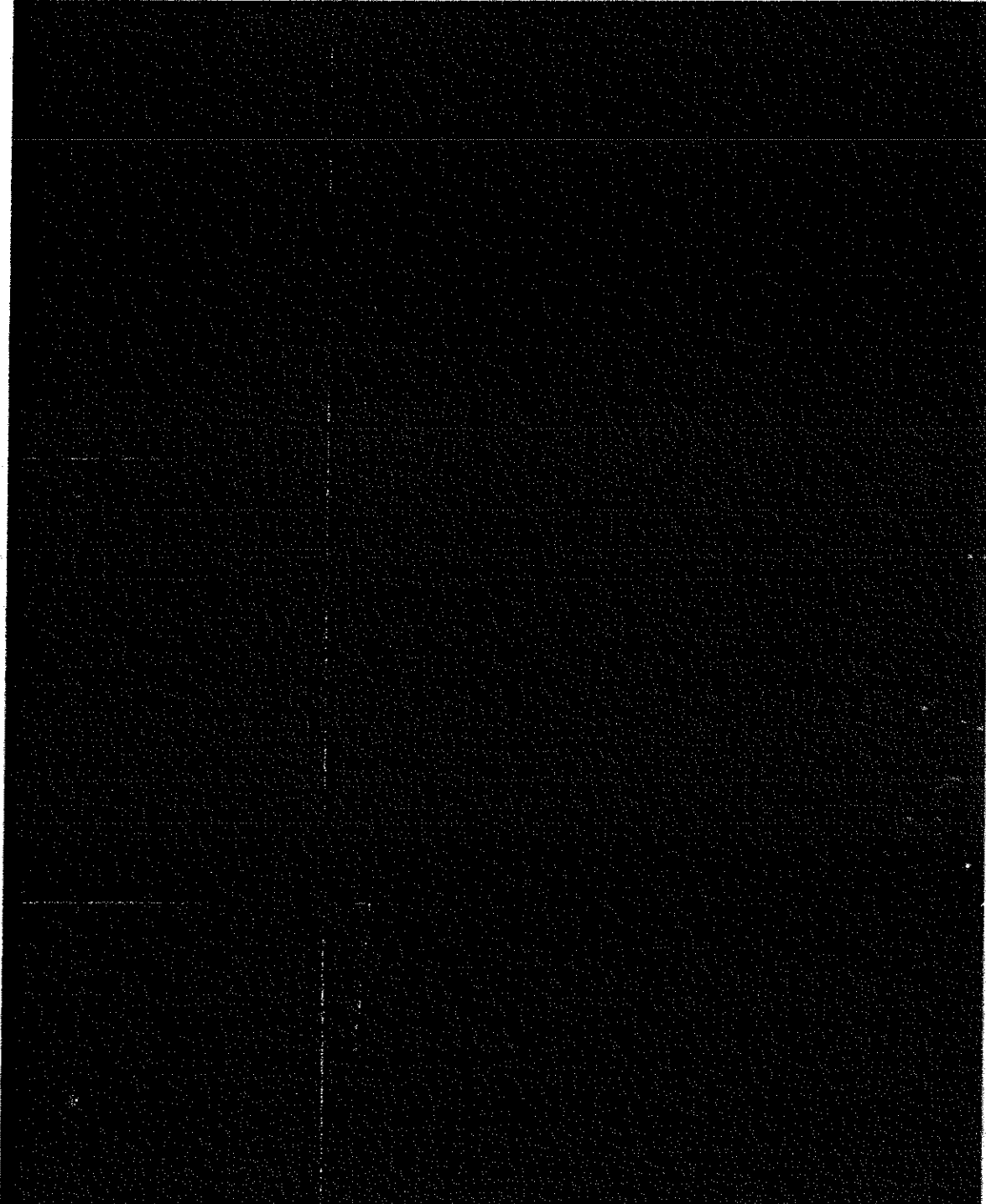
Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through "straw" purchasers using narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization spent approximately 1.25 million dollars in cash at various Phoenix area Federal Firearms Licensees to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico using false compartments in various vehicles through various international Ports of Entry in Arizona and Texas.

 Through these investigative techniques agents have been able to identify a large number of additional co-conspirators and disrupt the illegal activities of this firearms trafficking organization by seizing numerous firearms and narcotics. To date, over three hundred firearms and over fifty pounds of marijuana have been recovered by ATF agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

Phoenix Group VII agents, in conjunction with the investigative partners listed previously and the Arizona United States Attorney's Office, are in the process of transitioning to the prosecutorial phase of the investigation. Agents have identified over  members  of the firearms trafficking organization. (b)(5) & (7(A))

**(b)(5) & (7(A))**

List of Defendants Referred to USAO for Prosecution:



Proposed Charges:

1. (b) (5) [Redacted]
2. (b) (5) [Redacted]
3. (b) (5) [Redacted]
4. (b) (5) [Redacted]
5. (b) (5) [Redacted]
6. (b) (5) [Redacted]
7. (b) (5) [Redacted]
8. (b) (5) [Redacted]
9. (b) (5) [Redacted]
10. (b) (5) [Redacted]
11. (b) (5) [Redacted]
12. (b) (5) [Redacted]
13. (b) (5) [Redacted]
14. (b) (5) [Redacted]
15. (b) (5) [Redacted]



---

**From:** (b) (7)(C)  
**Sent:** Tuesday, October 19, 2010 3:19 PM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:** Briefing paper - 3 pages with; Case Background, defendants, list of charges.  
**Attachments:** Oct write up for SAC.docx

Mr. Newell,

Here it is, I hope it is what you are looking for. It is 3 pages with;

1. case background,
2. defendants submitted, and
3. proposed charges

Please advise if you need or want changes/additions.

Thanks,

(b) (7)(C)

## Phoenix Field Division

## Phoenix Group VII (SWB group)

Briefing Paper on ATF Investigation 785115-10-0004

Operation: Fast &amp; Furious, OCDETF No. SW-AZ-0496

Case Background

The Phoenix Group VII Field Office is conducting this investigation; Operation Fast & Furious, in conjunction with the Immigration and Customs Enforcement (ICE), Drug Enforcement Agency (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation, sponsored by ATF, was approved as an OCDETF case by the Southwest Region as SWAZP-0496.

Since October 2009, ATF, and other partners listed above, have been investigating a firearm trafficking organization that is being funded by a drug trafficking organization (DTO) that is involved in violent criminal activity in Mexico, Southern Arizona and many other regions of the United States. This organization is involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into Southern Arizona to be distributed throughout the United States. In support of this criminal activity the organization often uses violent/deadly enforcement tactics. These acts contribute to violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through straw purchasers using bulk narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization has spent approximately 1.25 million dollars in cash at various Phoenix area FFLs to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico using non-factory compartments in various vehicles through various Ports of Entry (POE's) in Arizona and Texas.

[REDACTED]

Through these investigative techniques, agents have been

(b) (7)(A)

fifty (50) pounds of marijuana have been recovered by ATF agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

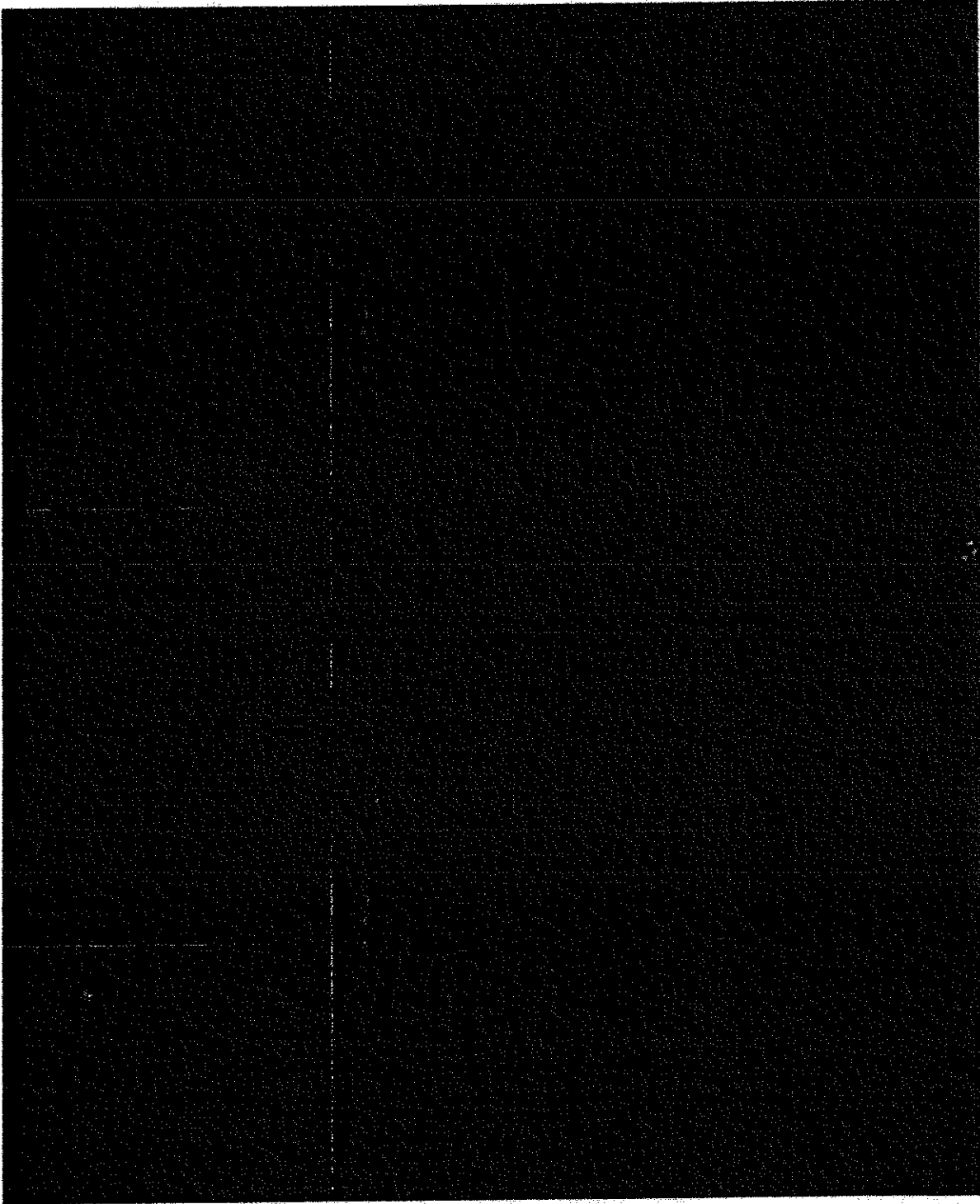
(b) (7)(A)

(b) (7)(A)

(b)(5) & (7)(A)

(b)(5) & (7)(A)

**List of Defendants Referred to USAO for Prosecution:**



Proposed Charges:

1. (b) (5) [Redacted]
2. (b) (5) [Redacted]
3. (b) (5) [Redacted]
4. (b) (5) [Redacted]
5. (b) (5) [Redacted]
6. (b) (5) [Redacted]
7. (b) (5) [Redacted]
8. (b) (5) [Redacted]
9. (b) (5) [Redacted]
10. (b) (5) [Redacted]
11. (b) (5) [Redacted]
12. (b) (5) [Redacted]
13. (b) (5) [Redacted]
14. (b) (5) [Redacted]
15. (b) (5) [Redacted]

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**From:** Newell, William D.  
**Sent:** Friday, December 03, 2010 4:12 PM  
**To:** McMahon, William G.  
**Cc:** (b) (7)(C)  
**Subject:** December Fast and Furious BP for SAC  
**Attachments:** December Fast and Furious BP for SAC.docx

FYI.



**Briefing Paper**

Phoenix Field Division

Phoenix Group VII (OCDETF Strike Force/Gunrunner)

ATF Investigation 785115-10-0004

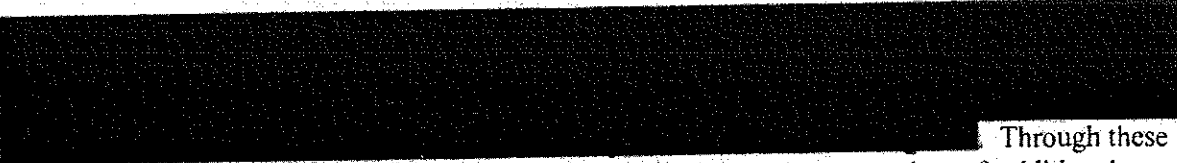
Operation: Fast &amp; Furious, OCDETF No. SW-AZP-0496


**Case Background**

The Phoenix Group VII Field Office is leading an investigation entitled "Operation Fast & Furious" in conjunction with Immigration and Customs Enforcement (ICE), Drug Enforcement Administration (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation was approved as an OCDETF case by the Southwest Region as SW-AZP-0496.

Since October 2009, ATF and the other partners listed above have been investigating a firearm trafficking organization that is being funded by the Sinaloa drug trafficking organization (DTO), a narcotics trafficking organization well known for violent criminal activity in Mexico. This organization is also involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into southern Arizona to be distributed throughout the United States. The OCDETF investigation of this organization is addressing violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through "straw" purchasers using narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization spent approximately 1.25 million dollars in cash at various Phoenix area Federal Firearms Licensees to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico using false compartments in various vehicles through various international Ports of Entry in Arizona and Texas.

 Through these investigative techniques agents have been able to identify a large number of additional co-conspirators and disrupt the illegal activities of this firearms trafficking organization by seizing numerous firearms and narcotics. To date, over three hundred firearms and over fifty pounds of marijuana have been recovered by agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

Over the past several weeks agents, in conjunction with the investigative partners and the United States Attorney's Office (USAO) have been preparing for the indictment of  individuals in this investigation. (b)(5) & (7(A))

**(b)(5) & (7(A))**

(b)(5) & (7(A)) [redacted] The reasons for this include [redacted]

[redacted] (b)(5) & (7(A)) [redacted]

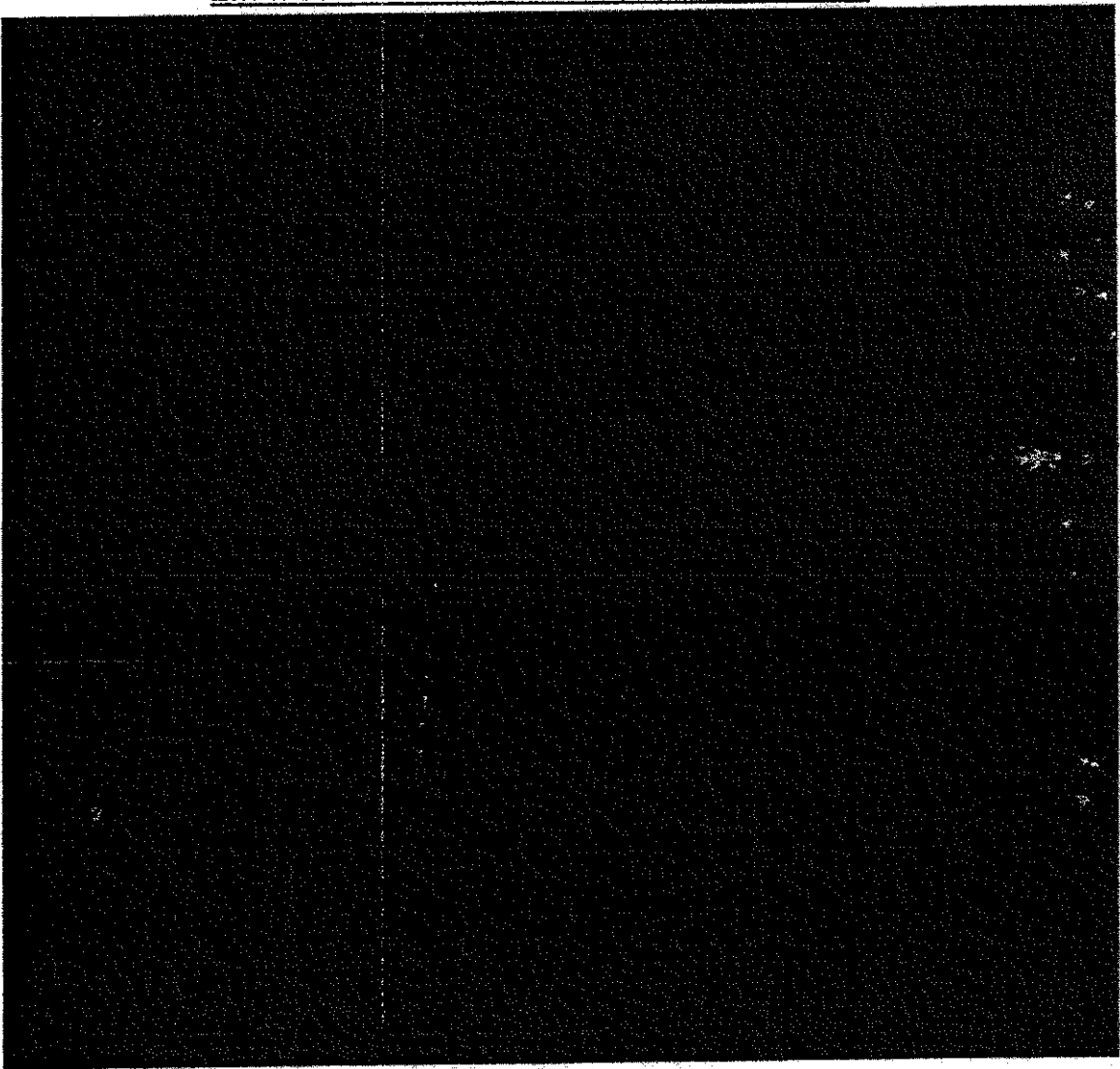
(b)(5) & (7(A)) [redacted] (b)(5) & (7(A)) [redacted]

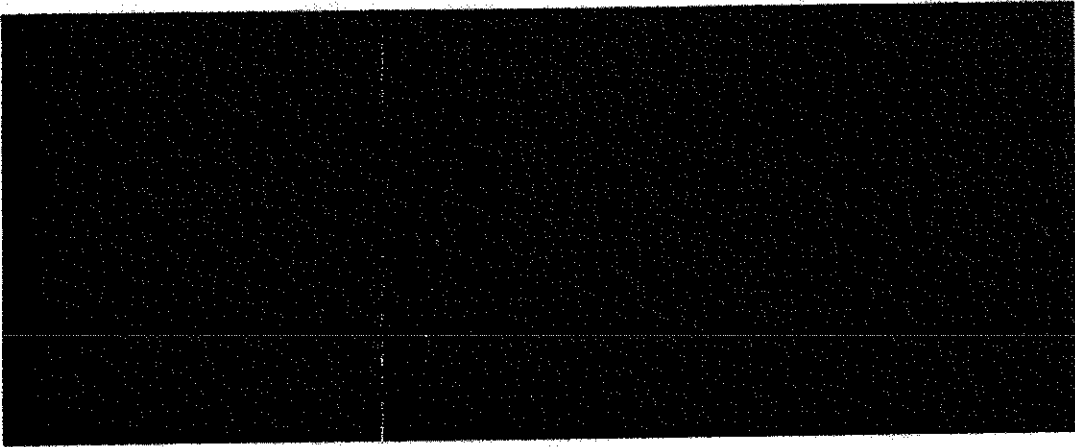
(b)(5) & (7(A)) [redacted]

On December 3<sup>rd</sup> SAC Newell and ASAC Needles met with USA Burke, Chief of Criminal Cunningham and lead the AUSA for this case and discussed all these matters.

It should be further noted that firearms purchasing and trafficking activity by this organization has subsided significantly since early October of this year. This is due to several factors not the least of which are proactive measures taken by the agents assigned to Phoenix Group VII.

List of Defendants Referred to USAO for Prosecution:





Proposed Charges:

1. (b) (5) [Redacted]
2. (b) (5) [Redacted]
3. (b) (5) [Redacted]
4. (b) (5) [Redacted]
5. (b) (5) [Redacted]
6. (b) (5) [Redacted]
7. (b) (5) [Redacted]
8. (b) (5) [Redacted]
9. (b) (5) [Redacted]
10. (b) (5) [Redacted]
11. (b) (5) [Redacted]



12. (b) (5) [Redacted]

13. (b) (5) [Redacted]

14. (b) (5) [Redacted]

15. (b) (5) [Redacted]

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**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 16, 2010 11:03 AM  
**To:** (b) (7)(C)  
**Cc:** Newell, William D.; Needles, James R.  
**Subject:** Murder of Border Patrol Agent

Folks –

I wanted to take a moment to thank each of you, as well as your personnel, for the outstanding work yesterday in the aftermath of U.S. Border Patrol Agent Brian Terry's murder. Shootings where law enforcement officers are wounded or killed are always difficult, especially around the holidays, and I know that each of you wanted to contribute in the aftermath of this tragic course of events. SAC Newell, ASAC Needles and I thank you for your diligence and selfless dedication to duty. As a result of your efforts and the efforts of your personnel, the individual that straw-purchased two of the firearms recovered from this crime scene has been arrested and is facing Federal firearms charges. Moreover, [REDACTED] Thank you again, and please extend our appreciation to all involved in yesterday's events.

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Office: [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Friday, July 16, 2010 3:11 PM  
**To:** Newell, William D.  
**Subject:** RE: Solicitud OEPA/047/2010

Yes sir, (b)(7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A)

**From:** Newell, William D.  
**Sent:** Friday, July 16, 2010 10:34 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Solicitud OEPA/047/2010  
**Importance:** High

This was a seizure in Navojoa, Sonora on July 4<sup>th</sup>. It wasn't in eTrace yet so I asked (b) (7)(C) to get me the info. The Sonoran PGR office report is attached listing all the firearms data, a little hard to read but you can make it out. Just curious if any of the Fast and Furious firearms are there.

Bill Newell  
 Special Agent in Charge  
 Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
 Phoenix Field Division (Arizona and New Mexico)  
 Office [REDACTED]

**From:** [REDACTED]  
**Sent:** Friday, July 16, 2010 9:18 AM  
**To:** Newell, William D.  
**Subject:** FW: Solicitud OEPA/047/2010  
**Importance:** High

Bill, aquí te mando la información que me solicitaste con respecto a un aseguramiento en Navojoa, Son. El día 4 de Julio de 2010.

**From:** [REDACTED] [mailto:[REDACTED]@pgr.gob.mx]  
**Sent:** Friday, July 16, 2010 9:01 AM  
**To:** [REDACTED]  
**Subject:** RV: Solicitud OEPA/047/2010  
**Importance:** High

[REDACTED]  
 Titular de la Oficina de Enlace de PGR

Phoenix Arizona.  
 201 E WASHINGTON ST.  
 SUITE #940 PHOENIX AZ. 85004  
 Ofis. [REDACTED]  
 Cel. [REDACTED]  
 Next [REDACTED]  
 Email. [REDACTED]@pgr.gob.mx y [REDACTED]@atf.gov

De: [REDACTED]  
 Enviado el: jueves, 15 de julio de 2010 05:57 p.m.  
 Para: [REDACTED]  
 Asunto: RV: Solicitud OEPA/047/2010

Estimado [REDACTED]:

Adjunto te envío el dictamen solicitado, así como la tarjeta generada con motivo de este asunto.

Quedo al pendiente para cuando se defina lo del curso.

Saludos cordiales

Atte

"Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009"

Estimado Licenciado, que gusto de volver a escribirle, aunque sea solicitándole su auxilio para obtener información, le comento que su servidor ha estado fuera del país y del continente por un mes y una semana, motivo por el cual no había tenido la oportunidad de saludarlo, sin embargo estoy preparando un curso de especialistas en desactivación y reconocimiento de artefactos explosivos caseros, para el personal de PGR y otros en el estado de Sonora, en cuanto se concrete me pondré en contacto con usted nuevamente.

Por otro lado le quería pedir de favor si se me pudiera proporcionar una Tarjeta Informativa y el dictamen pericial de las armas aseguradas en un evento el día **4 de Julio 2010 en el Municipio de Navojoa, Son., donde elementos del ejército al revisar un vehículo pick up chevrolet silverado 2005 color blanco con placas [REDACTED] encontraron 25 fusiles tipo AK 47 entre otras cosas.**

Sin mas por el momento le envío un cordial saludo.

[REDACTED]  
 Titular de la Oficina de Enlace de PGR

Phoenix Arizona.  
 201 E WASHINGTON ST.  
 SUITE #940 PHOENIX AZ. 85004

Ofis. [REDACTED]

Cel. [REDACTED]

Next [REDACTED]

Email. [REDACTED]@pgr.gob.mx y [REDACTED]@atf.gov

"Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009"

"Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009"

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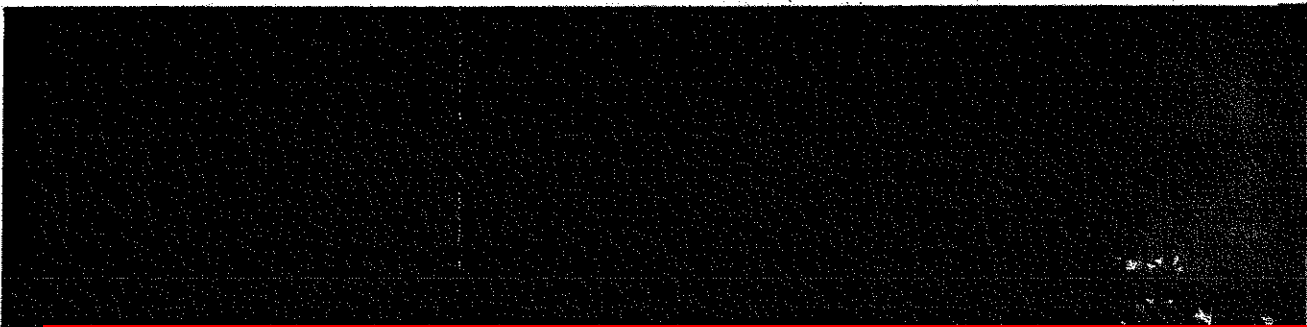
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**From:** McMahon, William G.  
**Sent:** Thursday, June 03, 2010 3:26 PM  
**To:** Newell, William D.  
**Subject:** RE: Fast and Furious update


10-4 thanks.

---

**From:** Newell, William D.  
**Sent:** Thursday, June 03, 2010 3:24 PM  
**To:** McMahon, William G.  
**Subject:** Fast and Furious update



- 4. (b)(7)(A) & (C)
- 5. (b)(5), (b)(7)(A)

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
Office - 

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**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, February 10, 2010 10:58 AM  
**To:** (b) (7)(C)  
**Cc:** Needles, James R.; Newell, William D.  
**Subject:** Fw: Synopsis of investigation

(b) (7)(C)

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** McMahon, William G.  
**To:** Gillett, George T. Jr.  
**Cc:** Newell, William D.  
**Sent:** Wed Feb 10 10:57:04 2010  
**Subject:** Re: Synopsis of Investigation

Guys,

Also, anything new to report on this case? I have to brief Billy and the Director today.

Thanks,

Bill

\*\*\*

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**From:** Gillett, George T. Jr.  
**To:** McMahon, William G.  
**Cc:** Newell, William D.; Needles, James R.; (b) (7)(C)  
**Sent:** Tue Feb 09 11:45:35 2010  
**Subject:** Synopsis of investigation  
 Bill -

Per SAC Newell, I am forwarding a brief synopsis of an approved OCDETF investigation being conducted by Phoenix VII. The case agent is SA (b) (7)(C) the GS is (b) (7)(C)



Please advise if you have any further questions.

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Office: [REDACTED]



---

**From:** (b) (7)(C)  
**Sent:** Thursday, February 25, 2010 6:59 PM  
**To:** Newell, William D.; Gillett, George T. Jr.  
**Subject:** Going to DC?

Gentlemen;

I have unofficially been informed that while in the DC area next week to attend the DEA SOD meeting I will be asked to stay a day extra to go to ATF HQ and attend a briefing to Mr. Hoover (and others obviously) regarding our current case. I am sure you are aware but I just thought I would make sure.

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, March 04, 2010 7:19 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Friday SWB briefing

**Categories:** Fast and Furious

FYI -

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**From:** Hoover, William J.  
**Sent:** Thursday, March 04, 2010 5:19 PM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: Friday SWB briefing

Thanks G. Will do!

William J. Hoover  
Executive Assistant Director  
O) [REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, March 04, 2010 6:55 PM  
**To:** Hoover, William J.  
**Subject:** Friday SWB briefing

Hey Billy -

I hope all is well with you. I don't know if you will be attending the SWB briefing tomorrow at 1:00 PM your time, but one of my Group Supervisors (b) (7)(C) will be there. He's overseeing the OCDETF straw-purchase investigation with the guns going to Mexico. He's a new supervisor, and he's doing a fantastic job. If you're there, please ask him the really difficult questions; hopefully, he'll have the answers.... ☺ Actually, I know he'll have the answers - he was a Marine, too.

Take care,

George

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 10, 2010 6:00 PM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: Fast and Furious Briefing and (b) (7)(C)

Thank you sir!

---

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, March 10, 2010 3:32 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Fast and Furious Briefing and (b) (7)(C)

FYI –

As I said, you hit the ball out of the park.

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 10, 2010 9:29 AM  
**To:** Newell, William D.; Gillett, George T. Jr.; Needles, James R.  
**Subject:** Fast and Furious Briefing and (b) (7)(C)

Gentlemen – forgive my tardiness in reaching out to you all, but just wanted to pass on my thanks to (b) (7)(C) for staying out here at the end of last week and participating in the briefing for the VTC. He's definitely a solid guy and absolutely made an impact on the briefing. The higher ups in Field Ops probably reacted most favorably to (b) (7)(C) portions of the briefing and his overall insight. Thanks again for sending him out this way, and please pass on my compliments one more time. Take care.

(b) (7)(C)  
Chief, Criminal Intel Div  
[REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Friday, April 02, 2010 1:31 PM  
**To:** (b) (7)(C); USAAZ; Gillett, George T. Jr.  
**Cc:** Phoe-Group VII  
**Subject:** No pressure but perhaps an increased sense of urgency...

### MEXICO STATS

958 killed in March 2010 (Most violent month since 2005)

937 killed in January 2010

842 killed in December 2009

### SINALOA - MARCH STATISTICS

187 murders in March, including 11 policemen

I hope this e-mail is well received in that it is not intended to imply anything other than that the violence in Mexico is severe and without being dramatic we have a sense of urgency with regards to this investigation. Our subjects

(b)(3) (Public Law 111-117) & (7)(A)

to account the entire scope of the conspiracy would be ill advised to the overall good of the mission. I acknowledge that we are all in agreement that to do so properly requires patience and planning. In the event however that there is anything we can do to facilitate a timely response or turnaround by others we should communicate our sense of urgency with regard to this matter.

Thanks for everyone's continued support in this endeavor,

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

---

**From:** Champion, Robert R.  
**Sent:** Thursday, February 25, 2010 12:25 PM  
**To:** Chait, Mark R.; McMahon, William G.; Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:** FW: (b) (7)(C) investigation synopsis

FYI

Follow up from last night ref. the El Paso connection.

**Robert R. Champion**  
**Special Agent in Charge**  
**U. S. Department of Justice**  
**Bureau of Alcohol, Tobacco, Firearms and Explosives**  
**Dallas Field Division**  
[Redacted]

---

**From:** (b) (7)(C)  
**Sent:** Thursday, February 25, 2010 10:08 AM  
**To:** Champion, Robert R.  
**Subject:** RE: (b) (7)(C) investigation synopsis

Mr. Champion,

[Redacted]

[Redacted]

---

**From:** Champion, Robert R.  
**Sent:** Thursday, February 25, 2010 8:46 AM  
**To:** (b) (7)(C) Chisholm, Kenneth L.  
**Subject:** FW: (b) (7)(C) investigation synopsis

(b) (7)(C)

See the string of e-mails below and please get me the answer.

Thank you,

**Robert R. Champion**  
**Special Agent in Charge**  
**U. S. Department of Justice**  
**Bureau of Alcohol, Tobacco, Firearms and Explosives**  
**Dallas Field Division**  
[REDACTED]

---

**From:** Chait, Mark R.  
**Sent:** Wednesday, February 24, 2010 9:21 PM  
**To:** Newell, William D.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Subject:** Re: (b) (7)(C) investigation synopsis

Were the guys in Texas the couriers ? Thanks  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*  
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**From:** Newell, William D.  
**To:** Chait, Mark R.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 22:17:21 2010  
**Subject:** Re: (b) (7)(C) investigation synopsis

(b) (7)(A) [REDACTED]

(b) (7)(A) [REDACTED]

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*  
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---

**From:** Chait, Mark R.  
**To:** Champion, Robert R.; Newell, William D.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 21:42:46 2010  
**Subject:** Fw: (b) (7)(C) investigation synopsis

Champ/Bill - do you know the connection to the Phoenix case and any subjects identified in Mexico? Thanks  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*  
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---

**From:** Hoover, William J.  
**To:** Chait, Mark R.; Melson, Kenneth E.  
**Sent:** Wed Feb 24 21:36:35 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

Mark,

Are we going any further with this investigation? Did we l'd the buyer in Mx? Etc.....

Billy  
 William J. Hoover  
 Assistant Director  
 Bureau of ATF

(O [REDACTED])  
 (C [REDACTED])

\*\*\*  
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---

**From:** Chait, Mark R.  
**To:** Melson, Kenneth E.; Hoover, William J.  
**Sent:** Wed Feb 24 21:02:44 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

Ken - below are some details of the case involving the (b) (7)(A) in Texas.  
 Mark R. Chait  
 Acting Assistant Director  
 Field Operations

\*\*\*  
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---

**From:** Champion, Robert R.  
**To:** Chait, Mark R.; McMahon, William G.; Webb, J. Dewey  
**Sent:** Wed Feb 24 20:57:09 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

FYI,

Here is the follow-up on the investigation with more detail.  
 Let me know if you need anything else.

Thanks,

Robert R. Champion  
 Special Agent in Charge

Dallas Field Division  
469-227-4300

\*\*\*  
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---

**From:** (b) (7)(C)  
**To:** Champion, Robert R.  
**Cc:** (b) (7)(C)  
**Sent:** Wed Feb 24 20:24:07 2010  
**Subject:** RE: (b) (7)(C) Investigation synopsis

[REDACTED]

The Case agent subsequently learned (b) (7)(A) an on-going investigation being conducted by the Phoenix VII Field Office.

On 01/21/2010 Al (b) (7)(A) were transported to the Department of Public Safety Laboratory in El Paso, Texas to be entered into the NIBIN system.

(b) (7)(C) was indicted on 02/10/2010 and is currently in custody awaiting trial.

---

**From:** Champion, Robert R.  
**Sent:** Wednesday, February 24, 2010 5:46 PM  
**To:** (b) (7)(C)  
**Subject:** Re: (b) (7)(C) Investigation

(b) (7)(C)

Can you provide me some more detail on the case.

Thank you,

Robert R. Champion  
Special Agent in Charge  
Dallas Field Division  
[REDACTED]

\*\*\*  
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---

**From:** (b) (7)(C)  
**To:** (b) (7)(C) Champion, Robert R.  
**Sent:** Wed Feb 24 19:36:26 2010  
**Subject:** (b) (7)(C) Investigation

(b) (7)(C)  
The case number for the investigation involving (b) (7)(A) that are currently being entered into NIBIN by the Department of Public Safety is 781035 (b) (7)(A)  
Let me know what additional information is needed.

S/A (b) (7)(C)  
El Paso Field Office  
OFC [REDACTED]  
FAX [REDACTED]

**From:** Gillett, George T. Jr.  
**Sent:** Monday, December 14, 2009 8:39 AM  
**To:** Newell, William D.  
**Subject:** Fw: Latest Trafficking Case

**Importance:** High

Who's supporting who??

George T. Gillett  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 Cell: [REDACTED]

\*\*\*

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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Mon Dec 14 08:03:51 2009  
**Subject:** Latest Trafficking Case

George – I know my folks made contact last week while I was away from the office. DAD McMahon has tasked us with doing a link analysis on (b) (7)(C) case, in order for him to brief AD Chait, who will in turn brief a DOJ Attorney on new SWB operational plans and use this case as an example. Sorry, if this word did not come down the field ops chain to you all.

My folks are under a time crunch, need to print out link analysis by tomorrow (Tuesday). They still need the Suspect Gun List that NTC provided (b) (7)(C) at the end of last week, in order to complete their chart. Can you please remind her to send it to (b) (7)(C) [REDACTED] Much appreciated.

Call me if you have questions or concerns. [REDACTED] is cell.

(b) (7)(C)  
 Chief, Criminal Intel Div  
 [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Sunday, December 13, 2009 11:53 PM  
**To:** (b) (7)(C)  
**Subject:**

I HAVE NOT RECEIVED THE SUSPECT GUN LIST FROM PHOENIX WITH THE PURCHASERS NAMES IN ORDER TO COMPLETE YOUR REQUEST.

(b) (7)(C)

**TEAM LEADER**

Field Intelligence Support Team - Southwest Border  
 Bureau of Alcohol, Tobacco, Firearms and Explosives  
 Intelligence Operations Specialist

Office: [REDACTED]  
Cell: [REDACTED]  
Fax: [REDACTED]  
Email: [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Monday, April 26, 2010 8:09 PM  
**To:** Newell, William D.  
**Subject:** FW: SAC write up 04 26 2010  
**Attachments:** SAC write up 04 26 2010.docx

Bill,

Attached is a one page update from (b) (7)(C)

(b) (7)(C)

*Bureau of ATF  
Phoenix Field Division  
Supervisor, Phoenix V*  
[REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Monday, April 26, 2010 4:20 PM  
**To:** (b) (7)(C)  
**Subject:** SAC write up 04 26 2010

785115-10-0004, (b) (7)(C) This is an approved ATF OCDEF investigation (#

SWAZP0496) (b) (7)(A)

Thus far in the investigation (b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A)

(b) (7)(A)

[Redacted text block]

[Redacted text block]

[Redacted text block]

[Redacted text block]

[Redacted text block]

---

**From:** Newell, William D.  
**Sent:** Tuesday, January 11, 2011 9:31 AM  
**To:** Needles, James R.; Gillett, George T. Jr.; Mangan, Thomas G.  
**Subject:** Acting Dir Melsón coming for F/F press conf

Just advised he's coming for the 25th press conf. Let's discuss today.

\*\*\*

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**From:** Newell, William D.  
**Sent:** Thursday, February 25, 2010 7:00 PM  
**To:** (b) (7)(C); Gillett, George T. Jr.  
**Subject:** Re: Going to DC?

Just don't get suckered into staying. Let's discuss more tomorrow.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** Newell, William D.; Gillett, George T. Jr.  
**Sent:** Thu Feb 25 18:58:34 2010  
**Subject:** Going to DC?  
Gentlemen;

I have unofficially been informed that while in the DC area next week to attend the DEA SOD meeting I will be asked to stay a day extra to go to ATF HQ and attend a briefing to Mr. Hoover (and others obviously) regarding our current case. I am sure you are aware but I just thought I would make sure.

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

**From:** Newell, William D.  
**Sent:** Thursday, January 14, 2010 7:52 PM  
**To:** McMahon, William G.  
**Subject:** Latest in Phoenix "Fast and Furious" F/As trafficking case

Yesterday El Paso PD hit a "stash house" on information that it had dope/money/guns, etc. (b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b) (7)(C)

(b) (7)(A)

[Redacted]

Our two offices are talking and we are trying to get all the info on the "stash house" since (b)(7)(A) & (C) (b) (7)(A)

(b) (7)(A) (b) (7)(A)

Mexico. We are working this "fast and furious", good news being we got another (b) (7)(A) off the street and can keep our case going. Hopefully the big bosses realize we are doing everything possible to prevent guns going into Mexico while at the same time trying to put together a phenomenal case. Good news too is now we have

(b) (5)

[Redacted]

[Redacted]

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
Office - [Redacted]

(b)(3) (Public Law 111-117) & (7)(A) [Redacted] (b)(3) (Public Law 111-117) & (7)(A)

[Redacted] (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) [Redacted] (b)(3) (Public Law 111-117) & (7)(A)

[Redacted] (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) [Redacted] (b)(3) (Public Law 111-117) & (7)(A)

[Redacted] (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) [Redacted] (b)(3) (Public Law 111-117) & (7)(A)



(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
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(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)
(b)(3) (Public Law 111-117) & (7)(A)	(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

From: (b) (7)(C)  
Sent: Thursday, January 14, 2010 5:12 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: s/ns for last night

The case agents from El Paso and Phoenix are already talking. I went to the AUSA's office and looked over the affidavit for the 2 AKs at the Port. Right now, (b) (5)

Here are the serial number for last night's seizure:

RomArm, GP/WASR 10/63:

- 1. [Redacted]
- 2. [Redacted]
- 3. [Redacted]
- 4. [Redacted]
- 5. [Redacted]

Century Arms, Model M70AB2:

- 6. [Redacted]
- 7. [Redacted]
- 8. [Redacted]
- 9. [Redacted]
- 10. [Redacted]
- 11. [Redacted]
- 12. [Redacted]
- 13. [Redacted]
- 14. [Redacted]
- 15. [Redacted]
- 16. [Redacted]
- 17. [Redacted]
- 18. [Redacted]
- 19. [Redacted]
- 20. [Redacted]
- 21. [Redacted]
- 22. [Redacted]
- 23. [Redacted]
- 24. [Redacted]
- 25. [Redacted]
- 26. [Redacted]
- 27. [Redacted]
- 28. [Redacted]
- 29. [Redacted]
- 30. [Redacted]
- 31. [Redacted]

32. [REDACTED]  
33. [REDACTED]  
34. [REDACTED]  
35. [REDACTED]  
36. [REDACTED]  
37. [REDACTED]  
38. [REDACTED]  
39. [REDACTED]  
40. [REDACTED]

(b) (7)(C)  
ATF EPIC Branch Chief  
Office: [REDACTED]  
Fax: [REDACTED]

---

**From:** Newell, William D.  
**Sent:** Wednesday, February 24, 2010 10:18 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Fw: (b) (7)(C) investigation synopsis

Alert (b) (7)(C) to this and coordinate with (b) (7)(C) at EPIC. I'll talk to Champ.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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---

**From:** Newell, William D.  
**To:** Chait, Mark R.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 22:17:21 2010  
**Subject:** Re: (b) (7)(C) investigation synopsis

(b) (7)(A)

(b) (7)(A)

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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---

**From:** Chait, Mark R.  
**To:** Champion, Robert R.; Newell, William D.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 21:42:46 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

Champ/Bill - do you know the connection to the Phoenix case and any subjects identified in Mexico? Thanks  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*

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**From:** Hoover, William J.  
**To:** Chait, Mark R.; Melson, Kenneth E.  
**Sent:** Wed Feb 24 21:36:35 2010  
**Subject:** Re: (b) (7)(C) investigation synopsis

Mark,

Are we going any further with this investigation? Did we l'd the buyer in Mx? Etc.....

Billy  
William J. Hoover  
Assistant Director  
Bureau of ATF

(O) [REDACTED]  
(C) [REDACTED]

\*\*\*

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---

**From:** Chait, Mark R.  
**To:** Melson, Kenneth E.; Hoover, William J.  
**Sent:** Wed Feb 24 21:02:44 2010  
**Subject:** Fw: (b) (7)(C) investigation synopsis

Ken - below are some details of the case involving the (b) (7)(A) in Texas.  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*

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---

**From:** Champion, Robert R.  
**To:** Chait, Mark R.; McMahon, William G.; Webb, J. Dewey  
**Sent:** Wed Feb 24 20:57:09 2010  
**Subject:** Fw: (b) (7)(C) investigation synopsis

FYI,

Here is the follow-up on the investigation with more detail.  
Let me know if you need anything else.

Thanks,

Robert R. Champion  
Special Agent in Charge  
Dallas Field Division  
[REDACTED]

\*\*\*

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**From:** (b) (7)(C)  
**To:** Champion, Robert R.  
**Cc:** (b) (7)(C)  
**Sent:** Wed Feb 24 20:24:07 2010  
**Subject:** RE: (b) (7)(C) Investigation synopsis

[REDACTED]

The Case agent subsequently learned (b) (7)(A) an on-going investigation being conducted by the Phoenix VII Field Office.

On 01/21/2010 All (b) (7)(A) were transported to the Department of Public Safety Laboratory in El Paso, Texas to be entered into the NIBIN system.

(b) (7)(C) was indicted on 02/10/2010 and is currently in custody awaiting trial.

**From:** Champion, Robert R.  
**Sent:** Wednesday, February 24, 2010 5:46 PM  
**To:** (b) (7)(C)  
**Subject:** Re: (b) (7)(C) Investigation

(b) (7)(C)

Can you provide me some more detail on the case.

Thank you,

Robert R. Champion  
Special Agent in Charge  
Dallas Field Division

\*\*\*

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**From:** (b) (7)(C)  
**To:** (b) (7)(C) Champion, Robert R.

**Sent:** Wed Feb 24 19:36:26 2010

**Subject:** (b) (7)(C) Investigation

(b) (7)(C)

The case number for the investigation involving the (b) (7)(A) type rifles that are currently being entered into NIBIN by the Department of Public Safety is 781035 (b) (7)(A)

Let me know what additional information is needed.

S/A (b) (7)(C)

El Paso Field Office

OFC [REDACTED]

FAX: [REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, February 25, 2010 12:29 PM  
**To:** (b) (7)(C)  
**Subject:** Fw: (b) (7)(C) investigation synopsis

Would you please address this? I'm out of the loop.

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*  
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---

**From:** Newell, William D.  
**To:** Gillett, George T. Jr.; (b) (7)(C)  
**Sent:** Thu Feb 25 12:27:32 2010  
**Subject:** Fw: (b) (7)(C) investigation synopsis

FYI - are we getting all this info from the El Paso office?  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*  
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---

**From:** Champion, Robert R.  
**To:** Chait, Mark R.; McMahon, William G.; Newell, William D.  
**Cc:** (b) (7)(C)  
**Sent:** Thu Feb 25 12:25:28 2010  
**Subject:** FW: (b) (7)(C) Investigation synopsis

FYI

Follow up from last night ref. the El Paso connection.

Robert R. Champion  
Special Agent in Charge  
U. S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Dallas Field Division  
[REDACTED]



**From:** (b) (7)(C)  
**Sent:** Thursday, February 25, 2010 10:08 AM  
**To:** Champion, Robert R.  
**Subject:** RE: (b) (7)(C) Investigation synopsis

Mr. Champion,

[REDACTED]

[REDACTED]

**From:** Champion, Robert R.  
**Sent:** Thursday, February 25, 2010 8:46 AM  
**To:** (b) (7)(C) Chisholm, Kenneth L.  
**Subject:** FW: (b) (7)(C) Investigation synopsis

(b) (7)(C)

See the string of e-mails below and please get me the answer.

Thank you,

**Robert R. Champion**  
**Special Agent in Charge**  
**U. S. Department of Justice**  
**Bureau of Alcohol, Tobacco, Firearms and Explosives**  
**Dallas Field Division**

[REDACTED]

**From:** Chait, Mark R.  
**Sent:** Wednesday, February 24, 2010 9:21 PM  
**To:** Newell, William D.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Subject:** Re: (b) (7)(C) Investigation synopsis

Were the guys in Texas the couriers ? Thanks  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*

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**To:** Chait, Mark R.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 22:17:21 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

(h) (7)(A)  
 (b) (7)(A)

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 Cell [REDACTED]

\*\*\*

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Champ/Bill - do you know the connection to the Phoenix case and any subjects identified in Mexico? Thanks  
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 Field Operations

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**To:** Chait, Mark R.; Melson, Kenneth E.  
**Sent:** Wed Feb 24 21:36:35 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

Mark,

Are we going any further with this investigation? Did we l'd the buyer in Mx? Etc.....

Billy  
 William J. Hoover  
 Assistant Director  
 Bureau of ATF

(O [REDACTED])  
 (C [REDACTED])

\*\*\*

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**Sent:** Wed Feb 24 21:02:44 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

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**From:** Champion, Robert R.  
**To:** Chait, Mark R.; McMahon, William G.; Webb, J. Dewey  
**Sent:** Wed Feb 24 20:57:09 2010  
**Subject:** Fw: (b) (7)(C) investigation synopsis

FYI,

Here is the follow-up on the investigation with more detail.  
Let me know if you need anything else.

Thanks,

Robert R. Champion  
Special Agent in Charge  
Dallas Field Division  
[REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** Champion, Robert R.  
**Cc:** (b) (7)(C)  
**Sent:** Wed Feb 24 20:24:07 2010  
**Subject:** RE: (b) (7)(C) investigation synopsis

[REDACTED]

The Case agent subsequently learned that the firearms recovered were purchased by an individual identified as part of an on-going investigation being conducted by the Phoenix VII Field Office.

On 01/21/2010 All (b) (7)(A) were transported to the Department of Public Safety Laboratory in El Paso, Texas to be entered into the NIBIN system.

(b) (7)(C) was indicted on 02/10/2010 and is currently in custody awaiting trial.

---

**From:** Champion, Robert R.  
**Sent:** Wednesday, February 24, 2010 5:46 PM  
**To:** (b) (7)(C)  
**Subject:** Re: Sandoval Investigation

(b) (7)(C)

Can you provide me some more detail on the case.

Thank you,

Robert R. Champion  
Special Agent in Charge  
Dallas Field Division  
[REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** (b) (7)(C) Champion, Robert R.  
**Sent:** Wed Feb 24 19:36:26 2010  
**Subject:** (b) (7)(C) Investigation

(b) (7)(C) The case number for the investigation involving the (b) (7)(A) type rifles that are currently being entered into NIBIN by the Department of Public Safety is 781035-(b) (7)(A). Let me know what additional information is needed.

S/A (b) (7)(C)  
El Paso Field Office  
OFC [REDACTED]  
FAX: [REDACTED]

**From:** Newell, William D.  
**Sent:** Saturday, February 13, 2010 10:11 PM  
**To:** Gillett, George T. Jr.  
**Cc:** Needles, James R.  
**Subject:** Re: Fast and Furious update

Good, more evidence of straw purchasing.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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----- Original Message -----

**From:** Gillett, George T. Jr.  
**To:** Newell, William D.  
**Cc:** Needles, James R.  
**Sent:** Sat Feb 13 20:58:31 2010  
**Subject:** Fast and Furious update

[REDACTED] (b) (7)(A)  
bought the gun and [REDACTED] (b)(7)(A) & (C)

[REDACTED] We'll advise if the  
gun moves south from this point.

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

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---

**From:** Gillett, George T. Jr.  
**Sent:** Sunday, December 13, 2009 1:24 PM  
**To:** Newell, William D.  
**Subject:** Re: (b) (7)(C) case status?

At least (b) (7)(A) of the guns recently recovered in TJ are from (b) (7)(C) purchasers.

The OCDETF proposal was drafted yesterday.

Total purchased last week (including yesterday) were approximately (b) (7)(A)

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

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----- Original Message -----

**From:** Newell, William D.  
**To:** Gillett, George T. Jr.  
**Sent:** Sun Dec 13 13:14:35 2009  
**Subject:** (b) (7)(C) case status?

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 3:38 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Ref Operation The Fast and the Furious Funding Memo Draft  
**Attachments:** OCDETF SWAZP-0496 Funding Memo.doc

(b) (7)(C)

(b) (7)(C) asked if there are any changes by SAC Newell, ASAC Gillett, or you please let me know so I can notify her right away.

Thank you,

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 12:58 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Ref Operation The Fast and the Furious Funding Memo Draft

(b) (7)(C)

Good work! Please have your GS review and send it to the Division for SAC's signature. The signed memo should be forwarded to:

ATF  
505 South Flagler Drive  
Suite 402  
West Palm Beach, FL 33401  
Attn: SA (b) (7)(C)

Please let me know if you have further questions.  
Thanks. (b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 12:25 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Ref Operation The Fast and the Furious Funding Memo Draft

(b) (7)(C)

I made the corrections. Please let me know if I should change or add anything else.

Thank you,

(b) (7)(C)

**From:** (b) (7)(C) USATXS [mailto: [REDACTED]@usdoj.gov]  
**Sent:** Wednesday, February 24, 2010 10:31 AM  
**To:** (b) (7)(C) [REDACTED]  
**Cc:** (b) (7)(C) [REDACTED]  
**Subject:** FW: Ref Operation The Fast and the Furious Funding Memo Draft

(b) (7)(C)

Hope all is well with you....

I have reviewed your funding memo and I suggest the following minor corrections:

Page 2, Lease of Investigative Equipment-rental vehicle for \$20,000 (marked with a yellow highlighter)-SOD will not allow rental vehicles any more unless there are exigent circumstances....

[REDACTED]

Page 3, State&Local overtime is separate from the OCDETF operational funds. It strictly comes from US Attorney's office; therefore, it should be eliminated from the memo and we will execute a different paper work for S&L overtime.

(b) (7)(C) please call me when you make these corrections. We can discuss and I will try answer if you have any questions.  
Thank you.

SA (b) (7)(C)  
ATF OCDETF Coordinator  
Southwest Region  
USAO [REDACTED]  
CELL [REDACTED]

---

**From:** (b) (7)(C) [REDACTED]  
**To:** (b) (7)(C) [REDACTED]  
**Sent:** Wed Feb 24 11:35:08 2010  
**Subject:** Ref Operation The Fast and the Furious Funding Memo Draft

(b) (7)(C)

I have attached a draft of my OCDETF funding memo for Operation The Fast and the Furious that I will be submitting to GS (b) (7)(C) [REDACTED]

Thanks,

(b) (7)(C)

Special Agent (b) (7)(C)  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Phoenix - Group VII

(Desk)  
(Fax)  
(Cell)



February 24, 2010

785115 (b) (7)(C)  
3530

MEMORANDUM TO: Chief, Special Operations Divisions

FROM: Special Agent in Charge  
Phoenix Field DivisionSUBJECT: OCDETF Funding Request (Initial)  
Operation The Fast and The Furious, [REDACTED]  
[REDACTED]

This memorandum serves as a request for OCDETF funding in the amount of **\$123,300.00** for the investigation entitled Operation The Fast and The Furious. The Phoenix Group VII Field Office is conducting this investigation in conjunction with the Immigration and Customs Enforcement (ICE), Drug Enforcement Agency (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation, sponsored by ATF, was approved as an OCDETF case by the Southwest Region as SWAZP-0496. There has been no previous funding request for this investigation.

During the past several months, ATF, ICE, DEA, IRS, and PPD have been investigating a firearm trafficking organization that is being funded by a drug trafficking organization (DTO) that is involved in violent criminal activity in Mexico, Southern Arizona and many other regions of the United States. This organization is involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into Southern Arizona to be distributed throughout the United States. In support of this criminal activity the organization often uses violent/deadly enforcement tactics. These acts contribute to violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through straw purchasers using bulk narcotics proceeds. The firearms are then being trafficked into Mexico using non-factory compartments in various vehicles through various Ports of Entry (POE's) in Arizona and Texas. Since the ATF case was initiated, agents have identified

(b) (7)(A)

September 2009. [REDACTED] and other

Chief, Special Operations Division

2

investigative techniques are being utilized in an attempt to further the investigation. There have been (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

## OPERATIONAL FUNDING CATEGORIES AND JUSTIFICATION

### AGENT CASHIER

#### PURCHASE OF EVIDENCE

During this investigation, agents have utilized (b) (7)(E) as an investigative technique. Agents may need funds to obtain additional (b) (7)(E)

(b) (7)(E)

It is estimated funds in the amount of \$300.00 would be needed to acquire (b) (7)(E)

**Total Agent Cashier – \$300.00**

### MISSION TRAVEL

It is anticipated that travel funds including airfare, a rental vehicle and an approximate per diem rate of \$211.00 a day will be needed for at least ten temporary duty agents on a thirty day detail that are needed to assist with moving surveillance. To date, firearm recoveries related to the case have occurred in Mexico, Arizona and Texas. It is also anticipated that funds for travel to other geographic locations by multiple agents for cases related to Operation The Fast and the Furious will be necessary.

**Total Mission Travel - \$95,000.00**

### INVESTIGATIVE SERVICES

#### LEASE OF INVESTIGATIVE EQUIPMENT

Based on surveillance of the targets, agents have determined that the suspected targets actively purchase firearms from various Federal Firearms Licensees (FFL's) throughout the day and utilize various firearm drop/stash locations. (b) (7)(E)

(b) (7)(E)

(b) (7)(E)

Agents are requesting (b) (7)(E) estimated cost of \$7,500.00. The cost of (b) (7)(E) To facilitate communications between surveillance units, agents are requesting funds to lease (b) (7)(E) telephones, estimated cost \$5,000.00.

Chief, Special Operations Division 3

[REDACTED]

[REDACTED]

**Total Investigative Services - \$28,000.00**

A total of **\$123,300.00** in ATF OCDETF operational funding is requested for use in furtherance of this investigation. If you have any questions regarding this OCDETF funding request, please contact Group Supervisor (b) (7)(C) Phoenix Group VII Field Office, at [REDACTED], or ATF Special Agents (b) (7)(C) at [REDACTED] or (b) (7)(C) at [REDACTED].

This funding request has been reviewed and approved by the OCDETF Coordinator, Southwest Region.

William Newell  
Special Agent in Charge  
Phoenix Field Division

**From:** Newell, William D.  
**Sent:** Sunday, February 14, 2010 11:19 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Re: SRT Operational Plan Draft (SRT-10-099)

(b) (7)(E) I told HQ we had plenty of surveillance (loosely the truth) but that we may end  
 (b) (7)(E) After that we'll have to wait for the gun to get seized

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 Cell: [REDACTED]

\*\*\*  
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**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C); Newell, William D.; (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Sun Feb 14 22:27:38 2010  
**Subject:** Re: SRT Operational Plan Draft (SRT-10-099)

(b) (7)(C)

The Op Plan is approved. Thank you!

George

George T. Gillett  
 ASAC - Phoenix Field Division  
 Cell: [REDACTED]

\*\*\*  
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**From:** (b) (7)(C)  
**To:** Newell, William D.; Gillett, George T. Jr.; (b) (7)(C); (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Sun Feb 14 21:05:45 2010  
**Subject:** SRT Operational Plan Draft (SRT-10-099)  
 I hope everyone is having a wonderful Valentine's Day.

Attached is the SRT Operational Plan Draft (SRT-10-099) for the anticipated [REDACTED] operation scheduled to take place around noon on Wednesday February 17<sup>th</sup>. Please review this at your convenience and contact me with any questions or concerns that you may have and I will address them. This is mostly a duplication of the previously approved

operational plan (SRT-10-86) with the primary changes being that of dates and personnel. Once I receive your Division's approval I will forward this operational plan to SOD for briefing to the appropriate DAD.

Thanks again for requesting the SRT's assistance in this investigation and we look forward to working with you all in the future.

S/A (b) (7)(C)  
ATF-Special Response Team 3

(O) [REDACTED]  
(F) [REDACTED]  
(C) [REDACTED]

From: (b) (7)(C)  
Sent: Monday, March 08, 2010 12:34 PM  
To: Gillett, George T. Jr.; Newell, William D.  
Subject: FW: Good Hit!!!! (b) (7)(C) Possession of (b) (7)(C) firearm.

(b)(3) (Public Law 111-117) & (7)(A)

From: (b) (7)(C)  
Sent: Monday, March 08, 2010 9:03 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C) (b) (7)(C) (b) (7)(C) (b) (7)(C)  
Subject: Good Hit!!!! (b) (7)(C) Possession of (b) (7)(C) firearm.

(b) (7)(C)

I came across a trace this morning from the "First Look" pull we get. Anyways, a firearm came back to (b) (7)(C) (sp in the trace (b) (7)(C)). (b) (3) (Public Law 111-117) (b) (3) (Public Law 111-117)  
(b) (3) (Public Law 111-117)

DEPARTMENT OF JUSTICE  
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES  
NATIONAL TRACING CENTER  
Phone: (800) 788-7133 Fax: (800) 578-7223  
Print Date: March 08, 2010



FIREARMS TRACE SUMMARY

FTS ID: (b) (3) (Public Law 111-117) Request Date: (b) (3) (Public Law 111-117)

(b) (3) (Public Law 111-117)

Badge No:  
Investigation No:

FIREARM INFORMATION

Manufacturer: (b) (3) (Public Law 111-117)  
Model: (b) (3) (Public Law 111-117)  
Caliber: (b) (3) (Public Law 111-117)  
Serial Number: (b) (3) (Public Law 111-117)  
Type: (b) (3) (Public Law 111-117)  
Country: (b) (3) (Public Law 111-117)  
Importer: (b) (3) (Public Law 111-117)

PURCHASER INFORMATION

Purchase Date: (b) (3) (Public Law 111-117)

DOB: (b) (3) (Public Law 111-117)  
POB: (b) (3) (Public Law 111-117)  
Race: (b) (3) (Public Law 111-117) Height: (b) (3) (Public Law 111-117)  
Sex: (b) (3) (Public Law 111-117) Weight: (b) (3) (Public Law 111-117)  
ID 1: (b) (3) (Public Law 111-117) # (b) (3) (Public Law 111-117)  
ID 2: (b) (3) (Public Law 111-117) # (b) (3) (Public Law 111-117)

Obliterated:  
Identifying Marks:  
NIBIN:  
Gang Name:

RECOVERY INFORMATION

Recovery Date: (b) (3) (Public Law 111-117)  
Time to Crime: (b) (3) (Public Law 111-117)

Possessor: (b) (3) (Public Law 111-117)  
DOB: (b) (3) (Public Law 111-117)

POB:

## DEALER INFORMATION

FFL Number:

(b) (3) (Public Law 111-117)  
(b) (3) (Public Law 111-117)

Phone: (b) (3) (Public Law 111-117) Ship Date: (b) (3) (Public Law 111-117)

## ADMINISTRATIVE INFORMATION

## SUMMARY OF RESULTS

(b) (3) (Public Law 111-117)

The information in this report must be validated prior to use in any criminal proceedings. This report does not constitute a fulfillment of the Interstate Nexus requirement in any Federal, State or Administrative legal process or litigation. That expert testimony or Interstate Nexus determination is made through trained experts who must be contacted at the local ATF Field Office or Field Division Directorate.

## Sensitive but Unclassified - For Official Use Only

Two Suspected Tijuana Cartel Members Arrested in Mexico

MEXICO CITY – Two suspected Tijuana cartel members who took control of part of the criminal organization following last month's arrest of kingpin Teodoro Garcia Simental have been detained by Federal Police officers in La Paz, a city in Mexico's Baja California Sur state, the Public Safety Secretariat said.

Jose Manuel Garcia Simental, the brother of Teodoro Garcia Simental, and Raydel Lopez Uriarte were arrested on Monday, the secretariat said.

Teodoro Garcia Simental, known as "El Teo" and accused of hundreds of killings, was arrested on Jan. 12.

Simental was a long-time member of the Tijuana drug cartel, which was formed by the Arellano Felix brothers.

El Teo and Fernando Sanchez Arellano, a nephew of the Arellano Felix brothers, reportedly fought for two years for control of the cartel, the majority of whose former leaders are in prison or dead.

El Teo got the support of Sinaloa cartel leader Joaquin "El Chapo" (Shorty) Guzman in his war with Fernando Sanchez Arellano.

The border city of Tijuana and surrounding areas have been the scene of numerous murders in a war for control of turf and smuggling routes.

Jose Manuel Garcia Simental and Lopez Uriarte had links to both the Tijuana cartel and the Sinaloa cartel, the secretariat said.

"After the capture of El Teo, his brother, Jose Garcia Simental, was in charge of drug trafficking operations, getting support from the group led by Raydel Lopez Uriarte," who was the right-hand man of El Teo, the Public Safety Secretariat said.

Jose Manuel Garcia Simental and Lopez Uriarte were among the "most-wanted suspects of the governments of Mexico and the United States," the secretariat said.



The Tijuana drug cartel has branched out from the drug trade over the years, engaging in other criminal activities, such as kidnappings.

There had been reports in recent years that El Teo was running one of the factions of the cartel, which is considered one of the largest criminal organizations in Mexico.

---

**From:** Martin, Steve K.  
**Sent:** Thursday, February 25, 2010 8:48 AM  
**To:** Newell, William D.  
**Subject:** RE: (b) (7)(C) investigation synopsis

**Yep. As a matter of fact (b) (7)(C) is putting together some visuals to show the connections. Just let us know.**

---

**From:** Newell, William D.  
**Sent:** Thursday, February 25, 2010 8:30 AM  
**To:** Martin, Steve K.  
**Subject:** Re: (b) (7)(C) investigation synopsis

Amen. If I set up a briefing for Billy and Mark will you lead it? As we discussed yesterday our Phoenix case is now "pinging" off several other cases and I strongly believe your shop has a great handle on the "big picture". I'll write the BP as requested but this briefing would be much better if done with visuals.

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 Cell: [REDACTED]

\*\*\*  
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**From:** Martin, Steve K.  
**To:** Newell, William D.  
**Sent:** Thu Feb 25 07:57:09 2010  
**Subject:** Re: (b) (7)(C) investigation synopsis

We try to stay out of ops lane--and sometimes we get the hairy eyeball when we get in it. [REDACTED]

There are simple things that I assume they know, [REDACTED] but you know what they say about ass/u/me.  
 Steve Martin-DAD-OSII/ATF

\*\*\*  
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---

**From:** Newell, William D.  
**To:** Martin, Steve K.  
**Sent:** Thu Feb 25 00:06:10 2010  
**Subject:** Fw: (b) (7)(C) investigation synopsis



Have Mark and Billy not been briefed? I thought they were?

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*  
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**From:** Chait, Mark R.  
**To:** Newell, William D.  
**Sent:** Wed Feb 24 22:47:10 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

Ok I may need a map to keep it straight. I would like to get an updated BP to keep the DD appraised. Thanks Bill.  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*  
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**From:** Newell, William D.  
**To:** Chait, Mark R.  
**Sent:** Wed Feb 24 22:24:05 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

(b) (7)(A)

(b)(7)(A) & (C)

[REDACTED] This link was not known before

and was a surprise to us - a good one.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*  
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**From:** Chait, Mark R.  
**To:** Newell, William D.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 22:20:39 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

Were the guys in Texas the couriers ? Thanks  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*  
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---

**From:** Newell, William D.  
**To:** Chait, Mark R.; Champion, Robert R.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 22:17:21 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

(b)(7)(A) & (E)

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 Cell: [REDACTED]

\*\*\*  
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---

**From:** Chait, Mark R.  
**To:** Champion, Robert R.; Newell, William D.  
**Cc:** McMahon, William G.  
**Sent:** Wed Feb 24 21:42:46 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

Champ/Bill - do you know the connection to the Phoenix case and any subjects identified in Mexico? Thanks  
 Mark R. Chait  
 Acting Assistant Director  
 Field Operations

\*\*\*  
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**From:** Hoover, William J.  
**To:** Chait, Mark R.; Melson, Kenneth E.  
**Sent:** Wed Feb 24 21:36:35 2010  
**Subject:** Re: (b) (7)(C) Investigation synopsis

Mark,

Are we going any further with this investigation? Did we I'd the buyer in Mx? Etc.....

Billy  
 William J. Hoover  
 Assistant Director  
 Bureau of ATF

(O [REDACTED])  
 (C [REDACTED])

\*\*\*

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**From:** Chait, Mark R.  
**To:** Melson, Kenneth E.; Hoover, William J.  
**Sent:** Wed Feb 24 21:02:44 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

Ken - below are some details of the case involving the (b) (7)(A) in Texas.  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*

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**From:** Champion, Robert R.  
**To:** Chait, Mark R.; McMahon, William G.; Webb, J. Dewey  
**Sent:** Wed Feb 24 20:57:09 2010  
**Subject:** Fw: (b) (7)(C) Investigation synopsis

FYI,

Here is the follow-up on the investigation with more detail.  
Let me know if you need anything else.

Thanks,

Robert R. Champion  
Special Agent in Charge  
Dallas Field Division  
[Redacted]

\*\*\*

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**From:** (b) (7)(C)  
**To:** Champion, Robert R.  
**Cc:** (b) (7)(C)  
**Sent:** Wed Feb 24 20:24:07 2010  
**Subject:** RE: (b) (7)(C) Investigation synopsis

[Large redacted block]

[REDACTED]

(b) (7)(A)

On 01/21/2010 All (b) (7)(A) were transported to the Department of Public Safety Laboratory in El Paso, Texas to be entered into the NIBIN system.

(b) (7)(C) was indicted on 02/10/2010 and is currently in custody awaiting trial.

**From:** Champion, Robert R.  
**Sent:** Wednesday, February 24, 2010 5:46 PM  
**To:** (b) (7)(C)  
**Subject:** Re: (b) (7)(C) investigation

(b) (7)(C)

Can you provide me some more detail on the case.

Thank you,

Robert R. Champion  
 Special Agent in Charge  
 Dallas Field Division  
 [REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** (b) (7)(C) Champion, Robert R.  
**Sent:** Wed Feb 24 19:36:26 2010  
**Subject:** (b) (7)(C) investigation

(b) (7)(C)

The case number for the investigation involving the 40 AK-47 type rifles that are currently being entered into NIBIN by the Department of Public Safety is 781035. (b) (7)(A)

Let me know what additional information is needed.

S/A (b) (7)(C)  
 El Paso Field Office  
 OFC [REDACTED]  
 FAX [REDACTED]

---

From: (b) (7)(C)  
Sent: Wednesday, March 16, 2011 3:08 PM  
To: Gillett, George T. Jr.  
Subject: RE: F/F Summary Chart

George



F&F Summary.xlsx

Attached is the updated firearms recovery chart that cites the following.

- Indicted targets that purchased firearms
- Date targets were entered into N-Force (identified)
- Number of firearms purchased prior to date of entry
- Number of firearms purchased after date of entry
- Number of firearms purchased after date of entry and recovered in the U.S.

Please advise if you have any questions or require additional information.

Additionally, IRS (b) (7)(C) is preparing a similar chart for the Operation Wide Receiver investigation. This chart is expected to be completed today.

(b) (7)(C) - Senior IRS  
Acting Intel Group Supervisor  
[REDACTED] - Direct  
[REDACTED] - Mobile  
[REDACTED] - Fax

-----Original Message-----

From: Gillett, George T. Jr.  
Sent: Wednesday, March 16, 2011 7:07 AM  
To: (b) (7)(C)  
Subject: Fw: F/F chart

Pls see the SAC's comments.

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*\*\*

NOTICE: This e-mail message and any attached files are intended solely for the use of the addressee(s) named above in connection with official business. This communication may contain Sensitive But Unclassified information that may be statutorily or otherwise prohibited from being released without appropriate approval. Any review, use, or dissemination of this e-mail message and any attached file(s) in any form outside of the Bureau of Alcohol, Tobacco, Firearms & Explosives or the Department of Justice without express authorization is strictly prohibited.

----- Original Message -----

From: Newell, William D.

To: Needles, James R.; Gillett, George T. Jr.

Sent: Wed Mar 16 08:57:11 2011

Subject: F/F chart

Did (b) (7)(C) finish the F/F chart showing a breakdown of how many of the (b) (7)(A) we seized? Also, the Wide Receiver chart? Need them asap. Thanks

\*\*\*\*\*

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F&F Summary.xlsx

Indicted targets (Purchasers)	Date identified (entered) in investigation	Firearms purchased before target was identified (entered) in investigation	Firearms purchased after target was identified (entered) in investigation	Firearms recovered in U.S. after target was identified (entered) in investigation	TOTALS
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					
(b)(3) (Public Law 111-117) & (7)(A) & (C)					

(b) (7)(C)

A/GS Phoenix FIG

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From: (b) (7)(C)  
Sent: Friday, March 19, 2010 10:47 PM  
To: Gillett, George T. Jr.  
Subject: The week in review

George,

The following is a approximate update of this weeks activities in review. If I have omitted something it is due to the busy schedule not intentional;

[REDACTED]

(b)(7)(A) & (C) On

(b)(7)(A) & (C)

(b)(7)(A) & (E)

[REDACTED]

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII



---

From: (b) (7)(C)  
Sent: Tuesday, March 16, 2010 7:03 PM  
To: Gillett, George T. Jr.  
Subject: update

(b)(7)(A) & (C)

surveillance guys/gals have been on them all day and are going a great job.

Further we received the (b) (7)(E) this afternoon and have pushed them out to the surveillance units; people are loving them so far!

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII

---

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, March 16, 2010 1:44 PM  
**To:** (b) (7)(C)  
**Subject:** RE: update

Excellent. Good work and my compliments to the personnel that are working.

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 16, 2010 10:43 AM  
**To:** Gillett, George T. Jr.  
**Subject:** update

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b) (7)(A)

(b) (7)(E) They must be putting a special order together?

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, December 15, 2010 7:47 PM  
**To:** Newell, William D.  
**Cc:** Needles, James R.  
**Subject:** Fw: U.S. Border Patrol Agent killed in the line of duty - Two firearms recovered by ATF

Bill -

FYI -

George T. Gillett  
 ASAC - Phoenix Field Division  
 Cell: [REDACTED]

\*\*\*  
 NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C) [REDACTED]  
**To:** (b) (7)(C) [REDACTED]  
**Cc:** Gillett, George T. Jr.; Needles, James R.  
**Sent:** Wed Dec 15 19:45:03 2010  
**Subject:** U.S. Border Patrol Agent killed in the line of duty - Two firearms recovered by ATF

The two firearms recovered by ATF this afternoon near Rio Rico, Arizona, in conjunction with the shooting death of U.S. Border Patrol agent Terry were identified as 'Suspect Guns' in the Fast and Furious investigation 785115-10-0004.

The firearms are identified as follows:

Romarm/CUGIR, 762 rifle, Model GP WASR 10/63, serial number [REDACTED]  
 Romarm/CUGIR, 762 rifle, Model GP WASR 10/63, serial number [REDACTED]

CS (b) (7)(C) contact me late this afternoon requesting Intel assistance in the tracing of two recovered firearms.

I initiated an urgent firearms trace requests on both of the firearms and then contacted the NTC to ensure the traces were conducted today.

I was advised by the NTC that the firearms were entered into ATF Suspect Gun database by SA (b) (7)(C) and associated to the Fast and Furious investigation. The NTC further advised that on

(b)(3) (Public Law 111-117) & (7)(A) & (C) [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A) & (C) [REDACTED]. 1

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 6:46 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Re: Nogales Shooting

Boss Thus far these are the only two that have been identified that I know. Very difficult to reach these guys roaming the hills. I will find out. From talking with (b) (7)(C) sounds like they are out in the middle of nowhere. He had to climb a ridge for air service. Talked to she will call with trace results. From Fast and Furious

\*\*\*

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**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Cc:** Newell, William D.  
**Sent:** Wed Dec 15 18:31:58 2010  
**Subject:** Re: Nogales Shooting

Thank you, (b) (7)(C) Are these two in addition to the gun already recovered this morning?

George T. Gillett  
ASAC - Phoenix Field Division  
Cell: [REDACTED]

\*\*\*

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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Wed Dec 15 18:26:40 2010  
**Subject:** Re: Nogales Shooting

George. I am still here at the CISEN Intel meeting at TPD. I just talked (b) (7)(C) out in Nogales in the hills. They have two AKs WASHRs from CAI. I have called (b) (7)(C) to trace the guns. She has initiated an urgent. I am the poc for the traces

\*\*\*

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---

**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C) Newell, William D.  
**Sent:** Wed Dec 15 12:02:14 2010  
**Subject:** RE: Nogales Shooting

Thanks (b) (7)(C) I appreciate the update.

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 9:54 AM  
**To:** Gillett, George T. Jr.  
**Cc:** (b) (7)(C)  
**Subject:** Nogales Shooting

Just talked to an FBI agent, the BP did recovered an AK47, and they will provide us the info. We will conduct an urgent trace immediately after we get the info- if they haven't already through other channels.

(b) (7)(C)

Cell [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 7:45 PM  
**To:** (b) (7)(C)  
**Cc:** Gillett, George T. Jr.; Needles, James R.  
**Subject:** U.S. Border Patrol Agent killed in the line of duty - Two firearms recovered by ATF

The two firearms recovered by ATF this afternoon near Rio Rico, Arizona, in conjunction with the shooting death of U.S. Border Patrol agent Terry were identified as 'Suspect Guns' in the Fast and Furious investigation 785115-10-0004.

The firearms are identified as follows:

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 Romarm/CUGIR, 762 rifle, Model GP WASR 10/63, serial number [REDACTED]

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I initiated an urgent firearms trace requests on both of the firearms and then contacted the NTC to ensure the traces were conducted today.

I was advised by the NTC that the firearms were entered into ATF Suspect Gun database by SA (b) (7)(C) and associated to the Fast and Furious investigation. The NTC further advised that on

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A) & (C) [REDACTED]. I

Should you have any questions or need further assistance, please contact me.

(b) (7)(C) - Senior IRS  
 Acting Intel Group Supervisor  
 [REDACTED]  
 - Direct  
 - Mobile  
 - Fax

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, December 15, 2010 11:24 AM  
**To:** All Phoenix Exchange  
**Subject:** U.S. Border Patrol Agent killed in the line of duty

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**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 6:27 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Re: Nogales Shooting

George. I am still here at the CISEN Intel meeting at TPD. I just talked (b) (7)(C) in Nogales in the hills. They have two AKs WASHRs from CAI. I have called (b) (7)(C) to trace the guns. She has initiated an urgent. I am the poc for the traces

\*\*\*

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---

**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C); Newell, William D.  
**Sent:** Wed Dec 15 12:02:14 2010  
**Subject:** RE: Nogales Shooting  
Thanks (b) (7)(C) appreciate the update.

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 9:54 AM  
**To:** Gillett, George T. Jr.  
**Cc:** (b) (7)(C)  
**Subject:** Nogales Shooting

Just talked to an FBI agent, the BP did recovered an AK47, and they will provide us the info. We will conduct an urgent trace immediately after we get the info- if they haven't already through other channels.

(b) (7)(C)

Cell [REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 16, 2010 11:37 AM  
**To:** (b) (7)(C)  
**Cc:** Newell, William D.  
**Subject:** Firearms recovered

(b) (7)(C)

Would you please identify the number of weapons recovered yesterday and clarify whether there are 2 or 3 firearms in evidence at this point. The SAC is forwarding a briefing paper so Acting Director Melson can discuss this with the Director of the Border Patrol and we would like to include that info.

Thanks!



LAW ENFORCEMENT SENSITIVE

**Phoenix Gun Runner Impact Teams (GRIT)  
Performance Report  
May 24, 2010**

GRIT Performance for Reporting Period May 1 - 20, 2010		
	Reporting Period	Since Inception
Number of Investigative Leads at the Start of GRIT (May 1, 2010)	(b) (7)(A)	(b) (7)(A)
Number of New Investigative Leads	(b) (7)(A)	(b) (7)(A)
<b>Total Leads</b>		(b) (7)(A)
<b>Number of Leads Investigated</b>	(b) (7)(A)	(b) (7)(A)
Number of New Actual Criminal Cases Opened	(b) (7)(A)	(b) (7)(A)
Number of Existing Investigations Supported by GRIT	(b) (7)(A)	(b) (7)(A)
Number of Defendants Recommended for Prosecution	0	(b) (7)(A)
Number of Referrals to U.S. LE Agencies	0	(b) (7)(A)
Number of Liaison Activities with the Gov. of Mexico	(b) (7)(A)	(b) (7)(A)
Number of Firearms/Other Evidence Seized/Recovered by ATF or Other LE Agencies Acting on ATF Information	(b) (7)(A)	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
Number of FFL Inspections Initiated	(b) (7)(A)	(b) (7)(A)
Number of FFL Inspections Completed	(b) (7)(A)	(b) (7)(A)
FFL Adverse Actions Recommended:	(b) (7)(A)	(b) (7)(A)
Number of Warning Letters/Warning Conferences	(b) (7)(A)	(b) (7)(A)
Number of Revocations (Recommended by Division and Concurred by HQ)	0	0
Number of Licenses Surrendered in Lieu of Revocation	0	0
Number of Criminal Enforcement Referrals Made by IOIs to ATF LE	(b) (7)(A)	(b) (7)(A)

LAW ENFORCEMENT SENSITIVE

LAW ENFORCEMENT SENSITIVE

Criminal Enforcement

Phoenix VII

785115-10-0004 OPERATION FAST & FURIOUS

This OCDETF case (b) (7)(A)

(b) (7)(A)

(b) (7)(A)  
(b) (7)(A)

There are

many facets to this investigation but ATF is attempting (b)(5) & (7(A)

(b)(5) & (7(A)

(b)(5) & (7(A)

Tucson I

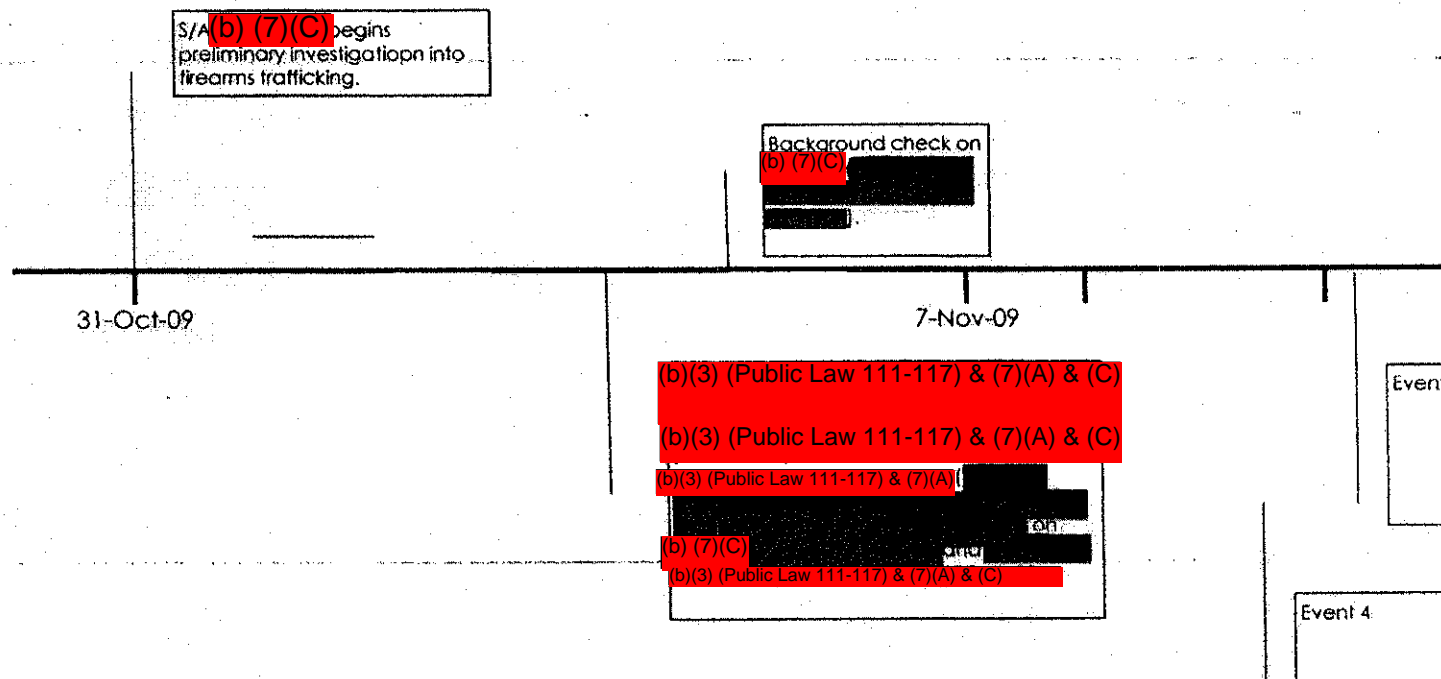
[Redacted]

[Redacted]

Tucson II

[Redacted]

# Timeline



ine Title

14-Nov-09

21-Nov-09

5

Bureau of Alcohol, Tobacco, Firearms and Explosives

**ATF**  
At the Frontline Against Violent Crime**Key Messages/Talking Points**

Not for Public Dissemination/For Internal Use Only

Public Affairs Division - Washington, DC



Previous Hill Testimony  
 ATF's National Cartel-Focused Firearms Trafficking Strategy  
 (4/8/2011)

**Cartel-Focused Firearms Trafficking Strategy**

- In September 2010, ATF deployed the Project Gunrunner Cartel Focused Strategy, which reflects ATF's increased national emphasis on firearms trafficking enforcement related to the Southwest Border and the importance of identifying and prioritizing trafficking investigations in which a nexus to Mexican Drug Trafficking Organizations (DTOs) and cartels may be established.
- Our revised approach places greater emphasis on investigations that target specific cartels and the persons responsible for organizing and directing firearms trafficking operations in the United States. This approach requires conducting our investigations in a more creative and comprehensive manner by fully incorporating our agency's unique regulatory, investigative, and analytical capabilities and resources.
- The addition of investigative tools, such as the proposed reporting requirement for certain types of rifles, would greatly increase the availability of key information on firearms trafficking organizations that are responsible for the trafficking to Mexico. The AR and AK style rifles are the preferred "tools of the trade" for the Mexican cartels.
- In addition to fully utilizing ATF's resources, this strategy relies on coordination with other law enforcement agencies, such as the Drug Enforcement Administration (DEA) through greater use of the Organized Crime Drug Enforcement Task Force (OCDETF) program and the El Paso Intelligence Center (EPIC).
- The strategy places a greater emphasis on multi-defendant conspiratorial cases that focus on persons who organize, direct, and finance cartel-related firearms and explosives trafficking operations.
- The strategy is multi-faceted and includes: the inspection of licensed firearms dealers and the investigation into straw purchasers and illegal traffickers; improved data collection, analysis and exploitation of information; the sharing and leveraging of information available to other law enforcement agencies; improved investigative coordination and information sharing with the Government of Mexico.

TPs: Previous Hill Testimony - ATF's National Cartel-Focused Firearms Trafficking Strategy

- In response to the ever increasing reach of the Mexican cartels into the heartland of the United States to obtain firearms in support of their drug trafficking operations, Project Gunrunner has evolved into a national strategy.
- ATF is dedicated to the mission of stemming the illegal flow of firearms domestically in the United States and internationally, and in no way sanctions the sale or transfer of firearms for illegal purposes. Additionally, ATF has not and will not knowingly allow guns to cross illegally to Mexico.
- Mexican drug trafficking organizations (DTOs) have aggressively turned to the United States as a source of firearms. They use those weapons against other DTOs, the Mexican military, Mexican and United States law enforcement officers, and innocent victims on both sides of the border. (Hoover; 2-7-08; statement; page 25)
- Comprehensive firearms tracing data indicate that Texas, Arizona and California are/were the three primary source states for firearms trafficked to Mexico. However, ATF data indicates that cartels are acquiring firearms from other states as far east as Florida and as far north and west as Washington state. (Hoover; 2-7-08; statement, page 25; prepared remarks, page 28)
- The DTOs have sought more powerful and increasingly higher quality weapons such as the Barrett 50-caliber rifle, the Colt AR-15 .223-caliber assault rifle, the AK-47 7.62 assault rifle and its variants; and FN 5.57-caliber pistols which are known in Mexico as "cop killers." (Hoover; 2-7-08; statement, page 25; prepared remarks, page 29)
- ATF has learned that interdiction alone will not stop the firearms trafficking problem. It is clear that we must locate the source of illicitly trafficked firearms; investigate the networks that are used to traffic them; and shut down the source and the networks of traffickers. (Hoover; 2-7-08; statement; page 25)
- Escalating gun violence along and across the border led ATF to initiate Project Gunrunner, a comprehensive, investigative enforcement and interdiction strategy that incorporates ATF's expertise, regulatory authority and investigative resources to attack the problem at the domestic and international level. (Hoover; 2-7-08; statement; page 25)
- ATF expanded its presence at the El Paso Intelligence Center (EPIC), where ATF services as a central repository and clearinghouse for all weapons-related intelligence. ATF, collects, analyzes and shares this information with US and Mexican law enforcement agencies. (Hoover; 2-7-08; statement; pages 25-26)

3.

TPs: Previous Hill Testimony - ATF's National Cartel-Focused Firearms Trafficking Strategy

- *[Note: ATF/Hoover provided and discussed slides that contained Mexico trace data, but slides are not included in the hearing documents. Hoover never cited specific trace statistics, but cited an increase in trafficking as he referred to power point slides. The slides are mentioned on pages 26-27; Hoover; statement; 2-7-08]*
- ATF executed memoranda of understanding (MOUs) with Mexico to provide eTrace training to nine consulates in Mexico. The goal was to deploy the system to all 31 states in Mexico. (Hoover; 2-7-08; statement; pages 27)
- Mexico's President Calderone and Attorney General Medina Mora identified cartel-related violence as a top priority and stated that illegal firearms trafficking from the United States is the "number one" crime affecting that country's security. (Hoover; 2-7-08; prepared remarks; page 28)
- There is more than enough evidence to indicate that more than 90 percent of the firearms that have either been recovered or interdicted in transport to Mexico originated within the U.S. (Hoover; 2-7-08; prepared remarks, page 28; Q&A, page 69)
- ATF has developed and continues to enhance an effective real-time intelligence and evidence sharing network with Mexico. However, the system has been overwhelmed on both sides of the border. Merely seizing firearms through interdiction without thoroughly investigating the supply and trafficking infrastructure accomplishes little. It is imperative to trace each firearm that is intercepted before it reaches Mexico or to trace the weapon once it is recovered in Mexico. (Hoover; 2-7-08; prepared remarks; page 29)
- An essential component of ATF's firearms trafficking investigations is ATF's eTrace system, and essential starting point for identifying and eliminating illicit sources of firearms in the U.S. With eTrace, ATF is able to reconstruct the flow of weapons along the border, determine how and where they are being purchased, and who is purchasing them. (Hoover; 2-7-08; prepared remarks; page 29)
- ATF is focusing industry operations resources on and near the border to identify federal firearms licensees (FFLs) who may be involved, directly or indirectly, in supplying firearms to known traffickers. As part of Project Gunrunner, ATF will seek to expand inspection and compliance activities to include focused forward traces of firearms that are identified as weapons of choice for the cartels. (Hoover; 2-7-08; prepared remarks; page 30)
- ATF intensified education and liaison efforts with the firearms industry and with other law enforcement agencies to reinforce the importance of collaboration to identify and report suspected straw purchasers and other illicit sources of firearms intended for Mexico. (Hoover; 2-7-08; prepared remarks; page 30)

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HOCR ATF - 001498

TPs: Previous Hill Testimony - ATF's National Cartel-Focused Firearms Trafficking Strategy

- ATF has permanently assigned special agents to the U.S. Embassy in Mexico City and to Monterrey, Mexico. The agents engage in full-time intelligence sharing with the Mexican government and help develop and foster relationships as a way to provide technical and investigative assistance to police and prosecutors. (Hoover; 2-7-08; prepared remarks; page 30)
- ATF looked to assign additional special agents and intelligence research specialists to ATF offices in Mexico and deploy additional agents and analysts to EPIC to serve as liaison partners with and to other U.S. law enforcement entities operating in Mexico. (Hoover; 2-7-08; prepared remarks; page 30)
- ATF is looking to establish more pre-identified, specially vetted Mexican police officials that would allow ATF special agents in U.S. border cities to work directly with them to exchange timely investigative information regarding seizures of suspected U.S.-sourced firearms within the contiguous Mexican Border states. (Hoover; 2-7-08; prepared remarks; page 30)
- In January 2008, ATF announced that an additional 25 special agents and 15 industry operations inspectors (IOIs) would be permanently assigned to the Southwest border to curb illegal export of US firearms. Because ATF is a small agency, that number accounted for a significant portion of ATF's available manpower and directly affected its ability to address violent crime in other parts of the United States. (Hoover; 2-7-08; prepared remarks; page 30)
- It is estimated that there are more than 6,647 FFLs along the US/Mexico border, compared to approximately 35 IOIs and 100 ATF special agents. It is a major challenge for ATF to adequately identify and disrupt the illegal sources of firearms and ammunition, while participating in the interdiction of shipments of firearms and ammunition destined for Mexico. Nevertheless, in FY 2007, ATF investigated 187 firearms trafficking cases and recommended 465 defendants for prosecution and seized more than 1,297 firearms as a result of Project Gunrunner. (Hoover; 2-7-08; prepared remarks; page 31)
- ATF is part of the Administration's "Merida Initiative," which is a comprehensive U.S. strategy to address drug smuggling, firearms trafficking and violence in Mexico and Central America. Through the initiative, the FY 2008 supplemental provided \$2 million to ATF to expand Spanish eTrace to countries in the Central America region and to provide training to Mexico and Central America. (Hoover; 2-7-08; prepared remarks; pages 30-31)



- With the addition of eTrace, it is easier to track firearms into Mexico. Through the tracing that ATF does with Mexico, we have daily contact through the ATF Attache office in Mexico City, through our agents assigned in Monterrey and our border liaison contacts in each of the four border states. We are able to put together information based upon the tracing that we are doing from the firearms in Mexico. We can then attack those specific trafficking corridors. (Hoover; 2-7-08; Q&As; page 46 & 69)
- ATF looks at source dealers for firearms and when it looks as if an FFL has an abnormal amount of traces that come back to him, ATF can utilize various investigative techniques to try to stop that FFL. ATF also uses interdiction methods on specific tracking routes. (Hoover; 2-7-08; Q&As; page 46)

Firearms tracing is critical as it allows ATF and its partners to identify trafficking corridors, patterns and schemes, as well as traffickers and their accomplices. The strategy is more productive than primarily relying on the limited border searches conducted on outbound persons going from the U.S. into Mexico. (Hoover; 2-7-08; written responses to questions from Engle, page 90)

- Since 2006, Project Gunrunner has been ATF's comprehensive strategy to combat firearms-related violence by cartels along the Southwest Border. This includes special agents dedicated to investigating firearms trafficking on a full-time basis and industry operations investigators (IOIs) responsible for conducting regulatory inspections of Federal Firearms Licensees (FFLs). (Breuer; 7-9-09; prepared statement; page 38)
- A comprehensive analysis of firearms trace data over the past three years indicates that Texas, Arizona and California are the three largest source states respectively, for firearms illegally trafficked to Mexico. In FY 2007 Mexico submitted approximately 1,112 guns for tracing that originated in these three states alone. (Hoover and Placido; 7-9-09; [joint statement, 3-17-09]; page 144)
- Drug traffickers have developed a preference for higher quality, more powerful weapons, such as .223 and 7.62x39mm caliber rifles and pistols, and .50 caliber rifles; each of these types of weapons have been seized by ATF in route to Mexico. (Hoover and Placido; 7-9-09; [joint statement, 3-17-09]; page 145)
- The ATF "Gun Desk," at EPIC serves as a central repository for all DOJ intelligence related to firearms along the Southwest Border. EPIC also serves as the primary repository for DHS intelligence on firearms smuggling, and the FBI, already a contributing member at EPIC is in the process of increasing its participation there by creating its Southwest Intelligence Group (SWIG). (McMahon and Placido; 7-16-09; joint statement, page 18)

TPs: Previous Hill Testimony - ATF's National Cartel-Focused Firearms Trafficking Strategy

- ATF is aggressively working to keep weapons out of the hands of the cartels and other dangerous criminals in Mexico by adding additional special agents and other personnel to disrupt firearms trafficking networks, increasing oversight of the federal firearms licensees along the border, and improving the coordination of firearms trafficking information amongst federal, state, and local law enforcement agencies along the border. (Hoover and Placido; 7-9-09; [joint statement, 3-17-09]; page 141)
- At the end of the year (2009) we should be implementing our Spanish e-Trace, and hopefully to push that to every state in Mexico. (McMahon; 7-16-09; prepared statement; page 26)

#### **United States Attorney Prosecutorial Guidelines**

- Just as each ATF field division emphasizes different strategies based on criminal trends and patterns and the types of crimes that plague each division's area of responsibility, each U.S. Attorney's Office has its own priorities and guidelines. In an area such as the Southwest Border where the purchase of large numbers of firearms is commonplace, the threshold for prosecution of federal firearms violations is much higher than in those areas where fewer people purchase multiple firearms.
- ATF must make every effort to meet the specific USAO prosecutorial guidelines in order to successfully perfect investigations.

###

For Internal Use Only

---

**From:** (b) (7)(C)  
**Sent:** Monday, February 01, 2010 7:46 PM  
**To:** (b) (7)(C)  
**Cc:** Gillett, George T. Jr.  
**Subject:** Phoenix Group VII biweekly update 02-03-2010  
**Attachments:** Phoenix Group VII biweekly update 02-03-2010.docx

Phoenix Group VII

[REDACTED]

785115-10-0004 (b) (7)(C) This case (b) (7)(A)

(b) (7)(A)  
(b) (7)(A)  
**(b)(3) (Public Law 111-117) & (7)(A)**  
(b)(3) (Public Law 111-117) & (7)(A)  
(b)(3) (Public Law 111-117) & (7)(A) (b) (7)(A)  
**(b) (7)(A)**

Last week (01/26/2010) this case was presented by ATF and approved OCDETF status by the Phoenix committee who will forward the proposal on to the Houston Regional Committee for final authority. [REDACTED] this

**(b)(3) (Public Law 111-117) & (7)(A)**  
(b)(3) (Public Law 111-117) & (7)(A)  
[REDACTED]

**Briefing Paper**  
**Operation: Fast & Furious, OCDETF No. SW-AZ-0496**  
**ATF Investigation 785115-10-0004**

**Phoenix Field Division**  
**Phoenix Group VII (SWB group)**  
**January 12, 2011**

**Purpose:** Indictment and arrest of approximately 19 individuals involved in firearms trafficking to Mexican drug trafficking organizations/cartels.

**Date of Operation:** January 25, 2011.

**Background:** Since October 2009, ATF and other partners have been investigating a firearm trafficking organization that is being funded by a drug trafficking organization involved in violent criminal activity in Mexico, southern Arizona, and other regions of the United States. These acts involve violations of Federal firearms, narcotics, money laundering, and conspiracy statutes.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through straw purchasers using bulk narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization has spent approximately \$1.25 million in cash at various Phoenix area Federal firearms licensees to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico.

[REDACTED]

Through these investigative techniques, agents have been able to identify additional co-conspirators and disrupt the firearms trafficking organization by seizing numerous firearms and narcotics. To date, 278 firearms and over 50 pounds of marijuana have been recovered by ATF agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

**Evidence in ATF Custody:** 278 firearms, 250 "drum" magazines, thousands of rounds of various caliber ammunition.

**OPERATION DETAILS:**

**Command Post:** [REDACTED]

**Incident Commander(s):** ASAC Jim Needles.

**Arrest Teams:** [REDACTED]

**Additional ATF Support:** No additional ATF support outside the reporting division will be needed to complete the operation.

**Specialized ATF Support:** ATF Special Response Team.

**Issues/Problems:** No issues or problems are anticipated.

**Anticipated Seizures:** No significant seizures are anticipated during the enforcement operation.

**Media:** Acting Director Melson, SAC Newell, and United States Attorney, District of Arizona, Dennis Burke will hold a press conference.

**Action or Follow-up:** This is considered "Phase I" of the judicial process. ATF has identified

(b)(5), (b)(7)(A)

**Participants:** ATF, Internal Revenue Service, Drug Enforcement Administration, Immigration and Customs Enforcement (Homeland Security Investigations), U.S. Marshals Service, Phoenix Police Department, U.S. Department of Agriculture's Office of the Inspector General.

**Case Agent:** (b) (7)(C)

**Telephone:** [REDACTED]

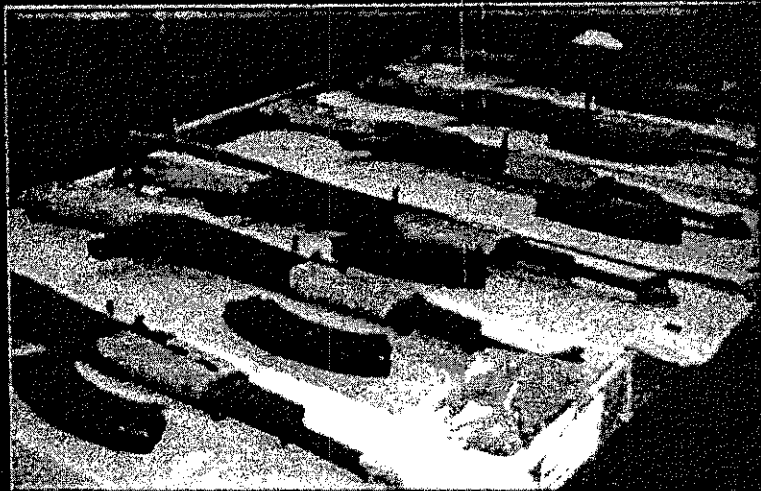
**RAC/GS:** (b) (7)(C)

**Telephone:** [REDACTED]



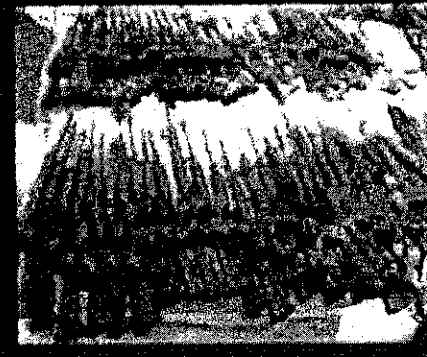
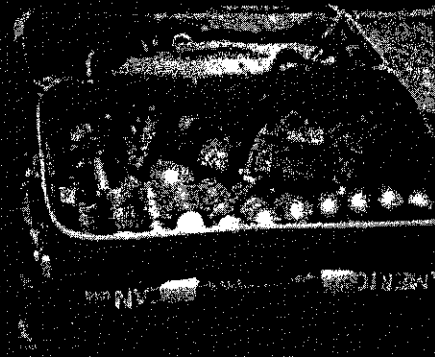
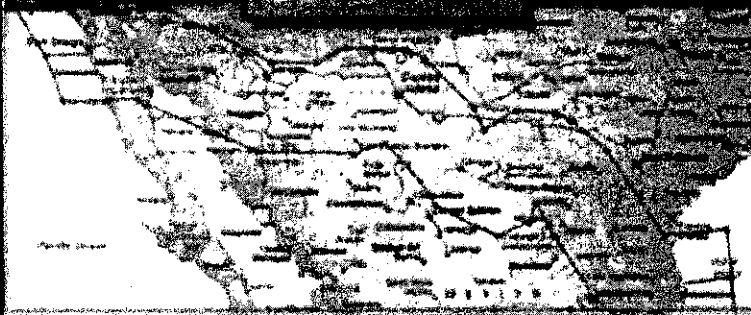
# FIREARMS & EXPLOSIVES TRAFFICKING INTELLIGENCE UNIT

HOCR ATF - 001506



## IRON RIVER

GUN VIOLENCE & ILLEGAL FIREARMS TRAFFICKING ON THE U.S.-MEXICO BORDER





LAW ENFORCEMENT SENSITIVE

# FIREARMS & EXPLOSIVES TRAFFICKING INTELLIGENCE UNIT

This information is ORCON. Further dissemination or retransmission of this information/email is prohibited without ATF prior approval.

## FAST & FURIOUS OCADETF INVESTIGATION

United States of America  
vs.

- 1.
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(b) (7)(C)

(b) (7)(C)

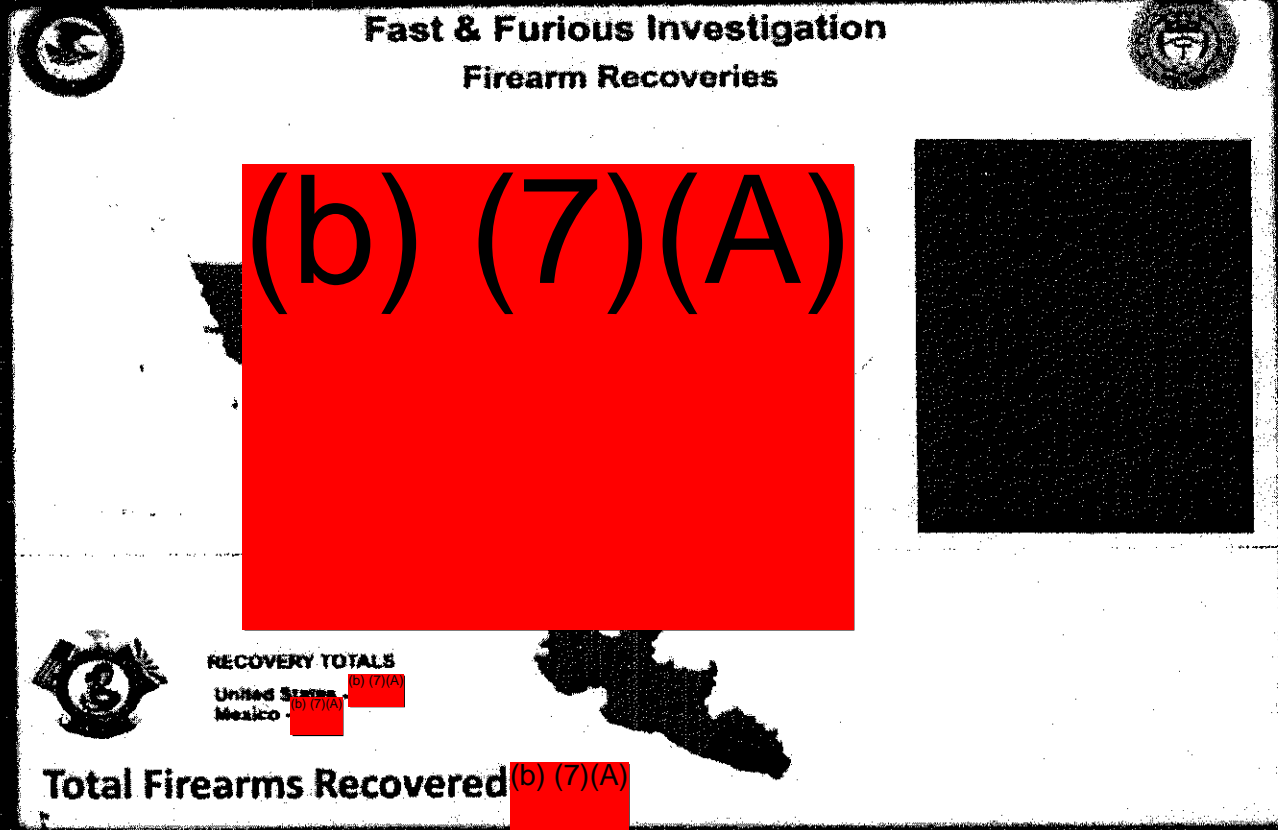
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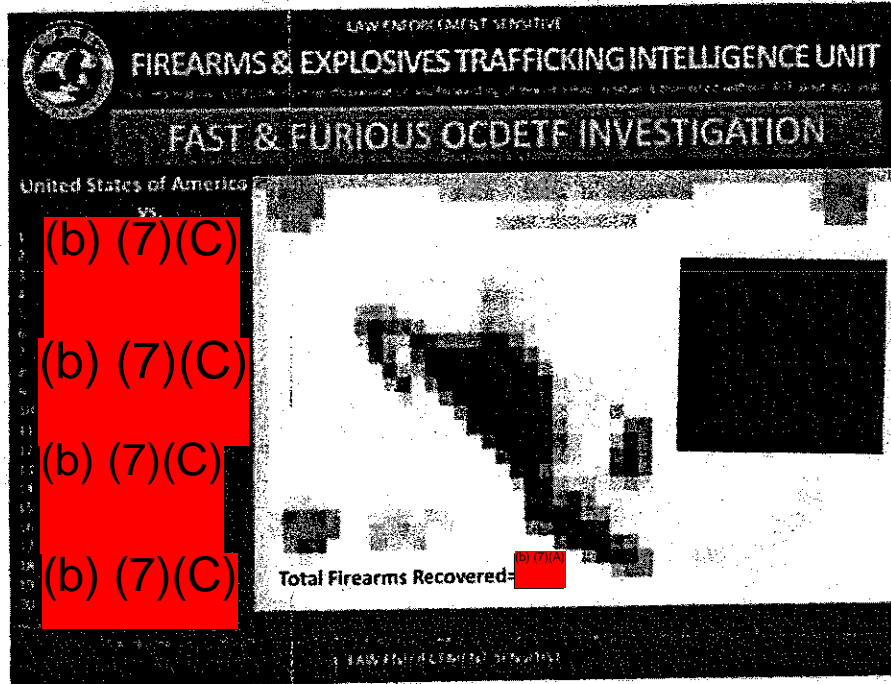


HOGR ATF - 001507

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LAW ENFORCEMENT SENSITIVE





On January 25, 2011, multi-agency law enforcement officers under the Organized Crime Drug Enforcement Task Force (OCDEF) conducted an earlier morning round up and arrested 20 defendants named in the 53-count (b) (7)(C) indictment, which was unsealed.

(b)(7)(A) & (C)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b)(7)(A) & (C)

(b) (7)(A)

(b)(7)(A) & (C)

These cases are being prosecuted by the United States Attorney's Office for Arizona.

From: (b) (7)(C)  
 Sent: Friday, October 01, 2010 12:53 PM  
 To: Chicago Supervisors  
 Subject: FW: OCTOBER ROLL CALL - 1811s  
 Attachments: Cartel Strategy ADFO Memo 090810 scan.pdf; Cartel Strategy Memo 9-7-10.docx; Cartel Strategy NFORCE screens POWER POINT.pptx; Roll Call Sign-In Sheet.doc

Hi,  
 fyi -

(b) (7)(C)

From: (b) (7)(C)  
 Sent: Friday, October 01, 2010 11:01 AM  
 To: (b) (7)(C); All ASACS; All Directors, Industry Operations; (b) (7)(C)  
 (b) (7)(C)  
 (b) (7)(C)  
 (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: OCTOBER ROLL CALL - 1811s

you limit your choices only to what seems possible or reasonable, you disconnect yourself from what you truly want, and all that is left is a compromise. If you limit your choices only to what seems possible or

#### OCTOBER ROLL CALL INSTRUCTIONS:

This message will serve to provide Roll Call training materials on **Project Gunrunner--A Cartel Focused Strategy** that includes: the AD's Memo, the Cartel Strategy Memorandum, a Power Point presentation and the Roll Call Sign-In Sheet.

The memorandum and the strategy document outline the increased national emphasis on firearms trafficking enforcement related to the Southwest border, as well as the importance of identifying and prioritizing those investigations in which a nexus to Mexican drug trafficking organizations and cartels may be established. Initially implemented in our four Southwest border field divisions, Project Gunrunner has evolved into a national strategy as we have seen cartels reach further into the interior of the U.S. to acquire firearms in support of their lucrative drug trafficking operations. The revised strategy acknowledges and incorporates an investigative approach already implemented by several ATF field divisions and reiterates the primary responsibility of the field special agents in charge for implementation of the strategy. This document recognizes that Project Gunrunner is both an ATF strategy and a component of a larger U.S. Government counternarcotics strategy. Our success is contingent upon the effective use of our regulatory, investigative, technical, intelligence, training, liaison, and public/industry outreach capabilities. The success of Gunrunner is also dependent on our ability to secure prosecutions appropriate to the criminal conduct. It is imperative that comprehensive, complex criminal investigations that link firearms traffickers to violent drug trafficking organizations and operations be conducted in order to leverage the prosecutorial advantages afforded by a wider array of criminal and civil statutes.

Vital to our ability to track and report our efforts is the timely and accurate reporting of information in our case management system (e.g., N-Force and N-Spect). The Cartel Strategy document and the attachments to this memorandum provide detailed guidance for agents and industry operations investigators on coding investigative activity in N-Force and N-Spect. Recent enhancements to N-Force allow us to document investigations involving specific Mexican cartels in the same manner as U.S. street gangs and outlaw motorcycle organizations. All special agents and industry operations investigators should be familiar with these procedures.

Please use the attachment titled "Roll Call Sign-In Sheet" to ensure proper recording of all employees who have

file://Z:\Produce (b) (7)(C) FW OCTOBER ROLL CALL - 1811s.htm

6/16/2011

HOCR ATF - 001509

received this training so that attendance can be properly and timely entered into learnATF by field training coordinators.

General questions regarding Roll Call training should be forwarded to Training Specialist (b) (7)(C) Continuing Education Branch, at [REDACTED]. Thank you!

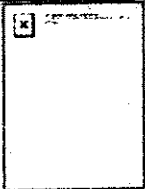
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**"Under this Order, you may not disclose any information to the public without authority. (See 28 CFR Part 16). If you fail to receive authority prior to releasing official ATF information, then you are potentially in violation of ATF's Standard of Conduct Order."**

---

(b) (7)(C)

Training Specialist  
Leadership & Occupational Development Division  
99 New York Avenue NE  
Suite 2E-417  
Washington, DC 20226  
[REDACTED] office  
[REDACTED] FAX  
[REDACTED] @atf.gov



*Spirit From Within*

***"Attitudes are contagious...so ask yourself, is mine worth catching." you limit your choices only to what seems possible or reasonable, you disconnect yourself from what you truly want, and all that is left is a compromise. If you limit your choices only to what seems possible or reasonable, you disconnect yourself from what you truly want, and all that is left is a compromise.***

**From:** Traver, Andrew L.  
**Sent:** Thursday, September 16, 2010 5:31 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Project Gunrunner - A Cartel Focused Strategy (3317)  
**Attachments:** Cartel Strategy NFORCE screens 091610.pptx; Cartel Strategy 9-7-10.docx; Cartel Strategy ADFO Memo 090810 scan.pdf

FYI and implementation. Thanks.

Andrew L. Traver  
 Special Agent In Charge  
 ATF-Chicago Field Division  
 (Office)  
 (Cell)

" I will never quit. I persevere and thrive on adversity. When knocked down I will get back up every time. I am never out of the fight."

**From:** (b) (7)(C)  
**Sent:** Thursday, September 16, 2010 2:24 PM  
**To:** All Special Agents in Charge  
**Cc:** (b) (7)(C); Firearms Operations Division (FOD) (b) (7)(C)  
 (b) (7)(C); McMahon, William G.; Torres, Julie M. (b) (7)(C)  
**Subject:** Project Gunrunner - A Cartel Focused Strategy (3317)

All Special Agents in Charge:

Attached is a memorandum from the Assistant Director (Field Operations) discussing and transmitting a document entitled *Project Gunrunner – A Cartel Focused Strategy*. The memorandum and the strategy document outline our increased national emphasis on firearms trafficking enforcement related to the Southwest border, as well as the importance of identifying and prioritizing those investigations in which a nexus to Mexican drug trafficking organizations and cartels may be established.

Initially implemented in our four Southwest border field divisions, Project Gunrunner has evolved into a national strategy as we have seen cartels reach further into the interior of the U.S. to acquire firearms in support of their lucrative drug trafficking operations. The revised strategy acknowledges and incorporates an investigative approach already implemented by several ATF field divisions and reiterates the primary responsibility of the field special agents in charge for implementation of the strategy. This document recognizes that Project Gunrunner is both an ATF strategy and a component of a larger U.S. Government counternarcotics strategy. Our success is contingent upon the effective use of our regulatory, investigative, technical, intelligence, training, liaison, and public/industry outreach capabilities. The success of Gunrunner is also dependent on our ability to secure prosecutions appropriate to the criminal conduct. It is imperative that comprehensive, complex criminal investigations that link firearms traffickers to violent drug trafficking organizations and operations be conducted in order to leverage the prosecutorial advantages afforded by a wider array of criminal and civil statutes.

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file:///Z:/Product (b) (7)(C).FW Project Gunrunner - A Cartel Focused Strategy (3317).htm 6/16/2011

Please review and internally disseminate the memorandum, the *Project Gunrunner – A Cartel Focused Strategy* document, and other attachments to ensure complete understanding and proper implementation of our revised priorities. Please address any questions about this material to (b) (7)(C), ATF Southwest Border National Coordinator at [REDACTED] or (b) (7)(C), Chief, Firearms Operations Division at [REDACTED].

(b) (7)(C)

Chief, Firearms Operations Division  
ATF HQ - Room 6.5.129  
[REDACTED], Cell [REDACTED]

# Request Summary

Itinerary #:586165  
Request Summary

Date Requested:1/7/2011

Travel Itinerary				
Destination Country	Destination Cities	Start Date	End Date	Status
MEXICO	Mexico City, Mexico	1/10/2011	1/14/2011	APPROVED

## Travelers

Name: (b) (7)(C)  
 Contact Info: ██████████@atf.gov  
 Country of Birth: USA  
 Emergency Contact: In case of emergence contact 202-648-7777  
 Clearance: Top Secret  
 Clearance Verification: All ATF employees clearances are provided by the Office of Internal Affairs

Agency: Department of Justice/ATF  
 Employment Type: Direct Hire  
 Passport Type: Official  
 Passport #: ██████████  
 Passport Country: USA

Other Group Members:  
None

Carrier Information					
Carrier and #	Mode	Departure Point	Departure Date/Time	Arrival Point	Arrival Date/Time
US Airwasy flight 173	Air	Phoenix, AZ	1/10/2011 10:49:00 AM	Mexico City, Mexico	1/10/2011 2:47:00 PM
US Airways flight 174	Air	Mexico City, Mexico	1/14/2011 3:40:00 PM	Phoenix, AZ	1/14/2011 6:10:00 PM

## Request Details

MEXICO				
Clearance From	Agency/Section	Arrival Date	Departure Date	Status
MEXICO/MEXICO CITY	BUREAU OF ALCOHOL TOBACCO AND FIREARMS	1/10/2011	1/14/2011	APPROVED
Other Posts & Sections to be Informed of Visit		Destination Cities: Mexico City, Mexico		

Post	Section	Purpose of Visit: ATF Special Agent (b) (7)(C)
	None	(b) (7)(C) will travel to Mexico City to examine recovered firearms (accompanied by ATF S/A (b) (7)(C) in furtherance of OCDETF Investigation "Fast and Furious" ATF 785115-10-0004
		Access to Building Required? No POC at Post: ATF Deputy Country Attache [REDACTED] Access to PCC Required? No VIP Visit? No

Assistance	
Need Lodging Reservations? No	Appointment Request? No
Need Airport Assistance/Transportation? No	Other Needed Assistance? No
Have Accompanying Pouch? No	Fiscal Data None
Other Comments/Remarks	

Lodging Accommodations					
Lodging Name	Street Address	City	Rate	Local Telephone #	Confirmation #
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Save As Text FilePrint

If you would like all automatic email transactions to be CC'd to another email account, please specify the email address here:

To CC multiple email addresses, delimit the addresses with a semicolon

For example: JohnDoe@state.gov;JaneDoe@state.gov;JimmyDoe@state.gov

ContinueCancel



**Melson, Kenneth E.**

**From:** Rubenstein, Steve R.  
**Sent:** Wednesday, January 05, 2011 2:54 PM  
**To:** Melson, Kenneth E.  
**Cc:** (b) (7)(C)  
**Subject:** Potential unauthorized disclosure of official information

This is in response to your request regarding information posted on "Clean Up ATF." Specifically, on

(b) (7)(A) & (C)

(b) (7)(A)

(b) (7)(A)

(b) (5) & (7)(A)

(b) (5) & (7)(A)

If (b) (7)(C) is an ATF employee, then he/she is subject to our Orders and Standards of Conduct. ATF O 2735.1, "EMPLOYEE RESPONSIBILITIES AND CONDUCT", includes the following;

#### 47. DISCLOSURE OF INFORMATION TO THE PUBLIC.

- a. Employees may not disclose official information without either appropriate general or specific authority under Department or Bureau regulations. (see further 31 C.F.R. 1.8 and 1.9)
- b. Employees may not disclose official information, whether written, verbal or overheard in telephone conversations, without either appropriate general or specific authority. Under circumstances wherein employees are permitted to disclose official information, strict adherence to prescribed official channels for such disclosure will be mandatory. This paragraph also pertains to all official information that may become known to employees while they are away from their duty stations.

Under this Order, ATF employees may not disclose any information to the public without authority. (See 28 CFR Part 16). If an employee failed to receive authority prior to releasing information related to ATF's law enforcement techniques he or she is potentially in violation of ATF's Standard of Conduct Order.

Let me know if you have any further questions.

Steve



**From:** Melson, Kenneth E.  
**Sent:** Wednesday, January 05, 2011 5:10 PM  
**To:** Rubenstein, Steve R.  
**Subject:** RE: Potential unauthorized disclosure of official information

Thanks, Steve. I am going to forward this to IA. Ken.

**From:** Rubenstein, Steve R.  
**Sent:** Wednesday, January 05, 2011 2:54 PM  
**To:** Melson, Kenneth E.  
**Cc:** (b) (7)(C)  
**Subject:** Potential unauthorized disclosure of official information

This is in response to your request regarding information posted on "Clean Up ATF." Specifically, (b) (7)(A)

(b)(7)(A) & (C)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

If (b) (7)(C) is an ATF employee, then he/she is subject to our Orders and Standards of Conduct. ATF O 2735.1, "EMPLOYEE RESPONSIBILITIES AND CONDUCT", includes the following;

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Let me know if you have any further questions.

Steve

**From:** (b) (7)(C)  
**Sent:** Wednesday, September 22, 2010 4:03 PM  
**To:** (b) (7)(C)  
 (b) (7)(C)  
**Subject:** FW: Project Gunrunner - A Cartel Focused Strategy (3317)

Agents/TFO's, as directed below, make your selves familiar with the information in the attachments and utilize when appropriate.

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, September 22, 2010 4:42 PM  
**To:** (b) (7)(C)  
**Cc:** Newell, William D. (b) (7)(C)  
**Subject:** FW: Project Gunrunner - A Cartel Focused Strategy (3317)

Supervisors –

Attached is a memorandum from the Assistant Director (Field Operations) discussing and transmitting a document entitled *Project Gunrunner – A Cartel Focused Strategy*. The memorandum and the strategy document outline our increased national emphasis on firearms trafficking enforcement related to the Southwest border, as well as the importance of identifying and prioritizing those investigations in which a nexus to Mexican drug trafficking organizations and cartels may be established.

The Phoenix Field Division has been leading the agency with this initiative; however, Project Gunrunner has evolved into a national strategy as we have seen cartels reach further into the interior of the U.S. to acquire firearms in support of their lucrative drug trafficking operations. This document recognizes that Project Gunrunner is both an ATF strategy and a component of a larger U.S. Government counternarcotics strategy. Our success is contingent upon the effective use of our regulatory, investigative, technical, intelligence, training, liaison, and public/industry outreach capabilities. The success of Gunrunner is also dependent on our ability to secure prosecutions appropriate to the criminal conduct. It is imperative that comprehensive, complex criminal investigations that link firearms traffickers to violent drug trafficking organizations and operations be conducted in order to leverage the prosecutorial advantages afforded by a wider array of criminal and civil statutes.

Vital to our ability to track and report our efforts is the timely and accurate reporting of information in our case management system (e.g., N-Force and N-Spect). The Cartel Strategy document and the attachments to this memorandum provide detailed guidance for agents and industry operations investigators on coding investigative activity in N-Force and N-Spect. Recent enhancements to N-Force allow us to document investigations involving specific Mexican cartels in the same manner as U.S. street gangs and outlaw motorcycle organizations. All special agents should be familiar with these procedures.

If you have questions, please contact me. If not, please insure that your personnel are familiar with these documents and this program is implemented in its entirety.

Regards,

**George T. Gillett**  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 [Redacted]

file://C:\Documents and Settings\ [Redacted] My Documents\My Documents 2\FW Project Gunr... 5/26/2011

**(b) (7)(C)**

**From:** (b) (7)(C)  
**Sent:** Thursday, January 20, 2011 10:09 AM  
**To:** (b) (7)(C)  
**Subject:** FW:

FYI.

**From:** (b) (7)(C)  
**Sent:** Thursday, January 20, 2011 9:48 AM  
**To:** Hoover, William J.; Chalt, Mark R.; McMahon, William G.  
**Cc:** Rubenstein, Steve R.; (b) (7)(C)  
**Subject:**

You may well already be aware of this but I wanted to make sure. Attached is what purports to be an "Open Letter To Senate Judiciary staff on 'Project Gunwalker'." The letter states "ATF employees are looking to come forward and provide testimony and documentation about guns being illegally transported to Mexico, with management cognizance" and that In order for these people to come forward, they require whistle-blower protection."

<http://www.examiner.com/gun-rights-in-national/open-letter-to-senate-judiciary-committee-staff-on-project-gunwalker>

**(b) (7)(C)**

Associate Chief Counsel (Field Operations and Information)  
 Bureau of Alcohol, Tobacco, Firearms and Explosives  
 U.S. Department of Justice  
 99 New York Ave. NE  
 Rm. 6E-441  
 Washington, DC 20226

-desk  
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ATTORNEY WORK PRODUCT PRIVILEGED DOCUMENT

ATTORNEY-CLIENT PRIVILEGED COMMUNICATION

LAW ENFORCEMENT SENSITIVE

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**January 10, 2011**

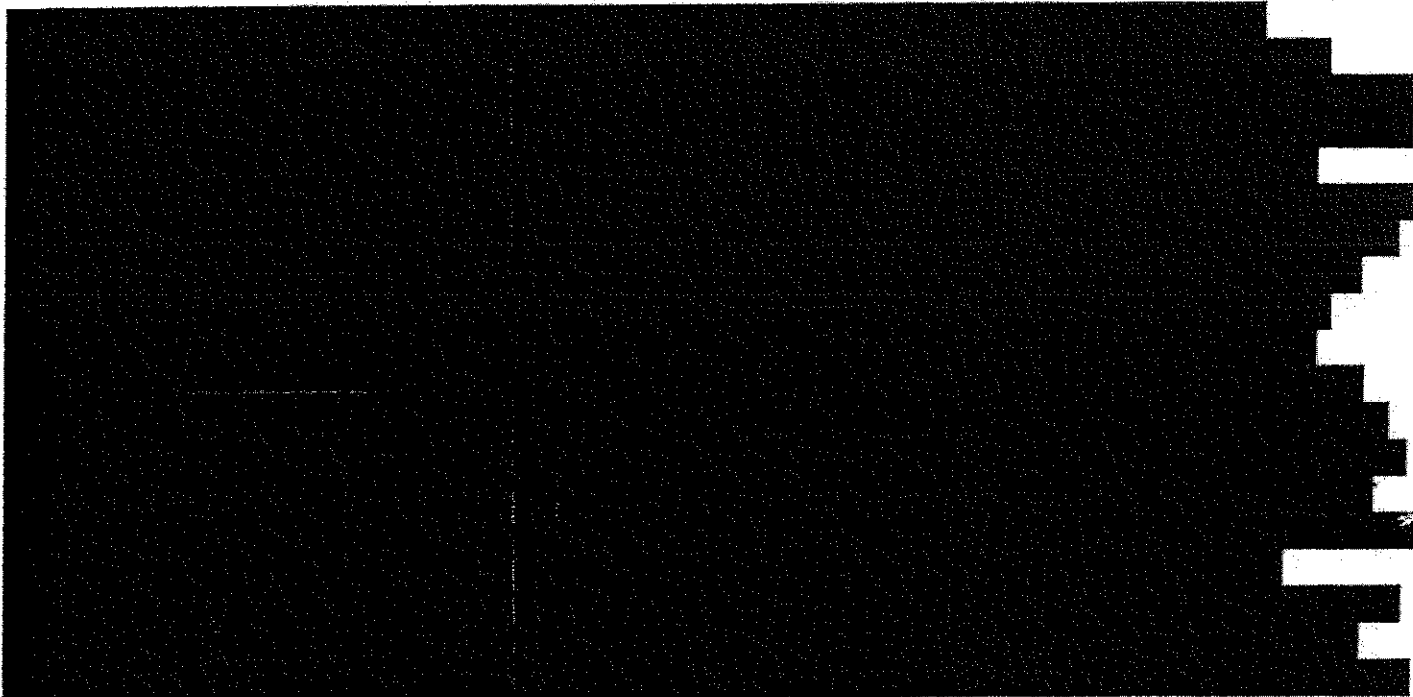
The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.

**FIELD OPERATIONS**

**DALLAS FIELD DIVISION**

**Investigations:**

**El Paso – Gunrunner**



LAW ENFORCEMENT SENSITIVE

PHOENIX FIELD DIVISION

Investigations:

Phoenix VII - Gunrunner

(b) (7)(C)

Operation Loose Cannon:

Tucson IV - Gunrunner

785031-11-0001 Border Patrol Agent Shooting: On December 15, 2010, 15 Tucson ATF agents assisted the U.S. Border Patrol and FBI in conducting grid searches in a mountainous desert area near the U.S./Mexico border for abandoned firearms and other evidence connected to the fatal shooting of a Border Patrol Agent by Mexican border bandits. Two AK-47 type rifles were recovered in the desert and immediately traced. Tracing leads were followed up by Phoenix ATF agents. The FBI and a Tucson ATF Strike Force agent conducted Spanish interviews regarding the shooting with a suspect while he was hospitalized and recovering from gunshot wounds.

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LAW ENFORCEMENT SENSITIVE



Research & Analysis Section, 11339 SSG Sims Street, Biggs AAF, El Paso, Texas 79908 Phone: 915 760-2000

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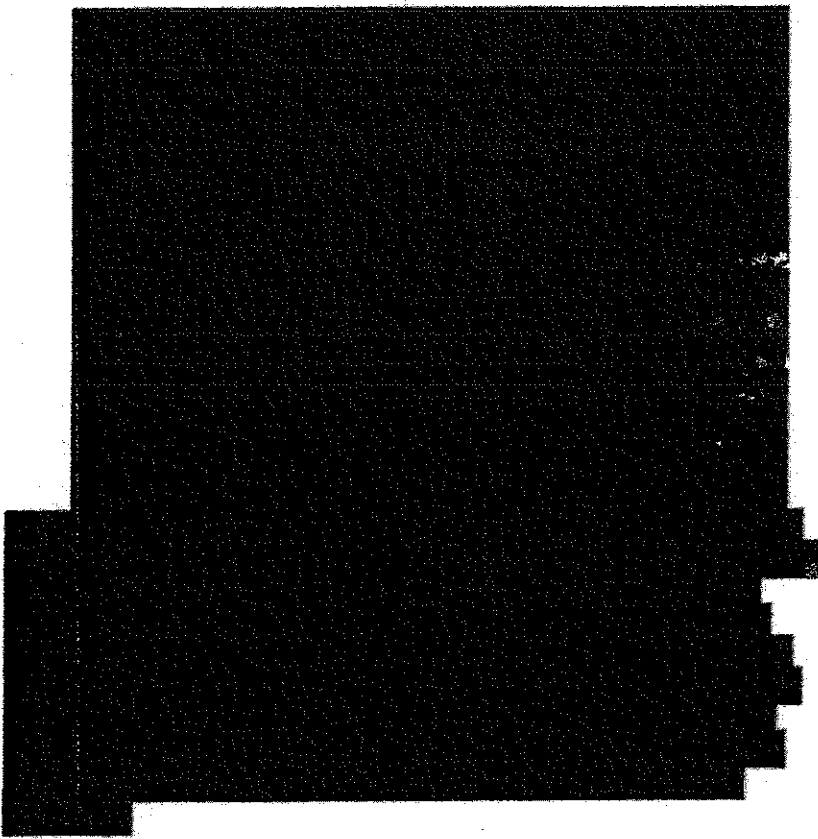
MARCH 2011

### Firearms & Explosives Trafficking Intelligence Unit Mission

#### INSIDE THIS ISSUE

To disrupt the illegal flow of firearms, ammunition, and explosives being used by Mexican drug trafficking organizations by identifying relevant firearms seizures in the United States and Mexico, and attempting to link those seizures to U.S. based firearms traffickers. The unit also collects information on significant explosives incidents that occur in Mexico.

- 1 [REDACTED]
- 2 [REDACTED]
- 3 Fast & Furious OCDETF Investigation
- 27 Firearms and 3,700 Rounds of Ammunition Seized in Fort Worth, Texas
- 5 [REDACTED]
- 6 [REDACTED]
- 7 [REDACTED]
- 8 [REDACTED]
- 9 [REDACTED]

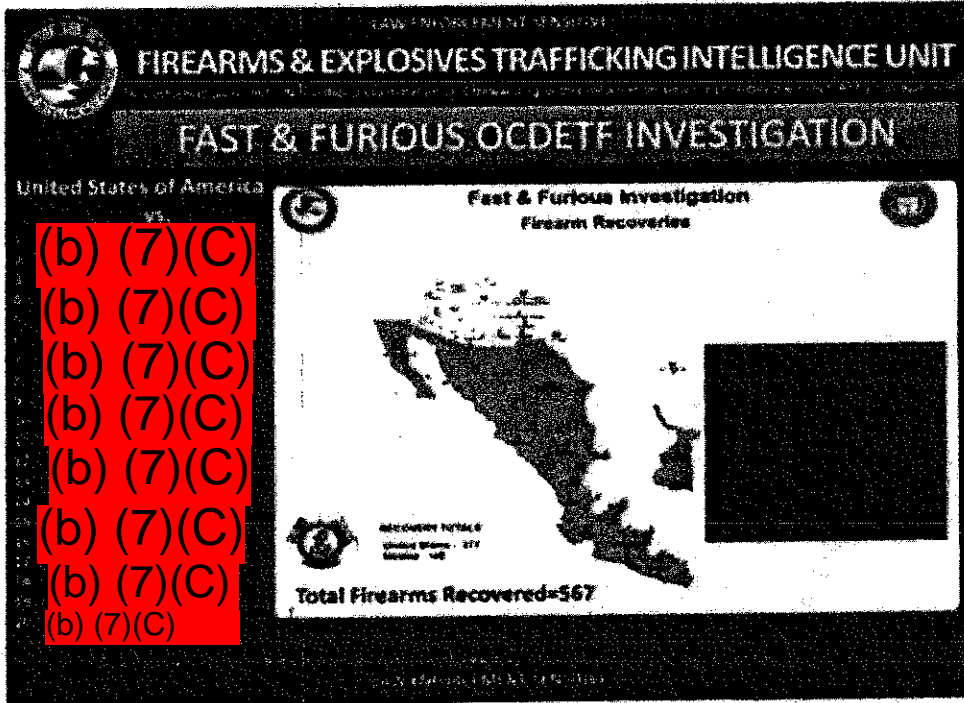


LAW ENFORCEMENT SENSITIVE

Page 3

Intelligence Report

### Fast and Furious OCDETF Investigation



**PHOENIX, ARIZONA** - On January 25, 2011, officers from various law enforcement agencies conducted an early morning round up in the Phoenix, Arizona area for 20 defendants named in a 53 count indictment.

(b) (7)(A)  
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(b) (7)(A)

LAW ENFORCEMENT SENSITIVE

LAW ENFORCEMENT SENSITIVE

(b)(7)(A) & (C)  
(b) (7)(C)

(b)(7)(A) & (C)  
(b) (7)(C)

On February 2, 2011, the United States Marshals Service (USMS) developed information that the main suspect and fugitive in this investigation, (b)(7)(A) & (C)  
(b) (7)(A) The USMS located and arrested (b) (7)(C) in El Paso.

[REDACTED]

[REDACTED]

[REDACTED]



ME

~~X~~

[REDACTED]

[REDACTED]

==

FD

~~X~~

FAST & FOLLOWS

- 105 FIA PURCHASES SINCE 3/15/10. (3 BARRETT'S)
- STILL CASH \$9K EACH

Person

(b) (7)(E)

- APPROXIMATELY \$1M IN CASH PURCHASES.

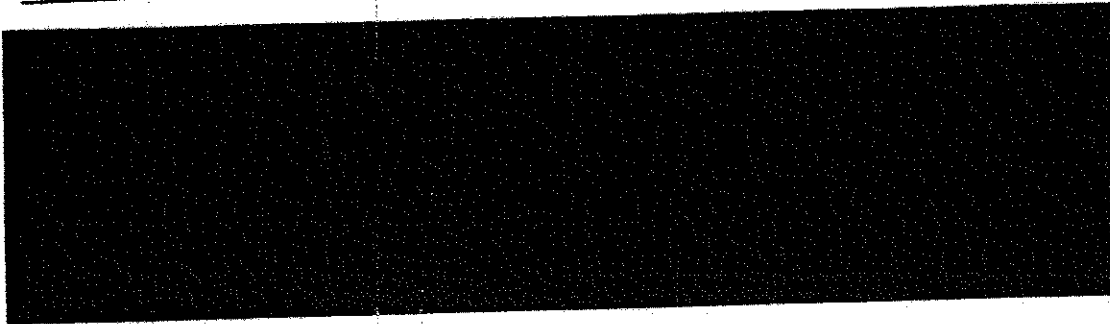
(b) (7)(C)

STRATEGY?

**PHOENIX FIELD DIVISION**

**Investigations:**

**Phoenix Group I - Yuma Satellite Office**



**Phoenix Group VII**



785115-10-0004 (b) (7)(C)

(b) (7)(A)

This case (b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

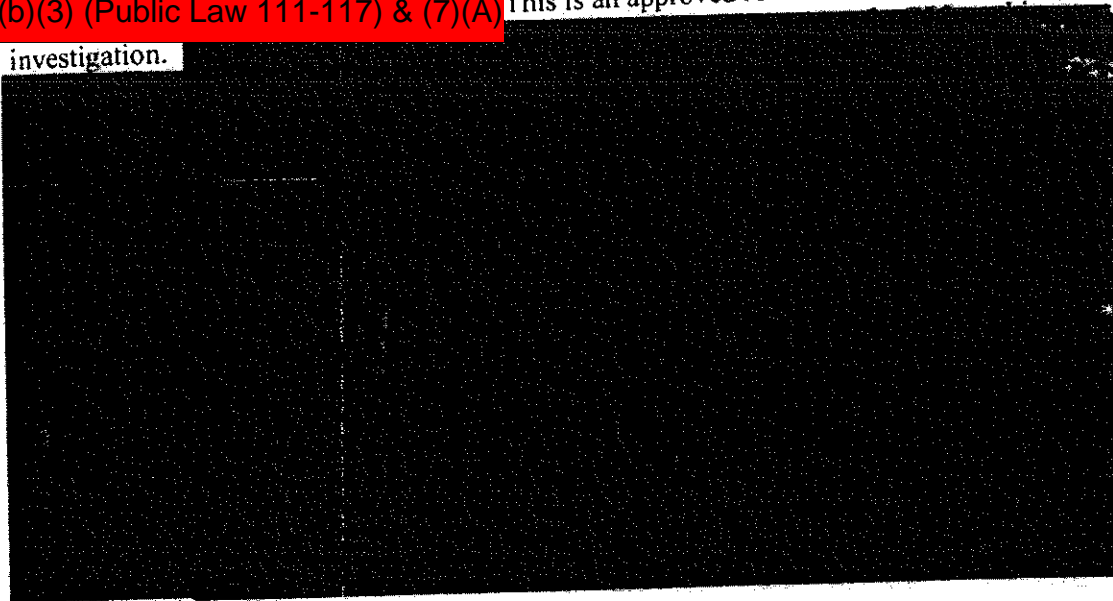
(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

This is an approved ATF OCDETF

investigation.



[Redacted]

(b) (7)(A)

(b) (7)(A)

Tucson Group I

[Redacted]

[Redacted]

**Statement of William Newell, Special Agent in Charge  
Phoenix Field Division  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Before the United States House of Representatives  
Committee on Appropriations  
Subcommittee on Commerce, Justice, Science and Related Agencies  
March 24, 2009**

Chairman Mollohan, Representative Wolf and distinguished Members of the Subcommittee, I am William Newell of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF). As Special Agent in Charge of the Bureau's Phoenix field division, I oversee all ATF operations in the States of Arizona and New Mexico, which includes 552 miles of the U.S.-Mexico border. I am honored to appear before you today to discuss ATF's ongoing role in preventing firearms from being illegally trafficked from the United States (U.S.) into Mexico, and working to reduce the associated violence along the border.

That violence, which is fueled by Mexico's drug cartels, poses a serious challenge for U.S. and Mexican law enforcement and threatens the safety of innocent citizens on both sides of the border. Reports indicate that the drug war has left as many as 6,200 dead last year and 1,000 dead, so far, this year. The U.S. Department of State has cautioned U.S. citizens who work and travel in Mexico to be wary of the ongoing danger. Mexican President Calderon and Mexican Attorney General Medina Mora have identified cartel-related violence as a top priority and proclaimed the illegal trafficking of U.S.-source firearms the "number one" crime problem affecting the security of Mexico.

Not a day goes by without the media reporting on murders, kidnappings and home invasions along the border. For instance, an Associated Press article appearing in the Washington Post on March 5<sup>th</sup> reported that American professionals living along the border, including doctors, lawyers and factory owners, feel so threatened by the murders and kidnappings that they are having armor plating and bullet-proof glass installed in their cars and pickup trucks. According to the Post, one San Antonio company specializing in bulletproofing cars says that it expects a 50% increase in business this year. Clearly we need to take action now to protect our citizens and their property from harm.

#### **ATF Expertise**

For over 30 years ATF has been protecting our citizens and communities from violent criminals and criminal organizations by safeguarding them from the illegal use of firearms and explosives. We are responsible for both regulating the firearms and explosives industries and enforcing criminal laws relating to those commodities. ATF has the experience, expertise, tools, and commitment to investigate and disrupt groups and individuals who obtain guns in the U.S. and illegally traffic them into Mexico in facilitation of the drug trade.

The synergy of ATF's crime-fighting expertise, regulatory authority, analytical capability, and strategic partnerships is used to combat firearms trafficking both along the U.S. borders and throughout the nation. For instance, from Fiscal Year 2004 through February 17<sup>th</sup> of this year, Project Gunrunner—ATF's strategy for disrupting the flow of firearms to Mexico—has referred for prosecution 795 cases involving 1,658 defendants; those cases include 382 firearms trafficking cases involving 1,035 defendants and an estimated 12,835 guns.

### Defining the Problem

The southwest border is the principal arrival zone for most illicit drugs trafficked into the U.S., as well as the predominant staging area for the subsequent distribution of these drugs throughout the U.S. Illegally trafficked firearms are an integral part of these criminal enterprises; they are the "tools of the trade". Drug traffickers routinely use firearms against each other as well as against the Mexican military, law enforcement officials, and civilians. Because firearms are not readily available in Mexico, drug traffickers have aggressively turned to the U.S. as their primary source. Firearms are now routinely being transported from the U.S. into Mexico in violation of both U.S. and Mexican law. In fact, 90% of the firearms recovered in Mexico, and which are then successfully traced, were determined to have originated from various sources within the continental U.S.

The rising incidences of trafficking U.S.-sourced firearms into Mexico is influenced by a number of factors, including increased demand for firearms by drug trafficking organizations, and the strictly regulated and generally prohibited possession and manufacturing of firearms in Mexico. Drug traffickers are able to obtain firearms and ammunition more easily in the U.S., including sources in the secondary market such as gun shows and flea markets. Depending on State law, the private sale of firearms at those venues often does not require record keeping or background checks prior to the sale.

In addition, drug traffickers frequently resort to using "straw purchasers" to obtain firearms from federally licensed gun dealers in the U.S.; these dealers are often unwitting participants in these schemes. Straw purchases refer to instances wherein an individual purchases a firearm for someone who is either prohibited by law from possessing one, such as a convicted felon, or who does not want his or her name associated with the transaction. In other words, a straw purchase takes place when a "straw" falsely poses as the buyer of a firearm to help the true purchaser circumvent the law and create an inaccurate paper trail. These illegal purchases, a key source and supply of firearms for drug traffickers and criminals in the U.S., corrupt the ATF firearms tracing process by creating false leads for agents trying to determine the actual purchaser of firearms recovered at crime scenes. In addition, straw purchasers may be difficult to identify because they may make numerous purchases of one to two firearms that are separated by place and time. As an example, a single ATF investigation of straw purchases of firearms shipped to Mexico involved a network of twenty-two individuals who trafficked

at least 328 firearms valued at over \$350,000. These firearms were sold to the Gulf Cartel in Mexico and were traced by ATF following their use in assassinations of Mexican police officials, citizens, and others. This type of case shows the sophistication of the firearms trafficking networks, using the same methods to traffic firearms south that they use to traffic narcotics north.

A comprehensive analysis of firearms trace data over the past three years indicates that Texas, Arizona and California are the three largest source States, respectively, for firearms illegally trafficked to Mexico. In FY 2008 alone, 2,514 firearms seized in Mexico were traced to sources in Texas, Arizona and California. The remaining 47 States accounted for 1,053 traces in FY 2007.

Although the greatest proportion of firearms trafficked to Mexico originate out of the U.S. States along the southwest border the problem is national. ATF trace data has established that drug traffickers are also acquiring firearms from other States as far east as Florida and as far north and west as Washington State. A case from April 2008 involving the Arellano Felix Organization illustrates this point. A violent dispute between elements of this drug trafficking organization left 13 members dead and 5 wounded. ATF assisted Mexican authorities trace 60 firearms recovered at the crime scene in Tijuana. As a result, leads have been forwarded to ATF field divisions in Denver, Houston, Los Angeles, Philadelphia, Phoenix, San Francisco and Seattle to interview the first known purchasers of the firearms. These investigations are ongoing.

Chairman Molohan, I brought with me today an ATF diagram, based on trace data, which goes into more detail on this issue and, with your permission, I would like to submit it for the Record. This chart illustrates that guns are obtained from all across the U.S. and then transported into Mexico along three major trafficking corridors: from Illinois, Georgia, Florida and Texas into eastern Mexico; from Washington State, Oregon and California down into western Mexico; and from Colorado and Arizona into central Mexico.

Until recently drug traffickers' "weapon of choice" had been .38 caliber handguns. However, they now have developed a preference for more powerful weapons, such as the .233 semi-automatic rifle, the AK-47 variant rifle, 5.57 caliber pistols, and .50 caliber rifles; ATF has seized each of these types of weapons as those weapons en route to Mexico. ATF also has seized large quantities of ammunition for use in these high-caliber weapons. An April 2006 joint ATF, FBI and Tucson Police Department investigation illustrates efforts by Mexican drug trafficking organization's to obtain high-power weaponry. The Tucson investigation led to the arrest and conviction of three members of the Arellano Felix Organization who attempted to purchase machineguns and hand grenades from undercover agents. One of the defendants, a Mexican citizen, was sentenced to 70 months in Federal prison while the other two, both U.S. citizens, were sentenced to 87 months.

The Tucson investigation case also demonstrates that drug traffickers are supplementing their firearms caches with explosives and the need for ATF's expertise

with explosives in the fight against drug cartels. For the past 18 months ATF has been working closely with Mexican law enforcement and military personnel by quickly responding to grenade seizures in Mexico in order to positively identify and trace a variety of these explosives. Unfortunately, in the past six months we have noted a troubling increase in the number of grenades seized from or used by drug traffickers, and we are concerned about the possibility of explosives-related violence spilling into U.S. border towns.

We appear to have had at least one such incident. In late January, a fragmentation hand grenade was thrown into a crowd of 20 patrons at a club in San Juan, Texas. Fortunately, although the grenade was a live device, it did not detonate and no one was injured. Investigation to date indicates this incident is linked to a Mexican drug cartel. ATF has identified the source of the grenade and believes that grenades from the same source were used during an attack on the U.S. Consulate in Monterrey, Mexico.

#### ATF's Strategy

Through its experience with combating violent crime along the southwest border, ATF has learned that merely seizing firearms through interdiction will not, by itself, stop firearms trafficking to Mexico. We must identify, investigate and eliminate the sources of these illicitly trafficked firearms, and networks that transport them to Mexico. Thus, ATF has developed and implemented Project Gunrunner, a comprehensive strategy to combat firearms-related violence perpetrated by warring drug traffickers in border cities such as Laredo, Texas, and Nuevo Laredo, Mexico. It includes approximately 148 special agents dedicated full-time to investigating firearms trafficking along the Southwest border and 59 industry operations investigators (IOIs) responsible for conducting regulatory inspections of federally licensed gun dealers in this region.

As the sole agency that regulates federally licensed gun dealers (known as Federal Firearms Licensees or FFLs), ATF has the statutory authority to inspect the records of licensees, examine those records for firearms trafficking trends and patterns, and revoke the licenses of those who are complicit in firearm trafficking. Roughly 6,700 FFLs are located along the Southwest border. As part of Project Gunrunner, IOIs work to identify and prioritize for inspection those FFLs with a history of noncompliance that represents a risk to public safety. They also focus on those primary retailers and pawnbrokers who sell the weapons of choice that are the preferred firearms being trafficked in this region. Moreover, utilizing ATF trace data analyses, IOIs prioritize for inspection those FFLs with numerous unsuccessful traces and a large volume of firearms recoveries in the targeted high-crime areas. This focused inspection effort assists in the identification and investigation of straw purchasers and the traffickers who employ them. In FY 2007 ATF inspected 1,775 of FFLs along the border and in FY 2008 we inspected 1,884.

In addition to inspections, the IOIs work to improve relations with firearms industry members, enhance voluntary compliance, and promote licensees' assistance in preventing firearms diversion by conducting training and outreach activities with FFLs in

the targeted areas. One such outreach effort is the "Don't Lie for the Other Guy" program, a joint endeavor between ATF and the National Shooting Sports Foundation (NSSF), the trade association for the shooting, hunting and firearms industry. The program was developed in 2000 to aid firearm retailers in recognizing potential illegal firearm purchases in order to deter those purchases, and has since expanded to include a public-awareness component regarding the serious nature and illegality of straw purchases of firearms.

An example of ATF utilizing its regulatory authority to review FFL records to identify firearms traffickers occurred in El Paso, Texas. The case led to the arrest of 12 individuals in November 2007. The subjects purchased 75 firearms that were sold to corrupt local, federal and military officials in Mexico. Sentences for these defendants range from 36 months to two years.

While on the subject of FFLs, I would like to note that the vast majority of gun dealers are in compliance with firearms regulations and are genuinely interested in keeping guns out of the hands of criminals. For example, in 2007, a tip from an FFL involving a firearms trafficker purchasing two .50 caliber rifles, as well as other firearms recovered in Mexico, prompted an undercover ATF operation. That investigation led to the arrest of the leader and his two coconspirators for attempting to purchase several handguns and a fully automatic M-60 machine gun. In September 2008, the leader was sentenced to 30 months incarceration and 84 months of supervised release.

An essential component of ATF's strategy to curtail firearms trafficking to Mexico is the tracing of firearms seized in both countries. When a firearm is traced, specific identifying information—including the make, model and serial number—is entered in the ATF Firearms Tracing System. Using this information, ATF can establish the identity of the first retail purchaser of the firearm and possibly learn pertinent information, such as how the gun came to be used in the facilitation of crime or how it came to be located in Mexico. Furthermore, analyses of aggregate trace data can reveal trafficking trends and networks, showing where the guns are being purchased, who is purchasing them, and how they flow across the border. Accordingly, ATF is working with Mexican officials to increase their current usage of ATF's tracing system by means of eTrace, a web based application for accessing ATF's Firearms Tracing System. Our goal is to deploy eTrace to all thirty-one states within the Republic of Mexico. We can report that our efforts are paying off. Mexico has increased the number of firearms it has submitted for tracing from 3,312 in FY 2007, to 7,743 in FY 2008, and over 7,500 to date this fiscal year.

ATF also has increased its presence at the El Paso Intelligence Center (EPIC), which is the central repository and clearinghouse for all weapons-related intelligence collected and developed not only by ATF's field and Mexico offices and attaches, but also by all other Federal, State and local law enforcement entities involved in narcotics interdiction and investigation along the U.S.-Mexico border. Our increased staffing levels at EPIC allow ATF to expand our intelligence activities with our law enforcement partners stationed there, including the Federal Bureau of Investigation (FBI), the Drug



Enforcement Administration (DEA), Immigration and Customs Enforcement (ICE), Customs and Border Patrol (CBP) and the Texas and Arizona Departments of Public Safety. ATF also works closely with these agencies' taskforces that operate along the southwest border, sharing intelligence and conducting joint investigations.

Further, ATF participates in the Organized Crime Drug Enforcement Task Force (OCDETF) Fusion Center, a multi-agency intelligence center that provides operational intelligence in drug investigations. Through the Fusion Center, ATF shares information with other federal law enforcement agencies involved in drug enforcement, and helps to build large-scale operations targeting these violent Mexican drug trafficking organizations.

Internationally, ATF enjoys a strong collaborative relationship with Mexican law enforcement and other government agencies within Mexico. Over the last 15 years, ATF has had special agents permanently assigned to the U.S. Embassy in Mexico City. These agents engage in full-time intelligence sharing with the Mexican government to gather real time information on significant seizures of firearms that originated from within the U.S. Moreover, through bilateral forums, such as the annual Senior Law Enforcement Plenary sessions with Mexico, ATF and the Mexican Government jointly develop operational strategies and policies to minimize the firearms-related violence afflicting communities on both sides of the border. ATF would not have achieved the success it's had, particularly with regard to tracing, had it not been for the remarkable support of the Mexican government.

#### **Recommendation**

As noted, ATF's Project Gunrunner has experienced numerous successes, referring for prosecution 795 cases since October 1<sup>st</sup>, 2003, involving 1,685 defendants, including 1,035 defendants who trafficked an estimated 12,835 guns. Still, there is obviously much work to be done. Accordingly, Congress recently provided ATF with funding to continue and expand our efforts along the southwest border.

The American Recovery and Reinvestment Act, commonly referred to as the stimulus bill (P.L. 111-5), provides ATF with \$10 million for Project Gunrunner. Those funds will allow ATF to establish new firearms trafficking teams in McAllen, Texas, El Centro, California and Las Cruces, New Mexico. The funds also will allow ATF to assign personnel to U.S. Consulates in Mexico where they can share intelligence, assist in investigations and train Mexican authorities on how to conduct firearms traces. Specifically, the funds are providing 37 total positions which include 21 agents in domestic offices, four agents in Mexican offices, six IOIs, three intelligence research specialists (IRSs) and three investigative analysts (IAs).

In addition, the FY 2009 Omnibus Appropriations Act provides ATF with an additional \$5 million for Project Gunrunner. The FY 2009 appropriation includes funding for two additional firearms trafficking teams, including 21 agents, four IOIs and two IAs.

While ATF has achieved numerous successes in recent years with its limited resources, additional assets and personnel will undoubtedly produce even greater results. Increased funding for Project Gunrunner, as provided by the stimulus bill is an important investment in the security of our nation and protection of our citizens.

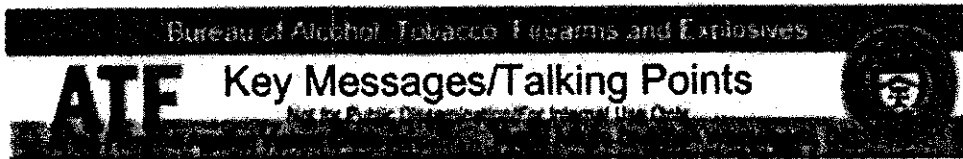
#### **Conclusion**

Chairman Mollohan, Representative Wolf, distinguished Members of the Subcommittee, on behalf of the men and women of ATF, I thank you and your staffs for your support of our crucial work. We recognize and are grateful for your commitment and contributions to the law enforcement community. With the backing of this Subcommittee, ATF can continue to build on our accomplishments, making our nation even more secure. We look forward to working with you in pursuit of our shared goals.

**OIG's "Review of Project Gunrunner" Talking Points**

- The review reported significant accomplishments across many measured outcomes, including the increased number of:
  - investigations initiated (by 109%);
  - cases and defendants referred to U.S. Attorney's Offices (by 54%);
  - gun dealer compliance inspections along the Southwest Border (by 133%);
  - compliance inspection hours worked (by 102%); and
  - ATF Industry Operations referrals made to ATF criminal investigators (by 47%).
  
- These advances were made at the same time ATF faced significant challenges to the expansion of Project Gunrunner—yet many more accomplishments were achieved under Gunrunner including—between FY 06 and FY 09:
  - 1,256 defendants have been recommended for prosecution
  - 4,134 firearms and 438,708 rounds of ammunition are secure in ATF evidence vaults
  - 608 defendants are serving an average of 94 months in prison and an additional 198 are under the supervision of the court for an average of 41 months
  - 233 defendants have been convicted and await their sentence
  - 789 defendants (63 percent of all defendants recommended for prosecution) faced charges related to firearms trafficking
  - 6,676 compliance inspections in the region have been successfully conducted
  - 49,625 firearms were identified by ATF investigators as unaccounted for by sale or other disposition
  - ATF investigators worked in partnership with FFL's to locate the firearm or record to account for 43,249 or 72% of the unaccounted firearms
  
- ATF is committed to building on these successes and improving the program in the areas identified by the Inspector General.
  
- ATF concurs with all of the OIG recommendations. In fact, during the review process and before the recommendations were made, ATF developed an internal publication entitled, "Project Gunrunner – A Cartel Focused Strategy." This strategy addresses most of the OIG recommendations and was communicated to the entire ATF organization.
  
- While ATF believes the OIG review covered significant portions of the program, ATF does have some concerns with the review:

- **ATF is concerned that the review does not adequately reflect the resource and other challenges faced by the U.S. and Mexican governments;**
- **The current and former Assistant Directors of Field Ops, OSII as well the Deputy Assistant Directors responsible for Project Gunrunner and Director of Industry Operations were not interviewed which limits the completeness of the review; and**
- **The review fails to provide the full funding context of the implementation of Project Gunrunner.**



**Operation: Fast and Furious, ATF-Lead OCDETF Investigation in the Sinaloa  
Drug Trafficking Organization (DTO)**

(1/10/11)

**Key Messages**

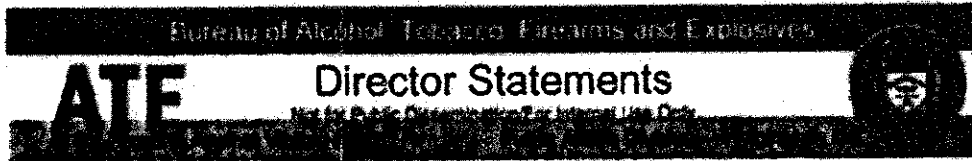
- In August 2010, ATF announced the success of the Gun Runner Impact Team (GRIT) initiative in the Phoenix area. Here it is five months later and ATF is pleased to announce the success of additional investigations addressing firearms trafficking to Mexico.
- These investigations address the key goals of ATF's mission to combat violent crime and ATF's Southwest border firearms trafficking strategy which are:
  - Prevent violent crime
  - Ensure the safety of the communities situated along the Southwest Border
  - Disrupt and dismantle the firearms trafficking networks responsible for the diversion of firearms from lawful commerce and into the hands of the Mexican Drug Trafficking Organizations (DTOs).
- Investigations such as Fast and Furious demonstrate the commitment on the part of the ATF and its federal, state, local, tribal, and international partners to address the criminal activity involved in the trafficking of firearms to Mexico.
- ATF's firearms tracing system, eTrace, is critical in the identification of individuals involved in violating federal firearms laws.
- The cases substantiate the fact that Mexican DTO's and other associated criminal groups operating along the Southwest Border are actively pursuing 'weapons of choice' in the U.S. commercial firearms market.
- The Fast and Furious investigation is just one of a number of firearms trafficking cases perfected by the Organized Crime Drug Enforcement Task Force (OCDETF) Strike Force, a multi-agency team of investigators from ATF, DEA, ICE, IRS, and the Phoenix Police Department. The mission of the Strike Force is to combat Drug Trafficking Organizations (DTO's) in the Phoenix metropolitan area by combining the resources and expertise of the participating agencies.

### Talking Points

- Since 2006, there has been a significant increase in drug and firearms-related violence in Mexico and along our Southwest border. In response to this increase, ATF has deployed focused resources nationally to prevent the firearms trafficking along the Southwest Border.
- In October 2009, Operation: Fast and Furious, an ATF lead OCDETF investigation into a firearms trafficking organization funded by the Sinaloa DTO, kicked off in Phoenix. Although this investigation was lead by ATF, it was a multi-agency effort involving DEA, ICE, IRS, and the Phoenix Police Department.
- This firearms trafficking organization used a large group of straw purchasers [REDACTED] to spread out purchases and deceive law enforcement and the FFLs in an attempt to evade detection.
- The Phoenix Field Division has continued to proactively address firearms trafficking to Mexico in support of ATF's Project Gunrunner.
- Through the use of Gunrunner Groups, ATF has increased its investigative efforts along the Southwest Border to disrupt and dismantle firearms trafficking organizations.
- This investigation was successful due to the tireless efforts of ATF employees using a wide variety of investigative methods to fully identify those responsible for trafficking firearms to Mexico.
- According to ATF trace data and Mexican law enforcement reports, a large number of certain types of semiautomatic rifles, such as AR and AK type firearms were obtained by straw purchasers as part of complex firearms trafficking organizations and subsequently recovered in violent crimes in Mexico.
- These cases demonstrate the ongoing trafficking of firearms by Mexican DTO's and other associated groups operating in Arizona and the need for reporting of multiple sales for certain types of rifles in order to ferret out those intent on providing firearms to these criminal groups.
- The OCDETF investigation into this organization utilized numerous investigative techniques to uncover violations of federal firearms, narcotics, money laundering, and conspiracy laws.
- This organization was responsible for trafficking firearms into Mexico and trafficking Cocaine and Marijuana into southern Arizona for distribution throughout the United States.

- The investigation has revealed that from October 2009 through October 2010, this organization has spent in excess of 1.25 million dollars at various Phoenix area Federal Firearms Licensees (FFLs) to acquire in excess of 1,900 firearms and traffic them into Mexico through the use of vehicles outfitted with hidden compartments.

###



**Operation: Fast and Furious and Corresponding Investigations**  
(1/10/11)

**Statements**

- In October 2009, ATF, in partnership with DEA, ICE, IRS, and the Phoenix Police Department, began an OCDETF investigation into a firearms trafficking organization funded by the violent Sinaloa Drug Trafficking Organization (DTO).
- This criminal organization is responsible for trafficking hundreds of firearms into Mexico, using firearms in furtherance of drug trafficking, drug smuggling, wire fraud, and bulk currency smuggling.
- From October 2009 through October 2010, this organization, through the use of numerous straw buyers, purchased approximately 1.25 million dollars in firearms from FFLs in the Phoenix area and trafficked the firearms into Mexico and other locations within the United States.
- During the course of this investigation, ATF and our law enforcement partners utilized a variety of investigative techniques to identify a large number of co-conspirators and disrupt the illegal activities of this criminal enterprise by seizing hundreds of firearms and narcotics.

###





**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
300 W. Congress Street  
Tucson, Arizona

April 25, 2006

www.atf.gov

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MEMORANDUM TO: Assistant Director  
Office of Field Operations

THRU: Deputy Assistant Director (West)  
Office of Field Operations

THRU: Special Agent in Charge *Z*  
Phoenix Field Division

THRU: Assistant Special Agent in Charge *Z 5/4/06*  
Phoenix Field Division

FROM: Resident Agent in Charge (Acting)  
Tucson II Field Office

SUBJECT: Tucson, Arizona VCIT Strategy (Revision)

This memorandum is provided as a revision of the planning and operational strategy employed by the Phoenix Field Division, Violent Crime Impact Team (VCIT) in Tucson, Arizona. This revision incorporates recommendations contained in the ATF VCIT Best Practices report, dated December 2005.

Since the submission of the Tucson VCIT strategy in September 2005, substantial organizational, personnel and resource changes have occurred. These changes have necessitated a re-evaluation of the original strategy to effectively address violent crime problems. At the conclusion of an extended pilot program in November 2005, the Office of Field Operations formalized a permanent Tucson VCIT mission through the establishment of the Tucson II Field Office. In addition to its primary VCIT mission, the Tucson II Field Office recognizes responsibility to interdict and prevent violent crime through participation in other national law enforcement programs such as the Southwest Border Initiative, Project Safe Neighborhoods, and the Border Enforcement and Security Task Force.

Assistant Director  
Office of Field Operations

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### Partnerships

Participation in the Tucson VCIT by other Federal, State and local partners remains strong, but has significantly changed since the last Tucson VCIT strategy update. The Tucson Police Department (TPD) remains our primary partner and has agreed to expand their involvement through a formalized partnership agreement. Accordingly, the existing Youth Crime Gun Interdiction Initiative Memorandum of Understanding was reviewed and a new, updated VCIT Memorandum of Understanding was prepared. All documents were vetted and approved by ATF and TPD legal counsel. Additionally, in furtherance of the ATF and TPD partnership and existing Memorandum of Agreement, ATF is sponsoring and processing the applications of 12 TPD officers and Detectives for Federal Task Force Deputization (Best Practices: Additional recommendations, formalized Memoranda; Deputizations).

TPD has re-organized their command and control structure through the formulation of a Special Investigations Division (SID). This structure consists of a Captain, Lieutenant, six Sergeants and approximately forty additional personnel. These units were previously organized within a Narcotics Division, and have replaced the four TPD personnel previously assigned to ATF. According to the Tucson Police Department's Assistant Chief, the TPD reorganization will more effectively focus their efforts to combat violent crime and gang related problems (Best Practices #2: Develop collaborative partnerships with local police).

Based on the significant partnership with TPD SID, during the past several months the Tucson II Field Office has, on a trial basis, expanded the previously defined VCIT corridor area of responsibility, and has conducted city-wide criminal investigations with notable success. As reported in the Arizona Daily Star on February 24, 2006, during the period of January through February 2006, there were 4 homicides in Tucson. In comparison to 8 homicides during the same period in 2005, and 21 homicides in 2004, that number represents a significant reduction and validation of the ATF and TPD violent crime interdiction and prevention strategies (Best Practices #1: Set Clear Goals and Measure Performance).

In addition to the TPD partnership, Tucson II has also formalized support commitments from the U.S. Attorney's Office (USAO) in Tucson. This support now consists of three Assistant U.S. Attorneys dedicated to Tucson II violent crime initiatives. The USAO personnel allocation represents a significant enhancement of responsiveness and support since the last update, where only one AUSA was assigned (Best Practices: Additional recommendations, commitment and support from the USAO). Other VCIT participants include the Department of Homeland Security through partnerships with Immigration and Customs Enforcement (ICE), U.S. Border Patrol and the U.S. Marshals Service. Additional partners on an as needed basis include the Federal Bureau of Investigation, Pima County (AZ) Attorney's Office, U.S. Probation Office and the Arizona Board of Parole and Probation.

Assistant Director  
Office of Field Operations

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### Selection of Geographic Area

In connection with the establishment of TPD SID and their city-wide enforcement mission, the Tucson II Field Office has extended the VCIT program accordingly. This expansion of responsibility allows Tucson II to better and more efficiently integrate with our VCIT partners, and will more effectively reduce violent crime and impact the community (Best Practices #5: Maintain a fluid and dynamic approach when targeting offenders and hot spots of violent crime).

The Tucson II Field Office also recognizes other enforcement responsibilities as described herein, and regularly engages in pro-active and reactive operations outside of the metro Tucson area.

### Enforcement Strategy

By memorandum, dated February 2006, ATF, Assistant Director Bouchard, directed that ATF Field Offices focus on those investigations that have the highest impact on violent crime with a primary emphasis on the protection of the community. Accordingly, the Tucson II Field Office has adopted a multi-faceted enforcement program.

Although Tucson II fully recognizes ATF national mission responsibilities in the areas of explosives and arson violations, in other than matters of compelling Federal interest such as domestic or international terrorism, the Tucson II Field Office will focus resources on arson and explosives programs only as they pertain to the successful completion of immediate VCIT goals and objectives.

Tucson II will pursue those violators who most endanger the community, utilizing the following six programs:

#### 1. Multiple Firearms Sales:

The Tucson II Field Office currently has several ongoing investigations involving multiple sales and firearm trafficking, to include IN 785085 06 0032, wherein the subject has made numerous multiple purchases, and is believed to be trafficking firearms to street gangs in Compton, CA.

Tucson II is also actively investigating criminal conspiracy, and firearms trafficking violations through IN 785085 06 0035, wherein the suspects are targets of investigation for international trafficking in firearms. This matter is being coordinated with ATF Country Attaché, Ottawa, Canada, the Toronto Police Service and ICE.

Tucson II is additionally actively investigating criminal conspiracy, and firearms trafficking violations through IN 785085 06 0051, wherein the subject has pre-paid and ordered numerous lower receivers to be transported to Mexico subsequent to re-manufacturing as machineguns. Tucson II is actively investigating criminal conspiracy, and firearms trafficking violations (Best Practices #8: Investigate the sources of firearms linked to violent crime).

Assistant Director  
Office of Field Operations

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2. Pro-active:

This program encompasses the majority of the matters under Tucson II active investigation, to include IN 785085 06 0045, an undercover investigation and Federal prosecution that targets the violent Arellano-Felix drug cartel and has to date resulted in three arrests and money seizure (Best Practices #7: Deploy resources during peak hours of criminal activity).

This program also includes IN 785085 06 0047, [REDACTED] investigation relating to a violent drug trafficking organization (Best Practices # 9: Prioritize prosecution of defendants linked to targeted hot spots).

3. Targeted Offender Program (TOP):

Since February 1, 2006, Tucson II has evaluated approximately 50 potential targets and narrowed that evaluation to 5 TOP subjects (Best Practices #3: Target the "worst of the worst").

Tucson II has recently revamped this program to the evolving needs of Tucson and is experiencing some data and Intelligence stream challenges. Tucson II recognizes this program as a primary initiative and is working on corrective action. Expectation for this program is the evaluation of at least several dozen(s) potential targets each month, and the maintenance of an active ATF TOP listing of 6 - 12 subjects. A similar number or more could / may be targeted by TPD SID.

4. Organized Crime Drug Enforcement Task Force (OCDETF):

Tucson II is the lead agency in one OCDETF investigation (785085 06 0071), and a participating member in three additional multi-agency, multi-jurisdictional investigations. These are long term, resource intensive investigations (Best Practices #4: Use the full array of Intelligence resources).

5. Violent Crime Impact Team:

The Tucson II Field Office recognizes a primary mission within the National VCIT Program. Significant Special Agent time allocation and numerous open Tucson II Field Office investigations are part of that initiative to include pro-active and the majority of the reactive cases, including Federal Firearms Licensee theft/robbery; unlawful possession of firearms by illegal or undocumented aliens; and referrals by local police agencies. Typical of this initiative is the initiation of a joint TPD / ATF investigation of the approximately 180 Mexican Mafia members and associates believed to be residing in Tucson, and the initiation of joint investigation of [REDACTED] groups. This program also represents the Tucson II Field Office's commitment to support other ATF Office's investigative activity in the Tucson metro area (Best Practices #6: Conduct proactive street enforcement with local police in targeted hot spots).

Assistant Director  
Office of Field Operations

5

**6. Project Safe Neighborhood Initiative - Tohono O'odham Nation.**

The National PSN Coordinator and the USAO Tucson have advised of their intent to present highlights of the Tucson II Field Office investigative efforts at the 2006 PSN National Conference at Denver during May 2006, which reflects a 300% increase of firearms prosecutions during FY-05 (IN 785085 06 0007).

(b) (7)(C)

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## **White Paper Southwest Border Firearms Trafficking Initiative**

### **Purpose**

This white paper responds to a classified discussion paper from the Office of National Drug Control Policy on US-Mexico counter-drug cooperation, which tasked the U.S. Immigration and Customs Enforcement and the Bureau of Alcohol, Tobacco, Firearms and Explosives to jointly identify, with other Federal agency partners, a strategy to counter the trafficking of firearms and other weapons into Mexico.

### **Executive Summary**

In response to heightened government concerns about the illegal trafficking of firearms and other weapons from the United States into Mexico, the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF), the U.S. Immigration and Customs Enforcement (ICE), the Drug Enforcement Administration (DEA), and the U.S. Customs and Border Protection (CBP) are collaborating to better address this border security vulnerability. This action plan outlines broad principles that will serve as the foundation for a nationally-coordinated, regionally implemented interagency initiative designed to identify, investigate, and interdict the illegal export of firearms and other weapons from the United States into Mexico.

This plan will guide ATF, ICE, DEA, CBP, and other participating agencies into joint initiatives based upon analysis of Mexican firearms seizure data and ATF trace data, expanded information sharing, and coordinated operations. Within the next 60 days, official representatives from the participating agencies will coordinate to implement regional initiatives to counter firearms trafficking and weapons smuggling to Mexico.

### **Background**

The Mexican government continues to express concern to the United States about the illegal trafficking of firearms from the U.S. into Mexico. ATF, ICE, and CBP agree upon broad principles as part of an interagency strategy to identify, investigate, and interdict the illicit cross border trafficking of firearms, weapons, and ammunition into Mexico. Discussions are ongoing to address more detailed procedures regarding the coordination of multi-agency operations and information sharing. However, the broadly agreed-upon strategy is based upon three pillars: Analysis of Firearms and Weapons Related Data, Information Sharing, and Coordinated Operations.

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**Action Plan****I. Analysis of Firearms and Weapons Related Data**

Mexico currently shares available firearms crime data with ATF. Comprehensive access to Mexican weapons seizure data will undoubtedly provide vital intelligence that will assist in identifying and disrupting the illicit trafficking of weapons into Mexico. Mexican seizure data will improve law enforcement's ability to target suspect weapons shipments and pursue investigative leads relating to the sources of these weapons. This data will also assist in identification of illicit weapons shipments that do not originate in the U.S. Law enforcement on both sides of the border will gain a better understanding of smuggling methods and high-risk target identification that can lead to the development of focused, intelligence-driven special enforcement operations.

A thorough analysis of the collected data on firearms and other weapons seized at the border and in Mexico can establish sales and purchase patterns, identify areas in Mexico that have a high concentration of seized firearms, and identify and target persons and businesses that are involved in the sale or purchase of weapons smuggled into Mexico. The data and findings will be shared among the participating Federal partners through the ATF National Weapons Desk.

**II. Information Sharing**

The ATF Southwest Border Gun Center in the El Paso Intelligence Center serves as a central repository for firearms-related information and intelligence. The Center compiles and disseminates information and intelligence about crime guns and suspect guns, firearms thefts and losses, purchase patterns, suspicious purchasers, secondary gun markets, and other data related to firearms activity. The information is collected from—and shared with—Federal, State, and local law enforcement agencies as well as foreign governments, including Mexico.

The Southwest Border Gun Center serves as a conduit for tactical information-sharing within regional investigative task forces. The firearms-related tactical information will be used to deconflict proactive agency operations. Federal task forces that combat gun violence and firearms trafficking along the Southwest Border will share tactical actionable intelligence and coordinate investigations and interdictions of outbound weapons smuggling and firearms trafficking.

**III. Coordinated Operations**

The Department of Homeland Security created Border Enforcement Security Task Forces (BESTs), consisting of ICE, CBP, ATF, and DEA field personnel, to provide a multi-agency, comprehensive approach to identify, disrupt, and dismantle criminal organizations posing threats to border security. BESTs have been initiated in Arizona, California, and Texas. Mexican law enforcement personnel have also been assigned to BESTs to work jointly with the U.S. in conducting investigations of crime that impacts both the U.S. and Mexico.

Where a BEST task force exists, the BEST will facilitate coordinated, multi-agency investigations and share actionable intelligence leading to increased border area interdictions of outbound weapons and munitions. Participating agencies will lead investigations they initiate that fall within their investigative jurisdictions. The BEST task forces will continue to

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employ the authority and expertise of each participating agency in complementary ways. BEST task forces will share weapons-related intelligence with all participating agencies, through the ATF National Weapons Desk.

ATF established a Southwest Border Initiative to reduce firearms trafficking into Mexico and thus reduce firearm-related violent crime in Mexico. As part of that effort, Industry Operations Investigators are identifying those firearms dealers and suspicious purchasers along the Southwest Border that are the common source of firearms recovered in Mexico. Special agents on Violent Crime Impact Teams (VCIT) leverage technology and investigative resources to identify, target, disrupt, arrest and prosecute criminals responsible for cross-border violence in target cities, which include Los Angeles, Tucson, Albuquerque, Houston, and Laredo along the Southwest Border. Away from the actual border or where no BEST is established the Violent Crime Impact Teams will facilitate coordinated, multi-agency investigations and share actionable intelligence with all participating agencies, through the ATF National Weapons Desk.

In order to address specific, regional firearms trafficking and weapons smuggling threats, DOJ and DHS field commanders will meet in the summer of 2007 to develop and implement joint initiatives and protocols. Agency-developed metrics will measure each regional joint initiative's effectiveness in addressing the threat of outbound firearms and other weapons. Best practices and lessons learned will be shared to improve targeting of firearms trafficking and weapons smuggling along the entire Southwest Border.

**Points of Contact**

US Immigration and Customs Enforcement

(b) (7)(C) Unit Chief, Arms and Strategic Technology Investigations Unit. [REDACTED]

Bureau of Alcohol Tobacco, Firearms and Explosives

(b) (7)(C) Special Agent, Field Operations, Field Management Staff. [REDACTED]

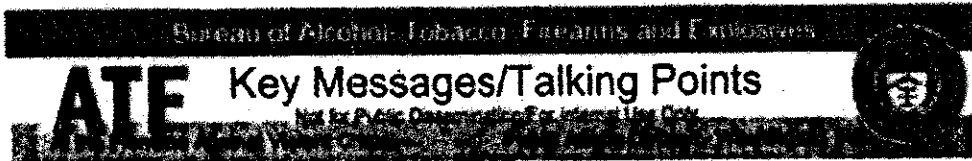
US Customs and Border Protection

(b) (7)(C) Assistant Chief, Office of Anti-Terrorism [REDACTED]

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## ATF Firearms Trafficking

(12/3/10)

### FA Trafficking

- The primary goal of ATF's firearms trafficking strategy is to prevent violent crime by disrupting and dismantling the firearms trafficking organizations and networks responsible for supplying violent offenders with crime guns.
- Firearms trafficking is the mechanism in which criminals obtain their firearms used in violent crimes.
- ATF's strategy is multi-faceted and includes the inspection of licensed gun dealers, targeting and arresting of straw purchasers, and now a greater intelligence-driven emphasis targeting specific cartels and the persons responsible for organizing and directing firearms trafficking operations in the U.S.
- The multi-prong approach requires conducting our border-related firearms and explosives investigations in a more creative and comprehensive manner by fully incorporating our agency's regulatory, investigative, analytical, technical, intelligence gathering and legal capabilities.

### Intelligence-Driven Investigations

- The cornerstone of any ATF firearms trafficking investigation is an intelligence-driven approach that ultimately disrupts and dismantles firearms trafficking organizations and networks responsible for supplying violent offenders with crime guns.
- Gathering of intelligence involves lengthy investigations, a cadre of informants, sharing of information with other agencies, and tracing of as many crime guns as possible.
- Greater emphasis will be placed on targeting the persons with greater responsibility for the trafficking schemes in an attempt to more effectively

disrupt firearms trafficking operations and to make our cases more appealing for criminal prosecution.

- ATF will also conduct investigations focusing greater attention on the cartels that finance and direct these trafficking operations.

### **Straw Purchasing**

- Straw purchasing is when an individual purchases a firearm and claims it is for their use when in fact it is really intended for another individual.
- Straw purchasers will continue to be investigated and prosecuted according to their overall level of culpability and cooperation. Straw purchasers must be held accountable for their conduct and made ineligible to purchase or possess firearms in the future.
- Straw purchasers and firearms traffickers are responsible for the crimes committed with those guns. As a society we have to make straw purchasing as socially reprehensible as the crimes committed with those guns. Straw purchasing is a felony – you are not just making a false statement on a form, you are providing a gun to criminal who will use it to victimize someone in your community.
- ATF faces numerous challenges when conducting trafficking investigations to include identifying straw purchasers. No one can state with any accuracy the total number of firearms that are straw purchased because these violations often go undetected by law enforcement and the industry.
- ATF partners with the National Shooting Sports Foundation (NSSF), the trade association for firearms industry, on educating the firearm retailer in the detection and possible deterrence of straw purchases. The program co-designed is called “Don’t Lie For the Other Guy.”

### **Tracing**

- ATF's National Tracing Center (NTC) is the country's only crime gun tracing facility. As such, the NTC provides critical information that helps domestic and international law enforcement agencies solve firearms crimes, detect firearms trafficking and track the intrastate, interstate and international movement of crime guns.

- Tracing firearms helps to link a suspect to a firearm in a criminal investigation and identify potential traffickers.
- When comprehensive tracing is undertaken in a given community, detect in-state, interstate and international patterns in the sources and kinds of crime guns.
- ATF processed more than 350,000 crime-gun trace requests for thousands of domestic and international law enforcement agencies in fiscal year 2009.
- The more firearms that are traced, the more valuable leads ATF develops. National comprehensive tracing is needed by all local and state agencies.

#### **Southwest Border**

- Since 2006, there has been a significant increase in drug and firearms-related violence in Mexico and increasingly along our Southwest border. In response to this increase ATF has deployed focused resources nationally to prevent the firearms trafficking along the Southwest Border.
- ATF has increased its resources and placed a greater national emphasis on suppressing the trafficking of firearms and explosives along the Southwest border.
- ATF has enhanced its partnerships with the Government of Mexico and other law enforcement and intelligence agencies with shared responsibilities.
- The primary goal of ATF's Southwest border firearms trafficking strategy remains unchanged---to disrupt and dismantle the firearms trafficking organizations and networks responsible for trafficking firearms to Mexican Drug Trafficking Organizations and related border violence.
- ATF's Project Gunrunner targets firearms traffickers anywhere in country who are illegally transport firearms to Mexico. This problem is by no means isolated to Southwest borders states. There are trafficking pipelines nationwide illegally trafficking firearms to Mexico.
- Since its 2006 inception, ATF's Project Gunrunner investigations have seized over 10,000 firearms destined for Mexico.

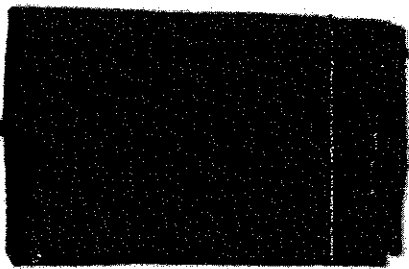
- As a result of the 2010 emergency supplemental appropriation for border security, ATF received \$37.5 million for Project Gunrunner. This funding will be used to upgrade the National Tracing center and establish seven firearms trafficking groups along traditional and newly-emerging firearms trafficking routes and hubs in Atlanta; Dallas; Brownsville, Texas; Las Vegas; Miami; Oklahoma City; and Sierra Vista, Ariz.
- In fiscal year 2009, ATF seized a total of 2,589 firearms and 265,500 rounds of ammunition destined for the Southwest. In those cases, ATF obtained evidence that at least 4,964 firearms were trafficked to Mexico. In addition, ATF referred 355 cases and 686 defendants for prosecution under Project Gunrunner.
- From fiscal years 2004 through 2009, Project Gunrunner referred for prosecution 1,012 cases involving 2,096 defendants.
  - Those cases include 510 firearms trafficking cases with more than an estimated 15,000 firearms trafficked.
- Mexican Drug Trafficking Organizations are trafficking firearms across the border via vehicle and foot utilizing existing drug trafficking routes that are territorially fought over in Mexico. In some instances cartel members are placed in the U.S. to acquire firearms; in other cases freelance U.S. citizens looking to make a quick buck become engaged in trafficking schemes.

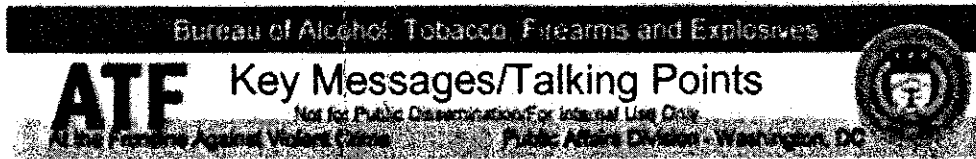
#### **FFL & Strategic Inspections**

- When criminal wrongdoing by FFLs is suspected the FFL will be aggressively investigated and recommended for prosecution.
- Corrupt FFLs are detrimental to society as they hold a special responsibility to ensure that firearms are not illegally diverted from lawful commerce.
- The potential damage to public safety caused by even one corrupt FFL is difficult to calculate.
- ATF pursues investigative leads developed by IOIs who conduct inspections of licensed gun dealers.
- Firearms traffickers and other violent criminals also obtain firearms from secondary sources to include gun shows, thefts and private sales.

- The gun dealers who follow the regulations also suffer when those who abuse the secondary market for their own greed provide criminals and traffickers with firearms.
- In FY 2009, ATF conducted the following compliance inspections:
  - Federal firearms licensee (FFL) inspections more than 11,000
- In FY 2009, firearms compliance inspections resulted in the following recommendations:
  - No Violations: 5,461
  - Report of Violations: 1,076
  - Warning Letter: 1,207
  - Warning Conference: 877
  - Revoke License or Denial of Renewal: 64
  - Surrender of License: 36
  - Out-of-business: 1,432
  - Other : 1,222
  - Total: 11,375
- In FY 2009, firearms application inspections conducted: 7,000
  - \* **BACKGROUND** – In 2004, ATF implemented a new program where ATF industry operations investigators conduct **in-person** application inspections with all new firearms business applicants. The in-person inspection process includes verifying the applicant, ensuring the qualifications are met of the would-be licensee, verifying the business premises, and reviewing the recordkeeping and business requirements. In addition, to educating the applicant about regulatory responsibilities, these efforts encourage voluntary business practices that can prevent diversion of firearms from lawful commerce to the illegal market.

###





**Project Gunrunner – Southwest Border**  
(1/27/2011)

**Talking Points**

- *Project Gunrunner*, implemented in 2006 as ATF's southwest border strategy, works in conjunction with its domestic and international law enforcement partners to identify, disrupt and dismantle the firearms trafficking infrastructure of transnational criminal organizations operating in Mexico and along the border.
- Through *Project Gunrunner* ATF has targeted and prosecuted firearms trafficking organizations in all parts of the United States, from Minnesota to Florida to all our border states, where ATF tracing data and intelligence has provided valuable leads in identifying individuals bent on providing guns to the cartels.
- Today, there are over 4,600 open *Project Gunrunner* investigations throughout the United States looking into the firearm traffickers that facilitate such violence in Mexico.
- Since its 2006 inception, ATF's *Project Gunrunner* investigations have seized in excess of 10,000 firearms and 1.1 million rounds of ammunition destined for Mexico.
- In December 2009, ATF deployed Spanish eTrace, which allows our Mexican law enforcement partners and other Spanish-speaking nations to enter information about recovered crime guns into ATF's National Tracing Center.
- eTrace allows law enforcement representatives to electronically submit firearm trace requests, to retrieve completed trace results and to query firearm trace-related data in a real-time environment.
- In response to the State Department funding of Integrated Ballistics Identification Systems (IBIS machines) in Mexico, ATF has developed an international correlation server for bilateral sharing of forensic evidence recovered in relation to shootings on both sides of the border. In addition, ATF is developing a mobile IBIS capability that will allow us to capture more forensic firearms identification from US law enforcement agencies that now do not have access to the NIBIN program. NIBIN continues to be an important tool in the identification and investigation of active shooters domestically and abroad.
- *Project Gunrunner* proves that valuable investigative information is obtained when all crime guns are traced. Numerous illegal purchasers have been identified and trafficking networks investigated and prosecuted based on leads generated by eTrace.

Talking Points – Project Gunrunner – Southwest Border  
(1/27/2011)

- Since 2009, ATF has tripled its *Project Gunrunner* personnel in Mexico which has increased its capabilities for intelligence sharing and scene response. As a result, the Government of Mexico is able to perfect additional cases and prosecute offenders.
- As part of ATF's *Project Gunrunner* contributions within the Merida initiative, ATF continues to train Government of Mexico officials in firearms and explosives identification, firearms tracing procedures, post blast investigations, crisis negotiations, and the training and use of explosive detection canines.
- ATF has partnered with the Government of Mexico to create the Combined Explosives Investigation Team (CEIT). This team is a deployable, bi-national unit composed of Mexican law enforcement officers, ATF Special Agents, and ATF Explosives Enforcement Officers. The team responds to, and investigates, the rapidly increasing number of incidents involving the use or recovery of improvised explosive devices (IED's) and Vehicle Bourne Improvised Explosive Devices (VBIED's) in Mexico.
- ATF has partnered with Immigration and Customs Enforcement (ICE) and the Government of Mexico to form the DC Arms Group. Based in Washington, DC, this group is a multi-agency effort to coordinate strategic efforts of the participating agencies.
- Further *Project Gunrunner* statistics, FY 2006 – 2010 (only that information coded as Project Gunrunner, not ATF as a whole):
  - 2,451 defendants have been recommended for prosecution.
  - 809 defendants are serving an average of 104 months in prison and an additional 260 are under the supervision of the court for an average of 39 months
  - 300 defendants have been convicted and await their sentence.
  - 1,573 defendants (64% of all Gunrunner defendants recommended for prosecution) faced charges related to firearms trafficking.
  - ATF conducted 11,745 firearms licensee compliance inspections.
  - ATF Industry Operations Investigators (IOIs) identified 92,871 firearms that FFLs could not locate in inventory or account for by sale or other disposition. By working with industry members, IOIs located either the firearms or records to confirm the disposition of 76,436, or 82% of the missing firearms.
  - ATF's IOIs reviewed over 1.75 million firearm transaction records to both validate their correct and accurate completion as well as glean investigative leads regarding patterns of purchases.

Talking Points -- Project Gunrunner -- Southwest Border  
(1/27/2011)

**Success Stories:**

**Houston Field Division -- Operation Zebra**

During a routine FFL inspection in Houston, Texas, a large-scale trafficking operation was discovered. After numerous interviews with straw purchasers, three individuals residing in the Houston area were ultimately identified as leaders in this trafficking ring. These individuals recruited and paid straw purchasers up to \$500 per transaction. The investigation revealed that a high ranking Los Zetas member provided the financing for the firearms. After purchase, the firearms were transported to Mexico and passed along to a Gulf cartel member. There were 338 firearms illegally purchased from FFLs at a retail value in excess of \$367,000. All of the firearms were purchased in 97 separate transactions. As of 01/21/10, 102 firearms have been recovered: 97 in Mexico, 4 in Guatemala and 1 in the U.S. (in home of one defendant). There have been 63 deaths associated with these guns: 18 law enforcement officers and civilians, and 45 cartel gunmen. Thus far, 16 individuals have been charged with firearms trafficking related charges and 13 have been sentenced.

**St. Paul Field Division -- Operation Big**

After the ATF trace of a firearm recovered in Mexico, it was determined that the purchaser resided in Minnesota and had purchased in excess of 100 firearms. ATF determined that this individual has numerous Mexico-U.S. border crossings near the dates of firearms purchases. ATF also determined that this individual has had nearly \$70,000 (non-declared on taxes) wired to his bank account from Mexican sources. [REDACTED]. ATF then established a 1,400 mile surveillance tracking the individual to Laredo, Texas where ATF asked CBP to stop the individual prior to crossing the border. Fourteen (14) firearms were recovered from the vehicle. The Minnesota resident that ran the operation in the United States was sentenced to 36 months imprisonment. As of 01/25/11, 18 individuals have been charged in this investigation. ATF cooperation with GOM officials has led to several prosecutions in Mexico as well as evidence recovery.

###

Cleared by:

[REDACTED]





U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*Phoenix Field Division*

Phoenix, Arizona 85004

www.atf.gov

October 12, 2010

785115 (b) (7)(C)  
3530

MEMORANDUM TO: Chief, Special Operations Divisions

FROM: Special Agent in Charge  
Phoenix Field Division

SUBJECT: OCDETF Funding Request FY 2011  
Operation The Fast and The Furious, [REDACTED]  
[REDACTED]

This memorandum serves as a request for the renewal of OCDETF funding in the amount of **\$25,000.00** for the investigation entitled Operation The Fast and The Furious. The Phoenix Group VII Field Office is conducting this investigation in conjunction with the Immigration and Customs Enforcement (ICE), Drug Enforcement Administration (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation, sponsored by ATF, was approved as an OCDETF case by the Southwest Region as SWAZP-0496. There has been one previous funding request for this investigation approved.

Since October 2009, ATF, ICE, DEA, IRS, and PPD have been investigating a firearm trafficking organization that is being funded by a drug trafficking organization (DTO) that is involved in violent criminal activity in Mexico, Southern Arizona and many other regions of the United States. This organization is involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into Southern Arizona to be distributed throughout the United States. In support of this criminal activity the organization often uses violent/deadly enforcement tactics. These acts contribute to violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through straw purchasers using bulk narcotics proceeds. The firearms are then being trafficked into Mexico using non-factory compartments in various vehicles through various Ports of Entry (POE's) in Arizona and Texas. Since the ATF case was initiated, agents have identified

(b) (7)(A)

Arizona, since September 2009.

[REDACTED] and other investigative

Chief, Special Operations Division

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techniques have been utilized to further the investigation. Through these investigative techniques, agents have been able to identify additional co-conspirators and disrupt the firearms trafficking organization by seizing numerous firearms and narcotics. To date, over three hundred (300) firearms and over fifty (50) pounds of marijuana have been recovered in the United States in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

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**OPERATIONAL FUNDING CATEGORIES AND JUSTIFICATION**

**MISSION TRAVEL**

To date, firearm recoveries related to the case have occurred in Mexico, Arizona and Texas. It is anticipated that funds for travel related to the recoveries will be necessary. It is also anticipated that funds for travel to other geographic locations by multiple agents for cases related to Operation The Fast and The Furious will be necessary.

**Total Mission Travel - \$15,000.00**

**INVESTIGATIVE SERVICES**


During this investigation the [redacted] of several members of the organization. Based on the fact that some of the main co-conspirators of the organization being investigated were Spanish speakers, translation [redacted] services were necessary.

[redacted]

**Total Investigative Services - \$10,000.00**

A total of \$25,000.00 in ATF OCDETF operational funding is requested for use in furtherance of this investigation. If you have any questions regarding this OCDETF funding request, please contact Group Supervisor (b) (7)(C) Phoenix Group VII Field Office, at [redacted] or ATF Special Agents (b) (7)(C) at [redacted] or (b) (7)(C) at [redacted]

This funding request has been reviewed and approved by the OCDETF Coordinator, Southwest Region.

  
William Newell  
Special Agent in Charge

## PROPOSAL

### **Phoenix Field Division Deployment of Gunrunner Impact Teams January 8, 2010**

In Fiscal Year (FY) 2009, the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) implemented a new initiative, the Gunrunner Impact Team (GRIT). The initial deployment of GRIT was to multiple locations within ATF's Houston Field Division. The purpose and scope of the initial deployment was to focus ATF's regulatory and criminal enforcement resources to comprehensively focus on firearms trafficking issues directly impacting the southwest border. The initial GRIT met significant success in the Houston Field Division but a post-GRIT assessment identified several "lessons learned" that would aid in the development of any future GRITs. The Phoenix GRIT proposal incorporates almost all of these Houston GRIT "lessons learned."

The Phoenix Field Division (PFD) shares a significant portion of the southern U.S. border with Mexico. Consequently, a significant focus of existing PFD resources is the proliferation of firearms trafficking which is directly related to the violence being perpetrated by Mexican drug trafficking organizations (DTOs) along the border. Although the PFD has identified criminal similarities shared by the Houston Field Division, the PFD's crime problems are not identical to the Houston Field Division. Therefore, the PFD has modified this GRIT proposal from the GRIT structure previously implemented in Houston. The PFD forwards this proposal for ATF's second GRIT to be deployed within the Phoenix Field Division in FY 2010.

This plan is not intended to serve as an enforcement operational plan for any specific aspect of this initiative nor is it intended to serve as guide to conducting specific investigations. This plan does however comply with the Bureau's Strategic Plan for Fiscal Year 2010 in that it will focus ATF resources on the key Illegal Firearms Trafficking Strategic Priority. As outlined in Goal 1 of this priority, Interdict and Prevent Illegal Firearms Trafficking, this GRIT proposal outlines a plan to:

- Deploy integrated firearms enforcement teams,
- Expand risk-based approach to inspections,
- Increase ATF's Firearms Trafficking and Technical Training,
- Expand Awareness and Outreach
- Improve Inventory Control of Firearms Licensees

This plan also supports ATF's and the Department of Justice's Southwest Border Violent Crime Reduction Strategy and specifically Project Gunrunner by focusing on the four main objectives of Project Gunrunner:

1. Investigate individuals responsible for illicit firearms trafficking along the Southwest border.

2. Coordinate with U.S. and Mexican law enforcement along the border in firearms cases and violent crime.
3. Train U.S. and Mexican law enforcement officials to identify firearms traffickers and provide outreach education to gun dealers.
4. Trace all firearms to identify firearms traffickers, trends, patterns, and networks.

### Phoenix GRIT Strategy

The Phoenix Field Division GRIT strategy will focus on the following two components:

- Industry Operations Initiative
- Firearms Trafficking Investigative Initiative

The above components, while unique and individual in their own right, will be strategically addressed in a staggered, but coordinated effort.

### Industry Operations Initiative

Beginning February 22, 2010, Industry Operations (IO) will commence the PFD GRIT by bringing in a detailed Industry Operations Investigator/Senior Operations Officer (IOI/SOO), two (2) detailed Area Supervisors, three (3) Industry Operations Analysts (IOAs) and one (1) Industry Operations Intelligence Specialist (IOIS). During the initial two weeks of the GRIT, these "advance" IOI personnel will determine the FFLs to be inspected and conduct the initial planning for these inspections.

[REDACTED]

The prioritization process will also involve input from the local Area Supervisors and Phoenix FIG.

[REDACTED]

Beginning Monday, March 8, 2010, (b) (7)(E) IOIs will arrive and begin conducting FFL inspections, working closely with Phoenix Field Intelligence Group (FIG) personnel in order to ensure that any referral information is being quickly and thoroughly analyzed. The detailed IOIs will conduct inspections of the identified FFLs for a period of ninety (90) days. (b) (7)(E) IOIs will be located in Phoenix. (b) (7)(E)

IOIs will be located in Tucson, and (b) (7)(E) IOIs will be located in Prescott, Arizona. These three locations were selected based upon anticipated workload.

Once the IOIs complete the assigned FFL inspections, the detailed Area Supervisors, IOAs and the IOI/SOO will remain an additional thirty (30) days to finish the review and closing actions for the completed inspections, conduct a comprehensive review of the inspection results as well as an evaluation of this phase of the PFD GRIT. This will require a 134 day detail for the Area Supervisors, IOAs and the IOI/SOO.

During their 90 day detail, IOIs will also staff a booth at the Arizona Game & Fish Department's outdoor Expo to educate both the public and licensees on Federal on firearms regulations.

The overall goals for this initiative will be to complete as many inspections as possible of the identified high risk FFLS, develop leads for the firearms trafficking initiative, Educate FFLS regarding straw purchasing indicators, how to identify potential traffickers and how to improve internal controls and provide FFLs with a point of contact in the event the FFL has questions or wants to report suspicious activity.

### **Firearms Trafficking Investigative Initiative**

The PFD GRIT Firearms Trafficking Initiative will primarily focus efforts on the two largest metropolitan areas within Arizona, Phoenix and Tucson. These two metropolitan areas are the primary source of firearms purchased in Arizona and recovered in crimes in Mexico. Additional resources for this initiative will be deployed to New Mexico and other areas of Arizona as needed and based on information and intelligence gathered during the initial phases of the Phoenix GRIT as well as active firearms trafficking investigations.

All firearms trace data and intelligence obtained by the GRIT will be fully coordinated with OSII personnel assigned to the Project Gunrunner initiative, the El Paso Intelligence Center (EPIC), and the Violent Crime Analysis Branch (VCAB). In addition the use of DEA intelligence assets, particularly through the OCDETF Strike Force in Phoenix and Tucson satellite, will be extensively exploited to ensure our investigative efforts are in sync and complimentary to any on-going Mexican DTO related investigation. Furthermore, intelligence resources in Arizona such as the HIDTA Intelligence Screening Center in Tucson and the State Fusion Center (ACTIC) will be utilized to coordinate and deconflict any GRIT investigative activity within the State of Arizona.

**Phoenix metropolitan area** – Beginning April 5, 2010, one group supervisor (GS) and (b) (7)(E) special agents will be detailed in to the Phoenix enforcement groups for 120 days. In addition to the detailed special agents (b) (7)(E) Intelligence Research Specialists (IRS) and (b) (7)(E) Investigative Assistants will be detailed to the Phoenix enforcement groups for 120 days. The assignment of personnel will be as follows: (b) (7)(E) special agents to Phoenix I, (b) (7)(E) special agents to Phoenix IV, and (b) (7)(E) special

agents as well as (b) (7)(E) IA will report directly to the detailed GS. The remaining IA and (b) (7)(E) IRSs will be assigned to Phoenix IV (Intelligence).

**Phoenix Group I's** FY2010 primary firearms trafficking strategic focus is on disrupting the illegal source(s) of firearms destined to violent gangs and drug trafficking organizations operating in and through the Phoenix metropolitan area. Many of these violent gangs and drug trafficking organizations have a direct nexus to Mexico therefore this group will handle those investigations dealing with trafficking directly impacting the Phoenix metropolitan area. It should be noted that Phoenix Group I was the designated "Gunrunner" group before the creation of Group VII, which was funded specifically as a "Gunrunner" office.

**Phoenix Group VII** was created under "Gunrunner" to specifically address firearms trafficking from the Phoenix metropolitan that directly impacts Mexico. Additionally, Phoenix VII is located at the Phoenix OCEETF Strike Force thus fully incorporating the efforts of this ATF group into the larger mission of the Strike Force, which is to focus investigations on the command and control elements of the Sinaloa Cartel and Arizona-based distribution cells associated with the Cartel. The Phoenix OCEETF Strike Force is a multi-agency unit, with participation from both Federal, and state and local agencies including: DEA, ATF, IRS, ICE, USMS, Phoenix PD, and the Maricopa County SO.

**Tucson metropolitan area** – Also beginning on April 5, 2010, one GS and (b) (7)(E) special agents will be detailed to the Tucson Field Offices for 120 days. In addition to these assets (b) (7)(E) special agents will be detailed to Sierra Vista, Arizona and (b) (7)(E) special agents will be detailed to Nogales, Arizona. All (b) (7)(E) detailed special agents will report directly to the detailed GS. In addition to the detailed special agents, (b) (7)(E) Intelligence Research Specialist (IRS) and (b) (7)(E) Investigative Assistants will be detailed to the PFD for 120 days.

**Tucson Group I's** FY2010 primary firearms trafficking strategic focus is on the trafficking of firearms from the Tucson metropolitan area to Mexico. This involves proactive investigations and operational activity of the sources of crime guns recovered in Mexico and the Tucson AOR, specifically but not limited to Gun Shows, FFLs, and Straw Purchasers of large quantities of "weapons of choice". Furthermore, an on-going issue with addressing firearms trafficking in south and southeastern Arizona is the lack of an ATF office in either Sierra Vista or Nogales. The Tucson Group I agents are regularly called out to these areas to investigate firearms trafficking matters or respond to seizures, on both sides of the border. In order to address this, the PFD GRIT will co-locate (b) (7)(E) special agents along the Arizona-Mexico border in Drug Enforcement Administration (DEA) office space. (b) (7)(E) special agents will be positioned in Nogales, Arizona, and (b) (7)(E) special agents will be positioned in Sierra Vista, Arizona. Each (b) (7)(E) man team will consist of (b) (7)(E) PFD special agent and (b) (7)(E) detailed special agent. These (b) (7)(E) special agents' primary responsibility will be to capitalize on an existing, strong relationship that the PFD enjoys with the DEA offices in Arizona, the Mexico PGR and Mexico's intelligence service - CISEN, coordinate with ATF's Office of

International Affairs (IAO), and respond to firearms seizures in Mexican border states near to the international border ports of entry. On the scene of these firearms seizures, these (b) (7)(E) special agents will be responsible for accurately capturing seized firearms data and submitting this data for comprehensive firearms tracing. As a collateral duty, these agents will act as border liaison agents and be responsible for bolstering the existing relationship with U.S. and Mexican agencies along the border. Moreover, these agents will receive investigative leads and subsequently conduct interviews of persons, identified by the PFD FIG, whom are involved in suspect firearms purchases and have a high number of border crossings. This will require that the detailed special agents being fluent Spanish speakers.

**Tucson Group II's** FY2010 primary strategic focus is on disrupting the illegal source(s) of firearms destined to violent gangs and drug trafficking organizations operating in and through the Tucson metropolitan area with a focus on the designated VCIT area, which currently encompasses the highest crime rate area in the City of Tucson. Many of these violent gangs and drug trafficking organizations have a direct nexus to Mexico. This group will handle those investigations that deal with firearms trafficking originating from the Tucson metropolitan area.

[REDACTED]

The IOI inspections conducted in the first phase of the PFD GRIT will identify additional firearms trafficking leads which will be exploited by PFD FIG personnel and subsequently assigned to special agents for investigative follow-up. The Phoenix FIG will develop additional leads from data gleaned from eTrace of firearms recovered in

(b) (7)(E)

Finally, GRIT personnel will also be assigned to assist with a backlog of National Instant Check System (NICS) standard denials. There are approximately seventy (70) NICS referrals within N-Force that have not been assigned for investigative follow-up due to the many initiatives currently ongoing in the PFD including Gunrunner, Home Invasion, OCDETF Strike Force, and several large-scale firearms trafficking and explosives investigations. Intelligence Research Specialists will also re-initiate the

(b) (7)(E)

#### **Personnel Implementation Schedule**

To most effectively implement ATF personnel resources, and after a careful review of lessons learned during the Houston GRIT, the following schedule has been developed:



On February 22, 2010, Advance IOI personnel (1 IOI/SOO, 2 Area Supervisors, 3 IOAs and 1 IOIS) will arrive and begin drafting work assignments for the Industry Operations component.

On March 8, 2010, the remaining IOIs will arrive and commence in earnest the Industry Operations GRIT Initiative.

On April 5, 2010, the Criminal Enforcement component, including the detailed IRS and IA personnel, will arrive and begin the Firearms Trafficking Initiative in earnest.

These dates were selected to allow IO personnel to begin conducting and complete FFL inspections and generate investigative leads for enforcement personnel. Phoenix IV personnel, supported by OSII personnel, will begin the initial processing of investigative leads which will allow the Firearms Trafficking CE component to begin investigations on these leads upon their arrival.

The PFD management team has developed a complete lead tracking, assignment and distribution process, based upon the recommendations from the Houston after action report, and will be implemented in support of the PFD GRIT. Investigative leads will be effectively tracked within the N-FOCIS system and an IA will be designated as the GRIT lead coordinator to insure that all investigative leads are pursued and completed in a timely manner.

#### **United States Attorney Collaboration**

Phoenix Field Division Special Agent in Charge William Newell has discussed the GRIT proposal with the United States Attorney for the District of Arizona, Dennis Burke. US Attorney Burke has pledged his full support to ATF and SAC Newell on this initiative. Moreover, from a PFD perspective, the GRIT initiative will further enhance the recently strengthened relationship between ATF and the U.S. Attorney's Office in Arizona. It should also be noted that SAC Newell has discussed this GRIT deployment with Arizona Attorney General Terry Goddard and he pledges his and his office's full support.

#### **Personnel Needs in Support of the PFD GRIT\***

##### **Industry Operations Initiative**

- (b) (7)(E) Area Supervisors (Initially deployed to Phoenix)
- (b) (7)(E) IOI/SOO (Phoenix Division Office for duration of Phoenix GRIT)
- (b) (7)(E) IOIs (Locations to be determined)
- (b) (7)(E) IOAs (Phoenix VI, Tucson III and Prescott)
- (b) (7)(E) Intelligence Research Specialists (Phoenix FIG for duration of Phoenix GRIT)
- (b) (7)(E) I/A (Phoenix FIG for duration of Phoenix GRIT)
- (b) (7)(E) IOI/S (Phoenix FIG for duration of Phoenix GRIT)



## Firearms Trafficking Investigative Initiative

### Phoenix metro area

- 1 – Group Supervisor
- (b) (7)(E) – Special Agents
- (b) (7)(E) – Investigative Analysts (One per Group)
- (b) (7)(E) – Intelligence Research Specialist (One per Group)

### Tucson metro area

- 1 – Group Supervisor
- (b) (7)(E) Special Agents (Tucson)
- (b) (7)(E) Special Agents (Nogales and Sierra Vista, Arizona)
- (b) (7)(E) Intelligence Research Specialist (Tucson)
- (b) (7)(E) Investigative Analysts (Tucson II and GRIT Group)

Total Personnel to be deployed = (b) (7)(E)

**\*As outlined in the Houston GRIT assessment it is STRONGLY recommended that personnel considered for this deployment, especially special agents, have some fluency in Spanish. Their effectiveness will be extremely limited if they cannot converse at even a basic level in Spanish. It is also strongly recommended that the Intelligence Research Specialists and Investigative Analysts considered for this deployment be trained and well-versed in link analysis systems, N-Force/N-Quire, and have experience working with agencies such as DEA, CPB and ICE as well as with EPIC.**

### Training of Personnel

The Phoenix Field Division will provide a day of orientation training for all GRIT detailees. This training will be general and series specific in order to ensure maximum effectiveness for the target audience. This training is currently being conducted in the Phoenix Field Division for the new personnel assigned to the field division under "Gunrunner" funding so a well-established training program exists. Furthermore this training has received very high marks from the new employees who strongly believe it has provided them a much needed in-depth background on the issue of firearms trafficking along the SWB, Project "Gunrunner", Mexican DTO related violence, recent trends in firearms and explosives trafficking, and ATF's abilities and available resources to combat this trafficking and violence, etc. This training also includes briefings by Phoenix personnel currently involved in large-scale firearms trafficking investigations, explosives investigations related to Mexico, etc. It also includes a briefing by Phoenix EEO personnel who have been detailed repeatedly to Mexico to deal with the growing issue of military ordnance and commercial explosives thefts. Finally, this training includes briefings by the ATF Division Counsel, the USAO and Arizona Attorney General's office on the legal issues involved in investigating and prosecuting firearms trafficking and firearms related violence in Arizona, both at the Federal and State level.

### Long Term Goals

This plan has been crafted in a manner where the GRIT can be implemented within the PFD, but upon completion of the GRIT, a strong and lasting foundation of criminal enforcement and industry operations relationships will remain for the PFD to continue these regulatory and enforcement efforts. The Phoenix Field Division currently enjoys an outstanding relationship with its Federal, State, Tribal and Local law enforcement peers and it is expected that this GRIT deployment will only further enhance this relationship. The deployment of ATF special agents to Sierra Vista and Nogales, Arizona has long been sought by these law enforcement peers and will present an outstanding opportunity to showcase ATF's unique expertise and experience in combating firearms trafficking and firearms related violent crime.

### Estimated Funding Request Allocation \$1,784,051.00

CATEGORY		BUDGET	
1152	Overtime	\$0.00	0.00%
2111	Travel**	\$1,617,521.00	85.13%
2210	FedEx/Commercial Mail	\$1,750.00	0.15%
2500	Other Services	\$22,400.00	2.00%
2547	Agent Cashier	\$7,500.00	0.67%
2600	Supplies & Materials	\$11,200.00	1.00%
2612	Vehicle Supplies	\$39,200.00	3.50%
2613	Fuel		0.00%
2625	Investigative Supply	\$4,480.00	0.40%
3100	Furniture**		0.00%
3160	Investigative Equipment	\$80,000.00	7.14%
<b>TOTAL</b>		<b>\$1,784,051.00</b>	<b>100.00%</b>

\*See attached Excel spreadsheets for a detailed breakdown of expenditures.

\*\*Travel amount will increase depending on if we have to add rental cars, airline, meeting room rental and parking. Due to lack of available office space in Phoenix and Tucson we will have to rent a room in each hotel to convert to a temporary office location which can range from \$400+ per day. At \$400 a day that would be \$48,000 for Phoenix and \$48,000 for Tucson. If we do get our pending space projects in Phoenix and Tucson completed in time for the GRIT then we need funding in 3100 for tables, chairs and filing cabinets.

### Performance Measures

The nature of criminal investigative work makes the establishment of meaningful and measurable performance measures difficult. For example, establishing as a performance measure the number of straw purchasers or suspected firearms traffickers prosecuted is problematic in light of the fact that ATF cannot directly control this outcome. Disrupting or dismantling Mexican drug trafficking organizations is likewise outside ATF's ability to control since the DTO's largely operate outside the direct reach of U.S. law enforcement authorities. Establishing as a measure of success a reduction in the number of firearms recovered in Mexico that are U.S. sourced is something that is not likely to be realized during the GRIT deployment period and will only be achieved if sufficient resources continue to be dedicated to support the greater SWB violence reduction strategy. A reduction in the number of firearms traced from Mexico is also not a meaningful measurement of short term success since the total number of firearms currently in the custody of the Government of Mexico is unknown and progress with the GOM with regard to tracing all recovered firearms will only result in an increase of this potential measurement even if incidents of trafficked firearms is actually declining.

However, it is essential that ATF establish some meaningful performance measures that are linked to the GRIT strategy. Therefore, the Phoenix GRIT, using input from the Houston GRIT post-assessment, established and will track the following performance measures that are intended to gauge the impact this initiative has on disrupting firearms trafficking operations that support Mexican DTOs:

- The number of firearms trafficking investigations opened/closed during the surge period.
- The number of firearms trafficking defendants referred for prosecution.
- An increase in the number of referrals to the GOM and/or other U.S. law enforcement agencies.
- An increase in the number of firearms and other evidence recovered or seized by ATF or by other agencies acting on ATF information.
- A reduction in the number of firearms traces originating from Mexico with a relatively short "time to crime".
- The number of firearms (an estimate) prevented from being trafficked to Mexico. This estimate can be calculated as a result of a number of factors such as the number of firearms recovered in Mexico or interdicted at the border associated with known or suspected straw purchasers or traffickers; information obtained during interviews and investigations; the period of time that the trafficker or straw purchaser was known (or suspected) to have operated and/or expected to have continued operating had ATF not intervened; number of established border crossings for a particular person of interest; physical evidence recovered (i.e. firearms, empty gun boxes, currency, purchase receipts, lists depicting guns to be purchased, etc.); other purchases associated with the person(s) of interest discovered as a result of inspections of FFL records, etc.
- The number of additional FFL inspections conducted and violations cited.

- The number of criminal enforcement referrals made as a result of increased FFL inspections:
- The number of licensees that received the ATF/NSSF "Don't Lie" program materials.
- Estimated number of persons reached as a result of ATF community outreach programs.

This reporting chart, created and utilized by the Houston GRIT, will be adopted for use by the Phoenix GRIT.


GRIT Performance for Reporting Period April 5, 2010 to April 12, 2010		
	Reporting Period	Since Inception
Number of Investigative Leads at the Start of GRIT		
Number of New Investigative Leads		
<b>Total Leads</b>		
Number of Leads Investigated		
Number of New Actual Criminal Cases Opened		
Number of Defendants Recommended for Prosecution		
Number of Referrals to the Gov. of Mexico and/or other U.S. LE Agencies		
Number of Firearms/Other Evidence Seized/Recovered by ATF or Other LE Agencies Acting on ATF Information		
Number of FFL Inspections Initiated		
Number of FFL Inspections Completed		
FFL Adverse Actions Recommended:		
Number of Warning Letters/Warning Conferences		
Number of Revocations (Recommended by Division and Concurred by HQ)		
Number of Licenses Surrendered in Lieu of Revocation		
Remaining Inspections to be Completed		
Number of Criminal Enforcement Referrals Made by IOIs to ATF LE		

Bureau of Alcohol, Tobacco, Firearms and Explosives

**ATF** Additional Investigations

Not for Public Dissemination/For Internal Use Only

At the Frontline Against Violent Crime Public Affairs Division - Washington, DC



OCDETF Strike Force Investigations  
(1/10/11)

[REDACTED]

- 785115-10-0004, (b) (7)(C)
  - One individual indicted, (b) (7)(C) Dec. 16, 2010
  - Dec. 15 shooting death of Border Patrol Agent Brian A. Terry: two AK-47 variant rifles purchased by (b) (7)(C) recovered at the scene of the shooting

[REDACTED]

###



Operation: Fast and Furious and Corresponding Investigations  
(1/10/11)

- Operation: Fast and Furious, an ATF-lead, Organized Crime Drug Enforcement Task Force (OCDETF) approved investigation, began in October 2009, and targeted a firearms trafficking organization funded by the violent Sinaloa Drug Trafficking Organization (DTO).
- This OCDETF investigation was conducted in partnership with the Drug Enforcement Administration (DEA), Immigration and Customs Enforcement (ICE), the Internal Revenue Service (IRS), and the Phoenix Police Department.
- **(b) (7)(A)**
- The execution of arrest and search warrants based on the aforementioned indictments are scheduled to occur on Jan. 25, 2011.
- From October 2009 through October 2010, the investigation revealed that the Sinaloa DTO spent approximately \$1.25 million to illegally purchase more than 1,900 firearms and using vehicles retrofitted with hidden compartments, the DTO trafficked the firearms into Mexico and other parts of the United States.
- During this investigation, ATF seized 352 firearms in the United States that were headed to Mexico and Mexican authorities seized another 250 firearms recovered in crimes in Mexico and traced to this conspiracy.

###

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**From:** (b) (7)(C)  
**Sent:** Monday, April 12, 2010 2:46 PM  
**To:** (b) (7)(C)  
**Subject:** cartel strategy2  
**Attachments:** cartel strategy2.docx

**From:** Needles, James R.

**Sent:** Monday, November 15, 2010 7:58 PM

**To:** (b) (6)

**Subject:** Strategic Plan FY2011

**Attachments:** RE: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan; RE: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan; FW: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan; RE: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan; RE: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

(b) (6)

Any questions let me know.



From: (b) (7)(C)  
 Sent: Tuesday, November 02, 2010 11:38 AM  
 To: Needles, James R.  
 Subject: RE: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan  
 Jim,

Per the message below here are the top three priorities and responses for Group VII:

1. Threat - The sheer volume of straw purchasers acquiring large number of weapons of choice. Response - Working with the USAO to secure judicial civil forfeiture of these firearms in order to interdict/seize these firearms prior to them being trafficked south to Mexico.
2. Threat - The larger trafficking organization; the straw purchasers, the recruiters, the leaders, and transportation component. Response - Identify these organizations and the initiation of the OCDEF platform to coordinate with the USAO and with the other law enforcement agencies on the OCDEF Strike Force to best utilize the combined resources to target and dismantle these organizations.
3. Threat - Make the larger connection to the Mexican DTO/Cartel acquiring the firearms. Response - To build off the response above, utilize the OCDEF platform, and the combined resources within the Strike Force groups (especially DEA) to capture the DTO/Cartel acquiring these firearms over T-III lawful court intercepts.

#### Phoenix Group VII Strategic Plan

**Firearms Trafficking** - Investigations will focus on disrupting the illegal source(s) of firearms destined to violent gangs and drug trafficking organizations operating in and through the Phoenix Group VII AOR. This includes a primary focus on "Gunrunner" related firearms trafficking investigations, which are those that involve the trafficking of firearms to Mexico. The Phoenix VII firearms trafficking interdiction effort will be addressed using the full resources of the OCDEF Strike Force and will follow Department of Justice plan which integrates an investigative and prosecutorial strategy

(b) (7)(E)

Thanks,

(b) (7)(C)

From: Needles, James R.  
 Sent: Tuesday, November 02, 2010 5:22 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: FW: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

Supervisors

See the below message; have your response to me by cob Thursday. Any questions let me know.

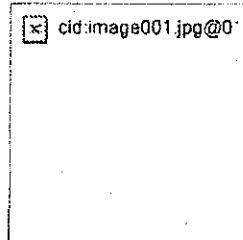
From: (b) (7)(C)  
 Sent: Monday, November 01, 2010 1:21 PM.  
 To: Gillett, George T. Jr.; Needles, James R.; (b) (7)(C)  
 Cc: (b) (7)(C); Newell, William D.  
 Subject: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

The Strategic Plan for 2011 was a topic of today's staff meeting. SAC Newell requested that the ASAC's and the DIO forward a request for input to all of their respective supervisory staff. Specifically, SAC Newell would like each group to identify the top three threats (eg. Illegal Firearm Trafficking; Criminal Groups and Gangs; Explosives, Bombs, and Bombings; and Fire and Arson) and their top three priorities (responses) related to the threats.

In addition, SAC Newell requested that the supervisors review the attached Strategic Plan and provide updated information, where necessary. Further, SAC Newell directed that the updated information should include information pertaining to the new Sierra Vista and Tucson IV offices.

Please coordinate the response from your respective supervisors to be provided to SAC Newell by close of business this Friday (11/5/2010).

Thank you,



**(b) (7)(C)**

*Special Agent*  
*Senior Operations Officer*  
*Phoenix Field Division*  
*Bureau of Alcohol, Tobacco, Firearms and Explosives*  
*Office:* [REDACTED] *Cellular:* [REDACTED]  
*Fax:* [REDACTED]

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**From:** Needles, James R.  
**Sent:** Thursday, December 02, 2010 11:42 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

Group VII

**From:** (b) (7)(C)  
**Sent:** Tuesday, November 02, 2010 8:38 AM  
**To:** Needles, James R.  
**Subject:** RE: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

Jim,

Per the message below here are the top three priorities and responses for Group VII:

1. Threat - The sheer volume of straw purchasers acquiring large number of weapons of choice. Response - Working with the USAO to secure judicial civil forfeiture of these firearms in order to interdict/seize these firearms prior to them being trafficked south to Mexico.
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3. Threat - Make the larger connection to the Mexican DTO/Cartel acquiring the firearms. Response - To build off the response above, utilize the OCDETF platform, and the combined resources within the Strike Force groups (especially DEA) to capture the DTO/Cartel acquiring these firearms over T-III lawful court intercepts.

#### Phoenix Group VII Strategic Plan

**Firearms Trafficking** - Investigations will focus on disrupting the illegal source(s) of firearms destined to violent gangs and drug trafficking organizations operating in and through the Phoenix Group VII AOR. This includes a primary focus on "Gunrunner" related firearms trafficking investigations, which are those that involve the trafficking of firearms to Mexico. The Phoenix VII firearms trafficking interdiction effort will be addressed using the full resources of the OCDETF Strike Force and will follow Department of Justice plan which integrates an investigative and prosecutorial strategy

(b) (7)(E)

Thanks,

(b) (7)(C)

**From:** Needles, James R.  
**Sent:** Tuesday, November 02, 2010 5:22 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

Supervisors

See the below message; have your response to me by cob Thursday. Any questions let me know.

**From:** (b) (7)(C)

**Sent:** Monday, November 01, 2010 1:21 PM

**To:** Gillett, George T. Jr.; Needles, James R.; (b) (7)(C)

**Cc:** (b) (7)(C)

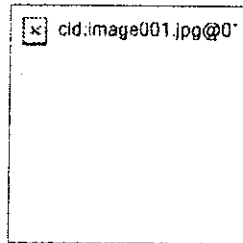
**Subject:** Identification of Top (3) Threats and Priorities; Updates to the Attached Strategic Plan

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In addition, SAC Newell requested that the supervisors review the attached Strategic Plan and provide updated information, where necessary. Further, SAC Newell directed that the updated information should include information pertaining to the new Sierra Vista and Tucson IV offices.

Please coordinate the response from your respective supervisors to be provided to SAC Newell by close of business this Friday (11/5/2010).

Thank you,



(b) (7)(C)

*Special Agent  
Senior Operations Officer  
Phoenix Field Division  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Office: [REDACTED] Cellular: [REDACTED]  
Fax: [REDACTED]*

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**From:** (b) (7)(C)  
**Sent:** Monday, May 24, 2010 5:11 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Final draft.

Yeah -- Its as good as its gonna get

---

**From:** (b) (7)(C)  
**Sent:** Monday, May 24, 2010 2:08 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Final draft.

Are you sure?

(b) (7)(C) / Special Agent / ATF - Phoenix Group VII  
 3010 N 2nd ST, Suite #301  
 Phoenix, AZ 85012  
 T: [REDACTED] / Fax: [REDACTED] / C: [REDACTED]

"We few, we happy few, we band of brothers; For he to-day that sheds his blood with me shall be my brother; be he ne'er so vile, this day shall gentle his condition; and gentlemen in England now-a-bed shall think themselves accurs'd they were not here, and hold their manhoods cheap whiles any speaks that fought with us upon Saint Crispin's day." -- William Shakespeare, *Henry V*

---

**From:** (b) (7)(C)  
**Sent:** Monday, May 24, 2010 2:07 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Final draft.

Looks good to me -- good luck! ☺

---

**From:** (b) (7)(C)  
**Sent:** Monday, May 24, 2010 1:58 PM  
**To:** (b) (7)(C)  
**Subject:** Final draft.

Dave,  
 I too believe this to be a great case with a tremendous amount of potential. Although we have discussed much of this, I wanted to take the time to ensure that it was all addressed.

I apologize for the NFORCE issues. Unfortunately, I allowed the quick pace at which the investigation took off, compiled with the hurdles of often having GRIT members utilizing my station, to leave me playing catch-up. Again, my apologies and trust that I will do all that I can to prevent it from happening again. In such an attempt, now that direct contact has been made between [REDACTED] and (b) (7)(A)

(b) (7)(A)

(b)(7)(A), (C) & (E)

(b)(7)(A) & (E)

"an AUSA won't be able to approve of letting firearms "walk" in furtherance of your

investigation without first briefing the US Attorney and Criminal Chief.”

I appreciate your help and guidance on this,

(b) (7)(C)

(b) (7)(C) Special Agent / ATF - Phoenix Group VII  
3010 N 2nd ST, Suite #301  
Phoenix, AZ 85012

T: [REDACTED] / Fax: [REDACTED] / C: [REDACTED]

“We few, we happy few, we band of brothers; For he to-day that sheds his blood with me shall be my brother; be he ne'er so vile, this day shall gentle his condition; and gentlemen in England now-a-bed shall think themselves accurs'd they were not here, and hold their manhoods cheap whiles any speaks that fought with us upon Saint Crispin's day.” -- William Shakespeare, *Henry V*

(b) (7)(C)

(b)(7)(A), (C) & (E)

(b)(7)(A) & (E)

took off, compiled with the hurdles of often having GRIT members utilizing my station, to leave me playing catch-up. Again, my apologies and trust that I will do all that I can to prevent it from happening again.

That being said, now that direct contact has been made between [REDACTED] (b) (7)(A)

(b) (7)(A)

I appreciate your help with this.

(b) (7)(C)

**Deleted:** I know that we have spoken about most of this but I wanted to take the time to ensure that everything was addressed. First, thank you for the accolades. I too believe this to be a great case with a tremendous amount of potential. Furthermore, I am excited, as a member of Group VII, to be afforded the opportunity to work it. [1]

As you are aware, the ROI's have been turned in and NFORCE issues made current. (Lacking the most recent events that I discussed with you, in person, which will be entered prior to the closing of the "5 Day" window.)

**Deleted:** It was never my intent to be tardy in the reporting requirements nor did I think them insignificant.

**Deleted:** In accordance with that

**Deleted:** ,

**Deleted:** is the appointment of a co-case agent/handler possible?

**Deleted:** if so, I would appreciate the

(b) (7)(A)

(b)(7)(A), (C) & (E)

(b)(7)(A) & (E)

(b)(7)(A) & (E)

**ORIGINAL:** thank you for your help yesterday. I look forward to working with you on your trafficking cases. I won't know if I am keeping the case we discussed yesterday until my chief officially assign... [1]

**Deleted:** Thanks again and I look forward to your feedback

**Deleted:** ,

**From:** (b) (7)(C)  
**Sent:** Wednesday, August 18, 2010 1:27 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Op-plan for approval  
**Attachments:** [REDACTED] Op-Plan 08182010.pdf

**Importance:** High

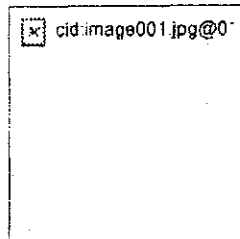
(b) (7)(C)

Please find attached a new surveillance op-plan for approval. This is essentially the same op as yesterday with the players and facts amended.

In addition, the surveillance team will attempt to identify and observe the sale/disposition of (7) Century Int. Arms (AK-47) 7.62 rifles to [REDACTED] (also identified in the "[REDACTED] Case"). I did not submit another op-plan for this surveillance – I do not anticipate enforcement action, just surveillance.

Please advise regarding approval.

Thank you,



(b) (7)(C)

*Special Agent*  
*U.S. Department of Justice*  
*Bureau of Alcohol, Tobacco, Firearms and Explosives*  
*Phoenix Strike Force/Phoenix Group VII*  
*Office:* [REDACTED] *Cellular:* [REDACTED]  
*Fax:* [REDACTED]

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**From:** (b) (7)(C)  
**Sent:** Monday, May 24, 2010 7:40 PM  
**To:** (b) (7)(C)  
**Subject:** RE: 785115 (b) (7)(A)

GRIT agent (b) (7)(C) has been appointed to assist you with this case. Please get him up to speed. Also there is a Memo to ETB (b) (7)(E) REC (b) (7)(C) returned them after the previous use here in the Phoenix Field Division.

**From:** (b) (7)(C)  
**Sent:** Monday, May 24, 2010 2:13 PM  
**To:** (b) (7)(C)  
**Subject:** RE: 785115 (b) (7)(A)

(b) (7)(C)

I too believe this to be a great case with a tremendous amount of potential. Although we have discussed much of this, I wanted to take the time to ensure that it was all addressed.

I apologize for the NFORCE issues. Unfortunately, I allowed the quick pace at which the investigation took off, compiled with the hurdles of often having GRIT members utilizing my station, to leave me playing catch-up. Again, my apologies and trust that I will do all that I can to prevent it from happening again. In such an attempt, now that direct contact has been made between (b) (7)(A)

(b) (7)(A)

(b) (7)(A) & (E)  
(b) (7)(A) & (E)

"an AU\$A won't be able to approve of letting firearms "walk" in furtherance of your investigation without first briefing the US Attorney and Criminal Chief."

I appreciate your help and guidance on this,

(b) (7)(C)

(b) (7)(C) / Special Agent / ATF - Phoenix Group VII  
3010 N 2nd ST, Suite #301  
Phoenix, AZ 85012  
T: (b) (7)(C) / Fax: (b) (7)(C) / C: (b) (7)(C)

"We few, we happy few, we band of brothers; For he to-day that sheds his blood with me shall be my brother; be he ne'er so vile, this day shall gentle his condition; and gentlemen in England now-a-bed shall think themselves accurs'd they were not here, and hold their manhoods cheap whiles any speaks that fought with us upon Saint Crispin's day." -- William Shakespeare, *Henry V*

**From:** (b) (7)(C)  
**Sent:** Wednesday, May 19, 2010 3:47 PM  
**To:** (b) (7)(C)  
**Subject:** 785115-10 (b) (7)(A)

(b) (7)(C)

~~First let me say that this is great case and worthy of your investigative efforts. However, at your earliest convenience we need to speak regarding this case. In reviewing the case in N-Force there are several concerns I have. Additionally, I note that there are several well written reports that have not been generated. Please generate, print, sign, and turn into me these reports ASAP. Also I note there is a audio recording that was made, yet under "Property in ATF Custody" there is nothing listed. Which brings up the "Electronic Surveillance" issue. Please see the below cut-n-pasted order regarding approval/notification and entry of Electronic Surveillance.~~

ELECTRONIC SURVEILLANCE. The Electronic Surveillance folder keeps a record of each subject monitored during an ATF investigation. This critical information is used not only for investigative purposes, but also so that ATF can respond to Freedom of Information Act (FOIA) requests as required by law. Multiple surveillance subjects can be entered into one Electronic Surveillance Event using the relationship tab feature. Identify the primary subject of the intercept and then choose additional subjects from the Persons folder to relate to the event as a person under surveillance.

- a. The ATF approval authority for consensual monitoring is delegated to the respective RAC/GS. The approval may be made verbally and remains in effect for the life of the investigation. RAC/GS approval of electronic surveillance must be noted in the Management Log and should be entered by the supervisor.
- b. Notification of consensual monitoring should be made to the United States (U.S.) Attorney's Office for the Federal judicial district having jurisdiction over the investigation prior to monitoring any subject. The notification may be made verbally and remains in effect for the life of the investigation.
- c. Non-consensual monitoring shall be handled in accordance with ATF orders and Federal laws governing such conduct. Non-consensual monitoring is also required to be reported in the Electronic Surveillance folder.
- d. The RAC/GS shall ensure that all electronic interceptions are entered into N-Force within 5 business days. An ROI is also required to document the event in which the electronic monitoring occurred. All tapes, disks, or other media used to monitor the surveillance shall be entered as Electronic Intercept property into the Property in ATF Custody folder.

I appreciate your efforts on this case but we need to comply with the ATF Orders and Regulations as well. ATF Orders; 3530.2 Electronic Surveillance, 3400.1B Property Taken into Bureau Custody, 3111.1 Use of N-Force, and 3270.10C Law Enforcement Investigative Reports.

(b) (7)(C)

Group Supervisor  
Phoenix Group VII

██████████

**From:** (b) (7)(C)  
**Sent:** Wednesday, September 22, 2010 6:27 PM  
**To:** Phoe-Group VII  
**Subject:** FW: Project Gunrunner - A Cartel Focused Strategy (3317)  
**Attachments:** Cartel Strategy NFORCE screens 091610.pptx; Cartel Strategy 9-7-10.docx; Cartel Strategy ADFO Memo 090810 scan.pdf

**Categories:** Red Category

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**From:** (b) (7)(C)  
**Sent:** Wednesday, September 22, 2010 3:25 PM  
**To:** (b) (7)(C)  
**Subject:** Fw: Project Gunrunner - A Cartel Focused Strategy (3317)

Please forward this to the Group. We will discuss this more next week.

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Cc:** Newell, William D. (b) (7)(C)  
**Sent:** Wed Sep 22 17:41:58 2010  
**Subject:** FW: Project Gunrunner - A Cartel Focused Strategy (3317)  
 Supervisors –

Attached is a memorandum from the Assistant Director (Field Operations) discussing and transmitting a document entitled *Project Gunrunner – A Cartel Focused Strategy*. The memorandum and the strategy document outline our increased national emphasis on firearms trafficking enforcement related to the Southwest border, as well as the importance of identifying and prioritizing those investigations in which a nexus to Mexican drug trafficking organizations and cartels may be established.

The Phoenix Field Division has been leading the agency with this initiative; however, Project Gunrunner has evolved into a national strategy as we have seen cartels reach further into the interior of the U.S. to acquire firearms in support of their lucrative drug trafficking operations. This document recognizes that Project Gunrunner is both an ATF strategy and a component of a larger U.S. Government counternarcotics strategy. Our success is contingent upon the effective use of our regulatory, investigative, technical, intelligence, training, liaison, and public/industry outreach capabilities. The success of Gunrunner is also dependent on our ability to secure prosecutions appropriate to the criminal conduct. It is imperative that comprehensive, complex criminal investigations that link firearms traffickers to violent drug trafficking organizations and operations be conducted in order to leverage the prosecutorial advantages afforded by a wider array of criminal and civil statutes.

Vital to our ability to track and report our efforts is the timely and accurate reporting of information in our case management system (e.g., N-Force and N-Spect). The Cartel Strategy document and the attachments to this memorandum provide detailed guidance for agents and industry operations investigators on coding investigative activity in N-Force and N-Spect. Recent enhancements to N-Force allow us to document investigations involving specific Mexican cartels in the same manner as U.S. street gangs and outlaw motorcycle organizations. All special agents should be familiar with these procedures.

If you have questions, please contact me. If not, please insure that your personnel are familiar with these documents and this program is implemented in its entirety.

Regards,

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
Office: [REDACTED]

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
*Office of Field Operations*



PROJECT GUNRUNNER  
A Cartel Focused Strategy  
September 2010



UNCLASSIFIED//LAW ENFORCEMENT SENSITIVE

**From:** (b) (7)(C)  
**Sent:** Tuesday, May 18, 2010 2:22 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Earlier Question.

Time to break new ground I guess? Or get it broken off in me!

(b) (7)(C) / Special Agent / ATF - Phoenix Group VII  
 3010 N 2nd ST, Suite #301  
 Phoenix, AZ 85012  
 T: [REDACTED] / Fax: [REDACTED] / C: [REDACTED]

"We few, we happy few, we band of brothers; For he to-day that sheds his blood with me shall be my brother; be he ne'er so vile, this day shall gentle his condition; and gentlemen in England now-a-bed shall think themselves accurs'd they were not here, and hold their manhoods cheap whiles any speaks that fought with us upon Saint Crispin's day." -- William Shakespeare, *Henry V*

**From:** (b) (7)(C)  
**Sent:** Tuesday, May 18, 2010 11:21 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Earlier Question.

Ouch. Good luck. Let me know if I can help.

(b) (7)(C)  
 Division Counsel  
 Washington Field Division  
 Bureau of Alcohol, Tobacco, Firearms and Explosives  
 1401 H Street, NW # 900  
 Washington, DC 20005  
 [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, May 18, 2010 2:19 PM  
**To:** (b) (7)(C)  
**Subject:** Earlier Question.

(b) (7)(C)

Haven't forgotten to get back with you, have just been waiting for the debate to end. As it stands - my u/c plan is a Go. Well kind of; the answer is quit muddy actually. Officially I've been told that I can - but I can't. The order that allows letting guns to walk in order to further trafficking investigations, makes no such prohibitions against my u/c plan; however, it grants no such authorization either. It simple is mute on the idea.

(b) (7)(C) / Special Agent / ATF - Phoenix Group VII  
 3010 N 2nd ST, Suite #301  
 Phoenix, AZ 85012  
 T: [REDACTED] / Fax: [REDACTED] / C: [REDACTED]

"We few, we happy few, we band of brothers; For he to-day that sheds his blood with me shall be my brother; be he ne'er so vile, this day shall gentle his condition; and gentlemen in England now-a-bed shall think themselves accurs'd they were not here, and hold their manhoods cheap whiles any speaks that fought with us upon Saint Crispin's day." -- William Shakespeare, *Henry V*

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**From:** (b) (7)(C)  
**Sent:** Sunday, March 14, 2010 11:02 PM  
**To:** Phoe-Group VII  
**Subject:** Lots of good work

To all,

I read a lot of good reports tonight on individuals who purchased firearms that have been recovered in Mexico. I must insist that we not forget to run those numbers through DEA! You never know when/where one of these numbers is going to pop up and then our straw purchasers are suddenly related to a Cartel or DTO which is exactly where we want to be.

Good job by all, keep it up, thanks!

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, January 25, 2010 4:39 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Grenades/Firearms ops

All,

Just so we are all on the same sheet:

We will not work any operations in Mexico without an operation plan approved by me.

MCO will not participate in knowingly bringing grenade hulls, explosives materials, firearms or any other explosives/firearms materials into Mexico without my written consent on an operations plan.

In addition, any of these items "if approved" will not be allowed to go any further than directly into Mexico and stopped on the Mexican side of the border. This will take much coordination.

Any questions please call. Please email me back confirming receipt of this email.

Thanks, (b) (7)(C)

(b) (7)(C)  
ATF Attache-Mexico

(Office)  
(Blackberry)

A good president, wrote 19th century historian Henry Adams, "resembles the commander of a ship at sea. He must have a helm to grasp, a course to steer, a port to seek."



**From:** (b) (7)(C)  
**Sent:** Friday, January 15, 2010 8:08 PM  
**To:** Phoe-Group VII  
**CC:** Gillett, George T. Jr.  
**Subject:** my mistake, meeting time changed

To all;

I apologize for my previous e-mail. The time of the meeting will not be possible due to a previous conflict. As such the meeting will be moved to early afternoon. I have the weekly ASAC conference call @ 1:00pm, please be available shortly thereafter.

Thanks,

(b) (7)(C)

Group Supervisor  
Phoenix Group VII

**From:** (b) (7)(C)  
**Sent:** Friday, January 15, 2010 7:57 PM  
**To:** Phoe-Group VII  
**CC:** Gillett, George T. Jr.  
**Subject:** Tuesday meeting

To all,

Please plan on attending a group meeting on Tuesday, January 19, 2010 @ 10:30 am. This meeting is to address any concerns agents in the group may have in the current strategy for 785115-10-0004 that involves working in conjunction with ICE. ASAC Gillett will be attending this meeting to further address any concerns.

I appreciate everyone's hard work and commitment on this case. I believe it is a great case that will net great results.

(b) (7)(C)

Group Supervisor  
Phoenix Group VII  
[REDACTED]

**From:** (b) (7)(C)

**Sent:** Thursday, December 17, 2009 9:14 PM

**To:** (b) (7)(C)

(b) (7)(C)

**Subject:** Group VII SOP and AOR

**Attachments:** Group VII SOP and AOR.docx

**Phoenix Group VII**

**Firearms Trafficking:** Primary focus on Southwest Border "Gunrunner" investigations, which are those that involve trafficking of firearms to Mexico in an effort to stem the growing violence and associated criminal activity perpetrated by the Mexican drug cartels, along the Southwest Border. Group VII will be co-located at the OCDETF Strike Force which will combine investigative intelligence and the sharing of information in order to develop priority targets and utilize proactive long-term, extensive investigative techniques to identify all the tentacles of a particular organization.

**Area of Responsibility:** The State and Judicial District of Arizona with primary focus on the Phoenix corridor as it extends South towards the Mexican Border.

**From:** (b) (7)(C)  
**Sent:** Friday, April 16, 2010 1:00 PM  
**To:** (b) (7)(C)  
(b) (7)(C)  
**Subject:** RE: Try a call on Wednesday, 04/21/2010?

Sorry to all, can we make the time change to **3:00 pm same date?**

The conference line is [REDACTED], the participants will need to call in to this line.

Thanks to all, sorry for the scheduling changes!

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 15, 2010 12:32 PM  
**To:** (b) (7)(C)  
(b) (7)(C)  
**Subject:** Try a call on Wednesday, 04/21/2010?

To all;

It appears SA (b) (7)(C) is going to be in Phoenix on Wednesday, April 21, 2010. That would work well for us to coordinate a conference call regarding the SWB firearms trafficking we have seen thus far on S (b) (7)(C) case which

(b) (7)(A)

I have reserved the Strike Force conference room and "spider phone" for 10:30am. If that does not work please let me know. Also I need to get the Spider phone # so you can call in.

Thanks in advance,

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, May 11, 2010 6:06 PM  
**To:** (b) (7)(C)  
**Subject:**

This investigation (785115-(b) (7)(A)) involves the trafficking of multiple firearms from the greater Phoenix area to locales within and throughout Mexico. At present, (b)(7)(A) & (D)  
(b)(7)(A) & (C) and secure

(b) (7)(C) / Special Agent / ATF - Phoenix Group VII  
3010 N 2nd ST, Suite #301  
Phoenix, AZ 85012  
T: [REDACTED] / Fax: [REDACTED] / C: [REDACTED]

"We few, we happy few, we band of brothers; For he to-day that sheds his blood with me shall be my brother; be he ne'er so vile, this day shall gentle his condition; and gentlemen in England now-a-bed shall think themselves accurs'd they were not here, and hold their manhoods cheap whiles any speaks that fought with us upon Saint Crispin's day." -- William Shakespeare, *Henry V*

**From:** (b) (7)(C)  
**Sent:** Tuesday, July 27, 2010 6:01 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Duty call

Yeah, like group VII -- been here since December and we have not arrested a soul.

(b) (7)(C)

Special Agent - Bureau of ATF  
Phoenix Group VII

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, July 27, 2010 2:48 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Duty call

I am duty and having to deal with all this gun crime because there are groups that don't arrest anybody. No updates on softball, hopefully we have enough.

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, July 27, 2010 12:49 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Duty call

Hey, Stop Poaching!!!

Aren't you in the ARSON GROUP????

Any updates on Softball -- Are we going to have enough people to field a team?

(b) (7)(C)

Special Agent - Bureau of ATF  
Phoenix Group VII

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, July 27, 2010 11:32 AM  
**To:** Phoe-Group I; Phoe-Group II; Phoe-Group V; PhoenixFIG; Phoe-Group VII; Phoe-Group III (IO)  
**Subject:** Duty call

Has anyone had any dealings, good or bad, with [REDACTED] in Tempe. Received a call from Air Force OSI who may be contacting this FFL in their investigation of an airman. Let me know.

(b) (7)(C) SPECIAL AGENT



Justice  
Seal  
PMS  
copy



**From:** (b) (7)(C)  
**Sent:** Friday, March 25, 2011 12:02 PM  
**To:** (b) (7)(C)  
**Subject:**

This e-mail is to document my concerns prior to a meeting with the AUSA's Office (Koehler and Morrissey) about comparisons of the El Mirage investigation to the Fast and Furious investigation. It had come to my attention that some comparison was made between these cases in conversation between SA (b) (7)(C) and AUSA Koehler on the evening of 03-24-2011. I would also like to add this is not a personal attack of SA (b) (7)(C) and AUSA Koehler, rather the concern of the comparison.

Throughout my career, as case agent or co-case agent, I have never knowingly allowed straw purchased firearms from FFLs to "walk". I have made every effort to intercept and seize straw purchased firearms. Most recently, this can be verified through cases I worked as case/co-case agent here in Phoenix. One would only have to refer to Op Special Delivery (with SA (b) (7)(C) and Op El Mirage (with SA (b) (7)(C) and (b) (7)(C) et al). We have made every effort to stop straw purchasers from purchasing more firearms after it was disclosed to us (contact interviews, NICS flags, etc), or actively conducting vehicle stops and seizing firearms with or without (after establishing PC) a warrant. My/our strategy has never been letting the guns "walk" to see where they end up. As a senior agent, I know where these firearms end up - in MEXICO.

Even as a surveillance shift supervisor during the fast and furious, I repeated asked the gs, case agent, or co-case agent to seize firearms after witnessing onscene felony straw purchases, and was told not to intercede. I find that investigative technique unacceptable. Further, it was document in my Oct 2010 evaluation, in a negative light, my thoughts on how the case was handled.

For the above sighted reason, as well as other unsighted reasons in this email, I have serious concerns on the comparisons to how the El Mirage case was handled in regards to the fast and furious case - that comparison would be the difference between day and night.

(b) (7)(C)

Special Agent - Bureau of ATF  
Phoenix Group VII - Firearms Trafficking / OCDETF Strike Force



From: (b) (7)(C)  
Sent: Wednesday, December 16, 2009 2:17 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearm recovered [REDACTED]

Subject: Suspect Gun firearm recovered.

Serial # [REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Serial # [REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, February 16, 2010 7:03 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(A)

The following firearms traced back to the US investigation: (b)(3) (Public Law 111-117) & (7)(A) numbers [REDACTED] and [REDACTED]

Thanks,

(b) (7)(C)  
Assistant Country Attaché  
Tijuana Field Office

**From:** (b) (7)(C)  
**Sent:** Tuesday, February 16, 2010 3:43 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(C)

Has there been any additional information obtained in reference to this recovery?

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Saturday, January 16, 2010 6:29 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
(b) (7)(C)

**Subject:** RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: [REDACTED]  
Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: [REDACTED]  
Agents: (b) (7)(C)

(b) (3) (Public Law 111-117)

**(b) (7)(C)**

ATF Intelligence Research Specialist  
 Project Gunrunner  
 El Paso Intelligence Center (EPIC)  
 El Paso, Texas  
 WK: [REDACTED]

**From:** (b) (7)(C)**Sent:** Thursday, January 14, 2010 8:05 AM**To:** (b) (7)(C)**Cc:** (b) (7)(C)**Subject:** Suspect Gun firearm recovered, MEXICO, [REDACTED]**Subject:** Suspect Gun firearm recovered, MEXICO.**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: (b)(3) (Public Law 111-117) & (7)(A)  
Caliber: [REDACTED]  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: [REDACTED]  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) A E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** (b) (7)(C)  
**Sent:** Thursday, April 29, 2010 3:07 PM  
**To:** (b) (7)(C)  
**Subject:** RE:

(b) (7)(C) filled me in thanks. So far six yes and one undetermined

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 29, 2010 12:50 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

Okay, will do. I'm out on surv now but when I get bk I will check on it and let you know.

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

---

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Thu Apr 29 14:17:21 2010  
**Subject:** RE:

Let me know if any come back to your case to satisfy my curiosity.

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 29, 2010 12:12 PM  
**To:** (b) (7)(C)  
**Subject:** Re:

Thank you

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

---

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Thu Apr 29 14:06:03 2010  
**Subject:** FW:

In case you did not get these.

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 29, 2010 12:05 PM  
**To:** (b) (7)(C)  
**Subject:**

Guys,

Here are some serial numbers (b) (7)(A)  
MX.

*This is obviously not all that has been recovered, Just all I can get for now. These are all* (b) (7)(A)

[REDACTED]

(b) (7)(C)

ATF Senior Special Agent  
US Consulate General  
Tijuana, Mexico

[REDACTED] desk  
[REDACTED] - cellular  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, April 29, 2010 10:24 PM  
**To:** (b) (7)(C)  
**Subject:** Ref Recovered F/A's

(b) (7)(C)

Ref the email below, these have not been traced yet but I just wanted to give you a heads up that 5 of the 6 come back to our case. I listed the info. in the chart below.

	SERIAL	PURCHASER	SGP SPREADSHEET	SGP #	GRP VII SPREADSHEET
1		(b)(7)(A) & (C)			(b) (7)(A)
2					
3					
4					
5					
6					

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Thursday, April 29, 2010 11:05 AM  
**To:** (b) (7)(C)  
**Subject:**

Guys,

Here are some serial numbers of (b) (7)(A)  
MX.

This is obviously not all that has been recovered, Just all I can get for now. These are all (b) (7)(A)

██████████ / ██████████ / ██████████ / ██████████ / ██████████ / ██████████ / ██████████

(b) (7)(C)  
ATF Senior Special Agent  
US Consulate General  
Tijuana, Mexico

██████████ desk  
██████████ - cellular



**From:** (b) (7)(C)  
**Sent:** Monday, April 26, 2010 5:23 PM  
**To:** (b) (7)(C)  
**Subject:**

(b)(7)(A) & (C)

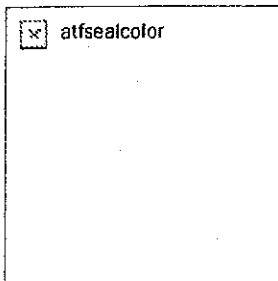
(b) (7)(C)

*Special Agent  
Bureau of Alcohol, Tobacco, Firearms, and Explosives  
Phoenix Field Division - Group VII*

From: (b) (7)(C)  
 Sent: Monday, July 19, 2010 12:00 PM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

ATF IN Number: 785115-10-0004  
 Suspect Gun File Number: [REDACTED]  
 Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered: [REDACTED]  
 Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: [REDACTED]

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

NFORCE: 162080-10-0001

Title: DECOMISO – SEIZURE BULLETIN – 07/08/2010 – SAN LORENZO & MELON, SINALOA

IRS: (b) (7)(C)

Date: July 8, 2010

Event: Mexican Military personnel seized an arsenal and several vehicles including some that were armored-equipped or false compartments

Location: San Lorenzo and Melon, Sinaloa

Case Number: AP/PGR/SIN/CLN/790/2010/M-V

Arrests:

(b)(7)(A) & (C)

Criminal Group/Org.: Not Specified

Firearms/Explosives:

- 1 40mm grenade launcher
- 4 AK-47 type rifles
- 4 AR-15 type rifles
- 1 5.7x28 caliber handgun
- 3 shotguns
- 2 sub-machineguns
- 2 .45 caliber handguns
- 2 .40 caliber handguns
- 1 .22 caliber handgun
- 2 .38 caliber handgun
- 1 grenade

Magazines:

- 38 magazines, various caliber

Ammunition:

- 1,278 rounds, various caliber

**Tactical Equipment:**

- 2 tactical vests

**Uniforms:**

- 2 BDU sets

**Communication Equipment:**

- 12 cellular telephones

**Currency: N/A****Other Objects:**

- 5 batteries

**Drugs: N/A****Vehicles:**

- 1 Jeep Grand Cherokee with Distrito Federal license plates: [REDACTED]
- 1 Mercury Grand Marquis with state of Sinaloa license plates: [REDACTED]
- 1 Nissan Armada with state of Sonora license plates: [REDACTED]
- 1 Honda CR-V with state of Colorado license plates: [REDACTED]
- 1 2007 Ford Ranger Pick-Up truck with state of Sinaloa license plates: [REDACTED] with false compartments
- 1 Armored-Equipped 2007 Chevrolet Cheyenne Pick-Up Truck with state of Sinaloa license plates: [REDACTED]
- 1 2009 Ford F-150 Pick-Up Truck with state of Sinaloa license plates: [REDACTED], previously reported stolen

**Synopsis:** On July 8, 2010, Mexican Military personnel seized an arsenal and several vehicles including some that were armored-equipped or false compartments in San Lorenzo and Melon, Sinaloa. Authorities seized 1 grenade launcher, 4 AK-47 type rifles, 4 AR-15 type rifles, 1 5.7x28 caliber handgun, 3 shotguns, 2 sub-machineguns, 2 .45 caliber handguns, 2 .40 caliber handguns, 1 .22 caliber handgun, 2 .38 caliber handguns, 1 grenade, 38 magazines, over 1,000 rounds, tactical gear, uniforms, 12 cellular telephones, and 7 vehicles.

(Español): 8 de Julio, 2010.- Elementos del Ejército aseguraron en las inmediaciones de los poblados de San Lorenzo y El Melón 27 armas, siete vehículos, 41 cargadores, mil 278 cartuchos, un lanzagranadas, una granada y material táctico

### BOLETINES OFICIALES

Sala de Prensa



Jueves, 08 de Julio de 2010  Boletín Estatal DPE/3201/10



AMPF CONSIGNA A PRESUNTO RESPONSABLE DEL DELITO DE VIOLACIÓN A LA LEY FEDERAL DE ARMAS DE FUEGO Y EXPLOSIVOS



El agente del Ministerio Público de la Federación consignó ante el Juzgado Segundo de Distrito con sede en la ciudad de Culiacán, a Aarón Lizárraga Ortiz o Juan Manuel Enrique Ortega, por la presunta responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en las modalidades de portación de armas de fuego sin licencia, posesión de cartuchos y acopio de armas de fuego y artefactos bélicos (granada) del uso exclusivo del Ejército, Armada y Fuerza Aérea.

De acuerdo con la indagatoria AP/PGR/SIN/CLN/790/2010/M-V, elementos castrenses durante un patrullamiento en las inmediaciones del poblado Oso

Viejo, sindicatura de Quila, Culiacán, detuvieron al consignado en posesión de dos fusiles AK-47, un fusil AR-15, un aditamento lanza granadas calibre .40 mm, una pistola tipo escuadra calibre .9 mm, una pistola tipo escuadra calibre .40 mm y una pistola tipo escuadra calibre 5.7x28 mm, de las conocidas como "matapolicias".

También se le encontraron 10 cargadores largos para fusil AK-47, un cargador de disco para fusil AK-47, siete cargadores para fusil AR-15, un cargador para pistola automática MP-5, un cargador para pistola tipo escuadra calibre .9 mm, un cargador para pistola tipo escuadra calibre .40 mm, un cargador para una pistola tipo escuadra calibre 5.7x28 mm, 360 cartuchos útiles calibre 7.62x39 mm, 220 cartuchos útiles calibre .223, nueve cartuchos útiles calibre .40 mm, 45 cartuchos útiles calibre .357 magnum, 20 cartuchos útiles calibre 5.7x28 mm, 29 cartuchos útiles calibre .9 mm, una granada calibre .40 mm y dos radios portátiles.

Lo asegurado quedó a disposición del Juzgado para la Fe Judicial, mientras que el indicado fue internado en el Centro de Ejecución de las Consecuencias Jurídicas del Delito, donde enfrentará su proceso penal.

Lo anterior forma parte de las tareas del Gobierno Federal para recuperar la confianza en las instituciones encargadas de procurar la seguridad y la justicia, así como la tranquilidad de la ciudadanía.

## PRENSA

### **Asegura el Ejército armas y vehículos**

Elementos del Ejército aseguraron en las inmediaciones de los poblados de San Lorenzo y El Melón 27 armas, siete vehículos, 41 cargadores, mil 278 cartuchos, un lanzagranadas, una granada y material táctico

### **Noroeste/Redacción**

**09-07-2010**

**CULIACÁN.** Elementos del Ejército aseguraron en las inmediaciones de los poblados de San Lorenzo y El Melón 27 armas, siete vehículos, 41 cargadores, mil 278 cartuchos, un lanzagranadas, una granada y material táctico.

La Secretaría de la Defensa Nacional informó a través del operativo conjunto Culiacán-Navolato-Guamúchil-Mazatlán que ayer, personal militar, realizando reconocimientos terrestres logró detectar el armamento.

Dio a conocer que soldados del 24 Regimiento de Caballería Motorizado, en las inmediaciones de la sindicatura de Quila, localizó ocultos seis vehículos, por lo que realizaron un operativo.

En el interior de los autos localizaron un lanzagranadas, tres fusiles AK-47, 4 fusiles AR-15, un arma calibre 5.56 mm, tres escopetas, dos subametralladoras, dos pistolas .45 mm, una calibre 40, tres calibre .380, una calibre .22, dos revólveres .38, una granada, 38 cargadores y mil 258 cartuchos.

Los vehículos incautados son una camioneta marca Jeep, línea Grand Cherokee, color gris, placas de circulación 249-WAW del D.F.; un auto marca Ford, Grand Marquis, color gris, placas de circulación VJN-56-60 del estado de Sinaloa; una camioneta Nissan, modelo Armada, color gris, placas de circulación VSS-74-23 de Sonora; una camioneta Honda, línea CRV, blanco, placas de circulación 8U3MVF del estado de Colorado EU; una Pick Up Ford, línea Ranger, color blanco, año 2007, placas de circulación TY-77-304 de Sinaloa con doble fondo; y una Pick Up, marca Chevrolet, línea Cheyenne, color guinda, año 2007, placas de circulación TX-77-590 del estado de Sinaloa, blindada.

También fueron hallados dos chalecos tácticos, 12 radios de comunicación, cinco pilas y dos uniformes camuflaje tipo selva.

En el poblado San Lorenzo encontraron una camioneta tipo Pick up, marca Ford, modelo F-150, color blanco, año 2009, placas de circulación TY-86888 del estado de Sinaloa con reporte de robo, así como un fusil AK-47, un cargador y 12 cartuchos.

En El Melón aseguraron un fusil calibre 40, un cargador y ocho cartuchos.

Los vehículos, armas y diverso material bélico, fueron puestos a disposición del Ministerio Público Federal, a fin de que se integren la averiguación previa por los delitos de violación a la Ley Federal de Armas de Fuego y Explosivos, operaciones con recursos de procedencia ilícita, a fin de que se proceda conforme a derecho contra quien o quienes resulten responsables.

Source: <http://www.noroeste.com.mx/publicaciones.php?id=599126>

cid:image002.jpg@01CB1F6C.2BAE66D0

## SEGURIDAD

### Aseguran un arsenal y detienen a gatillero

El presunto sicario es capturado en un enfrentamiento con el Ejército

## IONSA

09-07-2010

**CULIACÁN.** Un sicario que presuntamente pertenece a uno de los cárteles que operan en Sinaloa fue detenido con un arsenal durante el enfrentamiento entre militares y gatilleros registrado el martes en la zona de Quilá y Pueblos Unidos, informó la Procuraduría General de la República.

La PGR señala que el presunto delincuente detenido es Aarón Lizárraga Ortiz o Juan Manuel Enrique Ortega, de aproximadamente 30 años.

Al aprehendido le aseguraron dos fusiles AK-47, un AR-15, un aditamento lanzagranadas calibre .40, una pistola calibre .9 mm, una calibre .40 mm y una calibre 5.7x28 mm, de las conocidas como "matapolicias".

También le encontraron 11 cargadores para fusil AK-47, siete para AR-15, uno para subametralladora MP-5, uno para pistola calibre .9 mm, uno para pistola calibre .40 mm y uno para pistola calibre 5.7x28 mm "matapolicias".

Además le incautaron 360 cartuchos calibre 7.62x39 mm, 220 para calibre .223 mm, nueve .40 mm, 45 .357 Magnum, 20 5.7x28mm, 29 de calibre .9 mm, una granada calibre .40 mm y dos radios portátiles.

El detenido fue puesto a disposición del agente del Ministerio Público Federal y consignado ante el Juzgado Segundo de Distrito por los delitos de violación a la Ley Federal de Armas de Fuego y Explosivos, en las

modalidades de portación de arma sin licencia, posesión de cartuchos y acopio de armas de fuego y artefactos bélicos del uso exclusivo del Ejército, Armada y Fuerza Aérea.

La detención del sicario ocurrió en las inmediaciones del poblado Oso Viejo, perteneciente a la sindicatura de Quilá, el martes pasado tras una persecución y enfrentamiento con los militares.

La información establece que el hecho inició alrededor de las 13:00 horas y culminó a la altura del kilómetro 138 de la Maxipista, a un kilómetro del entronque que lleva a Pueblos Unidos.

La información establece que un grupo de pistoleros circulaba en varias camionetas, entre las cuales se encontraba una camioneta Suburban blanca, modelo 1997, con placas 750-PUV del Distrito Federal.

El convoy fue detectado por un grupo de militares, quienes marcaron el alto a las unidades.

Los sospechosos continuaron la marcha y dispararon contra los elementos, los cuales repelieron la agresión.

Por lo menos tres de los sujetos que viajaban en la Suburban supuestamente resultaron heridos, pero continuaron la marcha.

Posteriormente los supuestos heridos fueron auxiliados por otros sujetos armados, quienes los trasladaron a una clínica desconocida.

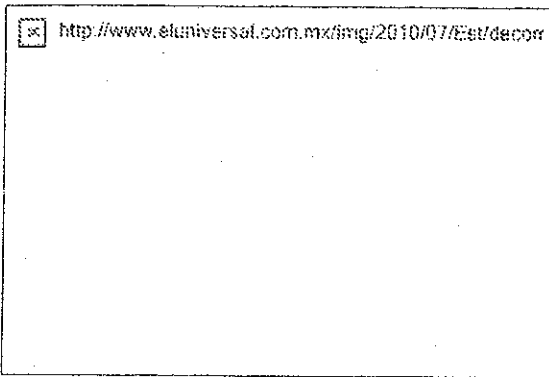
Decenas de elementos del Ejército realizaron un operativo por tierra y aire en busca del comando de sicarios y de los probables heridos, y lograron detener a Aarón Lizárraga Ortiz a quien le aseguraron el arsenal.

Source: <http://www.noroeste.com.mx/publicaciones.php?id=599114>

 cid:image007.jpg@01CB1F6E.194E5A20

**Ejército asegura a sujeto y armas en Sinaloa**

También se halló un aditamento lanza granadas, siete cargadores para fusiles automáticos, cartuchos y dos radios de comunicación



**DECOMISAN ARMAS** Entre las 25 armas decomisadas se encontraron fusiles AK-47, lanzagranadas, chalecos tácticos, cargadores (Foto: Archivo EL UNIVERSAL )

CULIACÁN | Viernes 09 de julio de 2010 Javier Cabrera / corresponsal | El Universal | 09:57  
1 comentarios

En dos acciones distintas en la sindicatura de Quila, el Ejército aseguró un total de 25 armas de fuego –entre ellas fusiles AK-47, lanzagranadas, chalecos tácticos, cargadores– y logró la captura de un presunto miembro del narcotráfico, Aarón Lizárraga Ortiz.

De acuerdo a la información dada a conocer, esta persona fue sorprendida en la comunidad de Oso Viejo cuando transportaba tres fusiles AK-47, tres pistolas, dos calibre nueve milímetros y otra calibre 57X28, denominada “mata policías” por su capacidad de perforar blindajes.

También se le encontró un aditamento lanza granadas, siete cargadores para fusiles automáticos, cartuchos y dos radios de comunicación.

Según la Vocería del Operativo Conjunto Culiacán-Navolato, en una revisión en el poblado San Lorenzo y el Rancho el Melón, se localizaron seis vehículos abandonados, en cuyo interior encontraron un lanzagranadas calibre 40, tres fusiles AK-47, cuatro AR-15, un fusil 5.56, dos pistolas calibre 45.

Además, tres pistolas, nueve milímetros, dos calibre 380, una veintidós, una granada de fragmentación, entre otras armas.

Las unidades se tratan de un Jeep Gran Cherokee blindado, una Armada Nissan, un Honda CRV, una Ford Ranger, dos camiones Ford de diversos modelos.

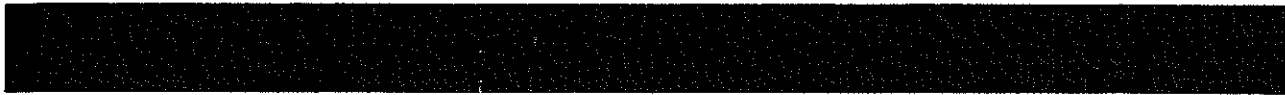
CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF & EPIC  
Intelligence Research Specialist  
Southwest Border Unit  
11218 Sims St  
El Paso Intelligence Center

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)



From: (b) (7)(C)  
Sent: Thursday, July 15, 2010 12:49 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [redacted]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: S/A (b) (7)(C)  
S/A (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [redacted]  
Serial Number: [redacted]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [redacted]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [redacted]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [redacted]  
Suspects: [redacted]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [redacted]  
Phone Number: [redacted]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [redacted]  
Phone Number: [redacted]



THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
Sent: Monday, August 23, 2010 12:59 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, (b) (7)(C)

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: (b) (7)(C)

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Trace ID: (b) (7)(C)  
Serial Number: (b) (7)(C)  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: (b)(3) (Public Law 111-117) & (7)(A)  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: (b) (7)(C)  
Suspects: (b) (7)(C)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: (b) (7)(C)  
Phone Number: (b) (7)(C)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Tuesday, August 03, 2010 2:54 PM  
**To:** (b) (7)(C)  
**Subject:** RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Yes ma'am!

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

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**From:** (b) (7)(C)  
**Sent:** Tuesday, August 03, 2010 12:39 PM  
**To:** (b) (7)(C)  
**Subject:** RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Thank you for obtaining this information. I appreciate it.

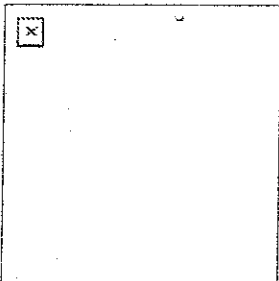
(b) (7)(C)

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**From:** (b) (7)(C)  
**Sent:** Tuesday, August 03, 2010 8:28 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Here you go (b) (7)(C) Not sure if you already have it, but this is what we have so far.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001  
**Title:** DECOMISO – SEIZURE BULLETIN – 07/04/2010 – NAVAJOA, SONORA  
**IRS:** (b) (7)(C)  
**Date:** July 4, 2010  
**Event:** Mexican Military personnel seized an arsenal found inside of an abandoned vehicle  
**Location:** Navajoa, Sonora  
**Case Number:** Not Specified

**Arrests:**

- None

**Criminal Group/Org.:** Not Specified**Firearms/Explosives:**

- 25 AK-47 type rifles

**Magazines:**

- 24 .223 caliber magazines

- 8 7.62x39 caliber magazines

- 46 7.62x39 caliber drum magazines

**Ammunition:**

- 25 .45 caliber rounds

- 4,000 .223 caliber rounds



- 4,116 7.62x39 caliber rounds

**Tactical Equipment:** N/A**Uniforms:** N/A**Communication Equipment:** N/A**Currency:** N/A**Other Objects:** N/A**Drugs:** N/A**Vehicles:**

- 1 White 2005 Chevrolet Silverado pickup truck with state of Sonora license plates: [REDACTED]

**Synopsis:** On July 4, 2010, Mexican Military personnel seized an arsenal found inside of an abandoned vehicle in Navajoa, Sonora. Authorities seized 25 AK-47 type rifles, 78 magazines, over 8,000 rounds of ammunition, and a vehicle.

(Español): 4 de Julio, 2010.- Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres

**BOLETINES OFICIALES****Hermosillo, Son., a 4 de julio del 2010.**Descargar  

**PERSONAL MILITAR PERTENECIENTE A LA 4/a. ZONA  
MILITAR, ASEGURÓ  
1 (UN) VEHÍCULO, 25 (VEINTICINCO) ARMAS LARGAS, 78  
(SETENTA Y OCHO)  
CARGADORES Y 8,141 (OCHO MIL CIENTO CUARENTA Y UNO)  
CARTUCHOS  
DE DIFERENTES CALIBRES.**

Hermosillo, Son., a 4 de julio del 2010.- La Secretaría de la Defensa Nacional, a través de la Comandancia de la 4/a. Zona Militar, perteneciente a la II Región Militar (Mexicali, B.C.), informa:

En el marco de la Campaña Permanente en Contra del Narcotráfico y la aplicación de la Ley Federal de Armas de Fuego y Explosivos.

El día 4 de Julio de 2010, personal perteneciente a la 4/a. Zona Militar,

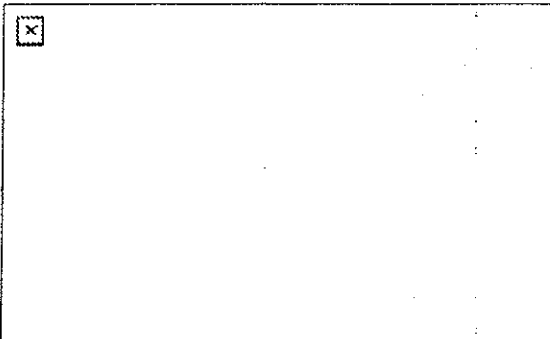
(Hermosillo, Son.), al encontrarse realizando reconocimientos terrestres al sur del Mpio. de Navojoa, Son., localizó un vehículo Pick Up, Marca Chevrolet, tipo Silverado, año 2005, color blanco, con placas de circulación [REDACTED] del estado de Sonora, al revisar el automotor, fue encontrado en su interior el siguiente armamento:

- 25 fusiles AK-47, Cal. 7.62 x 39 mm.
- 24 cargadores para cartuchos Cal. .223".
- 8 cargadores para cartuchos Cal. 7.62 x 39 mm.
- 46 cargadores de disco para cartuchos Cal. 7.62 x 39 mm.
- 25 cartuchos Cal. .45".
- 4,000 cartuchos Cal. .223" (5.56 x 45 mm.)
- 4,116 cartuchos Cal. 7.62 x 39 mm.

El vehículo, armamento, cargadores y cartuchos asegurados, fueron puestos a disposición del A. M. P. F. de Cd. Obregón, Son., abriéndose la averiguación previa correspondiente.

### PRENSA

**Asegura Ejército arsenal en Navojoa, Sonora**  
 El Reportero / Redacción  
 Navojoa, Sonora, 04 de Julio de 2010



**Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres.**

Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres.

El parte oficial remitido por la corporación castrense se informó que al encontrarse realizando un reconocimiento terrestre se localizó un vehículo pick up Chevrolet, tipo Silverado, color blanco, modelo reciente, placas UV82364 de Sonora.

En el cuál, luego de revisarlo, se localizaron 25 fusiles AK- 47, calibre 7.62x 39 milímetros; 24 cargadores para cartuchos, calibre .223; 8 cargadores para cartuchos, calibre 7.62x 39 milímetros.

También, cita el informe, decomisaron 46 cargadores de disco para cartuchos, calibre 7.62x 39 milímetros; 25

cartuchos, calibre .45 milímetros; 4 mil cartuchos, calibre .223 (5.56x 45 milímetros) y 4 mil 116 cartuchos, calibre 7.62x 39 milímetros.

El informe no precisa el lugar en donde se logró el aseguramiento. No hubo personas detenidas.

El vehículo, armamento, cargadores y cartuchos asegurados fueron puestos a disposición del agente del Ministerio Público Federal de Ciudad Obregón, abriéndose la averiguación previa correspondiente.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)  
Sent: Thursday, July 29, 2010 12:18 PM  
To: (b) (7)(C)  
Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

(b) (7)(C)

Are there any additional reports in reference to the recovery below, specifically one that has information on all of the firearms recovered? A firearm purchased by an indentified straw purchaser, [REDACTED] was recovered on July 4, 2010 in Camino Rural Navajoa, Sonora, Mexico. I was trying to determine if this firearm was a part of the seizure listed below or a entirely separate seizure. It might be another recovery with multiple suspect's firearms from our case.

Thank you,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Thursday, July 15, 2010 10:30 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents:

(b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-783-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: [REDACTED]

Type: [REDACTED]

Caliber: [REDACTED]

Model: [REDACTED]

Recovered [REDACTED]

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, [REDACTED] VIA E-MAIL OR AT [REDACTED]

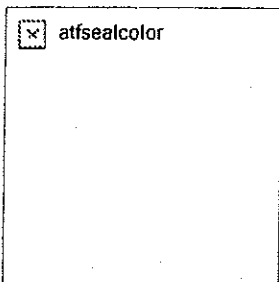
[REDACTED]

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
Sent: Tuesday, August 03, 2010 2:25 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
(b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, (b) (7)(C)

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: (b) (7)(C)  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: (b) (7)(C)  
Suspects: (b) (7)(C)

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

NFORCE: 162080-10-0001  
Title: DECOMISO – SEIZURE BULLETIN – 07/21/2010 – EL ROBLE, DURANGO  
IRS: (b) (7)(C)  
Date: July 21, 2010  
Event: Mexican Military personnel arrested a couple for the possession of an arsenal  
Location: El Roble, Durango  
Case Number: Not Specified  
Arrests: (b) (7)(C)

Criminal Group/Org.: Not Specified

Firearms/Explosives:  
- 1 Barrett .50 caliber rifle  
- 13 AK-47 type rifles  
- 2 AR-15 type rifles  
- 5 handguns

Magazines:  
- An unspecified number of magazines

Ammunition:  
- Hundreds of rounds, various caliber

Tactical Equipment:  
- 10 armored type vests  
- 1 night vision goggles

Uniforms:  
- several uniforms

Communication Equipment:  
- various means of communication



**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:**

- 1 Armored-Equipped vehicle

- 1 Other vehicle

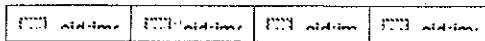
**Synopsis:** On July 21, 2010, Mexican Military personnel arrested a couple for the possession of an arsenal in El Roble, Durango. Authorities seized 1 .50 caliber rifle, 13 AK-47 type rifles, 2 AR-15 type rifles, 5 handguns, magazines, hundreds of rounds, tactical gear, uniforms, various means of communication and 2 vehicles, one of them Armored-Equipped.


(Español): 21 de Julio, 2010.- Resguardaba 13 "cuernos de chivo", 2 AR-15 y un Barret

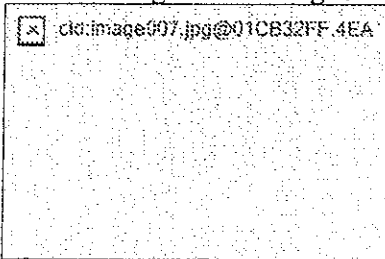
## BOLETINES OFICIALES

### PRENSA

#### Resguardaba 13 "cuernos de chivo", 2 AR-15 y un Barret



Por:  El Siglo De Durango / Durango, Dgo - 24 de jul de 2010.



Coordinación. En Sinaloa tienen buena coordinación los militares con agentes de la PGR.

[ampliar imagen](#)

Carlos Camacho Dolores salió de su natal Durango con rumbo a Sinaloa, donde se incorporó a un grupo delictivo; sin embargo, elementos del Ejército Mexicano al realizar un recorrido de vigilancia se percataron de que en una vivienda resguardaban un potente arsenal y tras "reventarla" lo detuvieron junto a una mujer.

Agentes federales dieron a conocer que ante el Juzgado de Distrito en turno fue consignada la pareja detenida en un domicilio ubicado en poblado de El Roble, donde aseguraron un arsenal.

Las personas que quedaron a disposición de las autoridades responden a los nombres de Carlos Camacho Dolores y Francisca Camacho Simental, ambos de 38 años, vecinos del estado de Durango y de Villa Unión, Sinaloa, respectivamente, informó personal allegado a la Subdelegación de la Procuraduría General de la

República en la zona sur del estado.

## A LA PGR

Se informó que se les consignó como probables responsables de los delitos de violación a la Ley Federal de Armas de Fuego y Explosivos, contra la salud y delincuencia organizada.

En el domicilio donde fueron capturadas dichas personas, elementos del Ejército también hallaron 13 fusiles AK 47 "cuernos de chivo", dos fusiles AR-15, un fusil Barret calibre .50 milímetros, cinco pistolas, cargadores y centenares de cartuchos.

También aseguraron dos camionetas, una de ellas blindada, diez chalecos blindados, uniformes, equipos de radiocomunicación y un aparato de visión nocturna.

## DETENIDOS

Apresan a pareja con arsenal

Militares catean una vivienda en El Roble, donde hallan armas, vehículos, cartuchos y explosivos

IONSA

22-07-2010

cid:image000.jpg@01CB32FF4EA1FFC0

**El armamento asegurado en el cateo a una vivienda en El Roble, Mazatlán.**

Fotografía: Cortesía.

cid:ima

cid:ima

cid:ima

< anterior

siguiente >

**MAZATLÁN.** - Con un arsenal, dos vehículos y material táctico fueron capturados un hombre y una mujer en el poblado El Roble, en Mazatlán, por elementos del Ejército mexicano. En un comunicado, la Procuraduría General de la República informó que los detenidos son Carlos Camacho Dolores y Francisca Camacho Simental.

Los militares los detuvieron con 13 fusiles AK 47, dos AR-15, un fusil Barret calibre .50mm, dos pistolas calibre .45, dos calibre 9 mm., una calibre .40 mm., 36 cargadores para AK 47, dos para fusil Barret, cinco para AR15, cuatro para pistola calibre .45, dos para pistola 9 mm., mil 952 cartuchos y un artefacto explosivo de fabricación casera.

También decomisaron dos camionetas, una de ellas blindada, cinco kilos de marihuana, 10 chalecos antibalas, cinco uniformes policiales, dos pares de botas, nueve porta cargadores, siete radios de comunicación, una maleta para transportar armas, tres pecheras y un aparato de visión nocturna.

En agente del Ministerio Público Federal inició la averiguación previa contra Camacho Dolores y Camacho Simental por la presunta responsabilidad en la comisión de los delitos de violación a la Ley Federal de Armas, contra la salud y delincuencia organizada.

Los indiciados y lo asegurado quedaron a disposición del Representante Social de la Federación, quien continúa con la integración de la averiguación previa para determinar las presuntas responsabilidades penales conforme a derecho.

**Apresan a individuo  
con droga y armas**

En otro operativo, pero en un domicilio de la colonia Pemex en la capital del estado, los militares aseguraron droga, armas y detuvieron a una persona.

El domicilio asegurado se ubica por la calle César López de Lara, en la colonia en mención, donde detuvieron a una persona del sexo masculino de la que no se proporcionaron datos.

En este inmueble los castrenses hallaron 248.8 gramos de crystal, 30 mil 500 pesos en efectivo, así como dos pistolas calibre .380 y una 38 Súper, además de cuatro cargadores y 22 cartuchos de los mismos calibres.

**Consignan a la pareja detenida con arsenal  
Noroeste /Redacción  
23-07-2010**

Ante el Juzgado de Distrito en turno fue consignada ayer la pareja detenida la tarde del martes en un domicilio ubicado en poblado de El Roble, donde también fue asegurado un arsenal, dos camionetas, droga y pertrechos. Se trata de Carlos Camacho Dolores y Francisca Camacho Simental, ambos de 38 años, con domicilios en el estado de Durango y en Villa Unión, respectivamente, informó personal allegado a la Subdelegación de la Procuraduría General de la República en la zona sur del estado.

Agregó que se les consignó como probables responsables de los delitos de violación a la Ley Federal de Armas de Fuego y Explosivos, contra la salud y delincuencia organizada.

En el domicilio donde fueron capturadas dichas personas, elementos del Ejército también hallaron 13 fusiles AK 47 "cuernos de chivo", dos fusiles AR 15, un fusil Barret calibre .50 milímetros, cinco pistolas, cargadores y centenares de cartuchos.

El personal militar también decomisó dos camionetas, una de ellas blindada, así como 10 chalecos blindados, uniformes, portacargadores, siete equipos de radiocomunicación y un aparato de visión nocturna, entre otros.

La pareja fue internada ayer por la tarde en el Centro de Ejecución de las Consecuencias Jurídicas del Delito en este puerto, en espera de que la autoridad judicial correspondiente determine si le dicta o no auto de formal prisión sujeta a proceso.

#### **DECOMISAN DROGA EN LA SIERRA**

En otro hecho, elementos del Ejército Mexicano decomisaron dos unidades motrices y al menos 11 costales que contenían varios kilos de marihuana en greña, en la zona serrana de Mazatlán.

Los militares informaron que las unidades que fueron decomisadas durante el operativo es una camioneta Dodge Ram, color blanco, cabina y media, sin placas de circulación y una Chevrolet Silverado gris, de modelo antiguo, las cuales fueron llevadas por los militares a la Subdelegación de la Procuraduría General de la República con sede en este puerto.

Tras las rejas  
 Carlos Camacho Dolores  
 Tiene 38 años de edad  
 Tiene su domicilio en Durango

Francisca Camacho Simental  
 Tiene 38 años de edad  
 Tiene su domicilio en Villa Unión

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist  
 Project Gunrunner  
 Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)

Sent: Wednesday, July 28, 2010 6:19 AM

To: (b) (7)(C)

Cc: (b) (7)(C)

Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents:

(b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)

- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A) & (C)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

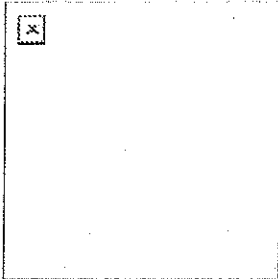
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, August 03, 2010 11:27 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Here you go (b) (7)(C) Not sure if you already have it, but this is what we have so far.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001

**Title:** DECOMISO – SEIZURE BULLETIN – 07/04/2010 – NAVAJOA, SONORA

**IRS:** (b) (7)(C)

**Date:** July 4, 2010

**Event:** Mexican Military personnel seized an arsenal found inside of an abandoned vehicle

**Location:** Navajoa, Sonora

**Case Number:** Not Specified

**Arrests:**

- None

**Criminal Group/Org.:** Not Specified

**Firearms/Explosives:**

- 25 AK-47 type rifles

**Magazines:**

- 24 .223 caliber magazines

- 8 7.62x39 caliber magazines

- 46 7.62x39 caliber drum magazines

**Ammunition:**

- 25 .45 caliber rounds

- 4,000 .223 caliber rounds

- 4,116 7.62x39 caliber rounds

**Tactical Equipment:** N/A

**Uniforms:** N/A

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:**

- 1 White 2005 Chevrolet Silverado pickup truck with state of Sonora license plates: UV-82-364

**Synopsis:** On July 4, 2010, Mexican Military personnel seized an arsenal found inside of an abandoned vehicle in Navajoa, Sonora. Authorities seized 25 AK-47 type rifles, 78 magazines, over 8,000 rounds of ammunition, and a vehicle.

(Español): 4 de Julio, 2010.- Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas

largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres

**BOLETINES OFICIALES**

**Hermosillo, Son., a 4 de julio del 2010.**

Descargar  

**PERSONAL MILITAR PERTENECIENTE A LA 4/a. ZONA MILITAR, ASEGURÓ 1 (UN) VEHÍCULO, 25 (VEINTICINCO) ARMAS LARGAS, 78 (SETENTA Y OCHO) CARGADORES Y 8,141 (OCHO MIL CIENTO CUARENTA Y UNO) CARTUCHOS DE DIFERENTES CALIBRES.**

Hermosillo, Son., a 4 de julio del 2010.- La Secretaría de la Defensa Nacional, a través de la Comandancia de la 4/a. Zona Militar, perteneciente a la II Región Militar (Mexicali, B.C.), informa:

En el marco de la Campaña Permanente en Contra del Narcotráfico y la aplicación de la Ley Federal de Armas de Fuego y Explosivos.

El día 4 de Julio de 2010, personal perteneciente a la 4/a. Zona Militar, (Hermosillo, Son.), al encontrarse realizando reconocimientos terrestres al sur del Mpio. de Navojoa, Son., localizó un vehículo Pick Up, Marca Chevrolet, tipo Silverado, año 2005, color blanco, con placas de circulación [REDACTED] del estado de Sonora, al revisar el automotor, fue encontrado en su interior el siguiente armamento:

- 25 fusiles AK-47, Cal. 7.62 x 39 mm.
- 24 cargadores para cartuchos Cal. .223".
- 8 cargadores para cartuchos Cal. 7.62 x 39 mm.
- 46 cargadores de disco para cartuchos Cal. 7.62 x 39 mm.
- 25 cartuchos Cal. .45".
- 4,000 cartuchos Cal. .223" (5.56 x 45 mm.)
- 4,116 cartuchos Cal. 7.62 x 39 mm.

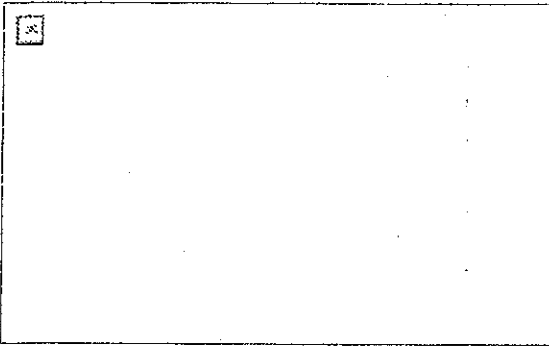
El vehículo, armamento, cargadores y cartuchos asegurados, fueron puestos a disposición del A. M. P. F. de Cd. Obregón, Son., abriéndose la averiguación previa correspondiente.

**PRENSA**

**Asegura Ejército arsenal en Navojoa, Sonora**

El Reportero / Redacción

Navojoa, Sonora, 04 de Julio de 2010



**Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres.**

Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres.

El parte oficial remitido por la corporación castrense se informó que al encontrarse realizando un reconocimiento terrestre se localizó un vehículo pick up Chevrolet, tipo Silverado, color blanco, modelo reciente, placas UV82364 de Sonora.

En el cuál, luego de revisarlo, se localizaron 25 fusiles AK- 47, calibre 7.62x 39 milímetros; 24 cargadores para cartuchos, calibre .223; 8 cargadores para cartuchos, calibre 7.62x 39 milímetros.

También, cita el informe, decomisaron 46 cargadores de disco para cartuchos, calibre 7.62x 39 milímetros; 25 cartuchos, calibre .45 milímetros; 4 mil cartuchos, calibre .223 (5.56x 45 milímetros) y 4 mil 116 cartuchos, calibre 7.62x 39 milímetros.

El informe no precisa el lugar en donde se logró el aseguramiento. No hubo personas detenidas.

El vehículo, armamento, cargadores y cartuchos asegurados fueron puestos a disposición del agente del Ministerio Público Federal de Ciudad Obregón, abriéndose la averiguación previa correspondiente.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)



From: (b) (7)(C)

Sent: Thursday, July 29, 2010 12:18 PM

To: (b) (7)(C)

Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]



(b) (7)(C)

Are there any additional reports in reference to the recovery below, specifically one that has information on all of the firearms recovered? A firearm purchased by an identified straw purchaser, [REDACTED] was recovered on (b) (7)(A) [REDACTED] was trying to determine if this firearm was a part of the seizure listed below or a entirely separate seizure. It might be another recovery with multiple suspect's firearms from our case.

Thank you,

(b) (7)(C)

From (b) (7)(C)  
Sent: Thursday, July 15, 2010 10:30 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Suspect Gun Details:

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C) [REDACTED]  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Friday, July 30, 2010 9:26 PM  
**To:** (b) (7)(C)  
**Subject:** Re: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Check with (b) (7)(C). There were a couple from (b) (7)(C) on [REDACTED] that were recovered recently and I know (b) (7)(C) was looking into it. Thanks!

\*\*\*\*\*  
 NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Fri Jul 30 19:42:35 2010  
**Subject:** RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Hey (b) (7)(C)

(b) (7)(C) sent me the s/n's. I realized then that this was the same recovery you provided me last week that had some of (b) (7)(C) f/a's and f/a's from (b) (7)(A) & (C) [REDACTED]

Thank you,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 7:27 PM  
**To:** (b) (7)(C)  
**Subject:** RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Hey (b) (7)(C)

Are there any additional reports in reference to this recovery, specifically one that has information on all of the firearms recovered? The firearm purchased by [REDACTED] (results you sent me) was recovered on July 4, 2010 in Camino Rural Navajoa, Sonora, Mexico. I was trying to determine if this firearm was a part of the seizure listed below or a entirely separate seizure. It might be another recovery with multiple suspect's firearms. If you do not have a list, just let me know and I will type (b) (7)(C) [REDACTED] to see if they have a list.

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Thursday, July 15, 2010 10:30 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:**

(b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

Trace Requestor: [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR A (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Friday, September 17, 2010 9:42 AM  
**To:** (b) (7)(C)  
**Subject:** Re: Suspect Gun firearms recovered in Mexico, DNT, [REDACTED]

Thank you.

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Fri Sep 17 09:17:31 2010  
**Subject:** Suspect Gun firearms recovered in Mexico, DNT, [REDACTED]

**Subject:** Suspect Gun firearms recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From (b) (7)(C)  
Sent: Thursday, September 16, 2010 10:55 AM  
To (b) (7)(C)  
Subject: FW: Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

From (b) (7)(C)  
Sent: Thursday, September 16, 2010 7:03 AM  
To (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

Subject: Suspect Gun firearms (2) recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received Trace requests for the above listed firearms.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-783-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF (b) (7)(C) /IA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center



**From:** (b) (7)(C)  
**Sent:** Tuesday, September 14, 2010 8:45 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered (6 more) in MEXICO, DNT, [REDACTED]

Thank you.

**From:** (b) (7)(C)  
**Sent:** Tuesday, September 14, 2010 6:52 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered (6 more) in MEXICO, DNT [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A) Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
(b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, September 14, 2010 9:31 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered in MEXICO, DNT, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: (b)(3) (Public Law 111-117) & (7)(A)  
Caliber: [REDACTED]  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, September 13, 2010 1:49 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Barrett ( under suspect gun)

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 9:56 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: Barrett ( under suspect gun)

(b) (7)(C)

Good day. I am the acting supervisor in Phoenix Group VII while GS (b) (7)(C) is on A/L. I spoke w/ SA (b) (7)(C) who is the co-case agent on the investigation involving the purchaser of the .50 caliber rifle in question. The purchaser (b) (7)(A) & (C) trafficking investigation here in Phoenix Group VII. The investigation involves many straw purchasers, (b) (7)(C) and numerous other investigative techniques.

What types of information can we provide for you? Please let us know so that we can get you what you need.

(b) (7)(C)  
 Special Agent  
 Bureau of Alcohol, Tobacco, Firearms, and Explosives  
 Phoenix Field Division - Group VII  
 (b) (7)(C)

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Wed Jul 28 12:34:45 2010  
**Subject:** FW: Barrett ( under suspect gun)

(b) (7)(C)  
 Can you follow up with Supervisory Special Agent (b) (7)(C) in the Mexico Country Office regarding the below. Your support in this matter will be highly appreciated.

Thanks!  
 (b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 9:32 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Barrett ( under suspect gun)

(b) (7)(C) n u get the info on this .50 cal

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 11:05 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Barrett ( under suspect gun)

(b) (7)(C) an you please send out a referral to :

Requestor: ATF Office  
785115  
PHOENIX FD GROUP VII  
201 E WASHINGTON ST STE 940  
PHOENIX, AZ 85004

(b) (7)(C)

Ext:

Agent First Name:

(b) (7)(C)

Agent Badge No.:

ATF Case No.:

785115-10-0004

recovered this Barrett in Durango, according to our POC they were shot at with the .50 caliber and other weapons, soldiers injured.

Trace number

Suspect gun number

Thank you.

**From:** (b) (7)(C)  
**Sent:** Tuesday, September 21, 2010 10:59 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, September 20, 2010 9:02 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: [REDACTED]  
Location: [REDACTED]  
Suspects: [REDACTED]

- No files could be located for the gun recovery

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

**From:** (b) (7)(C)  
**Sent:** Thursday, September 16, 2010 8:03 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

**Subject:** Suspect Gun firearms (2) recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received Trace requests for the above listed firearms.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
Sent: Monday, September 20, 2010 10:10 AM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: FW: Suspect Gun firearms recovered (1 more) in Mexico, DNT, [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) Trace Requestors: (b)(3) (Public Law 111-117) & (7)(A) Phone: [REDACTED] and

(b)(3) (Public Law 111-117) & (7)(A)

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Suspects: [REDACTED]

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
Sent: Friday, September 17, 2010 9:18 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearms recovered in Mexico, DNT, [REDACTED]

Subject: Suspect Gun firearms recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: (b)(3) (Public Law 111-117) & (7)(A)  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered  
Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: (b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]



**From:** (b) (7)(C)  
**Sent:** Thursday, October 28, 2010 10:35 PM  
**To:** (b) (7)(C)  
**Subject:** FW: SUSPECT GUN (b) (7)(C)

**Importance:** High

I don't have (b) (7)(C) ROIs in front of me but recently she wrote 3 ROIs with purchases/recoveries from Fast and Furious from this seizure event...?

---

**From:** (b) (7)(C)  
**Sent:** Thursday, October 28, 2010 2:33 PM  
**To:** (b) (7)(C)  
**Subject:** FW: SUSPECT GUN (b) (7)(C)  
**Importance:** High

(b) (7)(C)

This appears to be the (b) (7)(C) one you were looking for.

(b) (7)(C)

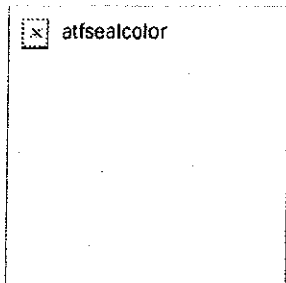
---

**From:** (b) (7)(C)  
**Sent:** Thursday, October 28, 2010 1:36 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: SUSPECT GUN (b) (7)(C)  
**Importance:** High

(b) (7)(C)

Here is the information received earlier today regarding the Suspect Gun case #: [REDACTED]

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-11-0001  
**Title:** DECOMISO - SEIZURE BULLETIN - 09/22/2010 - SARIC, SONORA  
**IRS:** (b) (7)(C)  
**Date:** September 22, 2010  
**Event:** Mexican Military personnel were involved in a confrontation against an unidentified armed group and seized an arsenal  
**Location:** Saric, Sonora  
**Case Number:** Not Specified

**Arrests:**

- none reported

**Criminal Group/Org.:** Not Specified

**Firearms/Explosives:**

- 1 Barrett .50 caliber rifle with serial number: [REDACTED]
- 18 AK-47 type rifles (see the inventory list attached under "Boletines Oficiales")
- 1 9mm rifle
- 1 grenade

**Magazines:**

- 2 .50 caliber magazines
- an unspecified number of AK-47 type magazines

**Ammunition:**

- an unspecified number of rounds, various caliber

**Tactical Equipment:** N/A

**Uniforms:** N/A

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:**

- 1 2006 Ford F-250 Pick-Up Truck with serial number: [REDACTED] and license plates: [REDACTED]
- 1 2007 Chevrolet Tahoe with serial number: [REDACTED], and state of Sonora license plates: [REDACTED]
- 1 2004 Dodge Durango with serial number: [REDACTED], and state of Sonora license plates: [REDACTED]
- 1 2003 Chevrolet Avalanche with serial number: [REDACTED], and state of Sonora license plates: [REDACTED]
- 1 2006 Dodge Durango SLT with serial number: [REDACTED]

**Synopsis:** On September 22, 2010, Mexican Military personnel were involved in a confrontation against an unidentified armed group and seized an arsenal in Saric, Sonora. Authorities seized 1 Barrett .50 caliber rifle, 18 AK-47 type rifles, 1 9mm rifle, 1 grenade, and 5 vehicles.

(Español): 22 de Septiembre, 2010. PERSONAL MILITAR SE ENCONTRO DE FRENTE CON APROXIMANDAMENTE 30 INTEGRANTES DE LA DELINCUENCIA ORGANIZADA

**BOLETINES OFICIALES**

APROXIMADAMENTE A LAS 08:00 P.M., EL 22 SEP. 2010, EN EL MUNICIPIO DE EL SARIC, SON. AL REALIZAR RECONOCIMIENTOS EN UNA BRECHA DEL ÁREA CONOCIDA COMO EL TAPÓN, PERSONAL MILITAR SE ENCONTRO DE FRENTE CON APROXIMANDAMENTE 30 INTEGRANTES DE LA DELINCUENCIA ORGANIZADA, QUIENES LOS AGREDIERON Y HUYERON DEL LUGAR AL RESPONDER EL PERSONAL MILITAR A LA AGRESION, DEJANDO 5 VEHÍCULOS Y ARMAMENTO ABANDONADOS EN EL LUGAR DE LOS HECHOS:

**✓ DATOS DE LOS VEHÍCULOS.**

- VEHÍCULO MARCA FORD, TIPO F-250, COLOR GRIS, No. DE SERIE [REDACTED], MODELO 2006, CON PLACAS [REDACTED] DE E.U.A.
- VEHÍCULO MARCA CHEVROLET, TIPO TAHOE, COLOR BLANCO, CON No. DE SERIE [REDACTED], MODELO 2007, PLACAS [REDACTED] DEL EDO. SON. MEX.
- VEHÍCULO MARCA DODGE, TIPO DURANGO SLT, COLOR GRIS, No. DE

SERIE [REDACTED] MODELO 2004, PLACAS [REDACTED] DEL EDO. DE ARIZONA, E.U.A.

- VEHÍCULO MARCA CHEVROLET, TIPO AVALANCHE, COLOR BLANCO, No. DE SERIE [REDACTED] MODELO 2003, PLACAS [REDACTED] DEL EDO. DE SON. MEX.
- VEHÍCULO MARCA DODGE, TIPO DURANGO SLT, COLOR AZUL, No. DE SERIE [REDACTED] MODELO 2006, PLACAS DEL EDO. DE ARIZONA, E.U.A.

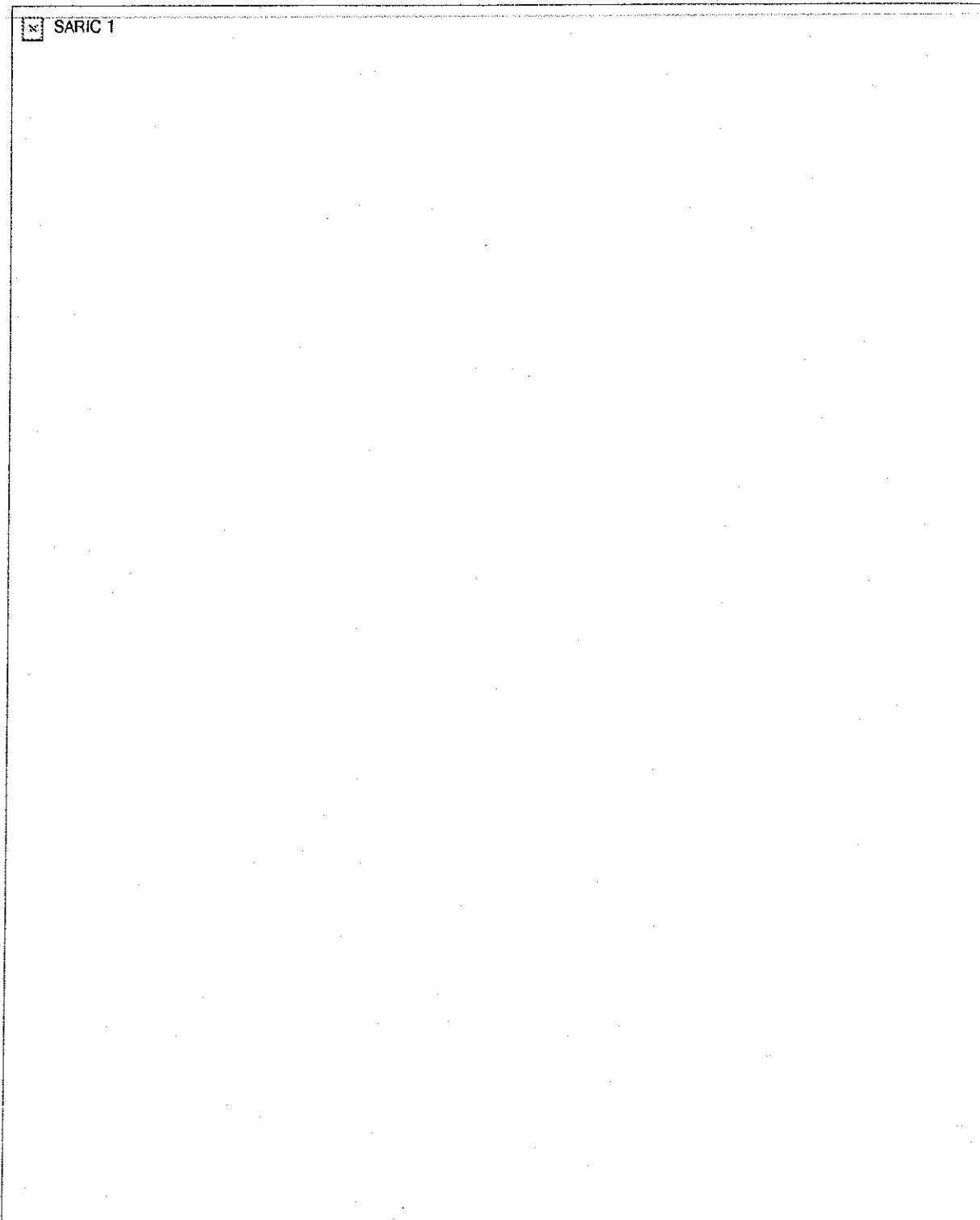
✓ **DATOS DEL ARMAMENTO.**

▪ **FUSILES.**

- **FUSIL BARRET CAL. 0.50", MATRICULA ILEGIBLE, MODELO 82A1.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP-WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED], MODELO PARSINTL. LOU.KY, PAIS DE FABRICACIÓN EGIPTO.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63 UF, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63 UF, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO LOCK-47C, MARCA MONROE, PAIS DE FABRICACIÓN U.S.A.**
- **FUSIL CAL. 7.62X39, SIN MATRICULA, MODELO GP WASR 10/63 UF, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**
- **FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAIS DE FABRICACIÓN RUMANIA.**

- FUSIL CAL. 7.62X39, MATRICULA [REDACTED] SIN MODELO, MARCA NORINCO, PAÍS DE FABRICACIÓN CHINA.
  - FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAÍS DE FABRICACIÓN RUMANIA.
  - FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAÍS DE FABRICACIÓN RUMANIA.
  - FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO GP WASR 10/63, PAÍS DE FABRICACIÓN RUMANIA.
  - FUSIL CAL. 7.62X39, MATRICULA [REDACTED] MODELO ARMORY, MARCA HOUSTON TX, PAÍS DE FABRICACIÓN U.S.A.
  - FUSIL CAL. 9X19mm, MATRICULA [REDACTED] MODELO 995, MARCA HI POINT FIRE ARMS.
- GRANADA.  
UNA GRANADA DE PRACTICA SIN MARCA.
  - CARGADORES.  
DOS CARGADORES PARA CAL. 50" SIN MATRICULA.

SARIC 1



PRENSA

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

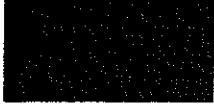
ATF ID # 0111

Intelligence Research Specialist

Firearms and Explosives Trafficking Intelligence Unit

11339 SSG Sims St

Ft. Baso, Texas 78908-8080



---

From (b) (7)(C)

Sent: Thursday, October 28, 2010 1:25 PM

To: (b) (7)(C)

Subject: SUSPECT GUN - (b) (7)(C)

Atalo,

ATF IN Number:

785115-10-0004

Suspect Gun File Number:



Suspect Gun submission request date:

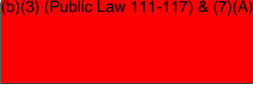
(b)(3) (Public Law 111-117) & (7)(A)

Recovered

Location:

(b)(3) (Public Law 111-117) & (7)(A)

Suspects:



Case Agent:

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Thursday, December 16, 2010 11:03 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Murder of Border Patrol Agent

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, December 16, 2010 9:03 AM  
**To:** (b) (7)(C)  
**Cc:** Newell, William D.; Needles, James R.  
**Subject:** Murder of Border Patrol Agent

Folks –

I wanted to take a moment to thank each of you, as well as your personnel, for the outstanding work yesterday in the aftermath of U.S. Border Patrol Agent Brian Terry's murder. Shootings where law enforcement officers are wounded or killed are always difficult, especially around the holidays, and I know that each of you wanted to contribute in the aftermath of this tragic course of events. SAC Newell, ASAC Needles and I thank you for your diligence and selfless dedication to duty. As a result of your efforts and the efforts of your personnel, the individual that straw-purchased two of the firearms recovered from this crime scene has been arrested and is facing Federal firearms charges. Moreover, [REDACTED] Thank you again, and please extend our appreciation to all involved in yesterday's events.

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

From: (b) (7)(C)  
Sent: Monday, November 15, 2010 2:42 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: FW: seizure

Ref this seizure (b) (7)(C) called me to let me know that (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

Thanks.

(b) (7)(C)

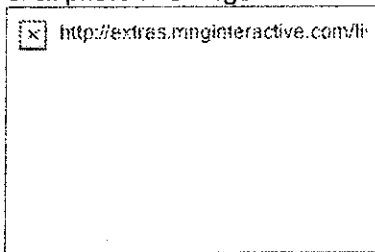
---

From: (b) (7)(C)  
Sent: Monday, November 15, 2010 12:16 PM  
To: (b) (7)(C)  
Subject: seizure

## 8 held in death of ex-Chihuahua AG's brother

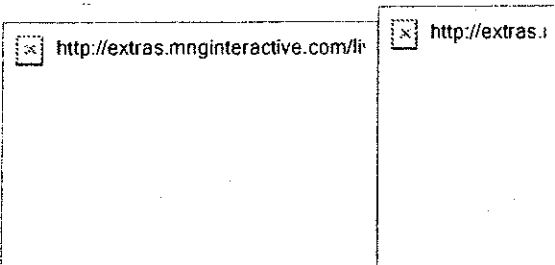
By Maggie Ybarra \ El Paso Times  
Posted: 11/06/2010 12:00:00 AM MDT

Click photo to enlarge



Federal police present five suspects, arrested in connection with the slaying of Mario... (AP Photo)

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- 1
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- >>





Chihuahua state police arrested eight men in connection with the kidnapping and slaying of Mario Angel Gonzalez Rodriguez, the brother of the former Chihuahua state attorney general.

His body was found half-buried in a house under construction in Chihuahua City after one of the men told officials where they could find him, federal police Commissioner Facundo Rosas said at a news conference.

Gonzalez, a lawyer, is the brother of Patricia Gonzalez Rodriguez, who left office when a new governor took over.

Police allege that the men worked for the Sinaloa drug cartel, which has been battling the Juárez drug cartel.

The men were identified as Luis Alberto López Rodríguez, 31, alias "El Luis"; Martín Morales Soto, 43, alias "El Viejito"; Rubén Eduardo Jacobo Larrea, 20, alias "Pinky"; Jesús David Rendón Villela, 20, alias "El Badu"; Víctor Hugo Villegas Espino, 27, alias "M2"; Alonso Velarde Lizárraga, 33, alias "El Alonso"; Israel Zaragoza Elenes, 31, alias "El Zorrillo"; and Luis Miguel Ibarra Castellanos, 22, alias "El Cora."

Mexican Federal Police arrested the men after one of them, Castellanos, told police where the others were hiding, officials said. They were inside a house in a subdivision of Chihuahua City, a report said.

Police raided the house, arrested the men, confiscated weapons and cars and freed two people who had been kidnapped, the report said.

Officials said the men allegedly tortured Mario Gonzalez in the house by using a stick to

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 24, 2010 12:08 PM  
**To:** (b) (7)(C)  
**Subject:** FW: A couple of very minor things, just trying to get a handle on all of this...

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 24, 2010 9:01 AM  
**To:** (b) (7)(C)  
**Subject:** RE: A couple of very minor things, just trying to get a handle on all of this...

The main problem is the ATF Mexican Country office is overwhelmed with work, it is more of a man power issue or lack of. I would talk to (b) (7)(C) and see what he recommends. He has formed really good relationships with the ATF Folks in MCO.

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 24, 2010 11:57 AM  
**To:** (b) (7)(C)  
**Subject:** RE: A couple of very minor things, just trying to get a handle on all of this...

Thank (b) (7)(C) we recognize that sitting in Washington DC you can only do so much when the firearms recovered are in Mexico. What if anything do we need to do to get an ATF person in Mexico to view these firearms?

Thanks again!

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 24, 2010 7:12 AM  
**To:** (b) (7)(C)  
**Subject:** RE: A couple of very minor things, just trying to get a handle on all of this...

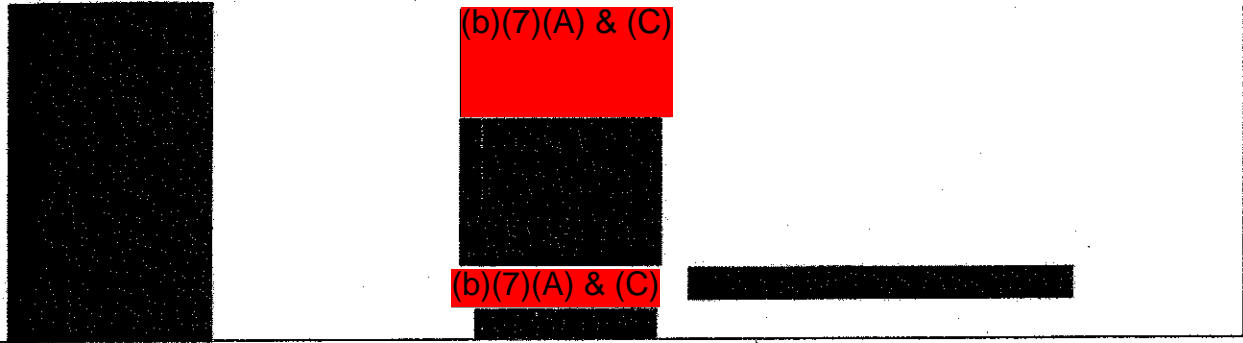
(b) (7)(C)

We have not been able to get anyone in Mexico to view the firearms seized in Guerrero. According to the CENAPI report, which we used to trace the firearms was [redacted] however due to human error and translation which occurs a lot in Mexico we believe it should actually read [redacted] Unfortunately, we can't confirm that as of yet. The purchase date of [redacted] matches the same time frame as all the other firearms purchased then seized in the Guerrero seizure. Sorry that doesn't solve the problem.

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 23, 2010 9:24 PM  
**To:** (b) (7)(C)  
**Subject:** A couple of very minor things, just trying to get a handle on all of this...

Seizures	Purchasers	Force Title
11/14/2009 Guerrero	(b) (7)(C)	11/15/2009 Seizure Acapulco, Guerrero
[redacted]	(b)(7)(A) & (C)	



If you could take a look at this firearm traced above, no big deal just trying to figure out if it is a typo. You listed the one in yellow, but we could only find the one in purple; can you look into that?

Then you traced a firearm [redacted] which is not completed yet in e-Trace (unknown why). We know that to be (b)(7)(A) & (C) firearm from 09/26/2009.

Thanks!

(b) (7)(C)

From: (b) (7)(C)  
Sent: Thursday, July 29, 2010 2:18 PM  
To: (b) (7)(C)  
Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

(b) (7)(C)

Are there any additional reports in reference to the recovery below, specifically one that has information on all of the firearms recovered? A firearm purchased by an indentified straw purchaser, [REDACTED], was recovered on July 4, 2010 in Camino Rural Navajoa, Sonora, Mexico. I was trying to determine if this firearm was a part of the seizure listed below or a entirely separate seizure. It might be another recovery with multiple suspect's firearms from our case.

Thank you,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Thursday, July 15, 2010 10:30 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]  
Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, November 29, 2010 2:23 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Additional Firearms for examination

**From:** (b) (7)(C)  
**Sent:** Monday, November 29, 2010 12:17 PM  
**To:** Parecki, Josh (USAAZ)  
**CC:** (b) (7)(C)  
**Subject:** Additional Firearms for examination

As requested here are (to date) the remaining firearms we need to examine...

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

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(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(C)

Special Agent Phoenix Group VII

**From:** (b) (7)(C)  
**Sent:** Monday, November 29, 2010 3:20 PM  
**To:** Hurley, Emory (USAAZ)  
**CC:** (b) (7)(C)  
**Subject:** Ref Federal Firearms License searches

Emory,

Ref Federal Firearms License searches on the first round of subjects. Results came back negative for licenses on all 20 individuals.

[Redacted]	[Redacted]
[Redacted]	[Redacted]
(b) (7)(C)	[Redacted]
[Redacted]	[Redacted]
(b) (7)(C)	[Redacted]
[Redacted]	[Redacted]
(b) (7)(C)	[Redacted]
[Redacted]	[Redacted]
[Redacted]	[Redacted]

Thanks,

(b) (7)(C)

Special Agent (b) (7)(C)  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Phoenix - Group VII



From: (b) (7)(C)  
Sent: Wednesday, March 24, 2010 2:19 PM  
To: (b) (7)(C)  
Subject: FW: Ref Traces

From: (b) (7)(C)  
Sent: Wednesday, March 24, 2010 10:01 AM  
To: (b) (7)(C)  
Subject: RE: Ref Traces

(b) (7)(C) Working out some issues on the Tijuana guns. They traced the wrong serial numbers but I called out there and they said they would make the correction in Etrace. So, it should still have the same T#. Hope this helps.

TRACE NUMBER	DEALER NAME	FFL NUMBER	FFL STATE	FFL COUNTRY	PURCHASE DATE	MANUF. CODE	LAST CAL. NAME	FIRST NAME	STREET NAME	CITY	STATE	ZIP	COUNTRY OF BIRTH	GUN SHOW TRANSACTION
							(b)(3) (Public Law 111-117) & (7)(A)							(b)(3) (Public Law 111-117) & (7)(A)

From: (b) (7)(C)  
Sent: Wednesday, March 24, 2010 12:08 PM  
To: (b) (7)(C)  
Subject: FW: Ref Traces

See below question...thanks!

From: (b) (7)(C)  
Sent: Tuesday, March 23, 2010 6:33 PM  
To: (b) (7)(C)  
Subject: RE: Ref Traces

Thank you for the trace # below. By any chance does he have trace #'s for the other four f/a's highlighted below?

Thank you,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Tuesday, March 23, 2010 6:27 AM  
To: (b) (7)(C)  
Subject: RE: Ref Traces

(b) (7)(C)

02/20/2010 Guasave, Mexico

-Attached is a spreadsheet I made which has all the firearms related to 7851115-10-0004 straw purchasers. Hope that helps.

From: (b) (7)(C)  
Sent: Monday, March 22, 2010 4:38 PM  
To: (b) (7)(C)

**Subject:** FW: Ref Traces

(b) (7)(C)

Just a little confusion over serial numbers, traces submitted, etc... can double check some of our data? Thanks!

---

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 1:02 PM  
**To:** (b) (7)(C)  
**Subject:** Ref Traces

(b) (7)(C)

Below are firearm serial numbers' that I cannot find any traces on them in E-Trace. I was not sure if they were traced yet. Just let me know.

02/20/2010 - Guasave, Mexico (Other firearms from seizure traced by [REDACTED])  
[REDACTED]

02/25/2010 - Tijuana, Mexico (Other firearms from seizure traced by [REDACTED])  
[REDACTED]

Thanks,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Tuesday, March 09, 2010 1:17 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

(b) (7)(C)

Can we get the related report from this seizure so we can complete a recovery ROI? Also, did someone from ATF actually view the f/a's? (b) (7)(A)

(b) (7)(C)

(b) (7)(C)  
(b) (7)(C)  
(b) (7)(C)  
(Firearm not in Suspect Guns) - (b) (7)(C)

(b) (7)(C)

(b) (7)(C)  
(b) (7)(C)  
(b) (7)(A)  
(b) (7)(C)  
(b) (7)(C)

\*One of the firearms was traced with s/n: (b) (3) (Public Law 111-117) & (7)(A)  
s/n: (b) (3) (Public Law 111-117) & (7)(A) Is there any way we can verify the serial number of the recovered firearm?

Thanks,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Wednesday, February 24, 2010 9:51 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE: SEVEN Suspect Gun firearm recovered, MEXICO, (b) (7)(C)

(b) (7)(C)

All the trace numbers from the Guerrero seizure. If you need anything else let me know. Two of the firearms I believe are suspect guns but the Mexicans reversed the numbers. That would put at us 9 suspect guns traced from the Guerrero seizure. Below is also the seizure event from open source.

(b) (7)(C)	In Progress	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	In Progress	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	Completed	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	In Progress	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	In Progress	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	Completed <sup>55</sup>	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	Completed <sup>54</sup>	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	Completed <sup>53</sup>	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	In Progress	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)	Completed <sup>52</sup>	Assoc	(b) (3) (Public Law 111-117) & (7)(A)
(b) (7)(C)		Assoc	

[REDACTED]	Completed		[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)
	Completed <sup>s</sup>	Assoc		
	Completed <sup>s</sup>	Assoc		
	Completed <sup>s</sup>	Assoc		
	In Progress			

The additional serial numbers that weren't suspect firearms are:

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

**NFORCE:** 162080-10-0001  
**Title:** 11/15/2009 - ACAPULCO , GUERRERO  
**Date:** November 15, 2009  
**Event:** Mexican Military rescued a women and arrested 12 individuals from a hotel room and seized firearms, magazines, ammunition and vehicles in the city of Atoyac de Alvarez, Costa Grande, Guerrero.  
**Location:** city of Atoyac de Alvarez, Costa Grande, Guerrero  
**Case No:** AP/PGR/ACA/GRO/M3/457/2009

**Detentions:**

[REDACTED] (Kidnapped victim)

**Organization:** Not reported.

**Firearms:**

- 15 AK-47 Rifles

**Magazines:**

-30 Magazines, AK-47

**Ammunition:**

-288 Rounds, AK-47

**Vehicles:**

- Nissan pickup, without license plates

-Ford pickup, without license plates

**Summary:** On 16 November 2009, Mexican Military responding to a anonymous call rescued [REDACTED] and arrested 12 individuals from the Thunderbird hotel and seized 15 AK-47s, 30 magazines, ammunition and two pickup trucks without license plates in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

El 16 de Noviembre de 2009, Militares Mexicanos respondiendo a una llamada anomina rescataron a [REDACTED] y arrestado a 12 personas del hotel "Thunderbird" y se aseguraron 15 fusiles AK-47, 30 cargadoras, municiones y dos camionetas sin placas, en la ciudad de Atoyac de Alvarez, Costa Grande, Guerrero.

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 11:21 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)

**Subject:** RE: SEVEN Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(C)

Do you have a list of all the f/a's recovered in this seizure?

**From:** (b) (7)(C)  
**Sent:** Monday, February 22, 2010 1:06 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C), (b) (7)(C), (b) (7)(C)  
**Subject:** SEVEN Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise us to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

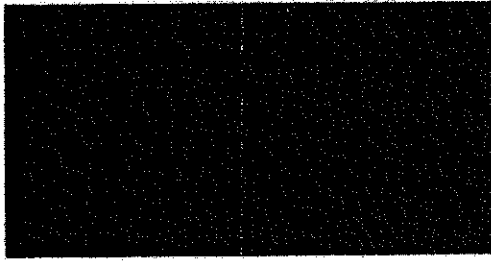
Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: [REDACTED]  
Model: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: [REDACTED]  
Location: [REDACTED]  
Suspects: [REDACTED]

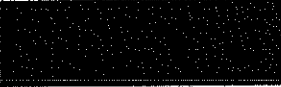


Trace Requestor: (b) (7)(C)  
Requesting Agency: (b) (7)(C)  
Phone Number: (b) (7)(C)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) (b) (7)(C)  
Requesting Agency: (b) (7)(C)  
Phone Number: (b) (7)(C)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC  
BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



From: (b) (7)(C)  
 Sent: Tuesday, March 09, 2010 2:11 PM  
 To: (b) (7)(C)  
 Subject: FW: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

This is all there is at this time, they are working with (b) (7)(C) to try to do more...?

From: (b) (7)(C)  
 Sent: Tuesday, March 09, 2010 11:58 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: RE: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

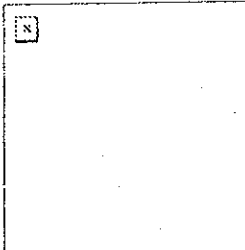
(b) (7)(C) There was a NForce Seizure event (listed below and listed in the previous email). I did find another article on it, but again no photos or additional info. I will see if I can find the PGR report that was listed in the article but so far no luck. I gave you access to the NFORCE File. I believe (b) (7)(A) is working on this particular seizure out of Mexico City and I cc'd him on this email. Also, I believe that NO ONE in ATF got hands on the firearms.

NFORCE CASE NUMBER 162080-10-0001, 11/15/2009 Acapulco, Guerrero  
 PGR Case # AP/PGR/ACA/GRO/M3/457/2009

Sorry I couldn't be more helpful.

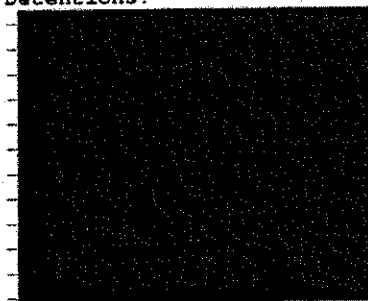
UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

THIS DOCUMENT IS THE PROPERTY OF ATF. ANY FURTHER DISSEMINATION MUST BE CLEARED THROUGH PROJECT GUNRUNNER AT THE EL PASO INTELLIGENCE CENTER. (915) 760-2015



TRACE RESULTS RELATING TO THIS SEIZURE CAN BE ACCESSED UNDER THE 'SOURCE' TAB - 'ETRACE RESULTS' IN THE NFORCE SEIZURE FILE LISTED BELOW.

NFORCE: 162080-10-0001  
 Title: 11/15/2009 - ACAPULCO , GUERRERO  
 Date: November 15, 2009  
 Event: Mexican Military rescued a women and arrested 12 individuals from a hotel room and seized firearms, magazines, ammunition and vehicles in the city of Atoyac de Alvarez, Costa Grande, Guerrero.  
 Location: city of Atoyac de Alvarez, Costa Grande, Guerrero  
 Case No: AP/PGR/ACA/GRO/M3/457/2009  
 Detentions:



(Kidnapped victim)

Organization: Not reported.

Firearms:  
 - 15 AK-47 Rifles  
 Magazines:

-30 Magazines, AK-47

Ammunition:

-288 Rounds, AK-47

Vehicles:

- Nissan pickup, without license plates

-Ford pickup, without license plates

**Summary:** On 16 November 2009, Mexican Military responding to a anonymous call rescued [REDACTED] and arrested 12 individuals from the Thunderbird hotel and seized 15 AK-47s, 30 magazines, ammunition and two pickup trucks without license plates in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

El 16 de Noviembre de 2009, Militares Mexicanos respondiendo a una llamada anonima rescataron a [REDACTED] y arrestado a 12 personas del hotel "Thunderbird" y se aseguraron 15 fusiles AK-47, 30 cargadoras, municiones y dos camionetas sin placas, en la ciudad de Atoyac de Alvarez, Costa Grande, Guerrero.

**Acapulco, Gro., noviembre 15 del 2009 (IRZA).**- Elementos del Ejército rescataron a una mujer y a detuvieron a doce individuos que la mantenían secuestrada en una habitación del hotel "Thunderbird", en la ciudad de Atoyac de Alvarez, en la Costa Grande del estado.

El hecho ocurrió el pasado 13 de este mes, pero fue hasta ahora que trascendió porque, se dijo, las autoridades ministeriales investigan si existe relación entre la banda atrapada y otros secuestros registrados en la región.

Una llamada anónima al 066 informó de la actitud sospechosa de un grupo de hombres en el interior de la citada hospedería, y fue así que los militares montaron y desarrollaron el operativo que concluyó con la aprehensión de los delincuentes.

La mujer que estaba secuestrada y por la que al parecer pedían un "rescate" cuyo monto no se conoce, fue identificada como Evangelina Ortega Cárdenas.

A los plagiarios se les decomisaron 15 armas largas de alto poder AK-47 (cuernos de chivo) con las que estuvieron a punto de enfrentarse con los militares, sólo que estos les ganaron la partida, los sorprendieron y sometieron de manera eficiente.

Los hoy plagiarios detenidos responden a los nombres de Cirilo Tapia Bello, Manuel Carrillo Coria, David García Galeana, Enrique Rivera Bello, Antonio Pasión Colmenares, Benito Jiménez Pérez, Wilfredo Sánchez Ortiz, Héctor Barrios Vargas, Abel Hipólito Galeana, José Sánchez Mejía y Gilberto Vinalay Astudillo, quienes fueron puestos a disposición del Ministerio Público Federal en esta ciudad porteña.

A la banda también le fueron decomisados 30 cargadores abastecidos para "cuernos de chivo", 288 cartuchos útiles, así como dos camionetas sin placas de circulación, una Nissan y una Ford tipo Lobo color gris, mismas que también fueron consignadas al ministerio público.

Estos hechos constan en la averiguación previa AP/PGR/ACA/GRO/M3/457/2009. (Agencia de Noticias IRZA)

#### GOOGLE TRANSLATE

Acapulco, Gro., November 15, 2009 (Irza) .- Elements of the army rescued a woman and arrested twelve individuals who kept sequestered in a hotel room "Thunderbird" in the city of Atoyac de Alvarez, Costa Grande in the state. The incident occurred on 13 this month but was only now that transpired because, she told ministry officials investigating a possible relationship between the band and other kidnappings recorded caught in the region.

An anonymous caller to 066 reported the suspicious attitude of a group of men inside of that hostelry, and that's how the military set up and developed the operation that resulted in the apprehension of criminals.

The woman who was kidnapped and apparently by asking for a "rescue" the amount of which is unknown, was identified as Evangelina Cardenas Ortega.

For the kidnappers were seized 15 high-powered rifles AK-47 (goat horns) with which they were about to face with the military, only that they won them the game, surprised and subdued efficiently.

The kidnappers arrested today go by the names of Cyril Tapia Bello, Manuel Carrillo Coria, David Garcia Galeana, Enrique Rivera Bello, Antonio Colmenares Passion, Benito Pérez Jiménez, Wilfredo Sánchez Ortiz, Hector Barrios Vargas, Abel Hipolito Galeana, Jose Sanchez and Gilberto Mejia Vinalay Astudillo, who were made available to the Federal Public Ministry in this port city.

The band were also seized 30 chargers supplied for "goat horn", 288 cartridges useful as well as two trucks without license plates, a Nissan and a gray Ford Lobo type facilities, which also were recorded to the prosecutor.

These facts are reflected in the preliminary AP/PGR/ACA/GRO/M3/457/2009. (Irza News Agency)

From: (b) (7)(C)  
Sent: Tuesday, March 09, 2010 1:28 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)



**Subject:** FW: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

Is there anything we can lay our hands on to document this seizure event? Is there a seizure case number in N-Force? If so I do not have intel access so I cannot view it but perhaps I could be given case access?

Thanks team!

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 11:17 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

(b) (7)(C)

Can we get the related report from this seizure so we can complete a recovery ROI? Also, did someone from ATF actually view the t/a's? (b) (7)(A)

(b) (7)(C)  
[Redacted]  
[Redacted]  
[Redacted] (Firearm not in Suspect Guns) [Redacted]  
[Redacted]

(b) (7)(C)

[Redacted]  
[Redacted]  
(b) (7)(A)  
[Redacted]  
[Redacted]  
[Redacted]

\*One of the firearms was traced with s/n: [Redacted] (b)(3) (Public Law 111-117) & (7)(A) They did locate s/n: [Redacted] (b)(3) (Public Law 111-117) & (7)(A) Is there any way we can verify the serial number of the recovered firearm?

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 9:51 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: SEVEN Suspect Gun firearm recovered, MEXICO, [Redacted]

(b) (7)(C)

All the trace numbers from the Guerrero seizure. If you need anything else let me know. Two of the firearms I believe are suspect guns but the Mexicans reversed the numbers. That would put at us 9 suspect guns traced from the Guerrero seizure. Below is also the seizure event from open source.

[Redacted]	In Progress	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	In Progress	Assoc	[Redacted]	
[Redacted]	Completed	Assoc	[Redacted]	
[Redacted]	In Progress	Assoc	[Redacted]	

[REDACTED]	In Progress	Assoc	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)
[REDACTED]	Completed <sup>6</sup>	Assoc	[REDACTED]	[REDACTED]
[REDACTED]	Completed <sup>14</sup>	Assoc	[REDACTED]	[REDACTED]
[REDACTED]	Completed <sup>3</sup>	Assoc	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)
[REDACTED]	In Progress	Assoc	[REDACTED]	[REDACTED]
[REDACTED]	Completed <sup>3</sup>	Assoc	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)
[REDACTED]	Completed <sup>7</sup>	Assoc	[REDACTED]	[REDACTED]
[REDACTED]	Completed <sup>5</sup>	Assoc	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)
[REDACTED]	Completed	Assoc	[REDACTED]	[REDACTED]
[REDACTED]	Completed <sup>5</sup>	Assoc	[REDACTED]	(b)(3) (Public Law 111-117) & (7)(A)
[REDACTED]	In Progress		[REDACTED]	[REDACTED]

The additional serial numbers that weren't suspect firearms are:

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

NFORCE: 162080-10-0001

Title: 11/15/2009 - ACAPULCO , GUERRERO

Date: November 15, 2009

Event: Mexican Military rescued a women and arrested 12 individuals from a hotel room and seized firearms, magazines, ammunition and vehicles in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

Location: city of Atoyac de Alvarez, Costa Grande, Guerrero

Case No: AP/PGR/ACA/GRO/M3/457/2009

Detentions:

[REDACTED] (Kidnapped victim)

Organization: Not reported.

Firearms:

- 15 AK-47 Rifles.

Magazines:

-30 Magazines, AK-47

Ammunition:

-288 Rounds, AK-47

Vehicles:

- Nissan pickup, without license plates

-Ford pickup, without license plates

Summary: On 16 November 2009, Mexican Military responding to a anonymous call rescued [REDACTED] and arrested 12 individuals from the Thunderbird hotel and seized 15 AK-47s, 30 magazines, ammunition and two pickup trucks without license plates in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

El 16 de Noviembre de 2009, Militares Mexicanos respondiendo a una llamada anonima rescataron a

[REDACTED] y arrestado a 12 personas del hotel "Thunderbird" y se aseguraron 15 fusiles AK-47, 30 cargadoras, municiones y dos camionetas sin placas, en la ciudad de Atoyac de Alvarez, Costa Grande, Guerrero.

From: (b) (7)(C)  
Sent: Wednesday, February 24, 2010 11:21 AM  
To: (b) (7)(C)  
Cc: [REDACTED]  
Subject: RE: SEVEN Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(C)

Do you have a list of all the f/a's recovered in this seizure?

From: (b) (7)(C)  
Sent: Monday, February 22, 2010 1:06 PM  
To: (b) (7)(C)  
Cc: [REDACTED]  
Subject: SEVEN Suspect Gun firearm recovered, MEXICO, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133*

Please advise us to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. **{This is to be applied to all remaining firearms in this Suspect Gun file}**
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Serial Number: [REDACTED]

Manufacturer:

(b)(3) (Public Law 111-117) & (7)(A)

Type:

Caliber:

(b)(3) (Public Law 111-117) & (7)(A)

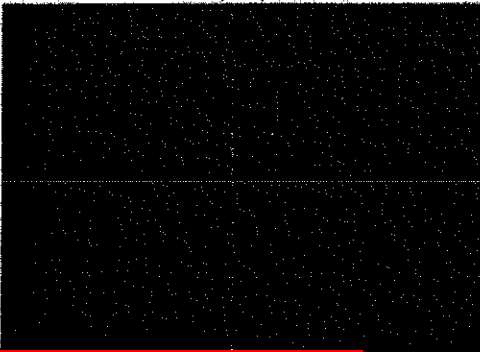
Model:

Recovered

(b)(3) (Public Law 111-117) & (7)(A)

Location:

Suspects:



Trace Requestor:

(b) (7)(C)

Requesting Agency:

Phone Number:

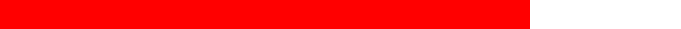


Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

Phone Number:



THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



From: (b) (7)(C)  
Sent: Friday, March 19, 2010 5:40 PM  
To: 'France, Layne'  
Subject: RE: Suspect Gun firearm recovered, MEXICO [REDACTED]

(b) (3) (Public Law 111-117)

[REDACTED] (b) (7)(C)

From: (b) (7)(C)  
Sent: Thursday, March 11, 2010 5:26 PM  
To: (b) (7)(C)  
Subject: FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

From: (b) (7)(C)  
Sent: Thursday, March 11, 2010 5:23 PM  
To: (b) (7)(C)  
Cc: [REDACTED]  
Subject: FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

(b) (7)(C) The below seizure event mentions an "M16 type rifle". Can we check that? It appears that there are other firearms NOT accounted when comparing the below traces against the open source reporting.

(b) (7)(C) THE SERIAL NUMBERS ARE THE WEAPONS CENAPI TRACE FROM THE BELOW SEIZURE EVENT IN Guasave, Sinaloa. The highlighted firearms are what I was able to determine are suspect guns from 785115-10-0004.

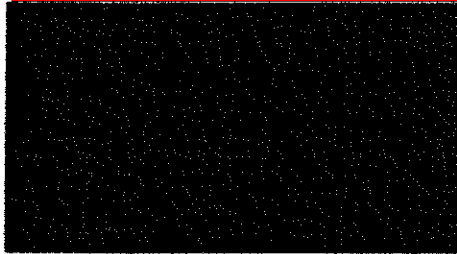
[REDACTED]

From: (b) (7)(C)  
Sent: Thursday, March 11, 2010 3:42 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO [REDACTED]

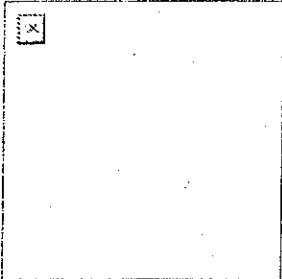
ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Recovered  
Location:  
Suspects:

(b)(3) (Public Law 111-117) & (7)(A)



CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001

**Title:** DECOMISO – SEIZURE BULLETIN – 02/21/2010 – GUASAVE & NOVALATO, SINALOA

**IRS:** (b) (7)(C)

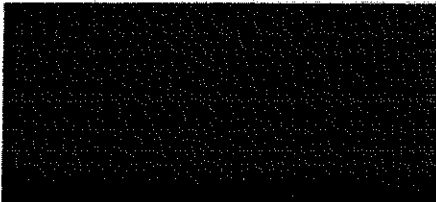
**Date:** February 21, 2010

**Event:** Mexican Military was involved in a confrontation against several “Sicarios” (gunmen), arrested seven and seized an arsenal from two residences

**Location:** Guasave and Novalato, Sinaloa

**Case Number:** AP/SIN/CLN/204/2010/M-III and DPE/0911/10

**Arrests:**



**Criminal Group/Org.:** Not Specified

**Firearms/Explosives:**

- 6 AK-47 type rifles
- 3 AR-15 type rifles
- 1 M-16 type rifle
- several explosive devices
- 5 other rifles
- 5 handguns
- 1 grenade

**Magazines:**

- 137 magazines, various caliber

**Ammunition:**

- 11,624 rounds, various caliber

**Tactical Equipment:**

- several items

**Uniforms:**

- several Police-type uniforms

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:**

- 2 vehicles

**Synopsis:** On February 21, 2010, Mexican Military was involved in a confrontation against several "Sicarios" (gunmen), arrested seven and seized an arsenal in Guasave and Novalato, Sinaloa. Authorities seized 6 AK-47 type rifles, 5 other rifles, 3 AR-15 type rifles, 1 M-16 type rifle, 5 handguns, 1 grenade, several explosive devices, 137 magazines, 11,624 rounds of ammunition, tactical gear, several Poice-type uniforms, and 2 vehicles.

(Español): 21 de Febrero, 2010.- Lomas de Sotelo, D.F., a 21 de febrero de 2010.- La Secretaría de la Defensa Nacional, informa a la opinión pública, que en el marco de la Campaña Permanente contra el Narcotráfico y la aplicación de la Ley Federal de Armas de Fuego y Explosivos, el día 20 del actual, en dos eventos, personal militar perteneciente a la 9/a. Zona Militar detuvo a siete individuos y aseguró 20 armas, 85 cargadores, 6,092 cartuchos de diverso calibre, material explosivo, dos vehículos y equipo diverso en dos inmuebles en el estado de Sinaloa. En el primer evento, se detuvo a siete individuos que agredieron con armas de fuego a personal militar que al realizar reconocimientos terrestres se aproximaba a un inmueble utilizado como casa de seguridad en la colonia Florida, en el municipio de Guasave, Sin., dichos individuos se encontraban en posesión de 10 armas largas, 33 cargadores, 560 cartuchos de diversos calibres, dos camionetas y equipo diverso. En el segundo evento, efectivos castrenses lograron asegurar 5 armas largas, 5 armas cortas, 1 granada, 52 cargadores de diferentes calibres, 5,532 cartuchos de diversos calibres, material explosivo y uniformes de cuerpos de seguridad pública, en un inmueble utilizado como casa de seguridad en el municipio de Navolato, Sin. Los detenidos, inmuebles, armamento, vehículos y artículos encontrados en su poder, fueron puestos a disposición de las autoridades correspondientes.

#### BOLETINES OFICIALES

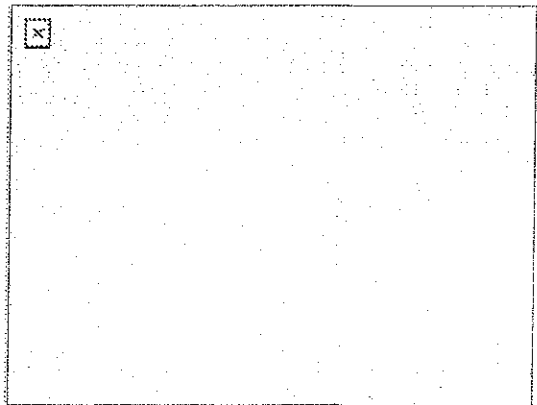
##### DETENIDOS

Ante el Juez, detenidos por Ejército en Guasave

Son acusados de asociación delictuosa y portación de arma de fuego del uso exclusivo de Ejército

**IONSA**

24-02-2010



En el operativo, a los detenidos se les aseguró un arsenal y vehículos.

HOCR ATF - 001815

Fotografía: Noroeste Guasave.



< anterior  
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siguiente >  
siguiente >

**NOTAS RELACIONADAS****Captura Ejército a 7 presuntos gatilleros****21-02-2010**

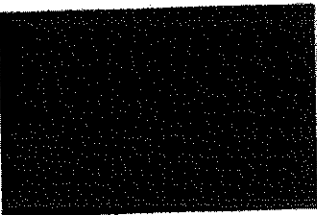
CULIACÁN. Ante el Juzgado Tercero de Distrito en el estado fueron consignados seis sujetos detenidos por elementos del Ejército la semana pasada en Guasave.

De acuerdo al comunicado de prensa DPE/0911/10 de la PGR, se trata de [REDACTED] y [REDACTED]

A estos individuos se les integró la averiguación previa AP/SIN/CLN/20a/2010/M-III por su presunta responsabilidad en la comisión del delito de asociación delictuosa, y portación de arma de fuego del uso exclusivo de Ejército, Armada y Fuerza Aérea Nacional.

Los consignados fueron detenidos por elementos castrenses en [REDACTED], en la colonia Florida, en Guasave, tras enfrentarse a balazos con elementos del Ejército.

Tras someterlos, los militares aseguraron la vivienda donde estaban atrincherados, vehículos, armas, municiones y diversos artículos.

**Presuntos sicarios****Lo asegurado**

Fusil Colt calibre 5.56 mm  
 Fusil AR-15 Eagle Arms calibre 5.56mm  
 Fusil AR-15 calibre .223mm  
 Fusil AR-12 Armalite calibre 5.56mm  
 6 fusiles AK-47 sin marca calibre 7.62X39mm  
 27 cargadores para fusil AK-47 con capacidad para 30 cartuchos calibre 7.62X39mm  
 6 cargadores para fusil AR-15 con capacidad para 30 cartuchos  
 550 cartuchos útiles calibre 7.62X39 mm  
 50 cartuchos útiles calibre .223 mm  
 Una camioneta Kia modelo 2007  
 Una camioneta Ford Lobo  
 Siete chailecos portacargadores  
 Cuatro pasamontañas  
 Un inmueble



Sala de Prensa



Martes, 23 de Febrero de 2010  Boletín Estatal DPE/0911/10



AMPF CONSIGNA A SEIS PRESUNTOS RESPONSABLES DE LOS DELITOS DE ASOCIACIÓN DELICTUOSA Y VIOLACIÓN A LA LEY FEDERAL DE ARMAS DE FUEGO Y EXPLOSIVOS



El agente del Ministerio Público de la Federación consignó ante el Juzgado Tercero de Distrito, con sede en la ciudad de Culiacán, a Pascual Gerardo Juárez, Esteban Higuera Bórquez, Cosme Higuera Bórquez, Regino Pérez Juárez, Paul Alonso Robles García y José Carlos Ibarra Rascón, dentro de la averiguación previa AP/SIN/CLN/204/2010/M-III por la presunta responsabilidad en la comisión del delito de asociación delictuosa, y portación de arma de fuego del uso exclusivo de Ejército, Armada y Fuerza Aérea Nacional.

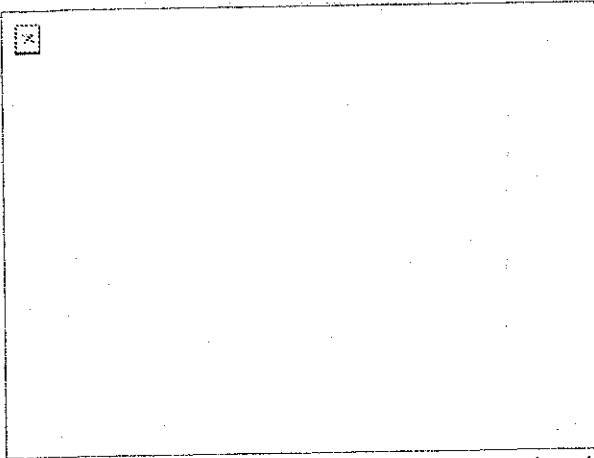
Los consignados fueron detenidos mediante un operativo efectuado por elementos castrenses, en la calle primera número 99 en la colonia Florida de la ciudad de Guasave, cuando uno de los indiciados armados, tras percatarse de la presencia de los elementos del Ejército alertaron al resto de los ocupantes de la vivienda y realizaron disparos.

Derivado de ello fue asegurado lo siguiente:

- Un fusil Colt calibre 5.56 mm.
- Un fusil AR-15 Eagle Arms calibre 5.56 mm.
- Un fusil AR-15 calibre 2.23 mm.
- Un fusil AR-18 Armalite calibre 5.56 mm.
- Seis fusiles AK-47 sin marca calibre 7.62X39 mm.
- 27 cargadores para fusil AK-47 con capacidad para 30 cartuchos calibre 7.62X39 mm.
- Seis cargadores para fusil AR-15 con capacidad para 30 cartuchos.
- 550 cartuchos útiles calibre 7.62X39 mm.
- 50 cartuchos útiles calibre .223 mm.
- Una camioneta Kia modelo 2007.
- Una camioneta Ford Lobo.
- Siete chalecos portacargadores.
- Cuatro pasamontañas.

Lo asegurado quedó a disposición del Juzgado para la Fe Judicial, mientras que los indiciados fueron internados en el Centro de Ejecución de las Consecuencias Jurídicas del Delito, donde enfrentarán su proceso penal.

El Gobierno Federal seguirá trabajando para propiciar un ambiente de certidumbre jurídica en el que las personas tengan la certeza de que cuentan con instituciones y programas gubernamentales que garantizan el respeto a sus derechos y la preservación de su patrimonio mediante la aplicación de la ley.



Elementos del Ejército mexicano detuvieron en la colonia 2 de Octubre a siete individuos, luego de que presuntamente los atacaran a balazos y a quienes les decomisaron varios rifles de alto poder, cartuchos, vehículos y una vivienda.

En el operativo fueron desplegados al menos 200 efectivos militares de los batallones 42 y 89, además de elementos de las Fuerzas Especiales, quienes se movilizaron en varias unidades tipo pick up y un helicóptero.

Noé Sandoval Alcázar, comandante de la Novena Zona Militar, informó que los hechos se registraron a las 4:40 horas de hoy por la calle Josefa Ortiz de Domínguez, del mencionado sector popular.

Explicó que los soldados realizaban labores de patrullaje cuando fueron atacados a balazos por varios sujetos que se encontraban en el interior de un domicilio marcado con el número 99.

Según el jefe militar, los efectivos castrenses no repelieron la agresión y le solicitaron a los agresores que se entregaran voluntariamente, lo cual hicieron 45 minutos después del ataque.

Sandoval Alcázar dio a conocer que a los supuestos delincuentes les fueron asegurados seis rifles AK-47 "cuernos de chivo", tres AR-15, un M-16, 33 cargadores, 560 cartuchos de diferentes calibres, una vivienda y dos vehículos. Manifestó que uno de los detenidos resultó herido aparentemente al balearse él mismo, pero no de gravedad; no hubo víctimas fatales.

El comandante de la Novena Zona Militar indicó que lo ocurrido la madrugada de ayer es parte de las acciones que realizan las Fuerzas Armadas en todo el estado contra la delincuencia organizada.

Estos hechos se dan a 4 días de que aparecieran en las principales ciudades de la entidad, entre ellas Guasave, varias mantas en las hacían señalamientos contra el comandante del 42 Batallón de Infantería.

El aparatoso operativo militar causó expectación entre los vecinos de la 2 de Octubre, quienes aseguraron que hubo una balacera que duró aproximadamente 45 minutos, los cuales pasaron refugiados en los rincones de sus casas. Ya entrada la mañana, algunos habitantes del mencionado sector salían tímidamente de sus casas para apreciar el fuerte despliegue militar.

Los detenidos, las armas, las municiones, el inmueble y los vehículos asegurados quedaron a disposición del Ministerio Público Federal.

Source: [www.narcotraficoenmexico.blogspot.com](http://www.narcotraficoenmexico.blogspot.com)

**domingo 21 de febrero de 2010**  
**EJÉRCITO ASEGURA A 7 PERSONAS Y ARSENAL EN SINALOA**

**EL UNIVERSAL** Elementos del Ejército mexicano aseguraron en dos acciones diferentes a siete personas e incautaron diferentes armas de fuego y material explosivo en Sinaloa, informó la Secretaría de la Defensa Nacional (Sedena).

Detalló que en el municipio de Guasave, siete sujetos iniciaron una balacera contra personal militar que efectuaba un reconocimiento terrestre cerca de un inmueble ubicado en la colonia Florida, el cual presuntamente era utilizado como casa de seguridad.

El personal perteneciente a la 9 Zona Militar logró detener a los sospechosos, a quienes tenían en su poder 10 armas largas, 33 cargadores, 560 cartuchos de diversos calibres, dos camionetas y equipo diverso.

Por otra parte, los efectivos militares descubrieron otro inmueble que era utilizado como casa de seguridad en el municipio de Navolato.

En dicho lugar hallaron cinco armas largas y cinco cortas, una granada, 52 cargadores, cinco mil 532 cartuchos de diversos calibres, material explosivo y uniformes similares a los que usan los cuerpos de Seguridad Pública.

La Sedena puntualizó que los detenidos, los inmuebles, el armamento, los vehículos y los artículos encontrados quedaron a disposición de las autoridades correspondientes.

en domingo, febrero 21, 2010   Etiquetas: SINALOA

Source: <http://guerracontraelnarco.blogspot.com/2010/02/ejercito-asegura-7-personas-y-arsenal.html>

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF # EPIC  
Intelligence Research Specialist  
Southwest Border Unit  
11339 SSG Sims St  
El Paso Intelligence Center

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)  
Sent: Thursday, March 11, 2010 11:46 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

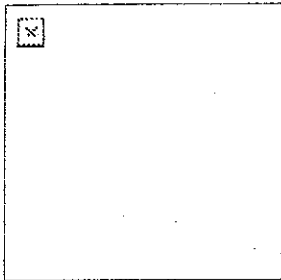
THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C) [REDACTED]  
 Program Analyst  
 ATF National Tracing Center  
 244 Needy Rd.  
 Martinsburg, WV 25405-9431  
 [REDACTED]

From: (b) (7)(C)  
Sent: Thursday, July 01, 2010 3:23 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
(b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearms (5) recovered, MEXICO DNT, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: [REDACTED]  
Location: [REDACTED]  
Suspects: [REDACTED] (b) (7)(C)

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

NFORCE: 162080-10-0001  
Title: DECOMISO – SEIZURE BULLETIN – 06/24/2010 – TIJUANA, BAJA CALIFORNIA  
IRS: (b) (7)(C)  
Date: June 24, 2010  
Event: Authorities received anonymous information, seized abandoned drugs and firearms  
Location: Tijuana, Baja California  
Case Number: Not Specified  
Arrests:  
- None  
Criminal Group/Org.: Not Specified  
Firearms/Explosives:  
- 6 AK-47 type firearms  
Magazines:  
- 10 AK-47 type magazines  
Ammunition:  
- 355 7.62x39 caliber rounds  
Tactical Equipment: N/A  
Uniforms: N/A  
Communication Equipment: N/A  
Currency: N/A  
Other Objects: N/A  
Drugs:  
- 900 grams of ICE  
Vehicles: N/A

Synopsis: On June 24, 2010, Authorities received anonymous information, seized abandoned drugs and firearms in Tijuana, Baja California. Authorities seized 6 AK-47 type rifles, 10 magazines, 355 rounds of ammunition, and 900 grams of the drug known as "ICE".

(Español): 24 de Junio, 2010.- **ENCUENTRAN TIRADOS LA PEP, 6 CUERNOS, 14 CARGADORES, 355 BALAS Y UN KILO DE ICE**

BOLETINES OFICIALES

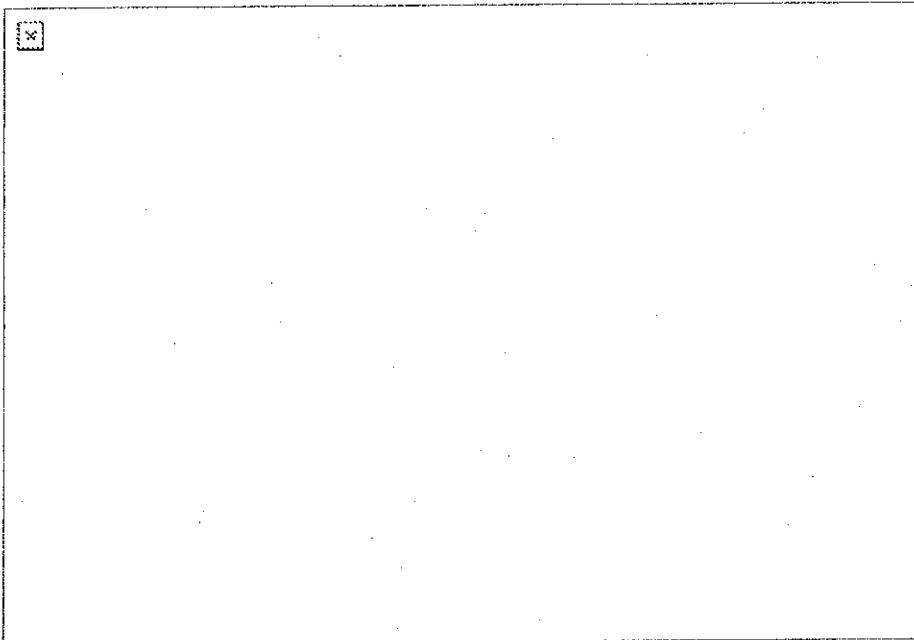
PRENSA

**ENCUENTRAN TIRADOS LA PEP, 6 CUERNOS, 14 CARGADORES, 355 BALAS Y UN KILO DE ICE**

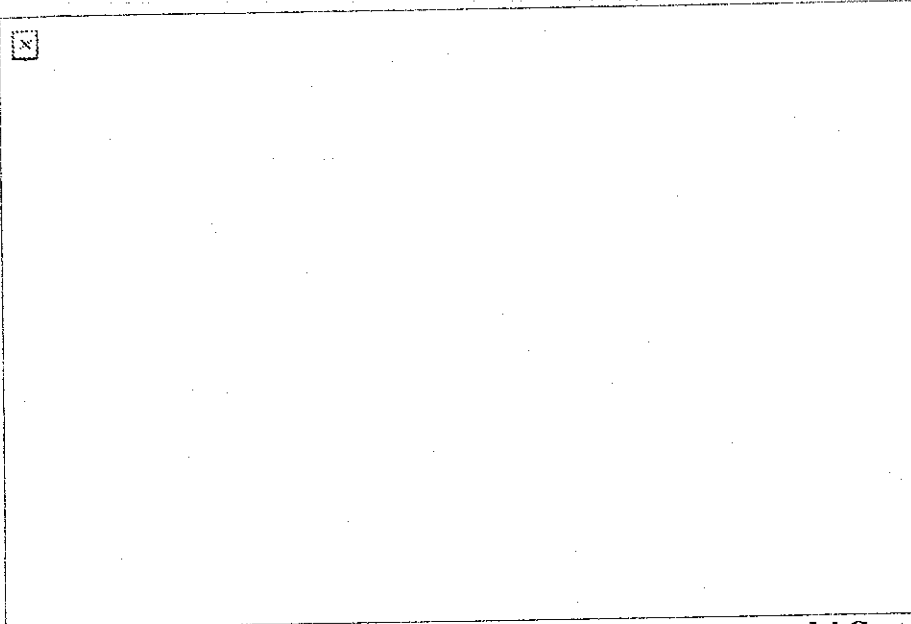


Escrito por patrulla2

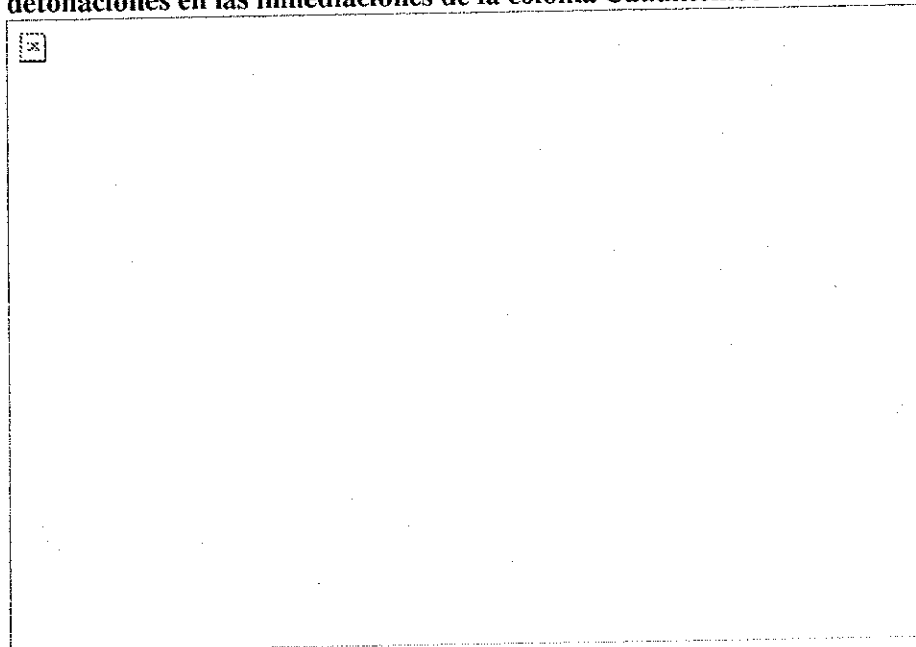
Friday, 25 de June de 2010



Con base en una denuncia ciudadana anónima al número 089 agentes de la Policía Estatal Preventiva (PEP) realizaron un operativo en una casa de la colonia Cuauhtémoc donde se encontraron “tiradas” en una bolsa, en la banqueta, 6 “cuernos”, 1 kilo de “ice”, cientos de balas, y cargadores, más no a persona alguna.



Eran como las 12:30 horas de este día y tras recibir un reporte del Centro de Control, Comando, Comunicación y Cómputo (C-4), en el que se les indicaba que varios sujetos armados que realizaban detonaciones en las inmediaciones de la colonia Cuauhtémoc Norte.



El operativo llegó a la avenida República de Chile donde no encontraron nada sospechoso, pero por precaución realizaron varios recorridos de vigilancia por la zona y encontraron frente al número 732, en una casa de dos pisos y puerta eléctrica una maleta que se encontraba tirada frente al domicilio indicado y al hacer una inspección minuciosa se encontró lo siguiente: 6 armas largas calibre 7.62X39; 355 cartuchos calibre 7.62X39; 10 cargadores para calibre 7.62X39; y 900 gramos de la droga sintética conocida como "ice". Debido a que les reportaron sujetos armados frente al lugar se tomaron las precauciones del caso, pero sin que se lograra ubicar a persona alguna, por lo que se recogió el armamento, balas y droga, que fueron puestas a disposición de las autoridades correspondientes, quienes se encargarán de realizar las averiguaciones que el caso amerite.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

[Redacted]

From: (b) (7)(C)

Sent: Thursday, July 01, 2010 10:10 AM

To: (b) (7)(C)

Cc: [Redacted]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the below listed firearms.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-722-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Numbers: [Redacted]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [Redacted]

Caliber: [Redacted]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered: [Redacted]

Location: [Redacted]

Suspects: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b) (3) (Public Law 111-117)

Phone Number: [Redacted]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [Redacted]



THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR A (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
Sent: Friday, December 10, 2010 9:25 AM  
To: (b) (7)(C)  
Subject: FW:  
Attachments: wasrsmexicali112010095.pdf

Ref 11-27-13 Mexicali Seizure

From: (b) (7)(C)  
Sent: Thursday, December 09, 2010 9:56 PM  
To: (b) (7)(C)  
Subject: Fw:

\*\*\*\*\*  
NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

From: (b) (7)(C)  
To: (b) (7)(C)  
Sent: Thu Dec 09 19:58:42 2010  
Subject: RE:

(b) (7)(C) here is the Mexican report copy. The photos will come in a separate e-mail.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



From: (b) (7)(C)  
Sent: Thursday, December 09, 2010 12:40 PM  
To: (b) (7)(C)  
Subject: RE:

(b) (7)(C)

I am not trying to be a bother, but I am just checking in to see the status of some of these reports and photos?

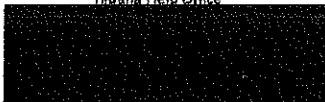
Thanks for your help.

(b) (7)(C)

From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 2:02 PM  
To: (b) (7)(C)  
Subject: RE:

We will translate the report and send you a copy of the RCI. Also, I'll scan the reports and e-mail them.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 12:37 PM  
To: (b) (7)(C)  
Subject: RE:

Can we get a copy of the reports from the seizure and the photos?

(b) (7)(A)

From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 1:30 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE:

We did. We also have full reports of the seizure. Let me know what you need from us.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, November 30, 2010 12:28 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE:

(b) (7)(C) is off sick today but I looked it up and yes the first 7 firearms, below (the 7.62 caliber rifles) come back to East and Furious, 785113-10-0004. Was anyone able to view these firearms?

Thanks.

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, November 30, 2010 1:13 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW:

This is the trace numbers for the guns just seized in Mexico over the weekend. I think they may hit on one of (b) (7)(C) cases.

(b) (7)(C) when you have a chance can you let us know if it comes back to any of your cases.

(b) (7)(C)  
Resident Agent in Charge  
Tucson Field Office

[REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, November 30, 2010 12:05 PM  
**To:** (b) (7)(C)  
**Subject:**



ATF  
BUREAU OF FIREARMS TRAINING, EQUIPMENT, PROGRAMS, AND SERVICES  
U.S. DEPARTMENT OF JUSTICE

**eTrace**

- Home - My Trace Requests
- Create a Trace Request
- Search for Transactions
- Generate Statistical Reports
- Lead Reports
- Contact Us
- Log Off

Welcome [redacted] to the ATF Electronic Tracing System. With this tool, you can view the status of traces you've submitted previously via eTrace or you can create and submit new traces

- eTrace Quick Guide
- Log Out/Help
- My Profile & Preferences
- My Trace Requests
- My Trace Requests Reference Page
- Frequently Asked Questions
- My Trace History
- Watch Upload
- Change Password
- Reference Tables

**My Trace Requests:**  
 Past 30 Days    Past 31 to 60 Days    Past 61 to 90 Days    Past 91 to 120 Days  
 By default, this summary listing displays all trace requests that you have submitted via eTrace within the past 30 days. The links provided below will allow you to access your trace requests in 30 day increments up to the past 120 days. To access trace requests beyond this 120 day window, please utilize the Search for Transactions function.

Create a Trace Request

Trace ID	Date	Case #	Status
[redacted]	[redacted]	[redacted]	[redacted]

**From:** (b)(3) (Public Law 111-117) & (7)(A)  
**Sent:** Friday, January 22, 2010 3:57 PM  
**To:** Hurley, Emory (USAAZ)  
**Subject:** Ref OCDETF Proposal  
**Attachments:** OCDETF\_Invest\_Initiation-The Fast and The Furious.doc; 785115-10-0004 - OCDETF\_Narrative - The Fast and The Furious.doc

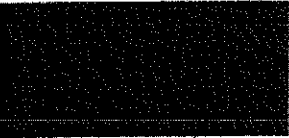
Emory,

I have attached an OCDETF proposal and narrative for your review in reference to ATF IN #785115-10-0004. I am not sure what your schedule is like for the rest of the day but I would really appreciate if I could get it back today. The meeting is Tuesday and (b)(7)(C) from your office would like it by close of business today. Just let me know.

Thank you,

(b) (7)(C)

Special Agent (b) (7)(C)  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Phoenix - Group VII



From: (b) (7)(C)  
 Sent: Tuesday, October 26, 2010 10:02 AM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 Subject: Suspect Gun firearm recovered, MEXICO, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO. [REDACTED]

Case Agents: (b) (7)(C)

NFORCE: 162080-10-0001

Title: DECOMISO – SEIZURE BULLETIN-09/ 26/2010 - REYNOSA, TAMAULIPAS

Date: September 26, 2010

Event: Mexican Marines clash with gunmen killing 8 and recovering 29 firearms, a grenade launcher, 9 grenades, tactical gear, and ammunition

Location: - Díaz Ordaz and Dos Estados neighborhoods in Reynosa, Tamaulipas

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered [REDACTED]

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C) [REDACTED]

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



From: (b) (7)(C)  
 Sent: Friday, November 26, 2010 12:04 PM  
 To: (b) (7)(C)  
 Subject: FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

From: (b) (7)(C)  
 Sent: Wednesday, November 24, 2010 11:30 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: Suspect Gun firearm recovered, MEXICO [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered: [REDACTED]

Location: [REDACTED]

Suspects: (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) /IA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** (b) (7)(C)  
**Sent:** Wednesday, November 24, 2010 1:31 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: [REDACTED]  
 Model: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered: [REDACTED]  
 Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
 HOGF ATF - 001835

ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Wednesday, November 24, 2010 1:30 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered [REDACTED]

Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
HOGF ATF - 001837

ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



From: (b) (7)(C)  
 Sent: Thursday, July 29, 2010 2:49 PM  
 To: (b) (7)(C)  
 Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]  
 Attachments: july 4 Sonora seizure.pdf

(b) (7)(C)

Identified straw purchaser, [REDACTED] is the same seizure as the 4 suspect guns below. Attached are all 25 related firearms seized on on July 4, 2010 in Camino Rural Navajoa, Sonora, Mexico. Additional leads related to this seizure connected to Fast & Furious and on (b) (7)(C) case [REDACTED]

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) and all three weapons were recovered during this seizure.

If you require any additional information please let me know.

V/R

(b) (7)(C)

## Asegura Ejército arsenal

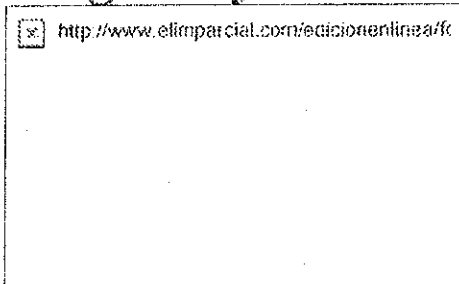


Foto ilustrativa. Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres  
 0Share

7

NAVOJOA, Sonora (PH) Personal del Ejército Mexicano aseguró al Sur de Navojoa un vehículo, 25 armas largas, 78 cargadores, la mayoría de disco, y 8 mil 141 cartuchos de diferentes calibres.

Según el parte oficial castrense, al encontrarse realizando un reconocimiento terrestre los militares localizaron un vehículo pick up Chevrolet, tipo Silverado, color blanco, modelo reciente, placas UV82364 de Sonora.

Luego de revisar la unidad, se localizaron 25 fusiles AK 47, calibre 7.62x 39 milímetros; 24 cargadores para cartuchos, calibre .223; 8 cargadores para cartuchos, calibre 7.62x 39 milímetros.

También, cita el informe, decomisaron 46 cargadores de disco para cartuchos, calibre 7.62x 39 milímetros; 25 cartuchos, calibre .45 milímetros; 4 mil cartuchos, calibre .223 (5.56x 45 milímetros) y 4 mil 116 cartuchos, calibre 7.62x 39 milímetros.

From: (b) (7)(C)  
 Sent: Thursday, July 29, 2010 2:18 PM  
 To: (b) (7)(C)  
 Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

(b) (7)(C)

Are there any additional reports in reference to the recovery below, specifically one that has information on all of the

firearms recovered? A firearm purchased by an indentified straw purchaser, [REDACTED], was recovered on July 4, 2010 in Camino Rural Navajoe, Sonora, Mexico. I was trying to determine if this firearm was a part of the seizure listed below or a entirely separate seizure. It might be another recovery with multiple suspect's firearms from our case.

Thank you,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Thursday, July 15, 2010 10:30 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Suspect Gun Details:

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(C)

Trace details regarding firearm recovery:

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered [REDACTED]

Location: [REDACTED]

Suspects: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A), [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT

HOGR ATF - 001840



ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, December 28, 2010 8:54 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered in Mexico. [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
 Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: (b) (3) (Public Law 111-117)  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) - Filed Under Seal 18USC §2510-2520  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
 Sent: Tuesday, December 28, 2010 7:56 AM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 Subject: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED] & [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Admin Remarks: (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) /IA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

From: (b) (7)(C)  
Sent: Monday, December 27, 2010 3:24 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-250-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearms.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: [Redacted]  
 Type: [Redacted]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [Redacted]  
 Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: [Redacted]

Admin Remarks: (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) /IA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431  
[REDACTED]

From: (b) (7)(C)  
Sent: Monday, December 27, 2010 10:14 AM

To: (b) (7)(C)  
CC: (b) (7)(C)

Subject: TWO ADDITIONAL Suspect Gun firearms recovered, MEXICO [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED] & [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type:

Caliber:

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)



Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



From: (b) (7)(C)  
Sent: Monday, December 27, 2010 9:57 AM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [Redacted]  
 Caliber: [Redacted]  
 Model: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered [Redacted]  
 Location: [Redacted]

Suspects: [Redacted]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [Redacted]

Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

From: (b) (7)(C)  
Sent: Wednesday, December 22, 2010 10:05 AM  
To: (b) (7)(C)  
CC: (b) (7)(C)

Subject: Suspect Gun firearm recovered in MEXICO, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: [REDACTED]  
Location: [REDACTED]  
Suspects: [REDACTED]

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)  
Sent: Tuesday, December 21, 2010 11:17 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearm recovered in MEXICO, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining

firearms in this Suspect Gun file)

- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

Suspect Gun Details:

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

TRACE ID: [REDACTED]  
 Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
 Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
 Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
 Suspect Gun Program  
 Team Sage-Research Assistant  
 ATF National Tracing Center  
 [REDACTED]

From: (b) (7)(C)  
Sent: Tuesday, December 21, 2010 1:17 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearm recovered in MEXICO, (b) (7)(C)

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: (b) (7)(C)  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: (b) (7)(C)  
Serial Number: (b) (7)(C)  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: (b)(3) (Public Law 111-117) & (7)(A)  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: (b)(3) (Public Law 111-117) & (7)(A)  
Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

Phone Number:

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program

Team Sage-Research Assistant

ATF National Tracing Center

**From:** (b) (7)(C)  
**Sent:** Friday, January 21, 2011 3:09 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered in MEXICO.

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004  
 Suspect Gun File Number: [REDACTED]  
 Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: [REDACTED]  
 Model: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered [REDACTED]  
 Location: [REDACTED]  
 Suspects: [REDACTED]  
 Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]



Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C) [REDACTED]  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
Sent: Friday, February 18, 2011 9:22 AM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearms (2) NOT recovered, (b) (7)(C)

**Subject:** Suspect Gun firearms (2) NOT recovered.

The National Tracing Center (NTC) has received a Trace requests for the above listed firearms. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on the firearms.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise us to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: (b) (7)(C)

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: (b) (7)(C)  
Serial Number: (b) (7)(C)  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: (b) (7)(C)  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: (b) (7)(C)

Trace Requestor: (b) (7)(C)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: (b) (7)(C)

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
(b) (7)(C)

From: (b) (7)(C)  
 Sent: Thursday, February 17, 2011 11:12 AM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 Subject: RE: Suspect Gun firearm recovered, [REDACTED]

(b) (7)(C)

I spoke with (b) (7)(C) yesterday and he had just examined this firearm, along with some others, in Juarez. He may be able to give you the circumstances surrounding the recovery. (b) (7)(C) at the Gun desk, is trying to match it up with our seizure bulletins.

(b) (7)(C)

From: (b) (7)(C)  
 Sent: Thursday, February 17, 2011 8:37 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: FW: Suspect Gun firearm recovered, [REDACTED]

(b) (7)(C)

Can you provide any additional information on the below recovery? Thanks

(b) (7)(C)

From: (b) (7)(C)  
 Sent: Thursday, February 17, 2011 8:13 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: Suspect Gun firearm recovered, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered: [REDACTED]

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
 ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
 Team Sage-Research Assistant  
 ATF National Tracing Center  
 [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, February 17, 2011 10:13 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:**

(b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered: [REDACTED]

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

HOCR ATF - 001861

Phone Number: [REDACTED]

Trace Requestor:

Requesting Agency:

Phone Number:

**(b)(3) (Public Law 111-117) & (7)(A)**

(b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

**(b) (7)(C)**

Suspect Gun Program

Team Sage-Research Assistant

ATF National Tracing Center

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Wednesday, January 26, 2011 9:59 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** 06-14-2010 ACAPULCO, GUERRERO RELEVANTE  
**Attachments:** 06-14-2010 ACAPULCO, GUERRERO RELEVANTE.docx

Good afternoon (b) (7)(C)

This is (b) (7)(C) with ATF in Mexico City, this e-mail is to advice that one of your suspect guns has been recovered in Acapulco, Guerrero Mexico  
The circumstances of this seizure is in the attachment.

[Redacted]

[Large redacted area]

RMC R 762

Completed

**Subject:** DECOMISO – SEIZURE BULLETIN –06/15/2010 - ACAPULCO, GUERRERO [REDACTED]



**NFORCE:** 162080-10-0001

**Title:** 06/15/2010 - ACAPULCO, GUERRERO

**Date:** June 15, 2010

**Event:** Elements of the federal police seized firearms, fragment grenade, magazines, ammunition, tactical equipment, cell phone parts, radio parts, vehicles and detained [REDACTED] along with three of his body guards. [REDACTED] was responsible for the drug operations in southern Mexico City for [REDACTED]. Further investigations led to the detention of three other individual from this criminal organization south of Mexico City.

**Location:** Acapulco, Guerrero

**Case No:** AP: PGR/SIEDO/UEITA/158/2010 (UPDATED 11/10/2010)

**Detentions:**



**Organization:** [REDACTED]

**Firearms:**

- (5) Rifles, AK-47
- (1) Rifle, .223 Caliber

**Magazines:**

- (8) magazines

**Ammunition/Explosives:**

- (1) Fragment Grenade



-(1377) Rounds of ammunition

**Tactical Equipment:**

-(1) Bullet Proof Vest

-Tactical Equipment and parts for cellular phones and communication radios.

**Drugs:**

-(1) Baggier containing approximately one Kilogram of white substance with the characteristics of Cocaine.

**Other:**

-Personal Documents

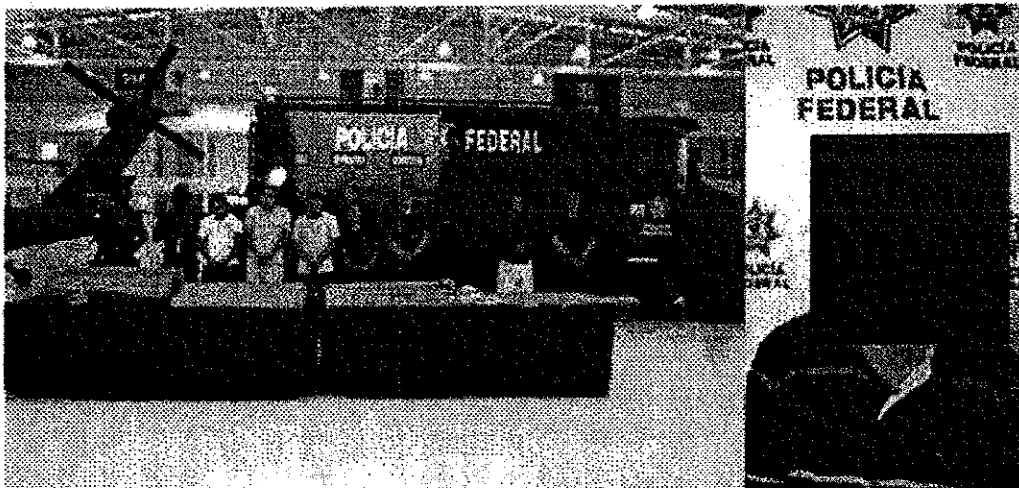
**Vehicles:**

- (5) vehicles

**Summary:** On June 15, 2010, elements of the federal police seized 6 firearms, a fragment grenades, 8 magazines, 1377 rounds of ammunition, tactical equipment, parts for cellular phones, parts for communication radios, five vehicles and detained [REDACTED] along with three of his body guards. [REDACTED] was responsible for the drug operations in southern Mexico City for [REDACTED] aka [REDACTED]. Further investigations led to the detention of three other individual from this criminal organization south of Mexico City.

El 15 de junio de 2010, elementos de la Policía Federal incautaron seis armas de fuego, granadas de un fragmento, ocho cargadoras, 1377 cartuchos de municiones, equipo táctico, las piezas para teléfonos celulares, partes de radios de comunicación, vehículos y detuvieron a cinco [REDACTED] junto con tres de sus guardaespaldas. [REDACTED] fue el responsable de las operaciones de drogas en el sur de la Ciudad de México para [REDACTED]. Investigaciones posteriores llevaron a la detención de otro individuo tres de sur a esta organización criminal de la ciudad de México.

OFFICIAL BULLETINS



Boletín de Prensa/344 México, D.F., 15 de junio de 2010

LA POLICÍA FEDERAL CAPTURA EN GUERRERO A [REDACTED]  
[REDACTED] PRESUNTO OPERADOR DE LA ORGANIZACIÓN DELICTIVA DE [REDACTED]

La Secretaría de Seguridad Pública federal informa que el día de ayer elementos de la Policía

Federal lograron la detención de [REDACTED], presunto responsable de las operaciones de droga en la zona sur de la Ciudad de México, al servicio de la organización delictiva que encabeza [REDACTED].

Mediante trabajos de inteligencia, realizados por la Policía Federal, [REDACTED] de 31 años de edad, fue ubicado y detenido en Acapulco, Guerrero; es originario del Distrito Federal, perteneció a Policía Federal Preventiva, ingresando en el año 2001, causando baja en el año 2008.

Reportes de inteligencia señalan que posterior a su baja, fue reclutado por la organización criminal de los hermanos [REDACTED] bajo las órdenes de [REDACTED] hasta su ejecución en septiembre de 2009.

De acuerdo con líneas de investigación [REDACTED] participó en Acapulco, [REDACTED] enfrentamientos contra integrantes de [REDACTED] esto por órdenes de [REDACTED] posterior a la muerte de Arturo Beltrán Leyva y de la reestructuración de la organización, [REDACTED] continuó trabajando para la organización de [REDACTED].

Al detenido se le vincula con [REDACTED] quien le proporcionaba cocaína para su distribución al menudeo en la zona sur del Distrito Federal.

Otra de las funciones de [REDACTED] era la de cooptar diferentes autoridades en el puerto de Acapulco, con el fin de que le proporcionaran información privilegiada relacionada con operativos en contra de integrantes de la organización.

Al continuar con los trabajos de inteligencia se pudo conocer que [REDACTED] mantenía el control del narcomenudeo en el "Sector I", ubicado en la colonia Torres de Padierna de la delegación Tlalpan, en el Distrito Federal distribuyendo cocaína a través de "tienditas" establecidas en la zona, con una distribución aproximada de 5 kilogramos por mes.

Junto con [REDACTED] fueron detenidos tres sujetos que fungían como sus escoltas, siendo los siguientes:

- [REDACTED] de 31 años de edad, originario de Temixco, Morelos;
- [REDACTED] de 29 años de edad, originario de Cuernavaca, Morelos; trabajaba para el grupo criminal por invitación de [REDACTED] a quien conoce desde hace varios años
- [REDACTED] alias [REDACTED] de 32 años, originario de Ensenada, Baja California; ingresó al grupo criminal por invitación de [REDACTED] quien lo contactó en Cuernavaca, Morelos

La Policía Federal, al dar continuidad a las acciones en torno a este grupo criminal, ubicaron la madrugada del día de hoy a tres integrantes en la zona sur de la Ciudad de México, presuntos responsables de la distribución de droga para esta organización delictiva, siendo los siguientes:

[REDACTED] de 42 años, originario de Puebla, Puebla  
 [REDACTED] de 22 años de edad, originario del Distrito  
 Federal

[REDACTED] de 43 años de edad, originario del Distrito Federal

A los detenidos se les aseguró lo siguiente:

- 5 fusiles de asalto ak 47
- 1 fusil calibre .223
- 8 cargadores
- 1 granada de fragmentación
- 1377 cartuchos útiles
- 1 chaleco antibalas
- Equipo táctico
- 1 paquete que contiene aproximadamente un kilogramo de sustancia blanca con las características propias de la cocaína
- 5 vehículos
- Aparatos de telefonía celular y radios de comunicación
- Documentación personal

Los detenidos y lo asegurado serán puestos a disposición del Agente del Ministerio Público de la Federación, adscrito a la Subprocuraduría de Investigación Especializada en Delincuencia Organizada.

#### NEWS MEDIA

## **CAPTURAN EN ACAPULCO A OPERADOR DE LA “LA BARBIE”**

Escrito por: [marcos1965 Estados](#), [Noticias al minuto](#) Jun 15, 2010

México, D.F. 15 de junio 2010.- La Secretaría de Seguridad Pública Federal (SSPF) dio a conocer en conferencia de prensa que el día de ayer elementos de la Policía Federal lograron la captura de Eznél Cortés Jiménez de 31 años de edad, alias “El Teniente”, presunto responsable de las operaciones de droga en la zona sur de la Ciudad de México, y quien estaba al servicio de la organización criminal de Edgar Valdés Villarreal “La Barbie”, informó el jefe de la División Antidrogas, Ramón Pequeño.

La SSPF informó que mediante trabajos de inteligencia realizados por la Policía Federal, “El Teniente” fue ubicado y detenido en Acapulco, Guerrero; Eznél Cortés Jiménez es originario del Distrito Federal, perteneció a Policía Federal Preventiva, corporación a la que ingresó en el año 2001, causando baja en el año 2008, e incorporándose a la organización criminal de los hermanos Beltrán Leyva, bajo las órdenes de Alberto Pineda Villa alias “El Borrado” hasta su ejecución en septiembre de 2009.

“El Teniente” participó en enfrentamientos registrados en el Puerto de Acapulco contra

integrantes de "La Familia Michoacana", esto por órdenes de "El Borrado", posterior a la muerte de Arturo Beltrán Leyva y de la reestructuración de la organización, por lo que Eznél Cortés Jiménez continuó trabajando para la organización de Edgar Valdés Villarreal, "La Barbie". Al detenido se le vincula con Gerardo Álvarez Vázquez alias "El Indio", quien le proporcionaba cocaína para su distribución al menudeo en la zona sur del Distrito Federal.

Otra de las funciones de Eznél Cortés, era la de cooptar diferentes autoridades en el Puerto de Acapulco, con el fin de que le proporcionaran información privilegiada relacionada con operativos en contra de integrantes de la organización.

Eznél Cortés Jiménez mantenía el control del narcomenudeo en el "Sector I", ubicado en la Colonia Torres de Padierna de la delegación Tlalpan, donde distribuía cocaína a través de "tienditas" establecidas en la zona, con una distribución aproximada de 5 kilogramos por mes. Junto con Eznél Cortés Jiménez, fueron detenidos tres sujetos que fungían como sus escoltas: César Ramírez Reyes de 31 años de edad, originario de Temixco, Morelos, quien era su jefe de escoltas; Eduardo Cerón Perfecto de 29 años de edad, originario de Cuernavaca, Morelos, quien trabajaba para el grupo criminal por invitación de César Ramírez, a quien conocía desde hace varios años, y César Leonardo Amezcua Ortega alias "El Chaparrito" de 32 años, originario de Ensenada, Baja California, quien ingresó al grupo criminal por invitación de César Ramírez, a quien contactó en la Ciudad de Cuernavaca, Morelos.

Derivado de la captura de "El Teniente", la Policía Federal al dar continuidad a las acciones en torno a este grupo criminal, ubicaron la madrugada de este martes a tres de sus cómplices en la zona sur de la Ciudad de México, presuntos responsables de la distribución de droga para esta organización delictiva.

Se trata de Gustavo Landa de Márquez de 42 años de edad, originario de Puebla, Puebla; Carlos Daniel Ruiz López de 22 años de edad y Eduardo Medina Ruiz de 43 años, ambos originarios del Distrito Federal.

A los detenidos se les aseguró lo siguiente: cinco fusiles de asalto AK 47, un fusil calibre .223, ocho cargadores, una granada de fragmentación, 1377 cartuchos útiles, un chaleco antibalas, equipo táctico, un paquete que contenía aproximadamente un kilogramo de sustancia blanca con las características propias de la cocaína, cinco vehículos, aparatos de telefonía celular y radios de comunicación, además de documentación personal.

Los detenidos y lo asegurado serán puestos a disposición del Agente del Ministerio Público de la Federación, adscrito a la Subprocuraduría de Investigación Especializada en Delincuencia Organizada (SIEDO).

## **CAPTURAN EN ACAPULCO A OPERADOR DE LA "LA BARBIE"**

Escrito por: [marcos1965](#) Estados, Noticias al minuto Jun 15, 2010

México, D.F. 15 de junio 2010.- La Secretaría de Seguridad Pública Federal (SSPF) dio a conocer en conferencia de prensa que el día de ayer elementos de la Policía Federal lograron la captura de Eznél Cortés Jiménez de 31 años de edad, alias "El Teniente", presunto responsable de las operaciones de droga en la zona sur de la Ciudad de México, y quien estaba al servicio de la organización criminal de Edgar Valdés Villarreal "La Barbie", informó el jefe de la División Antidroga, Ramón Pequeño.

La SSPF informó que mediante trabajos de inteligencia realizados por la Policía Federal, "El Teniente" fue ubicado y detenido en Acapulco, Guerrero; Eznél Cortés Jiménez es originario del Distrito Federal, perteneció a Policía Federal Preventiva, corporación a la que ingresó en el año 2001, causando baja en el año 2008, e incorporándose a la organización criminal de los

hermanos Beltrán Leyva, bajo las órdenes de Alberto Pineda Villa alias "El Borrado" hasta su ejecución en septiembre de 2009.

"El Teniente" participó en enfrentamientos registrados en el Puerto de Acapulco contra integrantes de "La Familia Michoacana", esto por órdenes de "El Borrado", posterior a la muerte de Arturo Beltrán Leyva y de la reestructuración de la organización, por lo que Eznél Cortés Jiménez continuó trabajando para la organización de Edgar Valdés Villarreal, "La Barbie".

Al detenido se le vincula con Gerardo Álvarez Vázquez alias "El Indio", quien le proporcionaba cocaína para su distribución al menudeo en la zona sur del Distrito Federal.

Otra de las funciones de Eznél Cortés, era la de cooptar diferentes autoridades en el Puerto de Acapulco, con el fin de que le proporcionaran información privilegiada relacionada con operativos en contra de integrantes de la organización.

Eznél Cortés Jiménez mantenía el control del narcomenudeo en el "Sector I", ubicado en la Colonia Torres de Padierna de la delegación Tlalpan, donde distribuía cocaína a través de "tienditas" establecidas en la zona, con una distribución aproximada de 5 kilogramos por mes.

Junto con Eznél Cortés Jiménez, fueron detenidos tres sujetos que fungían como sus escoltas: César Ramírez Reyes de 31 años de edad, originario de Temixco, Morelos, quien era su jefe de escoltas; Eduardo Cerón Perfecto de 29 años de edad, originario de Cuernavaca, Morelos, quien trabajaba para el grupo criminal por invitación de César Ramírez, a quien conocía desde hace varios años, y César Leonardo Amezcua Ortega alias "El Chaparrito" de 32 años, originario de Ensenada, Baja California, quien ingresó al grupo criminal por invitación de César Ramírez, a quien contactó en la Ciudad de Cuernavaca, Morelos.

Derivado de la captura de "El Teniente", la Policía Federal al dar continuidad a las acciones en torno a este grupo criminal, ubicaron la madrugada de este martes a tres de sus cómplices en la zona sur de la Ciudad de México, presuntos responsables de la distribución de droga para esta organización delictiva.

Se trata de Gustavo Landa de Márquez de 42 años de edad, originario de Puebla, Puebla; Carlos Daniel Ruiz López de 22 años de edad y Eduardo Medina Ruiz de 43 años, ambos originarios del Distrito Federal.

A los detenidos se les aseguró lo siguiente: cinco fusiles de asalto AK 47, un fusil calibre .223, ocho cargadores, una granada de fragmentación, 1377 cartuchos útiles, un chaleco antibalas, equipo táctico, un paquete que contenía aproximadamente un kilogramo de sustancia blanca con las características propias de la cocaína, cinco vehículos, aparatos de telefonía celular y radios de comunicación, además de documentación personal.

Los detenidos y lo asegurado serán puestos a disposición del Agente del Ministerio Público de la Federación, adscrito a la Subprocuraduría de Investigación Especializada en Delincuencia Organizada (SIEDO).

## **Guerrero: Golpe a "La Barbie"; caen "El Teniente" y colaboradores**

Publicado por koneocho



Las autoridades detuvieron a Eznél Cortés Jiménez, alias "El Teniente", presunto responsable de la venta de drogas en el sur de Ciudad de México para la organización narcotraficante de Edgar Valdés Villarreal, alias "La Barbie", y a seis de sus ayudantes, informó hoy el Gobierno mexicano.

La detención de Cortés, de 31 años, tuvo lugar el lunes en la ciudad de Acapulco, en el sureño estado de Guerrero, localidad en la que fue ubicado por agentes de la Policía Federal (PF), indicó en un comunicado la Secretaría de Seguridad Pública (SSP) federal. El sospechoso perteneció a la extinta Policía Federal Preventiva (PFP), a la que ingresó en 2001 y salió en 2008.

Según las autoridades, Cortés fue reclutado por el cartel de las drogas de los hermanos Beltrán Leyva después de retirarse de la PFP y participó en Acapulco con esa organización en enfrentamientos contra integrantes del cartel rival de La Familia Michoacana. Tras la muerte de Arturo Beltrán Leyva, alias "El Barbas", el 16 de diciembre de 2009 en una operación militar, el cartel de los Beltrán Leyva se dividió en dos facciones, una de las cuales lidera "La Barbie", y a la que se unió Cortés.

Según la SSP, Eznél Cortés también corrompía a diferentes autoridades en Acapulco para que "le proporcionaran información privilegiada" sobre operativos en contra de integrantes de la organización de "La Barbie".

Sin embargo, la presunta actividad principal del sospechoso era vender aproximadamente 5 kilogramos de cocaína al mes en la demarcación de Tlalpan, en el sur de Ciudad de México.

Junto con Cortés fueron detenidos tres de sus supuestos escoltas, identificados como César Ramírez Reyes, de 31 años; Eduardo Cerón Perfecto, de 29, y César Leonardo Amezcua Ortega, alias "Chaparrito", de 32 años.

Además, tras la captura de "El Teniente", la Policía Federal detuvo hoy a tres presuntos integrantes de su supuesta célula narcotraficante en el sur de la capital mexicana, señalados por las autoridades de distribuir drogas en esa zona de la urbe.

Los detenidos fueron identificados como Gustavo Landa de Márquez, de 42 años; Carlos Daniel Ruiz López, de 22, y Eduardo Medina Ruiz, de 43, a los que las autoridades les decomisaron 5 fusiles AK-47, un rifle calibre .223, ocho cargadores, una granada, 1.377 cartuchos útiles, un chaleco antibalas, un kilogramo de cocaína y cinco vehículos, entre otras cosas.

**Más detalles**

La Policía Federal detuvo en Acapulco, Guerrero, a Eznél Cortés Jiménez, "El Teniente", junto con tres de sus escoltas, señalado por las autoridades como un ex policía federal al servicio de la organización delictiva que encabeza Edgar Valdés Villareal, "La Barbie", y responsable de las operaciones de droga en la zona sur de la ciudad de México.

Cortés Jiménez, de 31 años de edad, es originario del Distrito Federal y perteneció a Policía Federal Preventiva del 2001 al 2008, informó la Secretaría de Seguridad Pública (SSP) federal.

La dependencia indicó que tras su baja de la Policía Federal, el detenido fue reclutado por la organización criminal de los hermanos Beltrán Leyva, bajo las órdenes de Alberto Pineda Villa, "El Borrado", hasta su ejecución en septiembre de 2009.

"El Teniente" participó en Acapulco en enfrentamientos contra integrantes de "La Familia Michoacana", por órdenes de "El Borrado".

Tras la muerte de Arturo Beltrán Leyva, "El Barbas", en diciembre pasado en Cuernavaca, Morelos, y de la reestructuración de la organización, Eznél Cortés Jiménez continuó trabajando para la organización de "La Barbie", aseguró la SSP.

Al detenido se le vincula con Gerardo Álvarez Vázquez alias "El Indio", quien le proporcionaba cocaína para su distribución al menudeo en la zona sur del Distrito Federal.

Otra de las funciones de Eznél Cortés, era la de cooptar diferentes autoridades en el puerto de Acapulco, con el fin de que le proporcionaran información privilegiada relacionada con operativos en contra de integrantes de la organización, agregó la dependencia.

Aseguró que "El Teniente" mantenía el control del narcomenudeo en la colonia Torres de Padierna de la delegación Tlalpan, al sur del Distrito Federal, donde distribuía en promedio cinco kilogramos de cocaína al mes, a través de "tienditas".

Junto con Eznél Cortés Jiménez, fueron detenidos sus escoltas, César Ramírez Reyes, de 31 años de edad, Eduardo Cerón Perfecto (29), y César Leonardo Amezcua Ortega, "Chaparrito", de 32 años.

En seguimiento a las acciones en torno a este grupo, la Policía Federal detuvo este martes, en sur de la ciudad de México, a Gustavo Landa de Márquez (42), Carlos Daniel Ruiz López (22) y Eduardo Medina Ruiz (43), presuntos responsables de la distribución de droga.

A estas tres personas les aseguraron seis fusiles, una granada de fragmentación, ocho

**cargadores, mil 377 cartuchos útiles, un chaleco antibalas y equipo táctico, así como un kilogramo de cocaína, cinco vehículos, teléfonos celulares y radios de comunicación, además de documentación personal.**

**Captura de Eznal Cortés Jiménez, alias "El Teniente"**  
Martes, 15 de Junio de 2010 | Comunicado

Comunicado 344  
Secretaría de Seguridad Pública  
Ciudad de México

La Secretaría de Seguridad Pública federal informa que el día de ayer elementos de la Policía Federal lograron la detención de Eznal Cortés Jiménez, alias "El Teniente", presunto responsable de las operaciones de droga en la zona sur de la Ciudad de México, al servicio de la organización delictiva que encabeza Edgar Valdés Villarreal, alias "La Barbie". Mediante trabajos de inteligencia, realizados por la Policía Federal, Cortés Jiménez de 31 años de edad, fue ubicado y detenido en Acapulco, Guerrero; es originario del Distrito Federal, perteneció a Policía Federal Preventiva, ingresando en el año 2001, causando baja en el año 2008. Reportes de inteligencia señalan que posterior a su baja, fue reclutado por la organización criminal de los hermanos Beltrán Leyva, bajo las órdenes de Alberto Pineda Villa, alias "El Borrado" hasta su ejecución en septiembre de 2009. De acuerdo con líneas de investigación "El Teniente", participó en Acapulco, Guerrero en enfrentamientos contra integrantes de "La Familia Michoacana", esto por órdenes de "El Borrado", posterior a la muerte de Arturo Beltrán Leyva y de la reestructuración de la organización, Eznal Cortés Jiménez continuó trabajando para la organización de Edgar Valdés Villarreal, alias "La Barbie". Al detenido se le vincula con Gerardo Álvarez Vázquez alias "El Indio", quien le proporcionaba cocaína para su distribución al menudeo en la zona sur del Distrito Federal. Otra de las funciones de Eznal Cortés, era la de cooptar diferentes autoridades en el puerto de Acapulco, con el fin de que le proporcionaran información privilegiada relacionada con operativos en contra de integrantes de la organización. Al continuar con los trabajos de inteligencia se pudo conocer que Eznal Cortés Jiménez, mantenía el control del narcomenudeo en el "Sector I", ubicado en la colonia Torres de Padierna de la delegación Tlalpan, en el Distrito Federal distribuyendo cocaína a través de "tienditas" establecidas en la zona, con una distribución aproximada de 5 kilogramos por mes. Junto con Eznal Cortés Jiménez, fueron detenidos tres sujetos que fungían como sus escoltas, siendo los siguientes:

- César Ramírez Reyes, de 31 años de edad, originario de Tamixco, Morelos; jefe de la escolta de Eznal
- Eduardo Cerón Perfecto, de 29 años de edad, originario de Cuernavaca, Morelos; trabajaba para el grupo criminal por invitación de César Ramírez, a quien conoce desde hace varios años
- César Leonardo Arnezcuca Ortega, alias "Chaparrito", de 32 años, originario de Ensenada, Baja California; ingresó al grupo criminal por invitación de César Ramírez, quien lo contactó en Cuernavaca, Morelos

La Policía Federal, al dar continuidad a las acciones en torno a este grupo criminal, ubicaron la madrugada del día de hoy a tres integrantes en la zona sur de la Ciudad de México, presuntos responsables de la distribución de droga para esta organización delictiva, siendo los siguientes:

- Gustavo Landa de Márquez, de 42 años, originario de Puebla, Puebla
- Carlos Daniel Ruiz López, de 22 años de edad, originario del Distrito Federal
- Eduardo Medina Ruiz, de 43 años de edad, originario del Distrito Federal

A los detenidos se les aseguró lo siguiente:

- 5 fusiles de asalto ak 47
- 1 fusil calibre .223
- 8 cargadores
- 1 granada de fragmentación
- 1377 cartuchos útiles
- 1 chaleco antibalas
- Equipo táctico



- 1 paquete que contiene aproximadamente un kilogramo de sustancia blanca con las características propias de la cocaína
- 5 vehículos
- Aparatos de telefonía celular y radios de comunicación
- Documentación personal

Los detenidos y lo asegurado serán puestos a disposición del Agente del Ministerio Público de la Federación, adscrito a la Subprocuraduría de Investigación Especializada en Delincuencia Organizada. Con estas acciones, la Secretaría de Seguridad Pública Federal, refrenda su compromiso proteger y servir a la comunidad.

Fuente: Dirección General de Comunicación Social, Secretaría de Seguridad Pública Federal, (SSP).

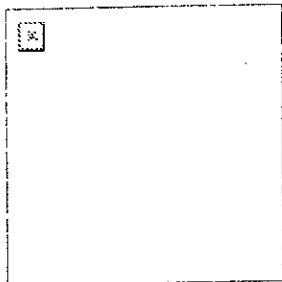
*Última modificación:*

From: (b) (7)(C)  
Sent: Monday, March 21, 2011 9:20 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO  
Attachments: ATF Document.docx; CENAPI REPORT.pdf

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: [REDACTED]  
Location: [REDACTED]  
Suspects: [REDACTED]



CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

NFORCE: 162080-11-0001  
Title: DECOMISO – SEIZURE BULLETIN – 12/29/2010 – OBREGON, SONORA  
IRS: (b) (7)(C)  
Date: December 29, 2010  
Event: Mexican Military personnel arrested eleven suspected “Sicarios” (Gunmen)  
Location: Obregon, Sonora  
Case Number: Not Specified  
Arrests:  
- 11 subjects, identification is pending  
Criminal Group/Org.: Not Specified  
Firearms/Explosives:  
- 12 firearms  
Magazines:  
- 12 magazines  
Ammunition:  
- over 1,000 rounds

**Tactical Equipment:**

- several bullet-proof vests

**Uniforms:** N/A**Communication Equipment:**

- various means of communication

**Currency:**

- an unspecified amount of currency

**Other Objects:** N/A**Drugs:** N/A**Vehicles:**

- several vehicles

**Synopsis:** On December 29, 2010, Mexican Military personnel arrested eleven suspected "Sicarios" (Gunmen) in Obregon, Sonora. Authorities seized 12 firearms, 12 magazines, over 1,000 rounds of ammunition, tactical gear, means of communication, currency, and several vehicles.

(Español): 29 de Diciembre, 2010.- **Captura Ejército a 11 presuntos sicarios en Obregon Sonora**

**BOLETINES OFICIALES****PRENSA****Captura Ejército a 11 presuntos sicarios en Obregon Sonora.**   

Escrito por chacoteo.com

Miércoles, 29 de Diciembre de 2010 15:40

CD Obregón Sonora Miércoles 29 de diciembre de 2010.-Mediante un comunicado la Secretaría de la Defensa Nacional, dio a conocer que se pudo capturar a 11 personas durante un minucioso operativo realizado en Ciudad Obregón Sonora.

Al recibir una denuncia ciudadana, elementos Militares acudieron a un domicilio ubicado en la colonia Zona Norte, en donde se dio la detención de cinco hombres, mientras que seis mujeres también fueron presentadas. En el domicilio se pudo llegar al aseguramiento de 12 armas de fuego y sus respectivos cargadores, así como más de mil cartuchos; también se decomisaron varios aparatos de comunicación, chalecos antibalas, dinero en efectivo, vehículos de reciente modelo, entre otras cosas.

Los detenidos y el decomiso fueron puestos a disposición del Ministerio Público Federal en Ciudad Obregón.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist

Project Gunrunner

Gun Desk, El Paso Intelligence Center (EPIC)

\*\*\*\*\*

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HOCR ATF - 001875

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From: (b) (7)(C)  
 Sent: Thursday, March 17, 2011 9:11 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: Suspect Gun firearm recovered, MEXICO [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO. [REDACTED]

Case Agents (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered [REDACTED]

Location:  
Suspects:

(b)(3) (Public Law 111-117) & (7)(A)



Trace Requestor:  
Requesting Agency:  
Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:  
Requesting Agency:  
Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Monday, March 21, 2011 9:20 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)

**Subject:** FOUR Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered [REDACTED]  
Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: [REDACTED]

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

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**From:** (b) (7)(C)  
**Sent:** Wednesday, March 09, 2011 11:18 AM  
**To:** (b) (7)(C)  
**Cc:** [REDACTED]  
**Subject:** FOUR Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-783-7133.

Please advise us to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: [REDACTED]  
 Type: [REDACTED]  
 Caliber: [REDACTED]  
 Model: [REDACTED]  
 Recovered Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C) [REDACTED]  
 Program Analyst  
 ATF National Tracing Center  
 244 Needy Rd.  
 Martinsburg, WV 25405-9431

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, March 21, 2011 9:20 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)  
[REDACTED]

\*\*\*\*\*

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**From:** (b) (7)(C)  
**Sent:** Thursday, February 17, 2011 8:13 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, [REDACTED]  
**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely



important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered: [REDACTED]

Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT 915-(b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant

ATF National Tracing Center



**From:** (b) (7)(C)  
**Sent:** Monday, March 21, 2011 9:15 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered in MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-783-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NYC may not release Trace disposition history to other Requestors.
- d) Inactive - NYC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
 Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: [REDACTED]  
 Model: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered [REDACTED]  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
 Requesting Agency: [REDACTED]

Phone Number: (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: (b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Law Enforcement Support Branch  
Suspect Gun Program  
Contractor-Research Assistant  
ATF National Tracing Center  
[REDACTED]

\*\*\*\*\*

**NOTICE:** This e-mail message and any attached files are intended solely for the use of the addressee(s) named above in connection with official business. This communication may contain Sensitive But Unclassified information that may be statutorily or otherwise prohibited from being released without appropriate approval. Any review, use, or dissemination of this e-mail message and any attached file(s) in any form outside of the Bureau of Alcohol, Tobacco, Firearms & Explosives or the Department of Justice without express authorization is strictly prohibited.

From: (b) (7)(C)  
Sent: Thursday, March 17, 2011 11:10 AM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

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Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

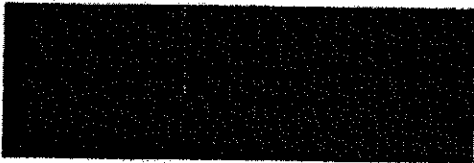
ATF IN Number: 785115-10-0004

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [Redacted]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [Redacted]  
 Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [Redacted]  
 Suspects: [Redacted]



Trace Requestor: [REDACTED]  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



From: (b) (7)(C)  
Sent: Thursday, December 17, 2009 2:24 PM  
To: (b) (7)(C)  
Subject: FW:  
Attachments: organization.anb

---

From: (b) (7)(C)  
Sent: Thursday, December 17, 2009 12:05 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject:

(b) (7)(C) Here is the i2 chart that AD Chait requested in reference to your case (he has 2 others from other FD's). He will be given this and the other 2 cases at 3:00 PM by my Division Chief (b) (7)(C) and (b) (7)(C) of Field Ops and I believe that FO DAD and OSII DAD may be participating.

[Redacted]

(b) (7)(C)  
**TEAM LEADER**  
Field Intelligence Support Team - Southwest Border  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Intelligence Operations Specialist

[Redacted]

From: (b) (7)(C)  
 Sent: Friday, October 29, 2010 9:32:03 AM  
 To: Newell, William D.  
 Subject: RE: Fast and Furi.

Of the [REDACTED] individuals we know of who are supplying firearms to the organization, (b)(7)(A) & (C)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

-----Original Message-----

From: Newell, William D.  
 Sent: Friday, October 29, 2010 4:46 AM  
 To: Needles, James R.  
 Subject: Fw: Fast and Furi.

Get with (b)(7)(C) since you're together and give me a status on firearms purchase activity over the past 30 days of the suspects in this case. An e-mail will suffice.

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
 To: Newell, William D.  
 Cc: McMahon, William G.  
 Sent: Fri Oct 29 07:39:08 2010  
 Subject: Re: Fast and Furi.

I'm concerned that we are not shutting down the activity waiting on an indictment. Thanks  
 Mark R. Chait  
 Assistant Director  
 Field Operations

\*\*\*

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----- Original Message -----

From: Newell, William D.  
 To: Chait, Mark R.  
 Cc: McMahon, William G.  
 Sent: Fri Oct 29 07:29:16 2010  
 Subject: Re: Fast and Furi.

No, they pushed it to December 7. I was supposed to meet with the USA this coming week to discuss the delay but he canceled due to an "unexpected" DC trip. I'm going to call him today.

\*\*\*

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HOCR ATF - 001890



transmission in error, please notify the sender by return e-mail and  
destroy this message in its entirety (including all attachments).

----- Original Message -----

From: Chait, Mark R.  
To: Newell, William D.  
Cc: McMahon, William G.  
Sent: Fri Oct 29 07:27:17 2010  
Subject: Fast and Furi.

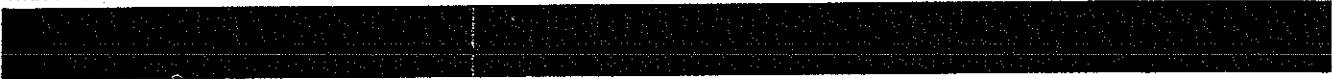
Any news on a quicker indictment?  
Mark R. Chait  
Assistant Director  
Field Operations

\*\*\*

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transmission in error, please notify the sender by return e-mail and  
destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**Sent:** Monday, November 15, 2010 5:08 PM  
**To:** Needles, James R.  
**Subject:** FW: Fast & Furious

Jim -- just want to make you aware of something that just came up. (b) (7)(C) one of my best SWB analysts, had been following a YouTube posting of a "confession" of a Mexican national in front of a group of armed, masked men. See below. The guy had important ties and ended up dead two weeks ago. (b) (7)(C) was able to track down the guns caught on the bad guys (Sinaloa Cartel), whom the Mexicans say are responsible for the kidnapping and later murder. (b) (7)(C) matched 2 of the recovered AKs to the Fast & Furious. He passed on this info to (b) (7)(C) see hi-lighted below).



This info has stayed in our intel chain and also gone to (b) (7)(C) in the MCO, who was assisting in obtaining the data in the first place.

Just wanted you to know.

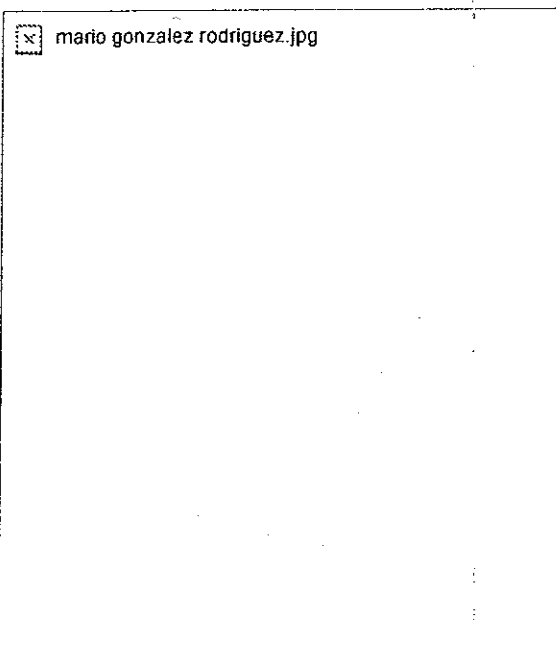
(b) (7)(C)  
Chief, Criminal Intel Div

---

**From:** (b) (7)(C)  
**Sent:** Monday, November 15, 2010 4:01 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Fast & Furious

(b) (7)(C)

On November 4, 2010 Mario González Rodríguez, brother to former state attorney general Patricia Gonzalez, was found dead in a shallow grave in a vacant lot in Chihuahua. Mario González was kidnapped on October 21 and appeared on a YouTube video handcuffed, confessing to a group of armed men pointing guns at him that he and his sister were closely affiliated with La Linea.

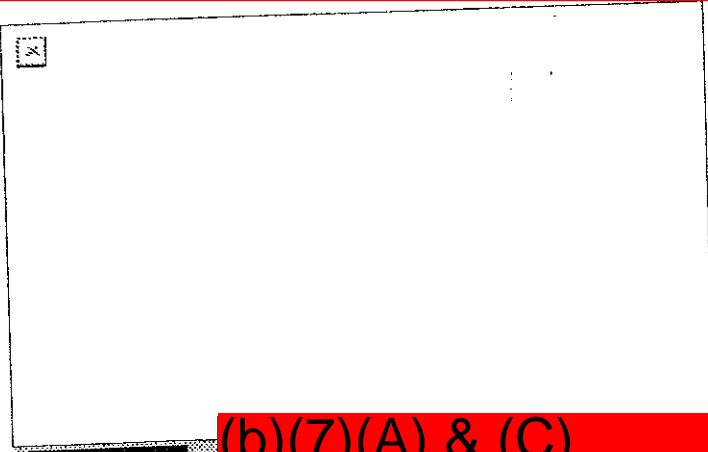


The discovery of the body came after an armed confrontation in Chihuahua between police and armed gunmen, in

which five persons presumed to be kidnappers were captured. A few hours after the capture three persons who had been kidnapped were rescued.

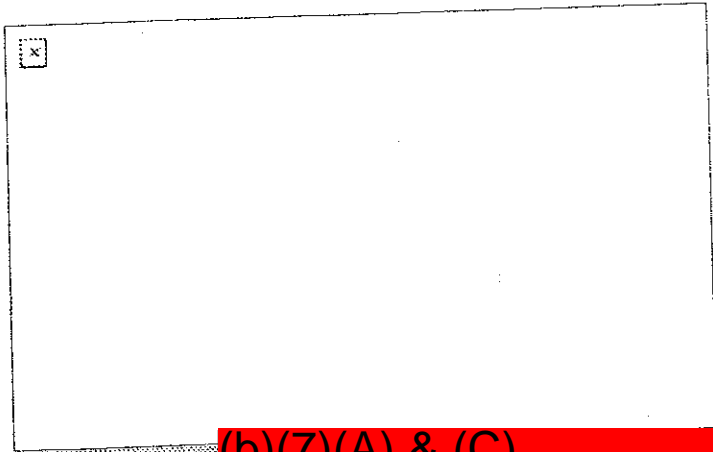
I reached out to our EPIC counterparts who put in a request to the PGR rep. there for the firearms data. Today, I received the firearms data from the seizure that occurred on Nov. 4 and out of the 16 firearms seized. I could positively identify 2 Aks that relate to the Fast & Furious case. Some of the remaining Aks could be connected to the Fast & Furious case however, most of the other serial numbers were recorded incorrectly and I wasn't able to get a match in Etrace. I already reached out to phoenix and gave them the information. Below are the two firearms and their corresponding suspect gun info:

**(b)(7)(A) & (E)**




**(b)(7)(A) & (C)**

785115-10-0004



**(b)(7)(A) & (C)**

785115-10-0004

 [http://extras.mnginteractive.com/live/media/site525/2010/1105/20101105\\_1](http://extras.mnginteractive.com/live/media/site525/2010/1105/20101105_1)

V/R

(b) (7)(C)

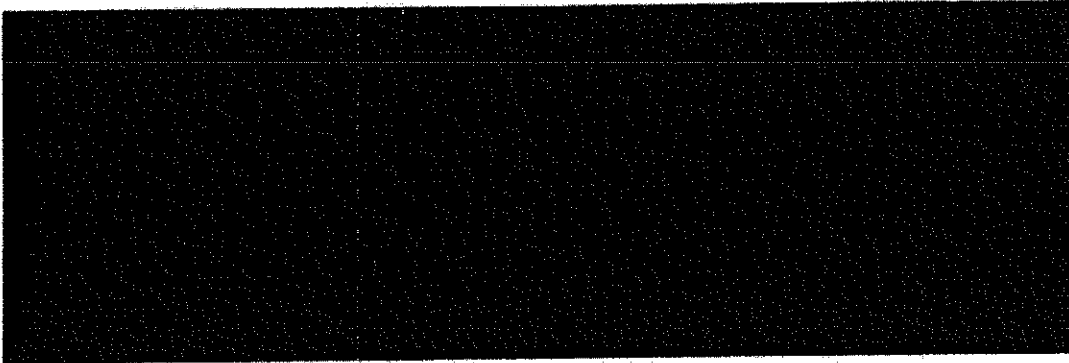
Intelligence Research Specialist

OSII/SWB FIST

**PHOENIX FIELD DIVISION**

**Investigations:**

**Phoenix Group VII**



785115-10-0004 (b) (7)(C)

This case (b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A)

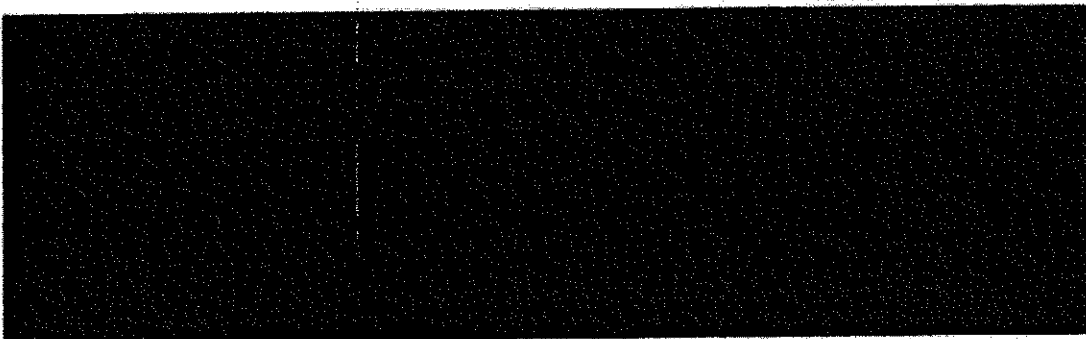
Last week, this case was presented by ATF and granted OCDETF status by the Phoenix committee who will forward the proposal to the Houston Regional Committee.

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)



**Tucson Group I**



From: Needles, James R.  
Sent: Saturday, October 06, 2007 1:18:35 PM  
To: Newell, William D.  
Subject: Re: Phoenix Case

To many middle men/women. I think once you deal with Billy directly we should be fine. If we need anything from (b) (7)(C) let me know additionally I advised her of the status. Also we have contact info for [redacted] if we do additional surveillance. (b) (7)(C) the ride went well enjoy the vacation.

\*\*\*\*\*  
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----- Original Message -----  
From: Newell, William D.  
To: Needles, James R.  
Sent: Sat Oct 06 11:16:02 2007  
Subject: Fw: Phoenix Case

Did I cover everything? I vented a bit but at this point I think I'm entitled.  
Bill Newell  
ATF - SAC  
Phoenix Field Division

\*\*\*\*\*  
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----- Original Message -----  
From: Newell, William D.  
To: Carroll, Carson W.  
Sent: Sat Oct 06 10:56:30 2007  
Subject: Re: Phoenix Case

First, after the 30-45 minute conf call yesterday with (b) (7)(C) etc I was confident we had cleared up any legal concerns. (b) (7)(C) said as much and was going to meet with Billy personally to discuss. She didn't obviously but chose (b) (5)

(b) (5) [redacted]

(b) (5) [redacted]

[Large black redacted area]

[REDACTED]

(b) (5)  
(b)(7)(A) & (E)

(b)(7)(A) & (E)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

(b)(7)(A) & (E)

(b)(7)(A) & (E)

(b)(7)(A) & (E)

Lastly, I truly appreciate your enthusiasm about this case and I'm sorry I had to damper that as well as get you jammed up - for that I'm truly sorry. I think

(b) (5)

(b) (5)

Bill Newell  
ATF - SAC  
Phoenix Field Division

\*\*\*\*\*  
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----- Original Message -----  
From: Carroll, Carson W.  
To: Newell, William D.  
Sent: Sat Oct 06 09:55:01 2007  
Subject: Fw: Phoenix Case

\*\*\*\*\*  
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----- Original Message -----  
From: Hoover, William J.  
To: Carroll, Carson W.

Sent: Fri Oct 05 18:34:34 2007  
 Subject: Fw: Phoenix Case

Carson,

Would you please read through the e-mail from (b) (7)(C) below.  
 I am a more than a little upset by the misinformation received to this point.  
 I do not want any firearms to go South until further notice. I expect a full  
 briefing paper on my desk Tuesday morning from SAC Newell with every question  
 answered. I will not allow this case to go forward until we have written  
 documentation from the U S Attorney's Office re full and complete buy in. I do  
 not want anyone briefed on this case until I approve the information. This  
 includes anyone in Mexico.  
 We will discuss this further next week. Please set up a conference call with  
 SAC Newell for the first thing Tuesday morning. Please allow for the time  
 difference. SAC Newell should understand that I will need complete answers to  
 every question I have had to this point. He can refer to my questions contained  
 in my e-mails of last night and also to the issues listed in (b) (7)(C) e-  
 mail.  
 Any questions call.

Billy

William J. Hoover  
 Assistant Director, Field Operations  
 [REDACTED]

\*\*\*\*\*

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 entirety (including all attachments).

----- Original Message -----

From: (b) (7)(C)  
 To: Hoover, William J.  
 Cc: (b) (7)(C)  
 Sent: Fri Oct 05 17:22:19 2007  
 Subject: Phoenix Case

Hi Billy:

(b) (7)(C) and I spoke with Bill Newell, Jim Needles and  
 one of the case agents on the Phoenix case.

[REDACTED]  
 I really  
 think maybe there was a disconnect somewhere when being briefed on this case.  
 [REDACTED] What I would  
 suggest is a better briefing paper with a section specifically on [REDACTED] and

(b) (7)(A) & (E)



(b) (5)

(b) (5)

(b) (5)

Finally, we have a call into the Office of International Affairs over at DOJ. We generally want to find out exactly what must be done with respect to DOJ permission before we begin working international cases.

We can discuss in more detail on Tuesday but I hope you feel a bit better about this issue. I did discuss getting something in writing and I can explain more on Tuesday.

Have a good weekend.

(b) (7)(C) did I forget anything???????

(b) (7)(C)

(b) (7)(C)

Senior Counsel, Field Operations  
Bureau of Alcohol, Tobacco, Firearms and Explosives

From: Needles, James R.  
 Sent: Saturday, October 06, 2007 10:49:16 AM  
 To: Newell, William D.  
 Subject: Re: Phoenix Case

10-4

\*\*\*\*\*

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----- Original Message -----

From: Newell, William D.  
 To: Needles, James R.  
 Sent: Sat Oct 06 10:04:29 2007  
 Subject: Fw: Phoenix Case

FYI. Carson and I just talked and it appears Billy is not happy, but I'm confident I can work it out on Monday. However until further notice, we need to shut down any further attempts for a while. I will try to iron out and get Billy some hard facts. [REDACTED]

[REDACTED] The thing that confuses me is that I think Ann Marie is combining this case with our AG initiative. That being said however we need to put the brakes to that too until I get this all sorted out.

Bill Newell  
 ATF - SAC  
 Phoenix Field Division

\*\*\*\*\*

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 To: Newell, William D.  
 Sent: Sat Oct 06 09:55:01 2007  
 Subject: Fw: Phoenix Case

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 Sent: Fri Oct 05 18:34:34 2007  
 Subject: Fw: Phoenix Case

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 documentation from the U S Attorney's Office re full and complete buy in. I do  
 not want anyone briefed on this case until I approve the information. This  
 includes anyone in Mexico.

We will discuss this further next week. Please set up a conference call with  
 SAC Newell for the first thing Tuesday morning. Please allow for the time  
 difference. SAC Newell should understand that I will need complete answers to  
 every question I have had to this point. He can refer to my questions contained  
 in my e-mails of last night and also to the issues listed in (b) (7)(C) e-  
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Any questions call.

Billy

William J. Hoover  
 Assistant Director, Field Operations  
 [REDACTED]

\*\*\*\*\*

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From: (b) (7)(C)  
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 Cc: (b) (7)(C)  
 Sent: Fri Oct 05 17:22:19 2007  
 Subject: Phoenix Case

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 (b) (7)(C) one of the case agents on the Phoenix case.  
 [REDACTED]

I really  
 think maybe there was a disconnect somewhere when being briefed on this case.  
 [REDACTED] What I would  
 suggest is a better briefing paper with a section specifically on [REDACTED] and  
 (b)(7)(A) & (E)

(b) (5)

(b) (5)

(b) (5)

Finally, we have a call into the Office of International Affairs over at DOJ. We generally want to find out exactly what must be done with respect to DOJ permission before we begin working international cases.

[REDACTED]

We can discuss in more detail on Tuesday but I hope you feel a bit better about this issue. I did discuss getting something in writing and I can explain more on Tuesday.

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(b) (7)(C) did I forget anything???????

(b) (7)(C)

(b) (7)(C)

Senior Counsel, Field Operations

Bureau of Alcohol, Tobacco, Firearms and Explosives

[REDACTED]

From: Newell, William D.  
 Sent: Friday, October 29, 2010 1:22:00 PM  
 To: McMahon, William G.  
 Subject: Fw: Fast and Furi.

FYI - before I left Orlando I asked Jim to verify what I told you and Mark earlier was correct, that being the purchases have slowed significantly. Several reasons for this main one being

(b)(7)(A) & (C)

Also, we have been very successfully over the past several months in seizing guns before they head south by quickly

(b)(7)(A) & (E)

\*\*\*

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----- Original Message -----

From: Needles, James R.  
 To: Newell, William D.  
 Sent: Fri Oct 29 12:46:19 2010  
 Subject: RE: Fast and Furi.

Of the individuals we know of who are supplying firearms to the organization, in the last 30 days one individual (b)(7)(C) purchased 5 firearms which we immediately seized, that was on Oct. 8. We have

(b)(7)(E)

-----Original Message-----

From: Newell, William D.  
 Sent: Friday, October 29, 2010 4:46 AM  
 To: Needles, James R.  
 Subject: Fw: Fast and Furi.

Get with (b)(7)(C) since you're together and give me a status on firearms purchase activity over the past 30 days of the suspects in this case. An e-mail will suffice.

\*\*\*

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----- Original Message -----

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 To: Newell, William D.  
 Cc: McMahon, William G.  
 Sent: Fri Oct 29 07:39:08 2010  
 Subject: Re: Fast and Furi.

I'm concerned that we are not shutting down the activity waiting on an indictment. Thanks

Mark R. Chait  
 Assistant Director

## Field Operations

\*\*\*

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From: Newell, William D.  
To: Chait, Mark R.  
Cc: McMahon, William G.  
Sent: Fri Oct 29 07:29:16 2010  
Subject: Re: Fast and Furi.

No, they pushed it to December 7. I was supposed to meet with the USA this coming week to discuss the delay but he canceled due to an "unexpected" DC trip. I'm going to call him today.

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
To: Newell, William D.  
Cc: McMahon, William G.  
Sent: Fri Oct 29 07:27:17 2010  
Subject: Fast and Furi.

Any news on a quicker indictment?  
Mark R. Chait  
Assistant Director  
Field Operations

\*\*\*

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**From:** Newell, William D.  
**Sent:** Friday, January 08, 2010 6:45 PM  
**To:** McMahon, William G.  
**Subject:** Fast and Furious Briefing Paper  
**Attachments:** Fast and Furious Briefing Paper.docx

FYI.

Forgot to mention in the GRIT proposal the need for a detailed TOO. Our TOO is currently burning himself out. As you may have heard during the summer's home invasion initiative he is a top notch TOO but he is killing himself with all the cases we have going on, including this one, the (b) (7)(A) case, several OCDETF cases, VCIT cases, and on and on. If you could include a request for a detailed TOO in your conversation with Mark and Billy about our GRIT proposal I'd appreciate it. Thank you Senor, have a good weekend.

**BRIEFING PAPER**

**Phoenix Field Division  
Phoenix Group VII (Gunrunner/Strike Force)  
785115-10-0004  
January 8, 2010**

**Background**

1. This investigation has currently identified more than (b) (7)(A) individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands.

[REDACTED]

To date (September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico. It should be noted however that there has been one seizure in the Phoenix area related to the ongoing DEA narcotics trafficking investigation.

3. (b) (7)(A)  
(b) (7)(A)

4. (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

5. [REDACTED]



[REDACTED] This is being coordinated out the Phoenix OCDETF Strike Force, of which Phoenix Group VII is a full-time member.

6. [REDACTED]

7. [REDACTED]

8. On January 5, 2010, ASAC Gillett, GS (b) (7)(C) and case agent SA (b) (7)(C) met with AUSA Emory Hurley, who is the lead federal prosecutor on this matter. Investigative and prosecution strategies were discussed and a determination was made that there was

(b) (5) & (7)(A)

gathered. This investigation was briefed to United States Attorney Dennis Burke, who concurs with the assessment of his line prosecutors and fully supports the continuation of this investigation. Furthermore, Phoenix Special Agent in Charge Newell has repeatedly met with USA Burke regarding the on-going status of this investigation and (b) (5)

(b) (5)

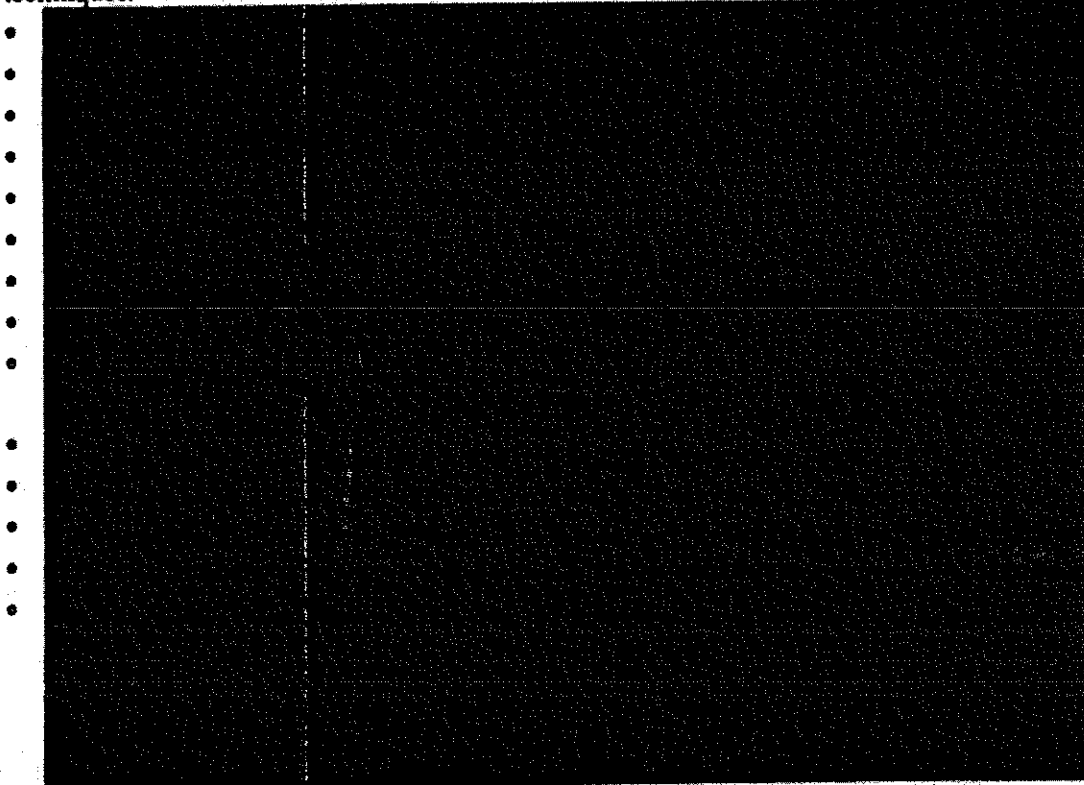
9. [REDACTED]

10. A joint strategy/deconfliction meeting is planned for Friday, January 15, 2010, with representatives from ICE, DEA, and ATF. This investigation, as well as other ongoing investigations, will be briefed to all present. It should be noted that deconfliction in the OCDETF Strike Force is not the issue, rather there are several Arizona ICE offices involved in this investigation due to the location of the firearms seizures and coordination between the various ICE offices has been problematic. Even though ICE has a group at

the OCDETF Strike Force the other ICE offices in Arizona are under different ASACs and that has created a coordination issue amongst all parties involved. Phoenix SAC Newell has previously requested that ICE coordinate all their investigative activity out of the OCDETF Strike Force, of which ICE is a member, in order to eliminate the requirement of the ATF Strike Force to try to coordinate with several different ICE offices. This will be discussed at the January 15 meeting.

**Investigative Techniques a/o Efforts Used to Date**

11. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:



**Investigative Strategy**

12. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group in the firearms trafficking portion of this investigation. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation.

(b)(5) & (7)(A)

(b) (7)(A)

13. (b)(5) & (7(A))  
(b)(5) & (7(A))  
(b)(5) & (7(A)) (b)(7)(A)  
(b)(7)(A)  
(b)(7)(A)  
(b)(7)(A)  
(b)(7)(A)

14. The ultimate goal is to (b)(5)  
(b)(5)  
(b)(5)  
(b)(5)  
(b)(5) (b)(5)  
(b)(5)

ATF

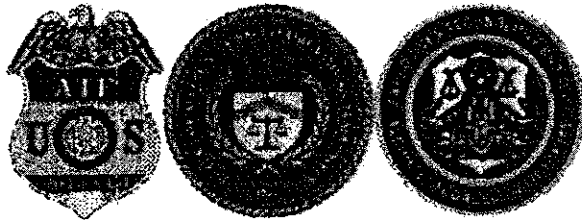
# ATF GRIT PROPOSAL

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PHOENIX FIELD DIVISION

ATF

4/27/2010



## **GRIT PROPOSAL**

### **Phoenix Field Division Deployment of Gunrunner Impact Teams April 27, 2010**

*The following proposal was initially drafted on January 8, 2010. This is a modified plan scheduled to take place between the approximate dates of May 1, 2010 to August 8, 2010 (100 Days).*

In Fiscal Year (FY) 2009, the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) implemented a new initiative, the Gunrunner Impact Team (GRIT). The initial deployment of GRIT was to multiple locations within ATF's Houston Field Division. The purpose and scope of the initial deployment was to focus ATF's regulatory and criminal enforcement resources to comprehensively focus on firearms trafficking issues directly impacting the southwest border. The initial GRIT met significant success in the Houston Field Division but a post-GRIT assessment identified several "lessons learned" that would aid in the development of any future GRITs. The Phoenix GRIT proposal incorporates almost all of these Houston GRIT "lessons learned."

The Phoenix Field Division (PFD) shares a significant portion of the southern U.S. border with Mexico. Consequently, a significant focus of existing PFD resources is the proliferation of firearms trafficking which is directly related to the violence being perpetrated by Mexican drug trafficking organizations (DTOs) along the border. Although the PFD has identified criminal similarities shared by the Houston Field Division, the PFD's crime problems are not identical to the Houston Field Division. Therefore, the PFD has modified this GRIT proposal from the GRIT structure previously implemented in Houston. The PFD forwards this proposal for ATF's second GRIT to be deployed within the Phoenix Field Division in FY 2010.

This plan is not intended to serve as an enforcement operational plan for any specific aspect of this initiative nor is it intended to serve as guide to conducting specific investigations. This plan does however comply with the Bureau's Strategic Plan for Fiscal Year 2010 in that it will focus ATF resources on the key Illegal Firearms Trafficking Strategic Priority. As outlined in Goal 1 of this priority, Interdict and Prevent Illegal Firearms Trafficking, this GRIT proposal outlines a plan to:

- Deploy integrated firearms enforcement teams,
- Expand risk-based approach to inspections,
- Increase ATF's Firearms Trafficking and Technical Training,
- Expand Awareness and Outreach
- Improve Inventory Control of Firearms Licensees

This plan also supports ATF's and the Department of Justice's Southwest Border Violent Reduction Strategy and specifically Project Gunrunner by focusing on the four main objectives of Project Gunrunner:

1. Investigate individuals responsible for illicit firearms trafficking along the Southwest border.
2. Coordinate with U.S. and Mexican law enforcement along the border in firearms cases and violent crime.
3. Train U.S. and Mexican law enforcement officials to identify firearms traffickers and provide outreach education to FFLs.
4. Trace all firearms to identify firearms traffickers, trends, patterns and networks.

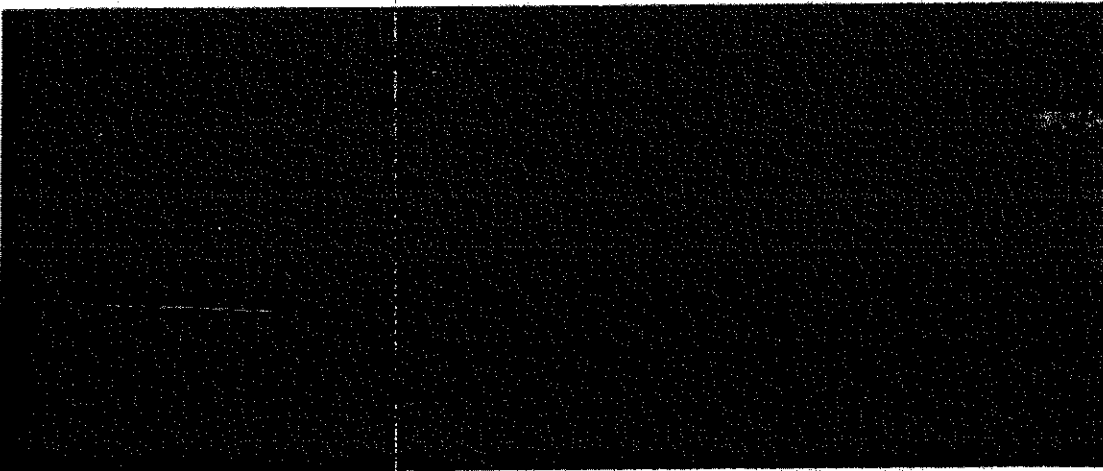
### **Phoenix GRIT Strategy**

The Phoenix Field Division GRIT strategy will focus on the following three components:

- Industry Operations Initiative
- Firearms Trafficking Investigative Initiative
- Home Invasion Initiative (Tucson)

The above components, while unique and individual in their own right, will be strategically addressed in a staggered, but coordinated effort.

### **Industry Operations Initiative**



Once the FFLs have been identified and prioritized, the initially deployed team will pull Area Office files, initiate FLS queries and assignments in N-SPECT, request VCAP, NICS transaction reports, state corporation queries and, if applicable, NFRTR queries. They will provide continued direction and support to the IOIs deployed in the next phase of this initiative.

Beginning Monday, May 17, 2010, (b) (7)(E) IOIs will arrive and begin conducting FFL inspections, working closely with Phoenix Field Intelligence Group (FIG) personnel in order to ensure that any referral information is being quickly and thoroughly analyzed. The detailed IOIs will conduct inspections of the identified FFLs for a period of ninety (90) days. (b) (7)(E) IOIs will be located in Phoenix and (b) (7)(E) located in Tucson. These two locations were selected based upon anticipated workload.

Once the IOIs complete the assigned FFL inspections, the detailed Area Supervisors, IOAs and the IOI/SOO will remain an additional thirty (30) days to finish the review and closing actions for the completed inspections, conduct a comprehensive review of the inspection results as well as an evaluation of this phase of the PFD GRIT. This will require a 120 day detail for the Area Supervisors, IOAs and the IOI/SOO.

Also, during this initiative Phoenix Field Division IOIs will do a two week outreach project. They will visit FFLS within the division and provide them with information on Project Gunrunner, Don't Lie for the Other Guy Program and provide local contact information to use if they encounter any suspicious purchasers.

The overall goals for this initiative will be to complete as many inspections as possible of the identified high risk FFLS, develop leads for the firearms trafficking initiative, Educate FFLS regarding straw purchasing indicators, how to identify potential traffickers and how to improve internal controls and provide FFLs with a point of contact in the event the FFL has questions or wants to report suspicious activity.

#### **Firearms Trafficking Investigative Initiative**

The PFD GRIT Firearms Trafficking Initiative will primarily focus efforts on the two largest metropolitan areas within Arizona, Phoenix and Tucson. These two metropolitan areas are the primary source of firearms purchased in Arizona and recovered in crimes in Mexico. Additional resources for this initiative will be deployed to New Mexico and other areas of Arizona as needed and based on information and intelligence gathered during the initial phases of the Phoenix GRIT as well as active firearms trafficking investigations.

All firearms trace data and intelligence obtained by the GRIT will be fully coordinated with OSII personnel assigned to the Project Gunrunner initiative, the El Paso Intelligence Center (EPIC), and the Violent Crime Analysis Branch (VCAB). In addition the use of DEA intelligence assets, particularly through the OCDETF Strike Force in Phoenix and Tucson satellite, will be extensively exploited to ensure our investigative efforts are in sync and complimentary to any on-going Mexican DTO related investigation. Furthermore, intelligence resources in Arizona such as the HIDTA Intelligence Screening Center in Tucson and the State Fusion Center (ACTIC) will be utilized to coordinate and de-conflict any GRIT investigative activity within the State of Arizona.

**Phoenix metropolitan area** – Beginning May 3, 2010, (b) (7)(E) special agents will be detailed in to the Phoenix enforcement groups for 100 days. In addition to the detailed

special agents, (b) (7)(E) Intelligence Research Specialists (IRS), and (b) (7)(E) Tactical Operations Officer (TOO) will be detailed to the Phoenix enforcement groups for 100 days. The assignment of personnel will be as follows: (b) (7)(E) special agents to Phoenix VII, (b) (7)(E) special agents to Phoenix I, a TOO and IRS to Phoenix VII, (b) (7)(E) IRS to Phoenix IV, (b) (7)(E) Senior Operations Officer and (b) (7)(E) Tactical Surveillance Specialist to the division office.

**Phoenix Group I's** FY2010 primary firearms trafficking strategic focus is on disrupting the illegal source(s) of firearms destined to violent gangs and drug trafficking organizations operating in and through the Phoenix metropolitan area. Many of these violent gangs and drug trafficking organizations have a direct nexus to Mexico therefore this group will handle those investigations dealing with trafficking directly impacting the Phoenix metropolitan area. It should be noted that Phoenix Group I was the designated "Gunrunner" group before the creation of Group VII, which was funded specifically as a "Gunrunner" office. It should also be noted that Group I was the office that managed the "Operation Gideon" home invasion initiative during the summer of 2009 which further proved the link between the violence in Mexico and the firearms related violence in Arizona.

**Phoenix Group VII** was created under "Gunrunner" to specifically address firearms trafficking from the Phoenix metropolitan area to Mexico. Additionally, Phoenix VII is located at the Phoenix OCADETF Strike Force, thus fully incorporating the efforts of this ATF group into the larger mission of the Strike Force, which is to focus investigations on the command and control elements of the Sinaloa Cartel and Arizona-based distribution cells associated with the Cartel. The Phoenix OCADETF Strike Force is a multi-agency unit, with participation from both Federal, and state and local agencies including: DEA, ATF, IRS, ICE, USMS, Phoenix PD, and the Maricopa County SO.

Beginning May 10, 2010, two (2) Group Supervisors (GS) (b) (7)(E) Special Agents and (b) (7)(E) Investigative Assistants (IA), will report to the Phoenix Field Division. The assignment of personnel will be as follows; one (1) GS will report to Phoenix IV, one (1) GS will report to Tucson I, (b) (7)(E) Special Agents will report to Phoenix I, (b) (7)(E) Special Agents will report to Phoenix II, (b) (7)(E) Special Agents will report to Phoenix IV, (b) (7)(E) Special Agents will report to Tucson I and (b) (7)(E) Special Agents will report to Tucson II. (b) (7)(E) will report to Phoenix II, (b) (7)(E) IA will report to Phoenix IV and (b) (7)(E) will report to Tucson I.

**Tucson metropolitan area** – As stated above, beginning on May 10, 2010, one (1) GS and (b) (7)(E) special agents will be detailed to the Tucson Field Offices for 100 days. In addition to the detailed special agents (b) (7)(E) IRS and (b) (7)(E) IA will report to Tucson II. For 100 days.

**Tucson Group I's** FY2010 primary firearms trafficking strategic focus is on the trafficking of firearms from the Tucson metropolitan area to Mexico. This involves proactive investigations and operational activity of the sources of crime guns recovered in



Mexico and the Tucson AOR, specifically but not limited to Gun Shows, FFLs, and Straw Purchasers of large quantities of "weapons of choice".

**Tucson Group II's** FY2010 primary strategic focus is on disrupting the illegal source(s) of firearms destined to violent gangs and drug trafficking organizations operating in and through the Tucson metropolitan area with a focus on the designated VCIT area, which currently encompasses the highest crime rate area in the City of Tucson. Many of these violent gangs and drug trafficking organizations have a direct nexus to Mexico therefore this group will handle those investigations dealing with the infiltration of established firearm and narcotic trafficking organizations operating within the Tucson metropolitan area.

[REDACTED]

The IOI inspections conducted in the first phase of the PFD GRIT will identify additional firearms trafficking leads which will be exploited by PFD FIG personnel and subsequently assigned to special agents for investigative follow-up. The Phoenix FIG will develop additional leads from data gleaned from eTrace of firearms recovered in

(b) (7)(E)

Finally, GRIT personnel will also be assigned to assist with a backlog of National Instant Check System (NICS) standard denials. There are approximately seventy (70) NICS referrals within N-Force that have not been assigned for investigative follow-up due to the many initiatives currently ongoing in the PFD including Gunrunner, Home Invasion, OCDETF Strike Force, and several large-scale firearms trafficking and explosives investigations. Intelligence Research Specialists will also re-initiate the

(b) (7)(E)

#### **Personnel Implementation Schedule**

The PFD management team has developed a complete lead tracking, assignment and distribution process, based upon the recommendations from the Houston after action report, and will be implemented in support of the PFD GRIT. Investigative leads will be effectively tracked within the N-FOCIS system and an IA will be designated as the GRIT lead coordinator to insure that all investigative leads are pursued and completed in a timely manner.

#### **United States Attorney Collaboration**

Phoenix Field Division Special Agent in Charge William Newell has discussed the GRIT proposal with the United States Attorney for the District of Arizona, Dennis Burke. US Attorney Burke has pledged his full support to ATF and SAC Newell on this initiative.

Moreover, from a PFD perspective, the GRIT initiative will further enhance the recently strengthened relationship between ATF and the U.S. Attorney's Office in Arizona. The Arizona Attorney General's Office has also pledged their support of this initiative in regards to those cases that may not meet Federal guidelines for prosecution. The Arizona AG's Office and AG Terry Goddard in particular have been a staunch support of the Phoenix Field Division's Gunrunner efforts over the past several years. It should also be noted that SAC Newell has discussed this GRIT deployment in Tucson with Tucson Police Chief Roberto Villaseñor and he too pledges his full support as he is keenly aware of the impact home invasions are having on his city.

### **Personnel Needs in Support of the PFD GRIT\***

#### **Industry Operations Initiative**

(b) (7)(E) Area Supervisors (Initially deployed to Phoenix)  
 (b) (7)(E) IO/SOO (Phoenix Division Office for duration of Phoenix GRIT)  
 (b) (7)(E) IOIs (Locations to be determined)  
 (b) (7)(E) IOAs (Phoenix VI, Tucson III)  
 (b) (7)(E) Intelligence Research Specialists (Phoenix FIG for duration of Phoenix GRIT)  
 (b) (7)(E) I/A (Phoenix FIG for duration of Phoenix GRIT)

#### **Firearms Trafficking Investigative Initiative**

##### **Phoenix metro area**

1 – Group Supervisor  
 (b) (7)(E) - Special Agents  
 (b) (7)(E) Investigative Analysts  
 (b) (7)(E) Intelligence Research Specialist  
 (b) (7)(E) Tactical Operations Officer  
 (b) (7)(E) Tactical Surveillance Specialist  
 (b) (7)(E) Senior Operations Officer

##### **Tucson metro area**

1 – Group Supervisor  
 (b) (7)(E) - Special Agents (Tucson)  
 (b) (7)(E) Intelligence Research Specialist (Tucson)  
 (b) (7)(E) Investigative Analysts

Total Personnel to be deployed = (b) (7)(E)

**\*As outlined in the Houston GRIT assessment it is STRONGLY recommended that personnel considered for this deployment, especially special agents, have some fluency in Spanish. Their effectiveness will be extremely limited if they cannot converse at even a basic level in Spanish. It is also strongly recommended that the Intelligence Research Specialists and Investigative Analysts considered for this deployment be trained and well-versed in link analysis systems, N-Force/N-Quire,**

and have experience working with agencies such as DEA, CPB and ICE as well as with EPIC.

### Training of Personnel

The Phoenix Field Division will provide a day of orientation training for all GRIT detailees. This training will be general and series specific in order to ensure maximum effectiveness for the target audience. This training is currently being conducted in the Phoenix Field Division for the new personnel assigned to the field division under "Gunrunner" funding so a well-established training program exists. Furthermore this training has received very high marks from the new employees who strongly believe it has provided them a much needed in-depth background on the issue of firearms trafficking along the SWB, Project "Gunrunner", Mexican DTO related violence, recent trends in firearms and explosives trafficking, and ATF's abilities and available resources to combat this trafficking and violence, etc. This training also includes briefings by Phoenix personnel currently involved in large-scale firearms trafficking investigations, explosives investigations related to Mexico, etc. It also includes a briefing by Phoenix EEO personnel who have been detailed repeatedly to Mexico to deal with the growing issue of military ordnance and commercial explosives thefts. Finally, this training includes briefings by the ATF Division Counsel, the USAO and Arizona Attorney General's office on the legal issues involved in investigating and prosecuting firearms trafficking and firearms related violence in Arizona, both at the Federal and State level.

### Long Term Goals

This plan has been crafted in a manner where the GRIT can be implemented within the PFD, but upon completion of the GRIT, a strong and lasting foundation of criminal enforcement and industry operations relationships will remain for the PFD to continue these regulatory and enforcement efforts. The Phoenix Field Division currently enjoys an outstanding relationship with its Federal, State, Tribal and Local law enforcement peers and it is expected that this GRIT deployment will only further enhance this relationship. The deployment of ATF special agents to Sierra Vista and Nogales, Arizona has long been sought by these law enforcement peers and will present an outstanding opportunity to showcase ATF's unique expertise and experience in combating firearms trafficking and firearms related violent crime.

### Estimated Funding Request

Allocation \$1,784,051.00

CATEGORY		BUDGET	
1152	Overtime	\$0.00	0.00%
2111	Travel	\$1,617,521.00	85.13%
2210	FedEx/Commercial Mail	\$1,750.00	0.15%
2500	Other Services	\$22,400.00	2.00%

2547	Agent Cashier	\$7,500.00	0.67%
2600	Supplies & Materials	\$11,200.00	1.00%
2612	Vehicle Supplies	\$39,200.00	3.50%
2613	Fuel		0.00%
2625	Investigative Supply	\$4,480.00	0.40%
3100	Furniture		0.00%
3160	Investigative Equipment	\$80,000.00	7.14%
<b>TOTAL</b>		<b>\$1,784,051.00</b>	<b>100.00%</b>

### Performance Measures

The nature of criminal investigative work makes the establishment of meaningful and measurable performance measures difficult. For example, establishing as a performance measure the number of straw purchasers or suspected firearms traffickers prosecuted is problematic in light of the fact that ATF cannot directly control this outcome. Disrupting or dismantling Mexican drug trafficking organizations is likewise outside ATF's ability to control since the DTO's largely operate outside the direct reach of U.S. law enforcement authorities. Establishing as a measure of success a reduction in the number of firearms recovered in Mexico that are U.S. sourced is something that is not likely to be realized during the GRIT deployment period and will only be achieved if sufficient resources continue to be dedicated to support the greater SWB violence reduction strategy. A reduction in the number of firearms traced from Mexico is also not a meaningful measurement of short term success since the total number of firearms currently in the custody of the Government of Mexico is unknown and progress with the GOM with regard to tracing all recovered firearms will only result in an increase of this potential measurement even if incidents of trafficked firearms is actually declining.

However, it is essential that ATF establish some meaningful performance measures that are linked to the GRIT strategy. Therefore, the Phoenix GRIT, using input from the Houston GRIT post-assessment, established and will track the following performance measures that are intended to gauge the impact this initiative has on disrupting firearms trafficking operations that support Mexican DTOs:

- The number of firearms trafficking investigations opened/closed during the surge period.
- The number of firearms trafficking defendants referred for prosecution.
- An increase in the number of referrals to the GOM and/or other U.S. law enforcement agencies.
- An increase in the number of firearms and other evidence recovered or seized by ATF or by other agencies acting on ATF information.
- A reduction in the number of firearms traces originating from Mexico with a relatively short "time to crime".

- The number of firearms (an estimate) prevented from being trafficked to Mexico. This estimate can be calculated as a result of a number of factors such as the number of firearms recovered in Mexico or interdicted at the border associated with known or suspected straw purchasers or traffickers; information obtained during interviews and investigations; the period of time that the trafficker or straw purchaser was known (or suspected) to have operated and/or expected to have continued operating had ATF not intervened; number of established border crossings for a particular person of interest; physical evidence recovered (i.e. firearms, empty gun boxes, currency, purchase receipts, lists depicting guns to be purchased, etc.); other purchases associated with the person(s) of interest discovered as a result of inspections of FFL records, etc.
- The number of additional FFL inspections conducted and violations cited.
- The number of criminal enforcement referrals made as a result of increased FFL inspections.
- The number of licensees that received the ATF/NSSF "Don't Lie" program and Project Gunrunner materials.
- Estimated number of persons reached as a result of ATF licensee outreach programs.

This reporting chart created and utilized by the Houston GRIT, will be adopted for use by the Phoenix GRIT.

GRIT Performance for Reporting Period May 1, 2010 to August 8, 2010		
	Reporting Period	Since Inception
Number of Investigative Leads at the Start of GRIT		
Number of New Investigative Leads		
<b>Total Leads</b>		
Number of Leads Investigated		
Number of New Actual Criminal Cases Opened		
Number of Defendants Recommended for Prosecution		
Number of Referrals to the Gov. of Mexico and/or other U.S. LE Agencies		
Number of Firearms/Other Evidence Seized/Recovered by ATF or Other LE Agencies Acting on ATF Information		

Number of FFL Inspections Initiated		
Number of FFL Inspections Completed		
FFL Adverse Actions Recommended:		
Number of Warning Letters/Warning Conferences		
Number of Revocations (Recommended by Division and Concurred by HQ)		
Number of Licenses Surrendered in Lieu of Revocation		
Remaining Inspections to be Completed		
Number of Criminal Enforcement Referrals Made by IOIs to ATF LE		

Executive Summary of  
“U.S. Firearms Trafficking to Mexico: New Data and Insights Illuminate Key  
Trends and Challenges” by Colby Goodman and Michel Marizco

**Majority of Seized Weapons from U.S.:** The vast majority (80-90%) of firearms seized in Mexico come from the United States. A significant increase in the firearms data Mexico has submitted to ATF for tracing has confirmed this fact. From December 2006 to February 2010, Mexico seized an estimated 80,000 firearms. As of May 2010, ATF had received and inputted information on 69,808 firearms recovered in Mexico from 2007 to 2009. Of these ATF determined about 62,800 (90%) came from the United States. While some 10,000 firearms were not submitted for tracing, even if not a single one of those were from the U.S. the overall percentage of firearms coming from the U.S. would still be 79% (62,800/80,000).

**Small Percentage Traced to First Purchaser:** Unfortunately, while it is relatively easy for ATF to determine whether a firearm came from the U.S., it often requires more data to be able to trace the firearm to its first purchaser. Of the estimated 18,406 U.S. firearms recovered in Mexico in 2009, ATF was only able to trace 4,999 (27%) to the first purchaser. One reason is that Mexican authorities often leave off their trace requests the import stamp number from AK-47 variants (since the number is not required on internal Mexican judicial case files), which U.S. officials need to trace weapons imported into the United States from other countries. Another difficulty is associated with AK-47 part kits imported into the United States with no markings on the parts to indicate they have been imported.

**AK-47 and AR-15 are Weapons of Choice:** While there is a wide range of U.S.-origin firearms seized in Mexico, including grenades and shotguns, assault rifles are the most sought-after and widely used by Mexican DTOs. They give the criminals far superior fire power to that of state and municipal police. The top two categories of firearms purchased in the U.S. and confiscated in Mexico are Romanian AK-47 type rifles and Bushmaster AR-15 clones. Many are purchased in the U.S. in a semi-automatic configuration and converted to fire as select fire machine guns. In 2009, Mexico seized a total of more than 4,400 firearms that have the same calibers as these two weapons.

**Straw Purchases Commonplace:** A common scheme to acquire firearms is the use of several “straw purchasers” and brokers. Straw purchasers are U.S. citizens or residents authorized to purchase firearms in the U.S. and earn \$100-\$500 per firearm that they deliver to a broker, who then delivers the weapons to a managing broker. More complex schemes, involving a greater number of purchasers and brokers, serve to hide traffickers’ identities and avoid prosecution. An AK-47 south of the border will command 3-4 times its purchase price (\$400) in the U.S. Further south, say in Oaxaca, the same AK-47 can be sold for \$2000-\$4000 above the purchase price, an indication of the relative lack of firearms coming into Mexico across its southern border.

Firearms are regularly trafficked into Mexico by commercial or private vehicles in batches of 5-10, often zip-tied to the frame of the automobile, or inside boxes of detergent. Texas, California, and Arizona are the most common source states for firearms seized in Mexico, though the average "time-to-crime" from first purchase is 15.7 years for these weapons, so there could be a difference in which U.S. states account for the most firearm purchases in the last three to five years.

**Bilateral Cooperation Has Increased:** Mexico and the U.S. have increased cooperation in interdiction, tracing, and prosecution of gun cases. GOM firearm seizures have increased from an average of 10,000 in 2005 and 2007 to an average of over 30,000 in 2008 and 2009. In October 2009 the GOM submitted for tracing a batch of 60,000 firearms seized over previous years. Tens of thousands of trace requests have been submitted so far in 2010. The U.S. has increased investigations and prosecutions and achieved hundreds of convictions in firearms trafficking cases. The U.S. has increased the number of weapons seized in southbound inspections. The U.S. has also increase cooperation in the form of training and equipment for the GOM, including delivering four Integrated Ballistics Identification Systems (IBIS) to give Mexico digital imaging capability on firearms information.

**Recommendations:** The authors recommend the following to further curb arms trafficking:

- Increase funding for ATF programs and staff along the border and in Mexico.
- Increase funding to place ATF agents in Guadalajara, Hermosillo, and Nuevo Laredo to deal with firearms seized in Michoacan, Sinaloa, Tamaulipas and Jalisco.
- Encourage State Attorneys General to bring charges against individuals engaged in straw purchasing based on state laws related to "fraudulent schemes."
- Expand to more cities on the border the campaign "Don't Lie for the Other Guy" that educates people on the illegality of straw purchasing. ATF could devote more staff working to enforce the law against straw purchasing and add a phrase to form 4473 about the illegality of transferring firearms to Mexico without a license.
- Require notification to U.S. authorities when an individual buys multiple military-style firearms in a short period of time. Current law requires such notification for handguns.
- Increase ATF staff devoted to inspections of U.S. gun stores along the border.
- Create methods for ATF to fine gun stores and enforce the ban on import into the U.S. of semi-automatic assault rifles.
- Ensure import markings are placed on AK-47 part kits imported into the U.S.
- Require background checks and sales records retention by private sellers (i.e. at gun shows) and also by gun stores for ammunition purchases.
- Increase quality of southbound license plate readers (i.e. to distinguish "8s" from "Bs").
- Infrastructure that prevent vehicles from speeding across the border to evade inspection.
- Increase random southbound inspections following gun shows along the U.S. border.
- Mexico should increase timeliness and completeness of firearms tracing requests.



**From:** McMahon, William G.  
**Sent:** Tuesday, December 21, 2010 11:21 AM  
**To:** Newell, William D.  
**Subject:** RE: simple numbers on F&F recoveries

10-4 thanks.

William G. McMahon  
 Deputy Assistant Director (West)  
 Office of Field Operations  
 [REDACTED]

**From:** Newell, William D.  
**Sent:** Tuesday, December 21, 2010 11:21 AM  
**To:** McMahon, William G.  
**Subject:** Fw: simple numbers on F&F recoveries

For what it's worth and since I don't like the perception that we allowed guns to "walk", I had (b) (7)(C) pull the numbers of the guns recovered in Mexico as well as those we had a direct role in taking off here in the US. Almost all of the (b) (7)(A) seized in the US were done based on our info and in such a way to not burn the wire or compromise the bigger case. The guns purchased early on in the case we couldn't have stopped mainly because we weren't fully aware of all the players at that time and people buying multiple firearms in Arizona is a very common thing.

\*\*\*

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**From:** (b) (7)(C)  
**To:** Newell, William D.  
**Sent:** Thu Dec 16 19:22:42 2010  
**Subject:** simple numbers on F&F recoveries  
 Sir,

I can make this more grand tomorrow if you wish but right now by my count;

- Firearms recovered in Mexico = (b) (7)(A)
- Firearms recovered in the USA = [REDACTED]

Thanks,

(b) (7)(C)  
 Group Supervisor  
 Phoenix Group VII  
 [REDACTED]

**From:** Smith, Charles E.  
**Sent:** Friday, December 17, 2010 7:01 PM  
**To:** Needles, James R.  
**Subject:** FW:

FYI

Charlie Smith  
ASAC  
Dallas Field Division

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 17, 2010 2:14 PM  
**To:** Smith, Charles E.  
**Cc:** (b) (7)(C)  
**Subject:**

Charlie,

I advised AUSA (b) (7)(C) through SAs (b) (7)(C) and (b) (7)(C) to provide me with a Grand Jury Subpoena for RAC (b) (7)(C) and (b) (7)(C) in Phoenix to obtain the required evidence needed in our investigation here. AUSA (b) (7)(C) has now advised the AUSA in Phoenix that the ATF agents here need access to the case in Phoenix since the ATF Phoenix office refuses to provide us with the evidence.

I wish that (b) (7)(C) would have given those subpoenas to me but if the AUSA in Phoenix refuses to comply then maybe Phoenix should start preparing their explanations for the way that they conducted their straw purchase cases there. They should probably hire a media expert anyway to assist them in explaining the 2000 firearms and the possible connection in the murder of the Border Patrol Agent.

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Monday, May 17, 2010 2:53 PM  
**To:** (b) (7)(C)  
**Subject:** RE: UI 785120-(b) (7)(A)

I just added so more source docs on (b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Monday, May 17, 2010 12:51 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: UI 785120-(b) (7)(A)

(b) (7)(C)

Thanks so much. I was able to get all the info. We appreciate it! I think for now we can stand down on trying to see where the guns are, unless (b) (7)(C) has other thoughts.

Thanks again.

(b) (7)(C)  
*Special Agent*  
*Bureau of Alcohol, Tobacco, Firearms, and Explosives*  
*Phoenix Field Division - Group VII*

**From:** (b) (7)(C)  
**Sent:** Monday, May 17, 2010 11:32 AM  
**To:** (b) (7)(C)  
**Subject:** RE: UI 785120-(b) (7)(A)

(b) (7)(C)

All those documents you speak of are in NFORCE under source file. I will give you access so that you can look at them. As far as the guns in questions, we do not have them in custody. Long story short, BP conducted a traffic stop on these guys and were not able to find anything on them in order to seize the weapons. However, BP was able to document the weapons. The weapons are gone, my suspicions are they are still w/ the suspect or they have crossed over into MX. (b) (7)(C) (the driver) has over 90+ border crossings.

If need be, LC II can go talk to (b) (7)(C) and ascertain where the guns are at. However, that would probably blow a hole in the Phx case.

**From:** (b) (7)(C)  
**Sent:** Monday, May 17, 2010 9:32 AM  
**To:** (b) (7)(C)  
**Subject:** UI 785120-(b) (7)(A)

(b) (7)(C)

I hope all is well on your end. Things up here in Phoenix and the Fast and the Furious case are still rocking and rolling. Hopefully by the end of the summer at the latest we can arrest these clowns. Speaking of that case, the boss (b) (7)(C) asked that I reach out to you and see if you can get us a copy of one of your reports. Under the UI above, it references a Border Patrol seizure of several firearms that have a relation to some of the suspects in this case. Can you do me a huge

favor? Can you forward me a copy of your ROI and all the attachments (USBP report, driver license fo (b) (7)(C) etc.? We are starting to put together seizure books for the USAO here in Phoenix and want to let them know of all known seizures.

Also, can I be a huge pain in the ass? Is it possible to take photos of the firearms and the serial numbers? If you have an off-site vault or it is a monster pain, don't worry about it.

I don't know what will be easier for you – scanning the documents and emailing them or just photocopying and mailing them to us. If you opt to mail, can you send it to the DEA office? Here's the address:

ATF SA (b) (7)(C) (4<sup>th</sup> floor)  
3010 2<sup>nd</sup> St., Suite 100  
Phoenix, AZ 85012

Thanks (b) (7)(C) if you need anything from up here, don't hesitate to let me know. I'll get it done for you.

(b) (7)(C)

*Special Agent  
Bureau of Alcohol, Tobacco, Firearms, and Explosives  
Phoenix Field Division - Group VII*

**From:** (b) (7)(C)  
**Sent:** Tuesday, July 20, 2010 4:36 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Solicitud OEPA/047/2010  
**Attachments:** DICTAMEN-BALISTICA NAVOJOA.PDF; ASEGURAMIENTO DE ARSENAL EN NAVOJOA..doc

**Importance:** High

This is the Spanish (Mexican) document I was speaking of, 5 are from (b) (7)(C)

---

**From:** Newell, William D.  
**Sent:** Friday, July 16, 2010 10:34 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Solicitud OEPA/047/2010  
**Importance:** High

This was a seizure in Navojoa, Sonora on July 4<sup>th</sup>. It wasn't in eTrace yet so I asked [REDACTED] to get me the info. The Sonoran PGR office report is attached listing all the firearms data, a little hard to read but you can make it out. Just curious if any of the Fast and Furious firearms are there.

Bill Newell  
 Special Agent in Charge  
 Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
 Phoenix Field Division (Arizona and New Mexico)  
 [REDACTED]

---

**From:** [REDACTED]  
**Sent:** Friday, July 16, 2010 9:18 AM  
**To:** Newell, William D.  
**Subject:** FW: Solicitud OEPA/047/2010  
**Importance:** High

Bill, aquí te mando la información que me solicitaste con respecto a un aseguramiento en Navojoa, Son. El día 4 de Julio de 2010.

---

**From:** [REDACTED]@pgr.gob.mx]  
**Sent:** Friday, July 16, 2010 9:01 AM  
**To:** [REDACTED]  
**Subject:** RV: Solicitud OEPA/047/2010  
**Importance:** High

[REDACTED]  
 Titular de la Oficina de Enlace de PGR  
 Phoenix Arizona.  
 201 E WASHINGTON ST.  
 SUITE #940 PHOENIX AZ. 85004  
 [REDACTED]

De: [REDACTED]  
 Enviado el: **jueves, 15 de julio de 2010 05:57 p.m.**  
 Para: [REDACTED]  
 Asunto: **RV: Solicitud OEPA/047/2010**

Estimado [REDACTED]:

Adjunto te envío el dictamen solicitado, así como la tarjeta generada con motivo de este asunto.

Quedo al pendiente para cuando se defina lo del curso.

Saludos cordiales

Atte

[REDACTED]

"Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009"

Estimado Licenciado, que gusto de volver a escribirle, aunque sea solicitándole su auxilio para obtener información, le comento que su servidor ha estado fuera del país y del continente por un mes y una semana, motivo por el cual no había tenido la oportunidad de saludarlo, sin embargo estoy preparando un curso de especialistas en desactivación y reconocimiento de artefactos explosivos caseros, para el personal de PGR y otros en el estado de Sonora, en cuanto se concrete me pondré en contacto con usted nuevamente.

Por otro lado le quería pedir de favor si se me pudiera proporcionar una Tarjeta Informativa y el dictamen pericial de las armas aseguradas en un evento el día **4 de Julio 2010 en el Municipio de Navojoa, Son., donde elementos del ejército al revisar un vehículo pick up chevrolet [REDACTED] encontraron 25 fusiles tipo AK 47 entre otras cosas.**

Sin mas por el momento le envío un cordial saludo.

[REDACTED]  
 Titular de la Oficina de Enlace de PGR  
 Phoenix Arizona.  
 201 E WASHINGTON ST.  
 SUITE #940 PHOENIX AZ. 85004  
 [REDACTED]  
 [REDACTED]

"Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009"

"Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo

dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009\*

\*Toda la información de este correo así como la contenida en los documentos que se adjuntan, se encuentra clasificada conforme a lo previsto en los artículos 13 fracciones I, IV, 14 fracciones I, III y IV y 18 fracción II de la Ley Federal de Transparencia y Acceso a la Información Pública Gubernamental, en relación con lo dispuesto en los Lineamientos Generales para la clasificación y desclasificación de la información de las dependencias y entidades de la Administración Pública Gubernamental, emitidos por el Pleno del Instituto Federal de Acceso a la Información Pública, publicados en el D.O.F. el 18 de agosto de de 2003, así como a las Recomendaciones para la organización y conservación de correos electrónicos institucionales de las dependencias y entidades de la Administración Pública Federal, emitida por el Instituto señalado y publicadas el 10 de febrero en el D.O.F. 2009\*

From: Newell, William D.  
Sent: Wednesday, November 25, 2009 2:48:21 PM  
To: Gillett, George T. Jr.  
Subject: Fw: Naco seizure

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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----- Original Message -----

From: Newell, William D.  
To: McMahon, William G.; (b) (7)(C)  
(b) (7)(C)

Sent: Wed Nov 25 14:13:53 2009  
Subject: Naco seizure

Just found out Friday's [REDACTED] gun seizure in Naco directly links to an ongoing ATF Phoenix case by SA (b) (7)(C) OCDETF Strike Force. We are advising ICE to stand down on their current proactive activity in Arizona in order not to compromise our case. We are meeting with them on Monday to discuss.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, December 01, 2009 12:11 PM  
**To:** Newell, William D.  
**CC:** Needles, James R. (b) (7)(C)  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

This will be done by Noon on Wednesday.

---

**From:** Newell, William D.  
**Sent:** Monday, November 30, 2009 8:18 AM  
**To:** Gillett, George T. Jr.  
**Cc:** Needles, James R.  
**Subject:** Fw: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Due to the high-profile nature of this event and all the info/intel out there I want the FIG and Grp 7 to put together an analysis of the case to date with a chart showing the known or potential links between suspects, straws, etc and an investigative plan of action - one involving ICE. (Jim, today let's discuss your conversation with (b) (7)(C) on Wed.) I will then fwd to HQ. Thank you

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 [REDACTED]

\*\*\*

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**From:** (b) (7)(C)  
**To:** Newell, William D.; Gillett, George T. Jr.; Needles, James R. (b) (7)(C)  
**Cc:** (b) (7)(C) Martin, Steve K.  
**Sent:** Sun Nov 29 14:47:03 2009  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Mr. Newell- In addition to the below names, (b) (7)(C) is an additional purchaser that came back. It is the Phoenix case file. The case file was been GE'd on Friday, so I don't know if you or your agents are aware of the following additional information:

[REDACTED] in this Seizure event was previously traced under [REDACTED]. The purchaser was [REDACTED]. It was recovered from him at his home by the Maricopa PD.

ALL FIREARMS AND OTHER INFORMATION IS ON FILE IN THE SEIZURE EVENT FILE IN NFORCE AND YOUR CASE AGENTS HAVE BEEN GIVEN ACCESS.

---

**From:** (b) (7)(C)  
**Sent:** Friday, November 27, 2009 12:22 PM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Mr. Newell- THANKS SO MUCH FOR THE PHOTOS. There are additional purchasers in this seizure event that were not in the Phoenix case investigation file. I informed SA (b) (7)(C) of [REDACTED] (with [REDACTED] multiple sales) and [REDACTED] and she added them to her file on Wednesday. [REDACTED] and [REDACTED] are additional purchasers with low TTC and other FFL locations. Your case agent called the tracing center to have the

tracing stopped. ASAC Needles also requested that the tracing be stopped. I sent the photographs to VCAB to enhance the tracing process and will be asking for guidance on the matter. Analysis of the information I have it appears that over 100 firearms from the cell(s) have been transported into Mexico.

---

**From:** Newell, William D.  
**Sent:** Friday, November 27, 2009 11:37 AM  
**To:** (b) (7)(C)  
**Subject:** Fw: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)  
**Importance:** High

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*  
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---

**From:** [REDACTED]  
**To:** Newell, William D.; PhoenixFIG  
**Sent:** Fri Nov 27 11:06:10 2009  
**Subject:** FW: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

**Enviado el:** jue 26/11/2009 16:39  
**Para:** [REDACTED]  
**Asunto:** FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

[REDACTED]  
Phoenix, Arizona.

Adjunto envío a Usted las fotos de los números de serie del armamento asegurado en Naco, Sonora.

Saludos

Atte  
[REDACTED]

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, December 01, 2009 12:10 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C); Newell, William D.  
**Subject:** FW: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

(b) (7)(C)

FYI -

---

**From:** (b) (7)(C)  
**Sent:** Sunday, November 29, 2009 12:47 PM  
**To:** Newell, William D.; Gillett, George T. Jr.; Needles, James R.; (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Mr. Newell- In addition to the below names, (b) (7)(C) is an additional purchaser that came back. It is the Phoenix case file. The case file was been 6E'd on Friday, so I don't know if you or your agents are aware of the following additional information:

(b) (7)(C) in this Seizure event was previously traced under (b) (7)(C). The purchaser was (b) (7)(C). It was recovered from him at his home by the Maricopa PD.

ALL FIREARMS AND OTHER INFORMATION IS ON FILE IN THE SEIZURE EVENT FILE IN NFORCE AND YOUR CASE AGENTS HAVE BEEN GIVEN ACCESS.

---

**From:** (b) (7)(C)  
**Sent:** Friday, November 27, 2009 12:22 PM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Mr. Newell- THANKS SO MUCH FOR THE PHOTOS. There are additional purchasers in this seizure event that were not in the Phoenix case investigation file. I informed SA (b) (7)(C) of (b) (7)(C) (with (b) (7)(C) multiple sales) and (b) (7)(C) and she added them to her file on Wednesday. (b) (7)(C), (b) (7)(C), (b) (7)(C) and (b) (7)(C) are additional purchasers with low TTC and other FFL locations. Your case agent called the tracing center to have the tracing stopped. ASAC Needles also requested that the tracing be stopped. I sent the photographs to VCAB to enhance the tracing process and will be asking for guidance on the matter. Analysis of the information I have it appears that over 100 firearms from the cell(s) have been transported into Mexico.

---

**From:** Newell, William D.  
**Sent:** Friday, November 27, 2009 11:37 AM  
**To:** (b) (7)(C)  
**Subject:** Fw: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)  
**Importance:** High

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 (b) (7)(C)

\*\*\*

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have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

---

**From:** [REDACTED]  
**To:** Newell, William D.; PhoenixFIG  
**Sent:** Fri Nov 27 11:06:10 2009  
**Subject:** FW: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

**Enviado el:** jue 26/11/2009 16:39  
**Para:** [REDACTED]  
**Asunto:** FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

[REDACTED]  
Phoenix, Arizona.

Adjunto envío a Usted las fotos de los números de serie del armamento asegurado en Naco, Sonora.

Saludos

Atte

[REDACTED]

**From:** Newell, William D.  
**Sent:** Thursday, January 14, 2010 11:41 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)

Gillett, George T. Jr.; (b) (7)(C)

**Subject:** RE: Naco, Sonora seizure

Agreed. I didn't even mention the fact that following the Agua Prieta, Arizona seizure – a seizure by Agua Prieta PD by the way that they forwarded to ICE since we don't have an office close, the local ICE office tried to [REDACTED] We made them aware we were 100% against this [REDACTED]

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Thursday, January 14, 2010 9:37 AM  
**To:** Newell, William D.  
**CC:** (b) (7)(C)

Gillett, George T. Jr.; (b) (7)(C)

**Subject:** RE: Naco, Sonora seizure

Bill,

I agree with your assessment.

We have grenade conflicts as well here in Mexico.

I have addressed this issue repeatedly with ICE, the DCM and the AMBO.

I have run out of options ref ICE

This is an issue for 99 NY Ave.

If it can't be resolved there then it won't be resolved here,

(b) (7)(C)

(b) (7)(C)

ATF Attache-Mexico

[REDACTED]

A good president, wrote 19th century historian Henry Adams, "resembles the commander of a ship at sea. He must have a helm to grasp, a course to steer, a port to seek."

---

**From:** Newell, William D.  
**Sent:** Thursday, January 14, 2010 10:22 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)

; Gillett, George T. Jr.; (b) (7)(C)

**Subject:** Re: Naco, Sonora seizure

(b) (7)(C) is correct, we have asked ICE several times to stand down on the Naco case because they were briefed here that this seizure ties into a much bigger ATF case which relates directly to a Phoenix DEA case [REDACTED]

[REDACTED] We are meeting with the various Arizona ICE POCs tomorrow in our office in Phoenix to discuss. Problem has been that ICE has several domestic offices and their Mexico office involved and they aren't coordinating and are expecting us to deconflict with each individual ICE office. We aren't going to do that especially when just in Arizona there are 3 different ASACs and 2 Deputy SACs involved. I have made it clear with the SAC that this is an ATF ODETF Strike Force case out of the Phoenix based Strike Force. ICE has a group at the Phoenix Strike Force and we have repeatedly requested that their case (which is only based on our trace info) be coordinated with the ATF Strike Force group in order to have one deconfliction and coordination spot. Our recent requests to make this one-stop shop coordination happen have been met with "well, that's another office's case....". We will make this request again tomorrow. The other issue is our use of Suspect Guns. They don't like the fact that our tracing system will not provide them results of traces conducted by ICE in Mexico of guns we entered into Suspect Guns because we know they tie directly into our case. Question - why is ICE in Mexico still tracing guns without the knowledge of ATF Mexico or CENAPI? They aren't using the previously agreed upon CENAPI ORI. We have explained to them repeatedly the need to do this and also the reasons we enter guns into Suspect Guns. They think this an attempt to do an "end around" on them - not true, they just don't understand our system or how we conduct firearms trafficking investigations. Last time I checked this was our expertise.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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---

From: (b) (7)(C)  
To: Newell, William D.  
Cc: (b) (7)(C)  
Sent: Thu Jan 14 10:41:18 2010  
Subject: FW: Naco, Sonora seizure  
Bill,

You can read the train and see that that may be some confusion?

I am forwarding just as an fyi in case you need to de conflict with ICE

Take care

(b) (7)(C)

(b) (7)(C)  
ATF Attache-Mexico  
[REDACTED]

A good president, wrote 19th century historian Henry Adams, "resembles the commander of a ship at sea. He must have a helm to grasp, a course to steer, a port to seek."

---

From: (b) (7)(C)  
Sent: Wednesday, January 13, 2010 9:21 PM  
To: (b) (7)(C)  
Subject: Re: Naco, Sonora seizure

I don't think this info is correct. ATF phx asked ICE to stand down reference Naco case

\*\*\*

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From: (b) (7)(C)  
To: (b) (7)(C)  
Sent: Wed Jan 13 22:09:50 2010  
Subject: Fw: Naco, Sonora seizure

Fvj

(b) (7)(C)

\*\*\*

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From: (b) (7)(C)  
 To: (b) (7)(C)  
 Cc: (b) (7)(C); Gillett, George T. Jr.;  
 (b) (7)(C)  
 Sent: Wed Jan 13 19:35:53 2010  
 Subject: RE: Naco, Sonora seizure  
 Hi (b) (7)(C)

I was operating on incomplete information before. It appears there is a joint ATF/ICE case being worked by BEST Phoenix (PX03BB10PX001) related to (b)(7)(A) & (C) this case was just opened yesterday so when I ran queries yesterday I was not aware there was an open ICE case.

In terms of the deconfliction meeting, probably your agents in Phoenix would be in the best position to respond as the meeting will be held at ATF Phoenix. My understanding is that there will be representatives from ICE Douglas, ICE Phoenix, AIA Hermosillo and ATF Phoenix to presumably discuss this trafficking case. The only information I have is that it relates to the 11/20/2009 seizure in Naco, Sonora, MX. I don't believe it has anything to do with the ICE/ATF agents recently in Hermosillo related to that Miami investigation.

AIA Hermosillo received information from GoM contacts related to the 11/25/2009 Agua Prieta seizure. I forwarded whatever information I had to Alex earlier today. Of the traces I ran, two have been sent out within the last two days to the field for possible follow-up. (b) (7)(C)

(b)(3) (Public Law 111-117) & (7)(A) The information has been provided to BEST Phoenix and ICE Douglas for follow-up investigation.  
 (b) (3) (Public Law 111-117) That was sent to SAC Seattle with a recommendation to coordinate with the local ATF office for any possible purchaser interview.

You can reach me at (b) (7)(C) though I can only speak to the above. If you are looking for other information, I can try to find you the appropriate POC.

From: (b) (7)(C)  
 Sent: Wednesday, January 13, 2010 5:15 PM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C); Gillett, George T. Jr.;  
 (b) (7)(C)  
 Subject: FW: Naco, Sonora seizure

(b)(3) (Public Law 111-117) & (7)(A)

case. Our case has links to a major ongoing DEA OCDEF case. The ATF Phoenix case agents have been coordinating their efforts with DEA Phoenix and we have been working with our agent assigned to DEA SOD. I am coordinating the ATF HQ support with the Mexico Country Office, Phoenix and DEA SOD. The case agents in Phoenix have been in contact with ICE in reference to the Naco, Sonora seizure and a seizure in Douglas. Our agents in the Mexico Country Office are coordinating with ICE & DEA personnel at the Embassy. After the seizure, I received the a report routed by ATF Mexico Country Office from your Assistant Attaché' in Hermosillo of an interview with (b) (7)(C) Are there any other reports available? . Are you saying that ICE is running an investigation parallel to that relates to this case? Do you have a connection to 11/25/2009 Agua Prieta seizure, because it is connected to the Phoenix case? An ATF Mexico Country Office agent assigned to Juarez was just in Hermosillo along with an ATF agent assigned to Miami in reference to ATF Operation Safeguard/Project Exodus. They met with DEA & ICE agents and your Assistant Attaché'. Does this have anything to do with the Friday meeting to deconflict?

Please call me or furnish me a contact number so we can coordinate our efforts and exchange information.

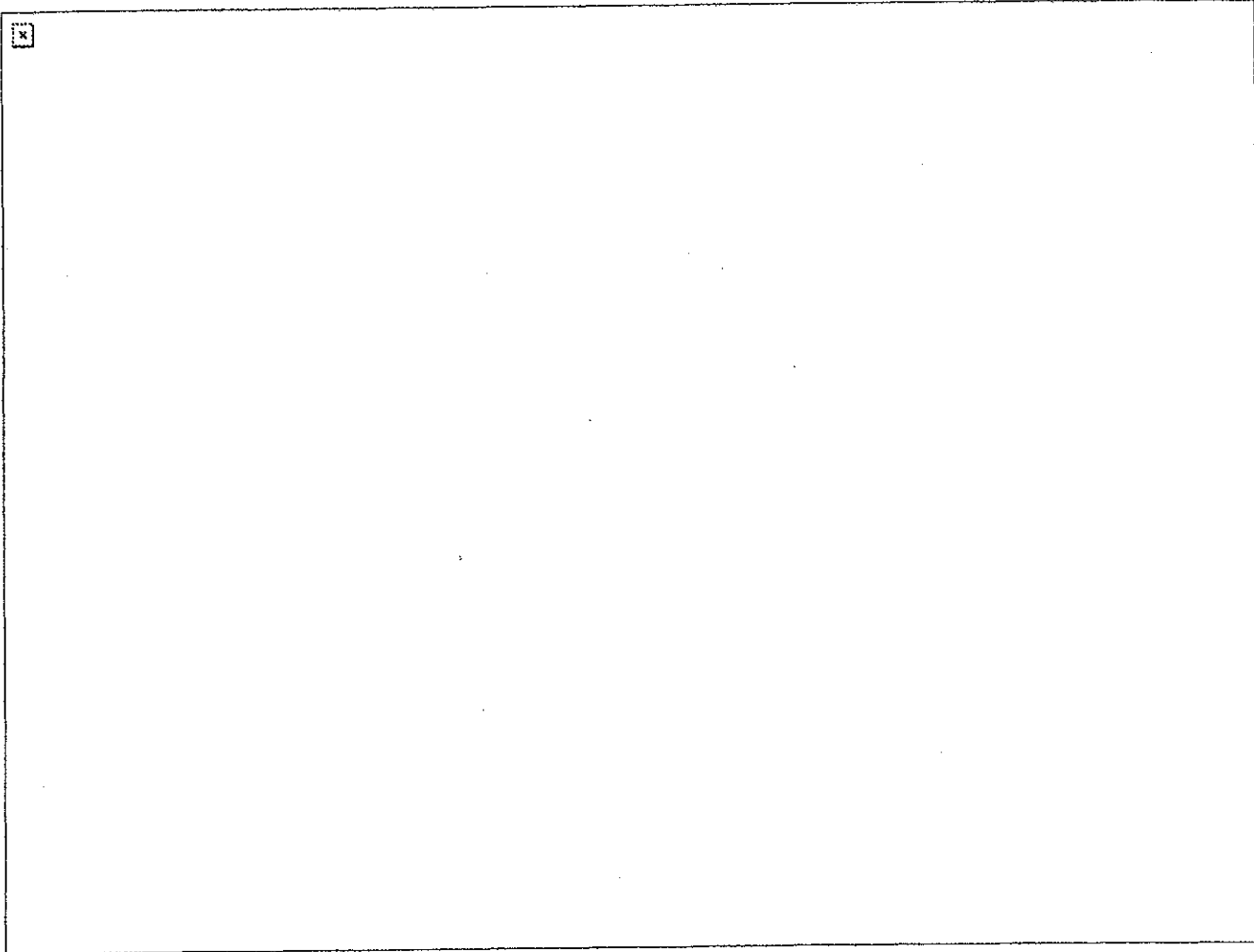
From: (b) (7)(C)  
 Sent: Wednesday, January 13, 2010 2:27 PM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: RE: Naco, Sonora seizure

(b) (7)(C)

(b) (7)(C) is responding to your earlier request. However, I can give you the info on the 50 Cal. (b) (7)(A)

(b) (7)(A) notice the rounds of ammo are more like the pistol .50 cal and not the rifle, like the Barrett. The pictures below are from the seizure.

I am glad you reached out to us. I was wondering if you have any photos of the 11/25/2009 Agua Prieta, Sonora seizure that you traced. I have the info on the 22 firearms and seizure just not any photos.



V/R

(b) (7)(C)

Bureau of Alcohol, Tobacco, Firearms and Explosives  
Field Intelligence Support Branch-SWB  
Intelligence Research Specialist

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, January 13, 2010 1:52 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Naco, Sonora seizure

(b) (7)(C)

On this, ICE Douglas, AZ is meeting with ATF Phoenix this Friday to deconflict on this. Any information you might have on this would be appreciated, so that it can be provided to Douglas in preparation for the meeting.

In addition, I understand a .50 rifle was traced in relation to this seizure. ICE Hermosillo would be very interested in receiving a copy of this trace for its case file/record.



---

From: (b) (7)(C)  
Sent: Wednesday, January 13, 2010 8:43 AM  
To: (b) (7)(C)  
Cc:  
Subject: Naco, Sonora seizure  
Importance: High

(b) (7)(C)

Hi. I am currently TDY at our Attaché office in Hermosillo. Reason for my email is I'm following up on the following seizure and open ICE Hermosillo case. ICE Intel Personnel ran the attached traces. I see that it appears you successfully ran the rest. Can you confirm that the associated traces (b)(3) (Public Law 111-117) & (7)(A) & (C) Naco, Sonora? This information will be forwarded to our BEST Phoenix and I want to make sure I have the facts straight so they can coordinate this case with ATF Phoenix. There is information from the Hermosillo case that could benefit a joint ICE/ATF investigation, since they spoke with the suspect in Naco and have access to the prosecution file in Mexico.

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## Project Gunrunner

ATF has established four main objectives for Project Gunrunner:

1. Investigate individuals responsible for illicit firearms trafficking along the Southwest border.
2. Coordinate with U.S. and Mexican law enforcement along the border in firearms cases and violent crime.
3. Train U.S. and Mexican law enforcement officials to identify firearms traffickers and provide outreach education to gun dealers.
4. Trace all firearms to identify firearms traffickers, trends, patterns, and networks.

### Background

ATF's Project Gunrunner – was launched in April, 2005 due to ATF forecasting, based on our unique historical experience and expertise combating firearms trafficking along the Southwest Border (SWB), that because of President Calderon's tough rhetoric against the Mexican drug trafficking organizations (DTOs) during his presidential campaign violence was going to drastically increase and along with it the trafficking of firearms to Mexico. This was in fact realized and the ongoing drug war in Mexico between the DTOs themselves, and the Government of Mexico against the DTOs, has created a lucrative firearms trafficking market.

Firearms are the DTOs "tools of the trade", as they are with violent criminals all over the world. A large percentage of the firearms being seized from Mexican DTOs and traced through ATF's National Tracing Center are of U.S.-source, that is either U.S. manufactured or imported into the U.S. legally.

The DTOs are interested in specific types of firearms or "weapons of choice" based on a specific need due to the ballistic characteristics and capabilities of certain types of firearms. The classification "weapons of choice" is based on the analysis by ATF of firearms traces out of Mexico and along the SWB. Current "weapons of Choice" trends include an increased interest over the past two years in .50 caliber rifles (penetrate armored vehicles) and 5.7x28mm caliber firearms (ability to penetrate certain levels of ballistic vests) as well as the traditional .223 and 7.62 caliber "assault-type" firearms.

**Not just firearms but ammunition** – ammo for weapons of choice is in very large demand in Mexico and short supply here in the U.S. 75-100 round drum magazines are in very high demand as well and have been seized in increasing numbers in Mexico over the past year.

**Grenade issue** – Hand and Rifle grenades are quickly becoming a new “weapon of choice”. Central American black market is a factor and concern as is those individuals in the U.S. who see an opportunity to make a profit by illegally manufacturing “homemade” grenades.

**Signs of Success:**

1. ATF and Mexican intelligence that the DTOs are becoming increasingly frustrated with our combined efforts to address the illegal trafficking of firearms from the U.S. to Mexico
2. Increase in price of trafficked firearms in Mexico
3. Smaller purchases by straws – no longer do we see the 15-20 purchased during a single transaction
4. The use of other firearms trafficking routes, e.g. Guatemala
5. Serial Number obliteration increasing

**Main Focus** – Work closely with our U.S. and Mexican law enforcement counterparts to focus ATF efforts on those criminal organizations that are illegally trafficking in firearms to violent drug trafficking organizations operating on both sides of the U.S./Mexico border. Main point is that we are focused on eliminating the **Illegal Sources** of firearms to these violent criminals as well as working with the industry to educate and alert them to the illegal schemes being used by the DTOs to acquire firearms in the United States. Finally, through joint efforts with the industry like the National Shooting Sports Foundation’s “Don’t Lie for the Other Guy” campaign, we strive to educate the public to the illegality of “straw purchasing” firearms, especially for those intent on trafficking them to Mexico and into the hands of violent DTO members.

**Key Points:**

1. Three Part Strategy:
  - a. **Enforcement:**
    - i. Focus on illegal sources of Weapons of Choice (WOC)
      1. Illegal Purchase(s) (Straws)

2. Illegal Sales (Complicit FFLs, Unlicensed sales at Gun Shows, Flea markets, Internet, etc.)
3. Illegal Possession (922(g))
- ii. Joint Investigations & Intelligence
  1. With U.S. LE – OCDETF Strike Force, etc
  2. With Mexican LE – PGR GC-Armas, CENAPI, SSP, etc.
  3. Use of ATF Intel, eTrace and NTC resources like Violent Crime Analysis Branch; use of EPIC, HIDTA, etc
- b. **Industry Compliance/Cooperation**
  - i. FFL and Public Outreach
    1. Compliance Inspections at FFLs
    2. Partnership with Industry – Education and Exchange of Information
    3. “Don’t Lie for the Other Guy” with NSSF
  - c. **Training of Mexican and U.S. LE**
    - i. Firearms Id and Tracing
    - ii. Serial Number restoration
    - iii. Explosives Id and Safe-Handling

**Phoenix Field Division growth as a result of Project Gunrunner funding:**

- New Groups in Phoenix and Tucson, which are based out of the OCDETF Strike Forces due to the role ATF can play in dismantling the firearms trafficking activities of identified CPOTs and RPOTs.
- New Field Office in Las Cruces with a Satellite Office in Roswell.
- Additional Special Agents, Industry Operations Investigators, Intelligence Research Specialists and Investigative Analysts

**Lastly:**

- ATF’s unique statutory authority and investigative purview in identifying and disrupting not only the illicit **sources** of firearms bound for Mexico, but also in identifying and dismantling the criminal infrastructures that the DTO’s exploit to acquire US sourced firearms, is key in addressing the firearms related violence on both sides of the SWB.

- Interdiction alone is not the answer, but instead is one tool in any successful strategy to stop guns from going to Mexico. ATF is the only agency having the expertise and authority to address the problem at the "source". Our strategy should be and is proactive, not solely reactive.
- The violence is not limited to the Mexican side of the border but affects the U.S. Border States too. In Phoenix, Arizona the nearly 400 kidnappings and home invasions - most by rival criminal groups - mimic closely the 500 or so kidnappings in Mexico City. ATF's primary focus on violent crime puts it in a unique position to proactively and positively affect the violence currently being experienced along the SWB due to the ongoing drug war in Mexico.

**From:** Newell, William D.  
**Sent:** Wednesday, March 17, 2010 1:20 PM  
**To:** Gillett, George T. Jr.  
**Subject:** Re: QSIs

Thanks. I would be enjoying my vacation if not for the constant barrage of questions coming from the Director's office on [REDACTED] Fast and Furious, [REDACTED], etc. I'm taking care of it but I'm ready to fly to DC and knock some sense into those people.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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**From:** Gillett, George T. Jr.  
**To:** Newell, William D.  
**Sent:** Wed Mar 17 11:17:08 2010  
**Subject:** RE: QSIs

Roger that. I'll take care of it.

I hope you're enjoying your vacation!

---

**From:** Newell, William D.  
**Sent:** Wednesday, March 17, 2010 8:02 AM  
**To:** Gillett, George T. Jr.  
**Subject:** Fw: QSIs

I told (b) (6) to enter all of them into the system. Once she has hers in please approve. [REDACTED]  
[REDACTED]

This e-mail serves as authorization for you to approve this personnel action on my behalf.

Thank you Sir.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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**From:** (b) (6)  
**To:** Newell, William D.  
**Sent:** Wed Mar 17 10:48:11 2010  
**Subject:** RE: QSIs

Yes, to put them in the system?

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, March 04, 2010 7:19 PM  
**To:** (b) (6)  
**Subject:** FW: Friday SWB briefing

FYI -

---

**From:** Hoover, William J.  
**Sent:** Thursday, March 04, 2010 5:19 PM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: Friday SWB briefing

Thanks G. Will do!

William J. Hoover  
Executive Assistant Director  
[REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Thursday, March 04, 2010 6:55 PM  
**To:** Hoover, William J.  
**Subject:** Friday SWB briefing

Hey Billy -

I hope all is well with you. I don't know if you will be attending the SWB briefing tomorrow at 1:00 PM your time, but one of my Group Supervisors, (b) (6) will be there. He's overseeing the OCDETF straw-purchase investigation with the guns going to Mexico. He's a new supervisor, and he's doing a fantastic job. If you're there, please ask him the really difficult questions; hopefully, he'll have the answers.... ☺ Actually, I know he'll have the answers - he was a Marine, too.

Take care,

George

**From:** Gillett, George T. Jr.  
**Sent:** Monday, March 01, 2010 12:24 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C); Newell, William D.  
**Subject:** FW:

(b) (7)(C)

Please Coordinate with (b) (7)(C) to have (b) (7)(C) go to Mexico and examine as many of these recovered weapons as possible. Please advise if there are any problems with this from the Division end.

**George T. Gillett**  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Sunday, February 28, 2010 9:47 AM  
**To:** Newell, William D.; Gillett, George T. Jr.; (b) (7)(C)  
**Subject:** Re:

Thanks for the intel; our case just another recovery near (b)(7)(A) & (C)  
 (b) (7)(A) we will wait to hear more - Thanks

\*\*\*\*\*

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**From:** Newell, William D.  
**To:** Gillett, George T. Jr.; (b) (7)(C)  
**Sent:** Sat Feb 27 12:27:03 2010  
**Subject:** Fw:

FYI  
 Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 [REDACTED]

\*\*\*

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**From:** (b) (7)(C)  
**To:** Newell, William D.  
**Sent:** Sat Feb 27 12:19:27 2010  
**Subject:**

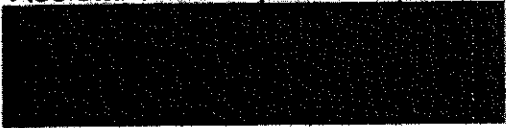
SEDENA recovered 167 guns last night in two separate events. Zacatecas and Culiacan. Most are AK's and AR's, 2 Barretts. SEDENA intel indicates "something big" going down in Culiacan, Sinaloa, home of Chapo. We are trying to get a look at seizure. It will be at least a few



days. They might be from (b) (7)(C) case

(b) (7)(C)

Assistant Country Attache, Mexico City



From: Gillett, George T. Jr.  
 Sent: Friday, April 09, 2010 12:56:51 AM  
 To: Newell, William D.  
 Subject: Fw: SIR

You are correct.

\*\*\*

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----- Original Message -----

From: (b) (7)(C)  
 To: Gillett, George T. Jr.  
 Sent: Thu Apr 08 22:43:58 2010  
 Subject: Re: SIR

Yes sir; Fast and Furious guns.

\*\*\*\*\*

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----- Original Message -----

From: Gillett, George T. Jr.  
 To: (b) (7)(C)  
 Sent: Thu Apr 08 22:08:09 2010  
 Subject: Fw: SIR

David? Can you answer the SAC's question?

\*\*\*

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----- Original Message -----

From: Newell, William D.  
 To: Gillett, George T. Jr.  
 Sent: Thu Apr 08 22:02:13 2010  
 Subject: Fw: SIR

Fast and Furious?

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 [REDACTED]

\*\*\*

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----- Original Message -----

From: Champion, Robert R.  
To: McMahon, William G.; Newell, William D.  
Sent: Thu Apr 08 21:57:48 2010  
Subject: Fw: SIR

FYI

We got called out last night on this.

Robert R. Champion  
Special Agent in Charge

Dallas Field Division



\*\*\*

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----- Original Message -----

From: Chisholm, Kenneth L.  
To: Significant Activity Report; Champion, Robert R.  
Sent: Thu Apr 08 21:13:14 2010  
Subject: Fw: SIR

Reviewed and approved

\*\*\*

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----- Original Message -----

From: (b) (7)(C)  
To: (b) (7)(C); Champion, Robert R.; Chisholm, Kenneth L.; (b) (7)(C)  
(b) (7)(C) (b) (7)(C)  
Sent: Thu Apr 08 20:11:56 2010  
Subject: SIR

---

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND  
EXPLOSIVES  
SIGNIFICANT INFORMATION REPORT

---

DATE: April 08, 2010  
FROM: Dallas Field Division  
FIELD OFFICE: El Paso Field Office

CASE INFORMATION

CASE NUMBER: [REDACTED]  
CASE TITLE: [REDACTED]  
SPECIAL AGENT: (b) (7)(C) [REDACTED]  
TELEPHONE NUMBER: [REDACTED]

SYNOPSIS OF INCIDENT/ACTIVITY:

On April 8, 2010, Special Agents of the El Paso FO seized 20 AK-47 rifles.

NARRATIVE OF INCIDENT/ACTIVITY:

On 04/07/2010, an El Paso PD Narco unit located approximately 290 lbs of Marijuana, 20 AK-47 rifles and miscellaneous gun parts at a residence in El Paso, Texas. EPPD arrested [REDACTED] for Possession of Marijuana. ATF seized the firearms on 04/08/2010. A check of the serial

(b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

(b) (7)(A) [REDACTED] (b) (7)(A) [REDACTED]

(b) (7)(A) [REDACTED]

**From:** Gillett, George T. Jr.  
**Sent:** Friday, March 12, 2010 10:54 AM  
**To:** Newell, William D.  
**Subject:** FW: Director's questions

Please see the changes...

**From:** (b) (7)(A)  
**Sent:** Thursday, March 11, 2010 11:50 AM  
**To:** Gillett, George T. Jr.  
**Subject:** Director's questions

George,

Here are the answers to what I understand the Director's questions to be. More detail can be provided upon request, I thought it best to start brief.

1. IP Address for Pole Cameras; [REDACTED] User Id: [REDACTED] password: [REDACTED] This must be monitored from a stand-alone computer as ATF Network bandwidth does not permit continuous monitoring.
2. Money Transfers: FINCEN, CTRs, SARs, Western Union, Arizona Department of Economic Security, ATF Special Investigator (b) (7)(C) RS-CID (b) (7)(C) [REDACTED] etc.
3. (b) (7)(E) [REDACTED]
4. How many seizure have been reviewed by our Mexico agents; to the best of my knowledge – three (3).
5. Nogales Seizure regarding [REDACTED] there are a couple ROIs in N-Force, one from Tucson who initially responded to this incident, and one from us when it was determined to be part of our case. But in summary; [REDACTED]

I hope this is what you are looking for, please advise if you need/want more.

(b) (7)(C)  
 Group Supervisor  
 Phoenix Group VII  
 [REDACTED]

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, March 10, 2010 5:24 PM  
**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

I'm sure that it will. Thanks again, and your assessment is absolutely correct!

G-

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 10, 2010 3:23 PM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: (b) (7)(E)

No problem, George. That is what we're here for. I am sure you guys have HQ in your business too much these days. I just want to make sure we get it right here. Hopefully, it will work tomorrow.

Frank

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, March 10, 2010 3:20 PM  
**To:** (b) (7)(C)  
**Subject:** RE: (b) (7)(E)

Thank you for your assistance, Frank. Not sure if you know, but Mr. Melson and Mr. Hoover are being briefed weekly on this investigation and the recent success with (b) (7)(E) so they are both keenly interested in case updates.

George

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 10, 2010 3:10 PM  
**To:** (b) (7)(C)  
**Cc:** Gillett, George T. Jr.  
**Subject:** RE: (b) (7)(E)

No problem. No doubt, there should have been instructions and I was surprised that there were not. [REDACTED]

If we do not pick it up in the morning, (b) (7)(E)

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, March 10, 2010 2:59 PM

From: Hoover, William J.  
 Sent: Wednesday, December 09, 2009 10:10:22 AM  
 To: Chait, Mark R.  
 CC: McMahon, William G.  
 Subject: RE: Gun seizure in (b) (7)(A)

No worries. I can't find it if you did. It's not like you had anything else going on in that "sleepy hollow" we call Field Op's!!!!!!

William J. Hoover  
 Assistant Director  
 [REDACTED]

-----Original Message-----

From: Chait, Mark R.  
 Sent: Wednesday, December 09, 2009 10:08 AM  
 To: Hoover, William J.  
 Cc: McMahon, William G.  
 Subject: Fw: Gun seizure in (b) (7)(A)

I thought I sent this to you, if not I apologize. Phoenix was already on two of the straws and have an ocdef case open prior to the event.

-----Original Message-----

To: Bill Newell  
 To: (b) (7)(C)  
 Cc: Bill McMahon  
 Subject: Re: Gun seizure in (b) (7)(A)  
 Sent: Nov 25, 2009 3:51 PM

Thank you

-----Original Message-----

From: Bill Newell  
 To: Mark Chait  
 To: (b) (7)(C)  
 Cc: Bill McMahon  
 Subject: Re: Gun seizure in (b) (7)(A)  
 Sent: Nov 25, 2009 3:49 PM

On it, it's part of an active Phoenix case belonging to SA (b) (7)(C)  
 (b) (7)(C) OCDEF Strike Force.

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 [REDACTED]

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
 To: Newell, William D.; (b) (7)(C)  
 Cc: McMahon, William G.  
 Sent: Wed Nov 25 15:38:22 2009  
 Subject: FW: Gun seizure in (b) (7)(A)

I just heard from OSII regarding IN #163030-10-0006 ([REDACTED])

**(b)(3) (Public Law 111-117) & (7)(A)**

this info is I just wanted to make sure we put a all resources on this and get a solid interview with the transporter. Thx

Mark R. Chait  
Acting Assistant Director  
Field Operations  
[REDACTED]

-----Original Message-----

From: McMahon, William G.  
Sent: Tuesday, November 24, 2009 2:47 PM  
To: Chait, Mark R.  
Subject: FW: Gun seizure in **(b) (7)(A)**

fyi

William G. McMahon  
Deputy Assistant Director (West)  
Office of Field Operations  
[REDACTED] [REDACTED] [REDACTED]

-----Original Message-----

From: Newell, William D.  
Sent: Tuesday, November 24, 2009 11:35 AM  
To: McMahon,

-----Original Message Truncated-----

\*\*\*

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**From:** (b) (7)(C)  
**Sent:** Thursday, April 22, 2010 6:08 PM  
**To:** Chait, Mark R.  
**Subject:** RE: Request to Brief on Current Cases

Thanks.

---

**From:** Chait, Mark R.  
**Sent:** Thursday, April 22, 2010 6:02 PM  
**To:** (b) (7)(C)  
**Subject:** Re: Request to Brief on Current Cases

Good thank you and have a nice weekend.  
Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*

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**From:** (b) (7)(C)  
**To:** Chait, Mark R.  
**Sent:** Thu Apr 22 18:00:50 2010  
**Subject:** FW: Request to Brief on Current Cases

Mark: since I am out tomorrow and we didn't get a chance to talk about this, I am going to leave the case briefs on your desk. I hope that is ok.

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 22, 2010 2:52 PM  
**To:** McMahon, William G.; Chait, Mark R.  
**Subject:** RE: Request to Brief on Current Cases

The briefing is scheduled for 4/29 and the DD will have to be briefed prior to that. (b) (7)(C) gave me hard copies of write-ups of the cases they want to brief. I can give them to Mark or leave them for Bill.

---

**From:** McMahon, William G.  
**Sent:** Thursday, April 22, 2010 1:37 PM  
**To:** (b) (7)(C); Chait, Mark R.  
**Subject:** Re: Request to Brief on Current Cases

When do they need this to happen?

\*\*\*

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**From:** (b) (7)(C)  
**To:** McMahon, William G.; Chait, Mark R.  
**Sent:** Thu Apr 22 13:22:10 2010  
**Subject:** FW: Request to Brief on Current Cases

Please see below and let me know how you want this handled.

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 22, 2010 12:19 PM  
**To:** (b) (7)(C)  
**Subject:** Request to Brief on Current Cases

Hi (b) (7)(C)

Deputy Director Melson is requested to provide a "**Classified Briefing**" to members of the House of Representatives Committee on Oversight and Government Reform. In order to understand the nature of the drug related violence on the border, Congressman Issa (R-CA) requested that DD Melson meet to discuss the following:

An Account of the firearms seized in Mexico and on or near the US-Mexico border, including information on origin of those weapons.

Information on Project Gunrunner, including cooperation at the local, state and national levels of the Mexican government.

In briefing the congressman, we would like to present cases that display the great work that the Bureau is doing. We have identified 4 cases: 701531-(b)(7)(A) & (C) 786010-(b) (7)(A) (b) (7)(C) 701531-(b) (7)(A) (Monterrey Arrests), 772035-(b)(7)(A) & (C) for presentation by DD Melson. We are also seeking someone familiar with these cases to brief DD Melson prior to the briefing (possibly AD McMahon).

Please advise if these cases are authorized to present on. If not, please identify cases for presentation.

(b) (7)(C)  
*Special Agent/ Congressional Liaison Officer  
Office of Public and Governmental Affairs  
Bureau of Alcohol, Tobacco, Firearms and Explosives*

**From:** Newell, William D.  
**Sent:** Tuesday, July 27, 2010 6:00 PM  
**To:** Chait, Mark R.  
**Subject:** RE: GRIT Closeout Media Opportunity

USA Burke said the Senate stripped the SWB supplemental?

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

---

**From:** Chait, Mark R.  
**Sent:** Tuesday, July 27, 2010 3:00 PM  
**To:** Newell, William D.  
**Subject:** RE: GRIT Closeout Media Opportunity

Let me check with the DD and get back..thx

Mark R. Chait  
Assistant Director  
Field Operations  
[REDACTED]

---

**From:** Newell, William D.  
**Sent:** Tuesday, July 27, 2010 5:37 PM  
**To:** Chait, Mark R.  
**Subject:** Re: GRIT Closeout Media Opportunity

Just met with Arizona USA Dennis Burke. He's free the 26th (Thursday) so why don't you plan on flying into Phoenix Wednesday morning, address the All-Hands Wednesday afternoon and then do the press conference Thursday morning? You could fly back that afternoon. That work?

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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---

**From:** Chait, Mark R.  
**To:** Newell, William D.  
**Cc:** McMahon, William G.  
**Sent:** Mon Jul 26 21:00:34 2010  
**Subject:** Re: GRIT Closeout Media Opportunity

I like the idea, however the ATF awards ceremony is the 24th. Does the 25-26th work.

Mark R. Chait  
Assistant Director

## Field Operations

\*\*\*

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**From:** Newell, William D.  
**To:** Chait, Mark R.  
**Cc:** McMahon, William G.  
**Sent:** Mon Jul 26 18:51:36 2010  
**Subject:** RE: GRIT Closeout Media Opportunity

We are planning on having our All-Hands the week of August 23-27. This division has not had one in over 7 years so they are due. We are still awaiting DOJ approval for the hotel but (b) (6) thinks it's going to go through since we avoided all the issues that have delayed other approvals. Therefore, how about we do the press conference on Tuesday the 24<sup>th</sup>? That way you could come in on Monday and address the employees Tuesday morning before the press conference which we could hold around 1:00pm. I still need to coordinate with the USA though, he's going to want to participate and should. I'll advise as to his schedule asap.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

---

**From:** Chait, Mark R.  
**Sent:** Monday, July 26, 2010 3:33 PM  
**To:** Newell, William D.  
**Cc:** McMahon, William G.  
**Subject:** GRIT Closeout Media Opportunity

Bill – we would like to take advantage of the good work during the GRIT and plan a media event which would include DD Melson...I would like to get some ideas regarding the best way to showcase the good work. Consider the best date in August and any case that would highlight the initiative like Fast and Furious or others..Thanks

Mark R. Chait  
Assistant Director  
Field Operations  
[REDACTED]

From: Chait, Mark R.  
 Sent: Wednesday, November 25, 2009 6:22:40 PM  
 To: (b) (7)(C)  
 Subject: Re: Gun seizure in (b) (7)(A)

10-4 we can cover next week. Thanks

\*\*\*

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----- Original Message -----

From: (b) (7)(C)  
 To: Chait, Mark R.  
 Sent: Wed Nov 25 18:21:23 2009  
 Subject: Re: Gun seizure in (b) (7)(A)

(b) (7)(A)

Just got off the phone with (b) (7)(C)  
 He and DEA attache spent the entire day talking about vetted units, ICE etc  
 Great conversation. Ambassador is really happy with ATF  
 Not so much ICE  
 Can fill you in over the phone or next week

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
 To: Newell, William D.; (b) (7)(C)  
 Cc: McMahon, William G.  
 Sent: Wed Nov 25 15:50:23 2009  
 Subject: Re: Gun seizure in (b) (7)(A)

Thank you

\*\*\*

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----- Original Message -----

From: Newell, William D.  
 To: Chait, Mark R.; (b) (7)(C)  
 Cc: McMahon, William G.  
 Sent: Wed Nov 25 15:49:43 2009  
 Subject: Re: Gun seizure in (b) (7)(A)

On it, it's part of an active Phoenix case belonging to SA (b) (7)(C)  
 (b) (7)(C) OCADETF Strike Force.

**From:** (b) (7)(C)

**Sent:** Tuesday, March 29, 2011 12:28 PM

**To:** Phoe-Group VII

**Subject:** Please read, enough said

**Attachments:** Chait memo, 3-28-11.pdf

(b) (7)(C)

Group Supervisor  
Phoenix Group VII  
[REDACTED]



U.S. Department of Justice

HOCR Documents 751

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Assistant Director

Washington, DC 20226  
www.atf.gov

701800:MRC  
3310

MEMORANDUM TO: All Special Agents  
Office of Field Operations

FROM: Assistant Director  
(Field Operations)

SUBJECT: Guidance on Firearms Trafficking Investigations

This memo serves to reinforce the importance of adhering to Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) and Department of Justice (DOJ) policy on firearms trafficking investigations and to reiterate the DOJ-wide position that component law enforcement agencies must not plan or conduct undercover operations in which firearms are crossing the U.S. border. DOJ guidance further requires that if a law enforcement official has any knowledge that guns are about to cross the border, he/she must take immediate action to prevent that from occurring, even if doing so will jeopardize an investigation.

ATF guidance is contained in the Firearms Enforcement Program Order, ATF O 3310.4B; in the Firearms Trafficking Investigation Guide, ATF P 3317.1; and in the September 2010 document entitled "Project Gunrunner - A Cartel Focused Strategy." ATF O 3310.4B outlines policy and discusses a number of investigative techniques consistent with the DOJ-wide guidance. ATF P 3317.1 contains, among other resources, an investigative checklist for international trafficking-in-arma cases in Section X, as well as a detailed outline of firearms trafficking indicators in Section V. "Project Gunrunner - A Cartel Focused Strategy" notes practical considerations that may require bringing investigations to a conclusion or dictate a change in investigative tactics prior to the identification of persons directly affiliated with drug trafficking organizations. Field Special Agents in Charge are also reminded that they must closely monitor and approve high volume trafficking investigations and must assess the associated risks. Lastly, field divisions should continue to coordinate with the U.S. Attorney's Offices for the districts in which they conduct operations to ensure a mutual understanding of the criteria for both prosecutions and seizures.



Mark R. Chait

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UNCLASSIFIED//LAW ENFORCEMENT SENSITIVE

HOCR ATF - 001995

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 4:18 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Phoenix Group VII biweekly update 12-18-09

No problem. I'll make the change.

(b) (7)(C) Intelligence Officer  
Phoenix FIG  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428



**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 2:18 PM  
**To:** (b) (7)(C)  
**Cc:** Gillett, George T. Jr.  
**Subject:** RE: Phoenix Group VII biweekly update 12-18-09

Thanks for catching that, it should read (b) (5) Again sorry but thanks for proofing what I put out!

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 1:16 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Phoenix Group VII biweekly update 12-18-09

(b) (7)(C)

Thank you for getting back to me so quickly.

Did this group purchase over (b) (5) or am I not reading your description correctly?

Please let me know. Thanks.

785115-10-0004. (b) (7)(C) This case (b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(C) Intelligence Officer  
Phoenix FIG  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940



Phoenix, Arizona 85004-2428

---

**From:** (b) (7)(C)

**Sent:** Friday, December 18, 2009 11:21 AM

**To:** (b) (7)(C) Gillett, George T. Jr.

**Subject:** Phoenix Group VII biweekly update 12-18-09

**From:** (b) (7)(C)

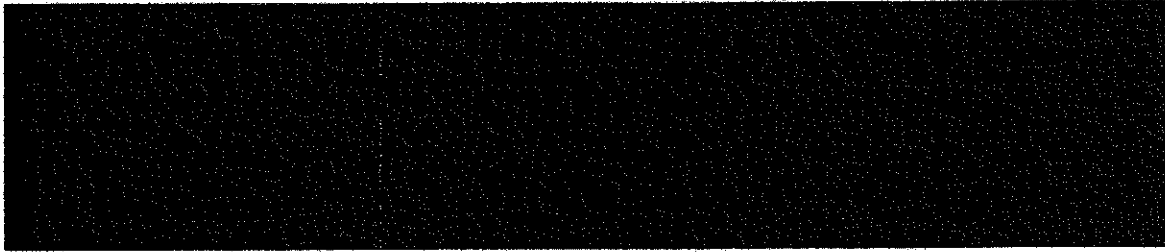
**Sent:** Friday, December 18, 2009 1:20 PM

**To:** (b) (7)(C) Gillett, George T. Jr.

**Subject:** Phoenix Group VII biweekly update 12-18-09

**Attachments:** Phoenix Group VII biweekly update 12-18-09.docx

**Phoenix Group VII**



785115-10-0004 (b) (7)(C)

This case (b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

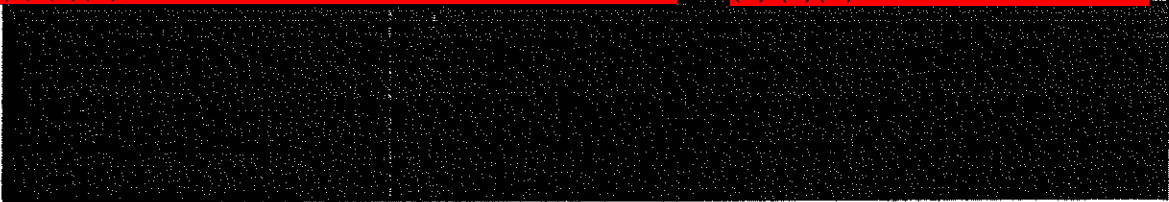
(b)(3) (Public Law 111-117) & (7)(A) (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)



**From:** (b) (7)(C)

**Sent:** Friday, November 19, 2010 4:29 PM

**To:** (b) (7)(C)

**Subject:**

**Attachments:** Suspect Gun firearm recovered in MEXICO, [REDACTED] Suspect Gun firearm recovered in MEXICO, [REDACTED]

From: (b) (7)(C)  
Sent: Friday, November 19, 2010 9:35 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearm recovered in MEXICO, [REDACTED]  
Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)  
[REDACTED]

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Trace id: [REDACTED]  
 Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: (b)(3) (Public Law 111-117) & (7)(A)

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center

[REDACTED]

From: (b) (7)(C)

Sent: Friday, November 19, 2010 9:31 AM

To: (b) (7)(C)

Cc: (b) (7)(C)

Subject: Suspect Gun firearm recovered in MEXICO, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents:

(b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

[REDACTED]

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Trace id:

[REDACTED]

Serial Number:

[REDACTED]

Manufacturer:

(b)(3) (Public Law 111-117) & (7)(A)

Type:

[REDACTED]

Caliber:

(b)(3) (Public Law 111-117) & (7)(A)

Model:

[REDACTED]

Recovered

(b)(3) (Public Law 111-117) & (7)(A)

Location:

[REDACTED]

Suspects:

[REDACTED]

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency:

(b)(3) (Public Law 111-117) & (7)(A)

Phone Number:

[REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]



**From:** (b) (7)(C)  
**Sent:** Monday, January 10, 2011 11:05 PM  
**To:** (b) (7)(C)  
**Subject:** Re: FN 57 PICTURES

Both options are readable. High resolution allows you to zoom more in depth. I'll send u some samples tomorrow and you let me know but we can do either. No problem from this end.

\*\*\*\*\*  
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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Mon Jan 10 21:28:36 2011  
**Subject:** Re: FN 57 PICTURES

Let's try the disk. That way the serial number should be easier to read with more detail. Thanks again!

\*\*\*\*\*  
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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Mon Jan 10 20:13:44 2011  
**Subject:** RE: FN 57 PICTURES

It just occurred that I can send them in a lower resolution if you want via e-mail. Your choice?

(b) (7)(C)  
 Supervisory Special Agent/Assistant Attaché  
 Tijuana Field Office

---

**From:** (b) (7)(C)  
**Sent:** Friday, January 07, 2011 1:05 PM  
**To:** (b) (7)(C)  
**Subject:** RE: FN 57 PICTURES

I just realized that this seizure besides the FN 57 pistols mentioned below had numerous AK-47 variants purchased (and entered into Suspect Gun database) on ATF case 785115-10-0004, Operation Fast and Furious. If you want the purchaser information let me know. I know it is a lot to ask because of the volume but if there are pictures of these AK-47 variant firearms can we get them as well? I hope I am not a bother as I know you guys are busy down there. We are just trying to give the AUSA all the information we can. If it helps the case is scheduled to be indicted on Jan 19<sup>th</sup>, 2011 so the chaos should be coming to an end for the both of us!

Thanks,

(b) (7)(C)

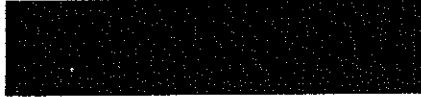
---

**From:** (b) (7)(C)  
**Sent:** Thursday, January 06, 2011 4:37 PM  
**To:** (b) (7)(C)  
**Subject:** FW: FN 57 PICTURES

(b) (7)(C)

Here are the photos for the FN 57 seized in Puerto Peñasco, Sonora. We are still working on getting the report. They were not the most cooperative.

(b) (7)(C)  
**Supervisory Special Agent/Assistant Attaché**  
**Tijuana Field Office**



From: (b) (7)(C)  
Sent: Friday, December 10, 2010 12:17 AM  
To: (b) (7)(C)  
Subject: Re:

Great, Thanks again! Te (b) (7)(C) hanks as well.

\*\*\*\*\*  
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From: (b) (7)(C)  
To: (b) (7)(C)  
Sent: Fri Dec 10 00:02:26 2010  
Subject: Re:

I'm here with (b) (7)(C) am going to give him a CD with the photos in their true resolution.

\*\*\*\*\*  
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From: (b) (7)(C)  
To: (b) (7)(C)  
Sent: Thu Dec 09 23:59:59 2010  
Subject: Re:

Wow great! This will help a ton with our local USAO to prosecute the case in D/AZ. Thanks so much!

\*\*\*\*\*  
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From: (b) (7)(C)  
To: (b) (7)(C)  
Sent: Thu Dec 09 20:18:59 2010  
Subject: RE:

These should be all but let me know if you need. I can also burn them and put them on a CD.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



From: (b) (7)(C)  
Sent: Thursday, December 09, 2010 12:40 PM  
To: (b) (7)(C)  
Subject: RE:

(b) (7)(C)

I am not trying to be a bother, but rather just checking in to see the status of some of these reports and photos?

Thanks for your help,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 2:02 PM  
To: (b) (7)(C)  
Subject: RE:

We will translate the report and send you a copy of the ROI. Also, I'll scan the reports and e-mail them.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 12:37 PM  
To: (b) (7)(C)  
Subject: RE:

Can we get a copy of the reports from the seizure and the photos?

We may have a lead on the TRW .50 caliber. We stopped a guy after he bought one of these and we roadside interviewed him, called the AUSA, and the AUSA said we did not have enough to seize the

gun and instructed us to let him go. I would not be surprised if this is that gun. Let me track it down.

From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 1:30 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE:

We did. We also have full reports of the seizure. Let me know what you need from us.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 12:28 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE:

(b) (7)(C) off sick today but I looked it up and yes the first 7 firearms below (the 7.62 caliber rifles) come back to Fast and Furious, 78S115-10-0004. Was anyone able to view these firearms?

Thanks,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 1:13 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: FW:

This is the trace numbers for the guns just seized in Mexicali over the weekend. I think they may hit on one of (b) (7)(C)

(b) (7)(C) when you have a chance can you let us know if it comes back to any of your cases.

(b) (7)(C)  
Resident Agent in Charge  
Tijuana Field Office



From: (b) (7)(C)  
Sent: Tuesday, November 30, 2010 12:05 PM  
To: (b) (7)(C)  
Subject:

**From:** (b) (7)(C)  
**Sent:** Sunday, August 15, 2010 5:22 PM  
**To:** (b) (7)(C)  
**Subject:** Re: 80 gun seizure

No. It just seems like a lot of guns are coming out of that area. Things just don't work the same in your area as they do in ours. Didn't mean to offend you.

\*\*\*\*\*

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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Sun Aug 15 17:17:05 2010  
**Subject:** RE: 80 gun seizure

Have I offended you in some way? Because I am very offended by your e-mail.

Define walk...? Without Probable Cause and concurrence from the USAO it is highway robbery if we take someone's property. We have conducted hundreds of hours of surveillance [REDACTED] and the idea that our suspects purchase the guns and drive them directly to the border is not the case, not even close. We have seized firearms weeks and months after they were purchased and they had not yet gone south to Mexico. If a non-prohibited person purchases firearms, puts the firearms in his/her car and drives straight home what is our PC to stop and seize the firearms? Two weeks ago we stopped a suspect after he purchased a TNW 50 caliber belt-fed rifle for over \$10,000.00. We conducted a field interview and after calling the AUSA he said we did not have sufficient PC to take the firearm so our suspect drove home with said firearm in his car...any ideas on how we could not let that firearm "walk"...?

Additionally, we have stopped "some" of these guys and seized hundreds (plural) of firearms in this case to date.

**From:** (b) (7)(C)  
**Sent:** Sunday, August 15, 2010 1:52 PM  
**To:** (b) (7)(C)  
**Subject:** Re: 80 gun seizure

Are you all planning to stop some of these guys any time soon? That's a lot of guns that have been seized. Are you just letting these guns walk?

\*\*\*\*\*

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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Sun Aug 15 16:40:20 2010  
**Subject:** RE: 80 gun seizure

Any luck we have not heard anything here locally? Obviously we are interested as 63 of the 80 firearms were part of open/active ATF Phoenix cases, especially the 57 as part of Operation Fast and Furious.

Thanks,

**From:** (b) (7)(C)  
**Sent:** Monday, August 09, 2010 12:54 PM  
**To:** Voth, David J.  
**Subject:** RE: 80 gun seizure

Hello (b) (7)(C)

I will be talking to ICE soon. I will forward any updates I get from them.

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Monday, August 09, 2010 2:24 PM  
**To:** (b) (7)(C)  
**Subject:** 80 gun seizure

Gentlemen,

Just checking to see if there are any updates or reports that can be forwarded to us here in Phoenix. I think I forwarded a spreadsheet but like 63 out of the 80 (14 were obliterated) are from open/ongoing Phoenix ATF cases thus any information is appreciated!

Thanks,

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

From: (b) (7)(C)  
Sent: Friday, August 13, 2010 12:23 PM  
To: (b) (7)(C)  
Subject: FW: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

From: (b) (7)(C)  
Sent: Friday, August 13, 2010 5:30 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Suspect Gun Details:

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]



**From:** (b) (7)(C)  
**Sent:** Friday, November 26, 2010 12:04 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Wednesday, November 24, 2010 11:30 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: [REDACTED]  
 Model: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered: [REDACTED]  
 Location: [REDACTED]

Suspects:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

(b)(3) (Public Law 111-117) & (7)(A)

Phone Number:

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** Newell, William D.

**Sent:** Wednesday, December 15, 2010 11:43 PM

**To:** (b) (7)(C) Needles, James R.; Gillett, George T. Jr.

**CC:** (b) (7)(C)

**Subject:** Re: (b) (7)(C) in custody

Great job.

\*\*\*

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---

**From:** (b) (7)(C)

**To:** Newell, William D.; Needles, James R.; Gillett, George T. Jr.

**Cc:** (b) (7)(C)

**Sent:** Wed Dec 15 23:41:30 2010

**Subject:** (b) (7)(C) in custody

We (ATF) have (b) (7)(C) in our custody. We are coordinating with the USAO to charge him in the morning via Complaint. We are holding him overnight in custody.

(b)(5) & (7(A))

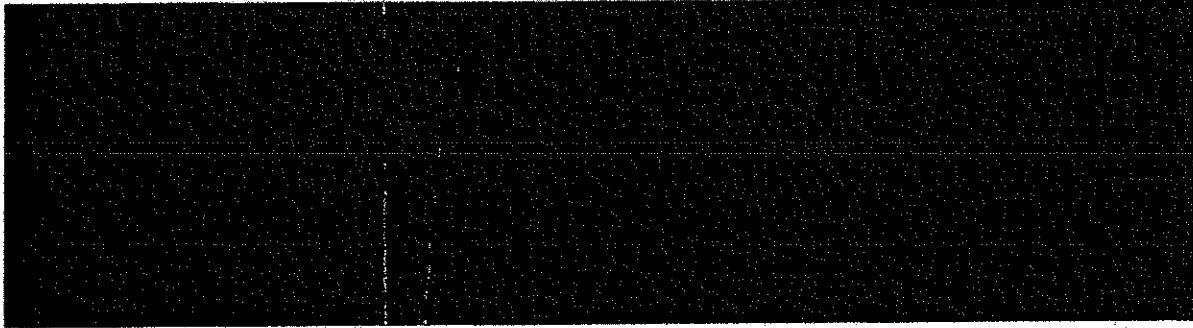
Thanks,

(b) (7)(C)

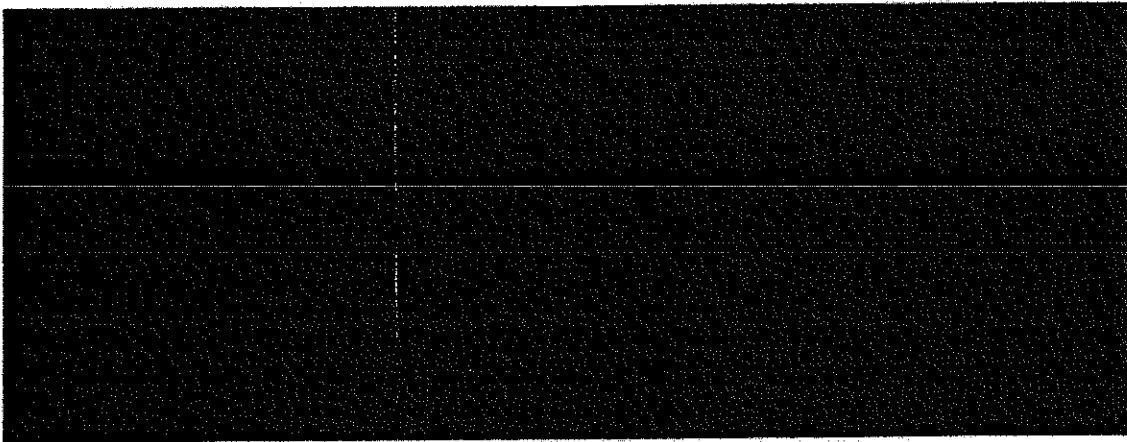
Group Supervisor  
Phoenix Group VII

Phoenix Field Division – Project Gunrunner Investigations with a Nexus to Mexico Cartels  
*February 25, 2010*

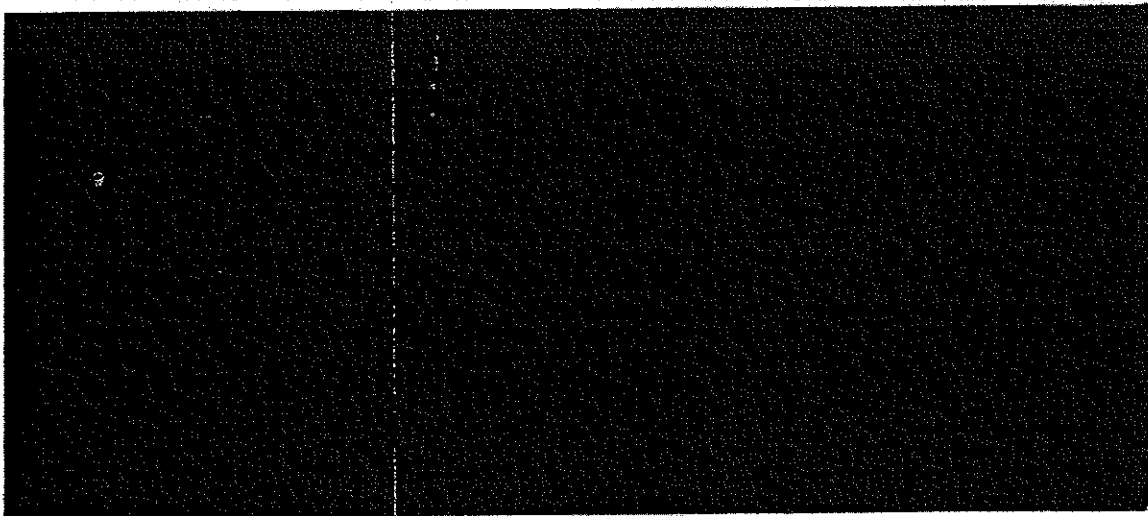
Phoenix Group I



Yuma Satellite Office



Phoenix Group VII



[REDACTED]

785115-10-0004 (b) (7)(C)

This case (b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A), (b) (7)(A)

(b) (7)(A)

This is an approved ATF OCDETF investigation (SWAZP0496). ATF is continuing to receive data (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] This investigation has ties to (b) (7)(A)

Tucson Group I

[REDACTED]

Tucson Group II

[REDACTED]

From: McMahon, William G.  
Sent: Friday, July 30, 2010 9:10:02 AM  
To: Newell, William D.  
Subject: RE: Fast and Furious meeting at USAO

10-4

William G. McMahon  
Deputy Assistant Director (West)  
Office of Field Operations  
Office - [REDACTED]

-----Original Message-----

From: Newell, William D.  
Sent: Friday, July 30, 2010 7:45 AM  
To: McMahon, William G.  
Subject: Fast and Furious meeting at USAO

The meeting btwn the Case Agent, Jim and AUSA is the 5th. My meeting with USA Dennis Burke is the 17th. That will give the AUSA plenty of time to prepare a pros memo so Dennis and I can discuss charges in our meeting. I'll provide a case update later today, they were all out on another case yesterday afternoon and are assisting in the [REDACTED] here this morning.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
Cell: [REDACTED]

\*\*\*

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**From:** Newell, William D.  
**Sent:** Wednesday, April 28, 2010 8:05 AM  
**To:** McMahon, William G.  
**Subject:** Re: Exit Strategy Fast and Furious

At the office. I'm just leaving the gym for home to shower. It's 5am here.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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---

**From:** McMahon, William G.  
**To:** Newell, William D.  
**Sent:** Wed Apr 28 08:01:43 2010  
**Subject:** Re: Exit Strategy Fast and Furious

Do you have a copy of that? I can't find anything.

\*\*\*

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---

**From:** Newell, William D.  
**To:** McMahon, William G.  
**Sent:** Wed Apr 28 07:59:12 2010  
**Subject:** Re: Exit Strategy Fast and Furious

What I sent a couple of months ago is still valid because all that's happened since then is pre-indictment work.

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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---

**From:** McMahon, William G.  
**To:** Newell, William D.  
**Sent:** Wed Apr 28 07:55:45 2010  
**Subject:** RE: Exit Strategy Fast and Furious

10-4. Do you have a resent briefing paper (overview) of "Wide Receiver"? I have to participate in a briefing with DOJ this morning on this case. I need something ASAP. Sorry.

William G. McMahon  
Deputy Assistant Director (West)  
Office of Field Operations  
[REDACTED]

---

**From:** Newell, William D.  
**Sent:** Wednesday, April 28, 2010 7:54 AM  
**To:** McMahon, William G.  
**Subject:** Re: Exit Strategy Fast and Furious

[REDACTED] I went to the airport last night to meet with the USA as he was waiting for his flight to Mexico City. I briefed him in detail [REDACTED]  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*  
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---

**From:** McMahon, William G.  
**To:** Newell, William D.  
**Sent:** Wed Apr 28 07:42:28 2010  
**Subject:** RE: Exit Strategy Fast and Furious

[REDACTED]

William G. McMahon  
Deputy Assistant Director (West)  
Office of Field Operations  
[REDACTED]

---

**From:** Newell, William D.  
**Sent:** Tuesday, April 27, 2010 9:33 PM  
**To:** McMahon, William G.  
**Subject:** Fw: Exit Strategy Fast and Furious

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*  
NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

---

**From:** (b) (7)(C)  
**To:** Newell, William D.  
**Sent:** Tue Apr 27 21:26:28 2010  
**Subject:** Exit Strategy Fast and Furious  
Mr. Newell,

Attached is an update on proposed action and an exit strategy for the Fast and Furious investigation.

(b) (7)(C)  
Bureau of ATF



*Phoenix Field Division  
Supervisor, Phoenix V*



**From:** McMahon, William G.  
**Sent:** Monday, May 03, 2010 5:27 PM  
**To:** Melson, Kenneth E.  
**Subject:** FW: SWB Briefing Paper - April 19, 2010  
**Attachments:** SWB Briefing Paper - April 19, 2010.docx

Sir,

Here is the latest SWB Briefing Paper. The next bi-weekly report will be out early this week and I will insure you get a copy.

William G. McMahon  
Deputy Assistant Director (West)  
Office of Field Operations  
Office - [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Monday, April 26, 2010 4:47 PM  
**To:** Chait, Mark R.; McMahon, William G.; Boxler, Michael B.; Torres, Julie M; Then, Colleen M.; Torres, John A.; Newell, William D.; Champion, Robert R.; Webb, J. Dewey; (b) (7)(C)

(b) (7)(C)  
(b) (7)(C)

(b) (7)(C)  
**Cc:** (b) (7)(C)  
(b) (7)(C)

(b) (7)(C)  
(b) (7)(C)

**Subject:** SWB Briefing Paper - April 19, 2010

THIS MESSAGE WAS AUTHORIZED BY THE SOUTHWEST BORDER WORKING GROUP

Attached is the approved edition of the Southwest Border briefing paper, dated April 19, 2010. New updates to the SWB paper are now due to (b) (7)(C) by COB Friday, April 30, 2010.

Please feel free to contact me with any questions.

Thanks, (b) (7)(C)

(b) (7)(C)  
*Program Analyst  
Southwest Border Working Group  
Office of Field Operations  
Bureau of ATF*



LAW ENFORCEMENT SENSITIVE

**Southwest Border Initiative  
(Project Gunrunner)**

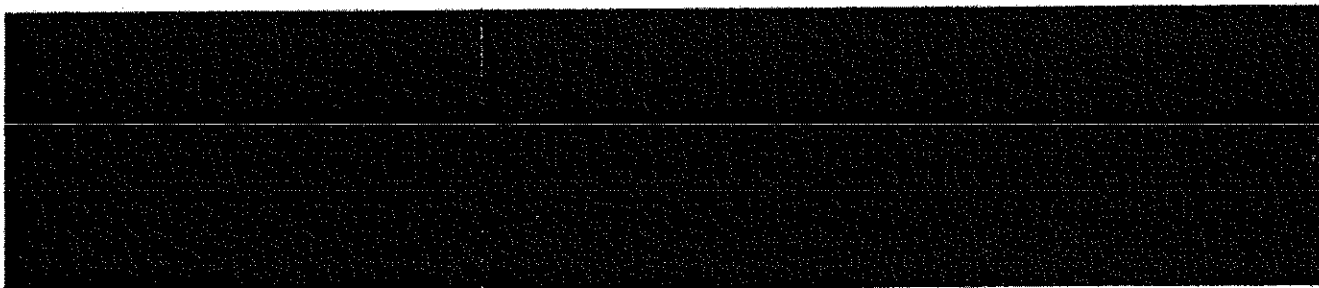
**Biweekly Update**

**April 19, 2010**

**The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.**

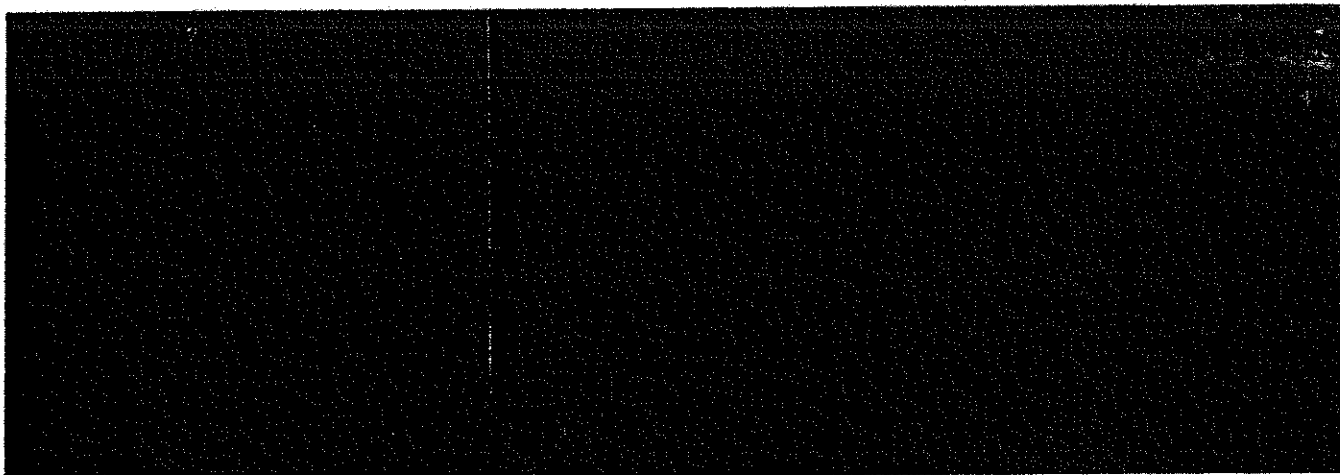
**FIELD OPERATIONS**

**DALLAS FIELD DIVISION**



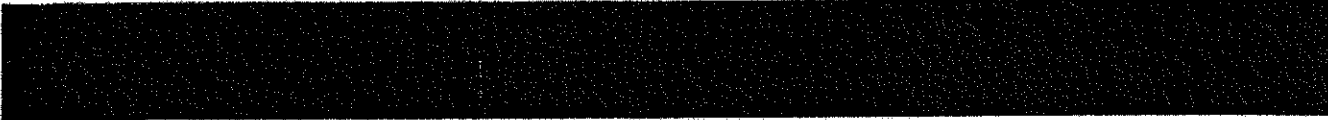
**Investigations:**

**El Paso Field Office**



LAW ENFORCEMENT SENSITIVE  
INTERNAL USE ONLY  
NOT FOR DISSEMINATION OUTSIDE ATF

LAW ENFORCEMENT SENSITIVE



785115-10-0004 (b) (7)(C) This is an approved ATF OCDETF investigation (# SWAZP0496)

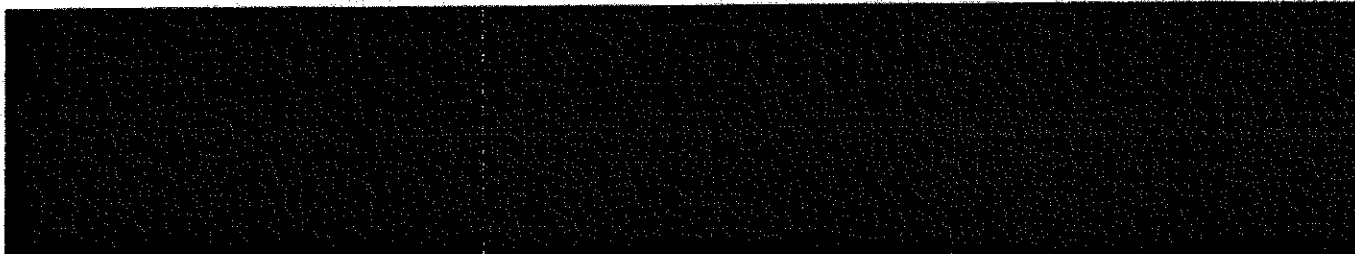
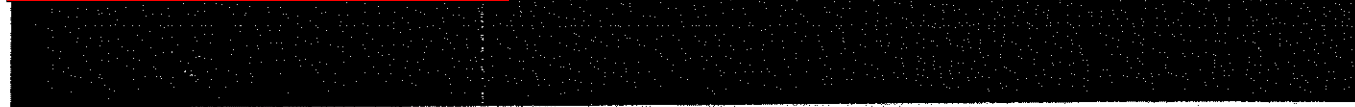
(b) (7)(A) (b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A)

(b) (7)(A)



**From:** Hoover, William J.  
**Sent:** Thursday, April 22, 2010 1:03 PM  
**To:** Melson, Kenneth E.  
**Subject:** FW: SWB Briefing Paper - April 5, 2010  
**Attachments:** SWB Briefing Paper - April 5, 2010.doc

Take a look at this and see if this will suffice.

William J. Hoover  
Executive Assistant Director  
O) [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Thursday, April 22, 2010 12:44 PM  
**To:** Hoover, William J.  
**Cc:** Chait, Mark R.  
**Subject:** FW: SWB Briefing Paper - April 5, 2010

Attached is a copy of our most recent SWB Briefing Paper. Please let me know if this suits your needs. It contains significant case summary information pertaining to the SWB, as well as information from the MCO; OSII; TPD; tracing stats, etc.

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Friday, April 09, 2010 5:02 PM  
**To:** Chait, Mark R.; McMahon, William G.; Boxler, Michael B.; Torres, Julie M.; Then, Colleen M.; Torres, John A.; Newell, William D.; Champion, Robert R.; Webb, J. Dewey; (b) (7)(C)

[REDACTED]

[REDACTED]

(b) (7)(C)  
**Cc:** (b) (7)(C)

[REDACTED]

[REDACTED]

(b) (7)(C)  
[REDACTED]

**Subject:** SWB Briefing Paper - April 5, 2010

THIS MESSAGE WAS AUTHORIZED BY THE SOUTHWEST BORDER WORKING GROUP

Attached is the approved edition of the Southwest Border briefing paper, dated April 5, 2010. New updates to the SWB paper are now due to (b) (7)(C) by COB Friday, April 16, 2010.

Please feel free to contact me with any questions.

Thanks, (b) (7)(C)

(b) (7)(C)

*Program Analyst  
Southwest Border Working Group  
Office of Field Operations  
Bureau of ATF*



LAW ENFORCEMENT SENSITIVE

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**April 5, 2010**

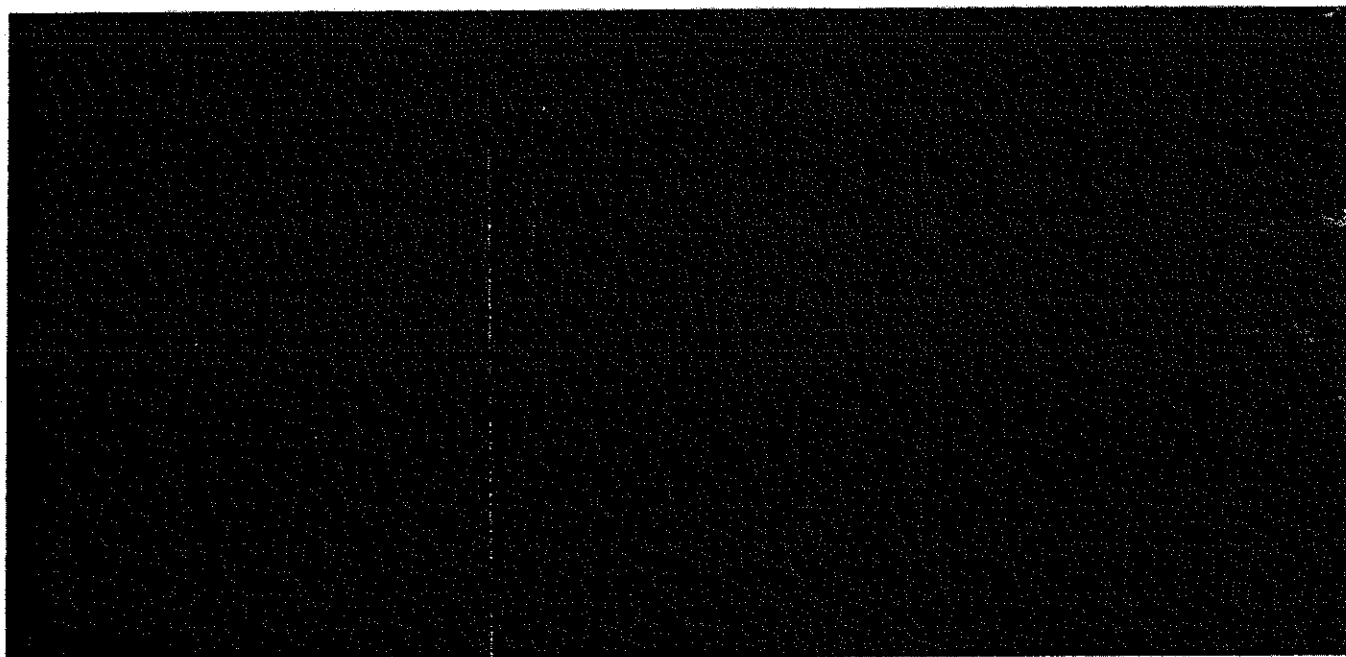
The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.

**FIELD OPERATIONS**

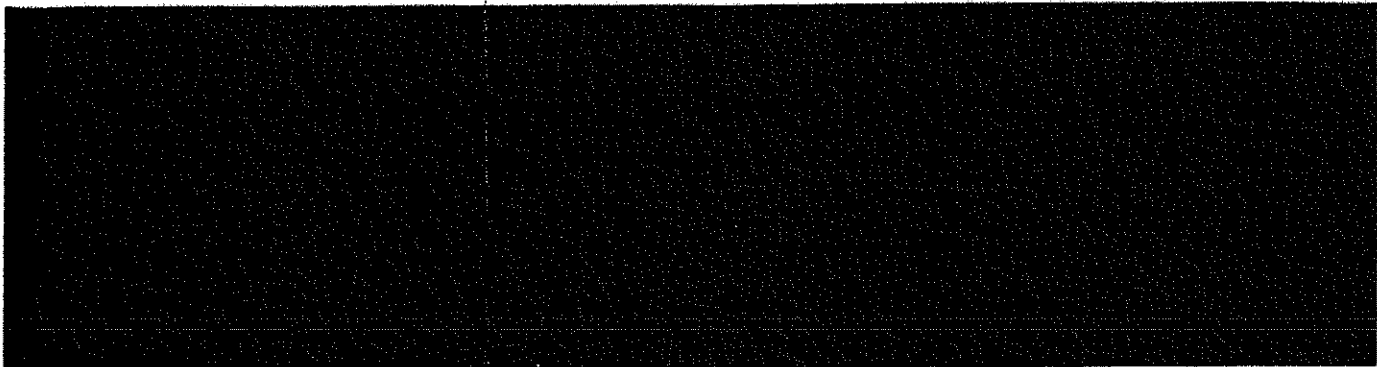
**DALLAS FIELD DIVISION**

**Investigations:**

**El Paso Field Office**

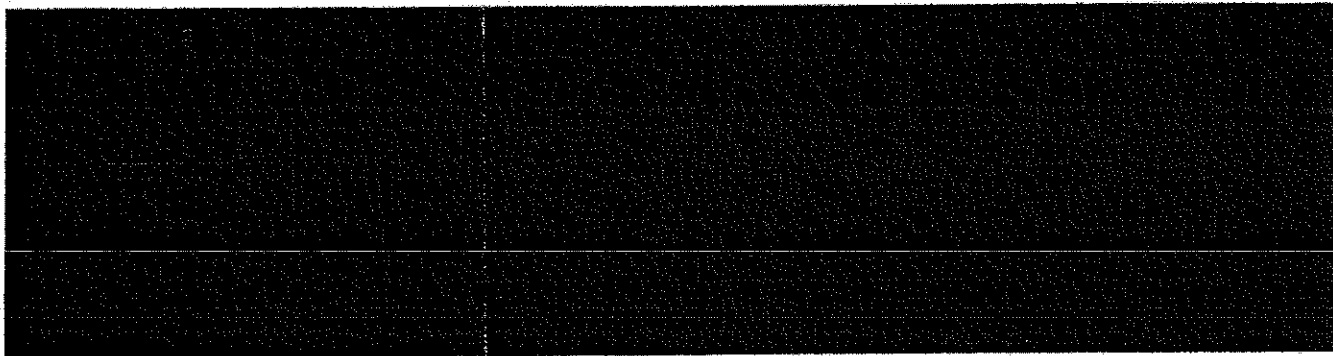


LAW ENFORCEMENT SENSITIVE



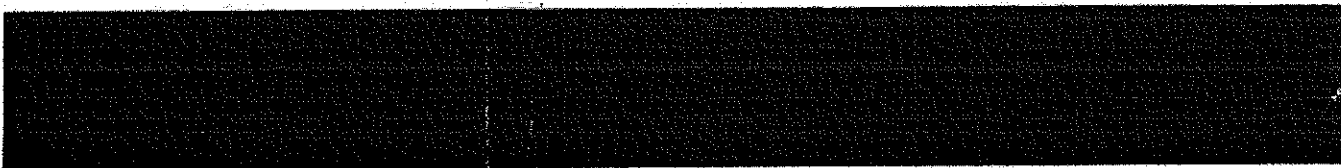
PHOENIX FIELD DIVISION

**Liaison, Training, Media, and Other SWB Interaction:**



**Investigations:**

Phoenix Group VII (OCDETF Strike Force)



785115-10-0004 (b) (7)(C) This is an approved ATF OCDETF investigation (# SWAZP0496) that

(b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(7)(A) & (E)

(b)(7)(A), (C) & (E)

(b)(7)(A), (C) & (E)



From: Melson, Kenneth E.  
 Sent: Sunday, February 21, 2010 8:12:46 AM  
 To: Hoover, William J.  
 Subject: RE: Seizure

Sounds like a great opportunity for us.

-----Original Message-----

From: Hoover, William J.  
 Sent: Sunday, February 21, 2010 3:17 AM  
 To: Melson, Kenneth E.  
 Subject: Fw: Seizure

Ken,

Updated info on the case in AZ.

Billy  
 William J. Hoover  
 Assistant Director  
 Bureau of ATF

\*\*\*

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----- Original Message -----

From: Gillett, George T. Jr.  
 To: Hoover, William J.  
 Sent: Sat Feb 20 22:47:44 2010  
 Subject: Fw: Seizure

Hey Billy -

I hope you're having a good weekend.

(b)(7)(A) & (E)

There will be a SIR forthcoming on Monday AM.

Wanted to get this to you "back channel.". Let me know if you have questions.

George

George T. Gillett  
 ASAC - Phoenix Field Division

\*\*\*

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----- Original Message -----

From: Gillett, George T. Jr.  
To: Newell, William D.  
Cc: (b) (7)(C)  
Sent: Sat Feb 20 22:33:44 2010  
Subject: Seizure

Update -

(b)(7)(A) & (C)

The actual number of guns seized today is 42 NOT 20. Of the 42 seized, there are some AR type rifles that were not sold by any of our known dealers.

Sir first thing Monday morning.

George T. Gillett  
ASAC - Phoenix Field Division

\*\*\*

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Sensitive But Unclassified - For Official Use Only

**Briefing Paper**

Phoenix Field Division

Phoenix Group VII (OCDETF Strike Force/Gunrunner)

ATF Investigation 785115-10-0004

Operation: Fast &amp; Furious, OCDETF No. SW-AZP-0496

**Case Background**

The Phoenix Group VII Field Office is leading an investigation entitled "Operation Fast & Furious" in conjunction with Immigration and Customs Enforcement (ICE), Drug Enforcement Administration (DEA), Internal Revenue Service (IRS) and the Phoenix Police Department (PPD). This operation was approved as an OCDETF case by the Southwest Region as SW-AZP-0496.

Since October 2009, ATF and the other partners listed above have been investigating a firearm trafficking organization that is being funded by the Sinaloa drug trafficking organization (DTO), a narcotics trafficking organization well known for violent criminal activity in Mexico. This organization is also involved in trafficking firearms to Mexico, and smuggling cocaine and marijuana into southern Arizona to be distributed throughout the United States. The OCDETF investigation of this organization is addressing violations of Federal Laws to include Firearms, Narcotics, Money Laundering, and Conspiracy Laws.

Agents believe that the Phoenix-based firearm trafficking group is actively purchasing firearms through "straw" purchasers using narcotics proceeds. From October 2009 to October 2010 agents have documented that this organization spent approximately 1.25 million dollars in cash at various Phoenix area Federal Firearms Licensees to acquire in excess of 1,900 firearms. The firearms are then being trafficked into Mexico using false compartments in various vehicles through various international Ports of Entry in Arizona and Texas.

[REDACTED]

and many other investigative techniques to further the investigation. Through these investigative techniques agents have been able to identify a large number of additional co-conspirators and disrupt the illegal activities of this firearms trafficking organization by seizing numerous firearms and narcotics. To date, over three hundred firearms and over fifty pounds of marijuana have been recovered by agents in addition to the numerous firearms and narcotic seizures in Mexico related to this investigation.

Sensitive But Unclassified - For Official Use Only

Sensitive But Unclassified - For Official Use Only

Over the past several weeks agents, in conjunction with the investigative partners and the United States Attorney's Office (USAO) have been preparing for the indictment of [REDACTED] individuals in this investigation. (b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

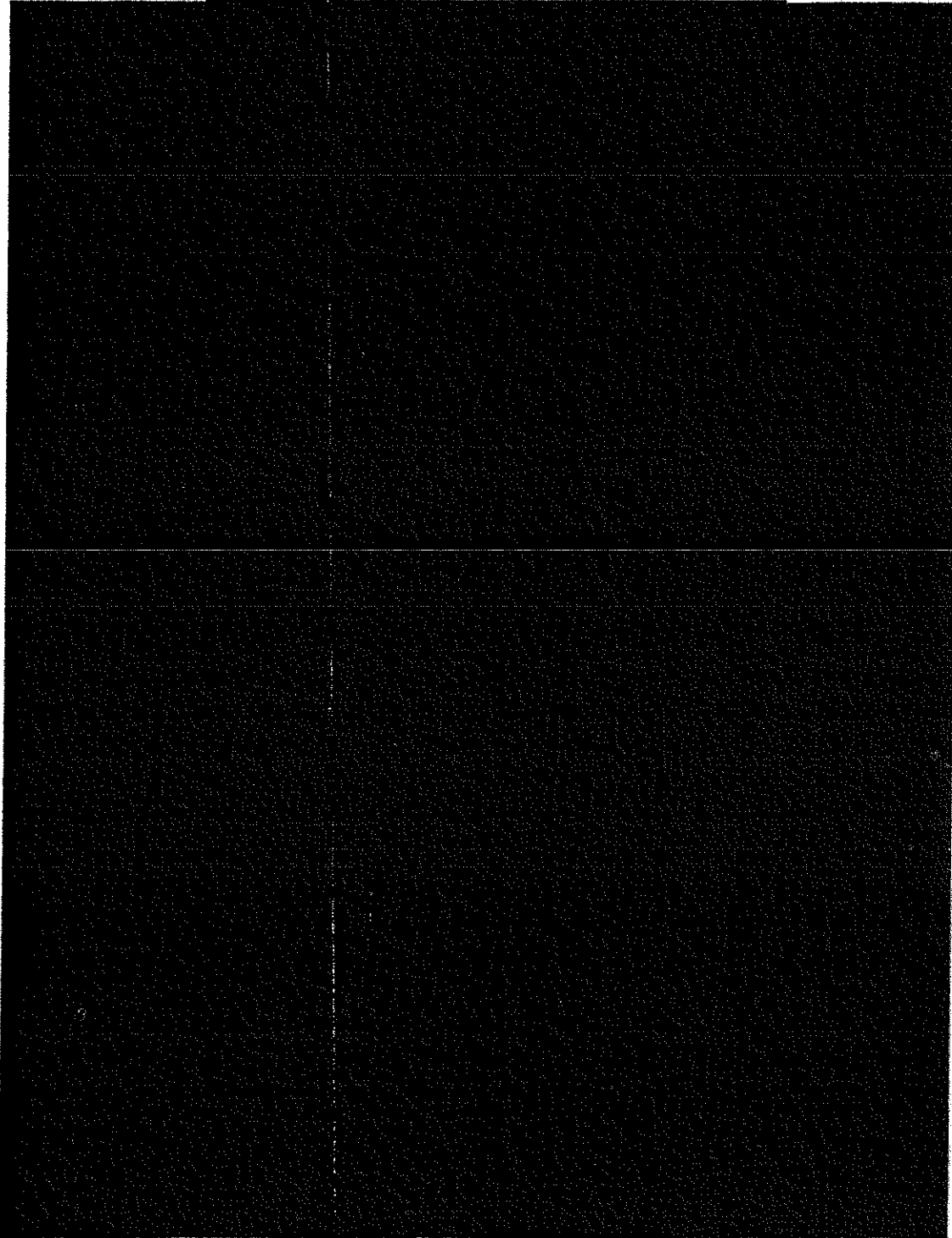
(b)(5) & (7(A))

On December 3<sup>rd</sup> SAC Newell and ASAC Needles met with USA Burke, Chief of Criminal Cunningham and the lead AUSA for this case and discussed all these matters.

It should be further noted that firearms purchasing and trafficking activity by this organization has subsided significantly since early October of this year. This is due to several factors not the least of which are the many proactive measures taken by the agents assigned to Phoenix Group VII. It should also be noted that throughout the course of the investigation numerous seizures were made by other State, local and Federal law enforcement agencies at the direction of Phoenix Group VII in order to ensure the seized firearms did not reach their intended destination but also to ensure the leadership of this firearms trafficking organization was not "tipped off" to the proactive measures taken while the larger conspiracy case was being prepared for the USAO.

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**List of Defendants Referred to USAO for Prosecution:**



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**Proposed Charges:**

1. (b) (5) [Redacted]
2. (b) (5) [Redacted]
3. (b) (5) [Redacted]
4. (b) (5) [Redacted]
5. (b) (5) [Redacted]
6. (b) (5) [Redacted]
7. (b) (5) [Redacted]
8. (b) (5) [Redacted]
9. (b) (5) [Redacted]
10. (b) (5) [Redacted]
11. (b) (5) [Redacted]
12. (b) (5) [Redacted]
13. (b) (5) [Redacted]
14. (b) (5) [Redacted]
15. (b) (5) [Redacted]

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**Briefing Paper**

Phoenix Field Division

Phoenix Group VII (OCDETF Strike Force/Gunrunner)

ATF Investigation 785115-10-0004

Operation: Fast & Furious, OCDETF No. SW-AZP-0496

**Supplemental**

On December 15/16, 2010, after the shooting death of U.S. Border Patrol Agent Brian Terry near Rio Rico, Arizona (approx 25 miles north of Nogales) Southern Arizona law enforcement officers/agents responded and conducted a search of the area. This search resulted in the arrest of four individuals. One of them, (b) (7)(C) DOB: [REDACTED], was shot in the exchange of gunfire [REDACTED]

[REDACTED] A fifth suspect is still believed to be at large

In addition and during the search of the area two RomArm/Cugir, Model GP WASR 10, 7.62x39mm AK-47 rifles, serial numbers [REDACTED] and [REDACTED] were recovered near the scene of the shooting. An Urgent firearms trace requested by ATF agents on-scene determined (b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Force. This investigation, entitled "Fast and Furious", due to the very quick manner in which a complex firearms trafficking organization acquired several hundred firearms was initiated in October 2009 and is being conducted in conjunction with ICE/HSI, IRS, DEA and the Phoenix Police Department.

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

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On December 15, 2010, ATF agents, assisted by ICE, the USMS and the Phoenix Police Department located (b)(7)(A) & (C)

(b)(7)(A) & (C)

(b)(7)(A) & (C)

(b)(7)(A) & (C)

(b)(7)(A) & (C)

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**From:** Melson, Kenneth E.  
**Sent:** Tuesday, July 27, 2010 6:50 PM  
**To:** Chait, Mark R.; Hoover, William J.  
**Cc:** (b) (6)  
**Subject:** RE: GRIT Closeout Media Opportunity

That would work if Mexico doesn't screw it up. However, I think late August is too early for everyone to get together a meeting of the nature they are talking about in Mexico. If we do a press conference in AZ, we should see if the DAG wants to participate. Ken.

**From:** Chait, Mark R.  
**Sent:** Tuesday, July 27, 2010 6:06 PM  
**To:** Melson, Kenneth E.; Hoover, William J.  
**Cc:** (b) (6)  
**Subject:** FW: GRIT Closeout Media Opportunity

Ken -- how would these dates work for you..i realize we also have Mexico meetings on the horizon..

Mark R. Chait  
 Assistant Director  
 Field Operations  
 [REDACTED]

**From:** Newell, William D.  
**Sent:** Tuesday, July 27, 2010 5:37 PM  
**To:** Chait, Mark R.  
**Subject:** Re: GRIT Closeout Media Opportunity

Just met with Arizona USA Dennis Burke. He's free the 26th (Thursday) so why don't you plan on flying into Phoenix Wednesday morning, address the All-Hands Wednesday afternoon and then do the press conference Thursday morning? You could fly back that afternoon. That work?

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 [REDACTED]

\*\*\*  
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**From:** Chait, Mark R.  
**To:** Newell, William D.  
**Cc:** McMahon, William G.  
**Sent:** Mon Jul 26 21:00:34 2010  
**Subject:** Re: GRIT Closeout Media Opportunity

I like the idea, however the ATF awards ceremony is the 24th. Does the 25-26th work.  
 Mark R. Chait  
 Assistant Director  
 Field Operations

\*\*\*  
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(including all attachments).

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**From:** Newell, William D.  
**To:** Chait, Mark R.  
**Cc:** McMahon, William G.  
**Sent:** Mon Jul 26 18:51:36 2010  
**Subject:** RE: GRIT Closeout Media Opportunity

We are planning on having our All-Hands the week of August 23-27. This division has not had one in over 7 years so they are due. We are still awaiting DOJ approval for the hotel but (b) (6) thinks it's going to go through since we avoided all the issues that have delayed other approvals. Therefore, how about we do the press conference on Tuesday the 24<sup>th</sup>? That way you could come in on Monday and address the employees Tuesday morning before the press conference which we could hold around 1:00pm. I still need to coordinate with the USA though, he's going to want to participate and should. I'll advise as to his schedule asap.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

---

**From:** Chait, Mark R.  
**Sent:** Monday, July 26, 2010 3:33 PM  
**To:** Newell, William D.  
**Cc:** McMahon, William G.  
**Subject:** GRIT Closeout Media Opportunity

Bill – we would like to take advantage of the good work during the GRIT and plan a media event which would include DD Melson...I would like to get some ideas regarding the best way to showcase the good work. Consider the best date in August and any case that would highlight the initiative like Fast and Furious or others..Thanks

Mark R. Chait  
Assistant Director  
Field Operations  
[REDACTED]

From: Melson, Kenneth E.  
Sent: Tuesday, January 18, 2011 9:49:53 AM  
To: (b) (6)  
Subject: Re: ODAG call

Please check with (b) (6) on the status of the demand letter.

\*\*\*\*\*

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----- Original Message -----

From: (b) (6)  
To: Melson, Kenneth E.  
Cc: Hoover, William J.; (b) (6)  
Sent: Tue Jan 18 09:46:06 2011  
Subject: RE: ODAG call

Possibilities include:

Status of the Demand Letter for MSLG.

Fast and Furious Indictment at the end of the week - takedown next Tuesday 1/25.

[REDACTED]

(b) (6)  
Acting Chief of Staff  
Office of the Director

HQ Room 5 S 100

-----Original Message-----

From: Melson, Kenneth E.  
Sent: Tuesday, January 18, 2011 9:39 AM  
To: (b) (6)  
Cc: Hoover, William J.  
Subject: ODAG call

Do we have a list of topics for the call to Brad?

\*\*\*\*\*

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for the use of the addressee(s) named above in connection with official business. This communication may contain Controlled Unclassified Information that may be statutorily or otherwise prohibited from being released without appropriate approval. Any review, use, or dissemination of this e-mail message and any attached file(s) in any form outside of ATF or the Department of Justice without express authorization is strictly prohibited.

**From:** (b) (7)(C)  
**Sent:** Monday, March 08, 2010 4:59 PM  
**To:** (b) (7)(C)  
**Subject:** guns from (b) (7)(A)

*It appears that a gun that came from the (b) (7)(C) arrest in La Paz also came from Phoenix.*

*How many does that make that were found in Baja California? I count Mexicali, and 2 or 3 seizures in Tijuana and then the La Paz seizure. Can we draw any conclusions as to who is moving the guns here. Any idea on a stash location or if they are coming through California or in through Yuma?*

*I know you probably don't know the answer to any of these questions just keep it in mind. If you find any evidence of a location in Mexico call me.*

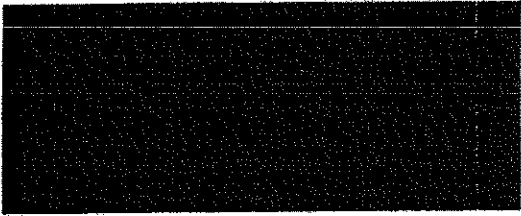
(b) (7)(C)

*let me know if the dates I sent you for me to talk to the AUSA are good.*

*Thanks*

(b) (7)(C)

ATF Senior Special Agent  
US Consulate General  
Tijuana, Mexico



**From:** (b) (7)(C)  
**Sent:** Friday, March 05, 2010 12:41 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Phoenix VII - DEA

**Due By:** Tuesday, January 02, 1601 12:00 PM

LAQ

I spoke with (b) (7)(C) today and unless you have a conflict I plan to report to the DEA office on Monday, March 8<sup>th</sup>. My plan is to work the mornings at DEA and then report to the ATF office in the afternoons. Any deviation from this plan will involve operational priorities.

(b) (7)(C) anticipates that (b) (7)(C) will begin shortly on the (b) (7)(C) and it will be run out of the DEA office. This may require me to increase my time in the DEA office verses the ATF office, however, I will advise should this need arise.

Please advise if you or ASAC Gillett have any additional input regarding this matter.

Thanks

(b) (7)(C)  
 Senior Intelligence Research Specialist  
 Phoenix Field Division

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, January 19, 2010 9:08 AM  
**To:** McGinty, Jennifer M. (DEA-US); (b) (7)(C)  
**Subject:** Re: FYI

(b) (7)(C)  
 I am all for (b) (7)(C) presence in your group...but let me get back to you on whether she could be truly full time (we don't have the analyst staffing that DEA does).

(b) (7)(C)

\*\*\*\*\*

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**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Tue Jan 19 10:46:48 2010  
**Subject:** FW: FYI

(b) (7)(C)

I wanted to reach out for you anyway regarding (b) (7)(C) coming over and the fact that we would love for her to sit in the Phoenix Intell group.

Please let me know if you agree and when we can anticipate her arrival. I have already talked to our Tech people about making sure she can have access to her system from our group and be able to toggle to DEA systems. Also, I received the below email from a BP friend. Is this the case that (b) (7)(C) is working with the

Strike Force. As you may or may not know - we nominated (b) (7)(C) and he was successfully approved. So, we are interested in anything to do with him.

-----Original Message-----

**From:** (b) (7)(C)  
**Sent:** Tuesday, January 19, 2010 7:23 AM  
**To:** (b) (7)(C)  
**Subject:** FW: FYI

-----Original Message-----

**From:** PASSI, FELIX N [REDACTED]  
**Sent:** Monday, January 18, 2010 9:24 AM  
**To:** (b) (7)(C)  
**Subject:** FYI

ATF received information from DEA sources this week that guns purchased in Phoenix were going to be moved south through El Paso. They received this information on Tuesday. This was briefed to the ATF Director. As a result ATF Intel was directed to pay close attention to any seizures in El Paso.

Yesterday there were two seizures in El Paso. There was a 40 gun seizure at a stash house and the 2 gun seizure at the Yselta POE. [REDACTED]

[REDACTED] Apparently (b) (7)(C) purchased all 42 El Paso guns recovered yesterday in the Phoenix area on December 24<sup>th</sup> and December 28<sup>th</sup>. That appears to be the connection to Phoenix. They maintain that all the guns seized yesterday are in fact tied to the current ATF/ICE Phoenix case. That was confirmed last night around 8 pm when they were given access to the guns, which I believe were entered as suspect guns by the Phoenix case agent.

ATF indicates that recent seizures in Douglas, Naco, Phoenix, Nogales and a large seizure in Mexicali (48 guns and 12 tons of Marijuana) all tie back to Phoenix purchases which appear to be interrelated. ATF Intel believes that the weapon purchases are tied to the (b) (7)(A). Will advise of further developments.

Felix N. Passi  
Lead Agent  
Casa Grande Intelligence Unit  
[REDACTED]

From: (b) (7)(C)  
Sent: Wednesday, December 09, 2009 6:18 PM  
To: (b) (7)(C)  
Subject: RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

Nice, I like it. ! Arizona tag on car, was it registered to the driver or someone else?

From: (b) (7)(C)  
Sent: Wednesday, December 09, 2009 4:16 PM  
To: (b) (7)(C)  
Subject: FW: Suspect Gun firearm recovered, MEXICO, [REDACTED]

For your enjoyment

From: (b) (7)(C)  
Sent: Wednesday, December 09, 2009 3:16 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Case Agents: (b) (7)(C)

Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Remarks: Please contact (b) (7)(C) on the CC: line if you need access to this general case file to view PGR report and EPIC 10 results.

NFORCE: 164020-10-0001  
Title: DECOMISO - SEIZURE BULLETIN - 11/20/2009 - NACO, SONORA (Aguia Prieta)  
FIRST SWB: (b) (7)(C)  
Date: 24 November 2009  
Event: On November 20, 2009 the Mexican Army and Customs authorities detained one female and a juvenile transporting 42 firearms and ammo in a black Chevy Tahoe bearing Arizona license plates.  
Location: Aduana de Naco, Sonora  
Case Number: Previa- AP/PGR/SON/AGP-1/492/2009

Arrests:  
- [REDACTED] - 21 YOA- US CITIZEN  
- [REDACTED] - 15 YOA - US CITIZEN  
Criminal Group/Org.: Unknown

Firearms:  
-41 AK-47 Rifles and Pistols  
1- Colt/Beowulf- 50 caliber rifle  
Explosives: n/a

Magazines:  
-43 Magazines for various calibers

Ammunition:  
-168 cartridges of various calibers

Tactical Equipment:  
1-Telescopic scope  
1-Bipod  
Uniforms: n/a  
Communication Equipment: n/a  
Currency: n/a

Other Objects:  
-3 bayonets/knives  
Drugs: n/a

Vehicles:  
-One 2003 Tahoe black in color bearing Arizona tags; [REDACTED]

Synopsis: On November 20, 2009 the Mexican 9<sup>th</sup> Infantry Company located in Aguia Prieta, Sonora and Mexican Customs agents stopped a black 2003 Chevy Tahoe bearing Arizona license plates [REDACTED] occupied by [REDACTED] and [REDACTED]. Canine dog, Binomio "Shadow" was deployed. A search of the vehicle revealed 42 firearms, 43 magazines, 3 bayonets and 168 rounds of ammunitions.

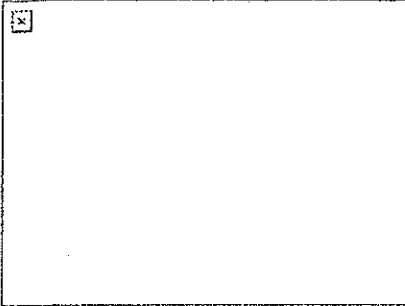
MEXICAN NEWS ARTICLES RELATED TO THIS SEIZURE



## Consignan a detenida con armas en Naco

-Verónica Álvarez Toscano fue capturada en la Garita Aduanal de Naco, Sonora

Por: Jesús Zúñiga C.



Agua Prieta, Son; A 27 de Noviembre de 2009.- El Agente del Ministerio Público de la Federación consignó ante el Juzgado Noveno de Distrito en la Entidad, a Verónica Álvarez Toscano, por su presunta responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en el diverso de posesión de cartuchos, introducción clandestina a Territorio Nacional, acopio de armas explosivas de las reservadas para uso exclusivo del Ejército, Armada y Fuerza Aérea.

De acuerdo con la con la causa penal 284/09, Álvarez Toscano fue detenida por personal de la Administración Central para la Inspección Fiscal Aduanera comisionados en Naco, Sonora.

Ahí los elementos Fiscales apoyados del hinomio canino "Sombra", detectaron en una camioneta Chevrolet tipo Tahoe, modelo 2003, el cargamento de armas y municiones.

Del interior de la unidad aseguraron a la inculpada, así como 41 armas largas tipo AK-47, todas calibre 7.62x39 mm, de diferentes marcas, modelos y países de origen; un fusil calibre .50, marca Colt; 40 cargadores calibre 7.62x39; tres cargadores calibre .50; 160 cartuchos, calibre .50; una mira telescópica; un bípie para arma de fuego; tres cuchillos y un estoche.

La mujer originaria de Florida los Estados Unidos, era acompañada de un menor de edad, mismo que quedo a disposición del Juzgado Especializado en Justicia para Adolescentes.

En consecuencia, la traficante fue puesta a disposición del Fiscal Federal quien inició la investigación previa APPGR/SON/AGP-1/492/2009, en su contra por los delitos antes referidos.

Source: [http://www.certesasonorenas.com/notas/consignan\\_a\\_detenida\\_en\\_naco.html](http://www.certesasonorenas.com/notas/consignan_a_detenida_en_naco.html)

## Mujer estadounidense detenida en México por presunto contrabando de armas

26 de Noviembre de 2009, 03:02pm ET  
MÉXICO, 26 Nov 2009 (AFP) -

Una mujer estadounidense de origen latino fue detenida por las autoridades mexicanas cuando viajaba con un niño por traficar en su automóvil un cargamento de armas presuntamente introducido a México de manera ilegal, informó el jueves la Procuraduría General de la República (PGR).

La fiscalía federal puso a disposición de un juzgado a "Verónica Álvarez Toscano por su probable responsabilidad en la comisión del delito de violación a la ley de armas de fuego en su modalidad de posesión e introducción clandestina a territorio nacional", dijo la PGR en un comunicado de prensa.

La detención se registró en el estado mexicano de Sonora (noroeste), fronterizo con Estados Unidos, cuando agentes de aduanas descubrieron en una revisión 41 rifles tipo Ak-47 y un fusil Colt de calibre .50, así como una mira telescópica, entre otros, añadió la fiscalía.

La mujer y el menor con el que iba acompañada son originarios del estado de Florida (sureste de Estados Unidos), precisó la PGR.

Entre 2007 y 2008 el gobierno mexicano decomisó 16.000 armas de asalto, de las cuales el 90% provenían de Estados Unidos, según cifras oficiales.

En la frontera sur de Estados Unidos se han detectado unos 10.000 puntos de venta de armas.

El gobierno de México enfrenta con 50.000 militares desplegados en el país una lucha frontal contra los cárteles de la droga, cuya disputa ha generado una ola de violencia con más de 14.000 muertos desde 2006.

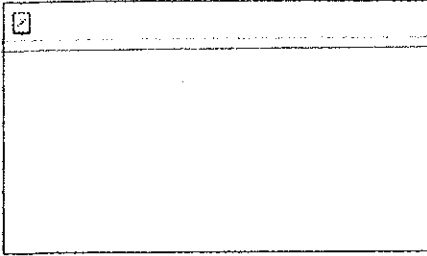
tp/rpl

Source:

<http://www.univision.com/contentroot/wirefeeds/50noticias/mexico/8090709.shtml?sessionId=KRTK5XLMCSN1WCN1AACP7YK1AADY1WC>

ubicado por Administrador en 05:33 PM 0 comentarios

Capturan en Sonora a una estadounidense con un arsenal



Con 41 fusiles AK-47, un rifle marca Colt, además de 40 cargadores para AK-47 y tres para el fusil Colt, una mira telescópica y tres cuchillos, fue detenida una mujer de nacionalidad estadounidense, informó la Procuraduría General de la República

Su nombre, Verónica Álvarez Toscano, quien junto con un menor de edad, de quien no se proporciona el nombre, intentó ingresar a territorio mexicano el arsenal, señaló la PGR en un comunicado.

La mujer, procedente de DeLand, Florida, viajaba en una camioneta y fue detenida en un retén de inspección en la frontera entre Sonora y Arizona por el personal de aduanas.

Álvarez Toscano fue presentada al Juzgado Noveno de Distrito en Sonora por su probable responsabilidad en los delitos de posesión de armas de fuego de uso exclusivo del Ejército, introducción clandestina a territorio nacional y por posesión de cartuchos para ese tipo de armas.

Source: <http://narcotraficoenmexico.blogspot.com/>

## Mujer intenta traficar fusiles de Estados Unidos a México

(AP)— Una estadounidense fue acusada de intentar introducir clandestinamente a México 42 fusiles de alto calibre desde Estados Unidos, informó el jueves la Procuraduría General de la República (PGR).

La mujer fue detenida el 20 de noviembre en la frontera entre Sonora, México, y Arizona, Estados Unidos, cuando viajaba acompañada de un menor a bordo de una camioneta, en cuyo interior localizaron 41 fusiles AK-47 calibre 7,62x39 mm. y uno más calibre .50 marca Colt, señaló la dependencia en un comunicado.

Durante una operación de inspección en una aduana mexicana, las autoridades también encontraron 40 cargadores para los fusiles AK-47 y tres para el fusil calibre .50; 160 cartuchos calibre .50; una mira telescópica; un bipie para arma de fuego; tres cuchillos y un estuche.

La PGR señaló que finco cargos contra la mujer, identificada como Verónica Álvarez Toscano, por la posesión ilegal de los fusiles, introducción clandestina y acopio de armas de uso exclusivo de las fuerzas armadas.

El menor, del que no se dio su edad, quedó a disposición de un juzgado especializado en justicia para adolescentes.

La PGR señaló que la mujer y el menor son originarios de DeLand, Florida.

El gobierno mexicano ha señalado en diversas ocasiones que parte de la violencia de los carteles de las drogas se ve alimentada con las armas de alto calibre que los narcotraficantes consiguen en Estados Unidos, por lo que ha insistido en que las autoridades del vecino país del norte hagan más para detener ese tráfico.

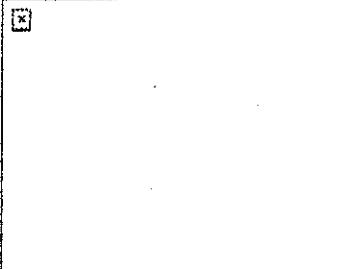
Las autoridades también han dicho que una forma de conseguir las armas es contratar terceras personas que las adquieren en territorio estadounidense.

Etiquetas: AK-47, Estados Unidos, frontera, fusiles, México, Sonora, Verónica Álvarez Toscano, y Arizona

Source: <http://www.aborasi.com/mujer-intenta-traficar-fusiles-de-estados-unidos-a-mexico/#more-14777>

## Mujer intentó traficar fusiles de EU a México

Nota



La introducción de fusiles de alto calibre a territorio mexicano desde Estados Unidos fue denunciada por la PGR.

... Su nombre es Verónica Álvarez Toscano

La mujer fue detenida el 20 de noviembre en la frontera entre Sonora y Arizona

**CIUDAD DE MÉXICO.-** Una estadounidense fue acusada de intentar introducir clandestinamente a México 42 fusiles de alto calibre desde Estados Unidos, informó el jueves la Procuraduría General de la República (PGR).

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El menor, del que no se dio su edad, quedó a disposición de un juzgado especializado en justicia para adolescentes.

La PGR señaló que la mujer y el menor son originarios de DeLand, Florida.

El gobierno mexicano ha señalado en diversas ocasiones que parte de la violencia de los carteles de las drogas se ve alimentada con las armas de alto calibre que los narcotraficantes consiguen en Estados Unidos, por lo que ha insistido en que las autoridades del vecino país del norte hagan más para detener ese tráfico.

Las autoridades también han dicho que una forma de conseguir las armas es contratar terceras personas que las adquieren en territorio estadounidense.

Source: <http://www.informador.com.mx/mexico/2009/11/26/6/mujer-intento-trafficar-fusiles-de-ee-uu-a-mexico.htm>

## CONSIGNAN A MUJER QUE FUE DETENIDA CON ARMAS Y CARTUCHOS EN SU CAMIONETA

Escrito por: [marcos1965](#) Estados, Noticias al minuto Nov 26, 2009

México, D.F. 26 de noviembre 2009.- La Procuraduría General de la República (PGR) a través de su Delegación Estatal en Sinaloa consignó ante el Juzgado Noveno de Distrito en esa entidad a Verónica Álvarez Toscano por su probable responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en las modalidades de posesión, introducción clandestina a territorio nacional, acopio de armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea y posesión de cartuchos para dichas armas.

La PGR explicó que personal de la Administración Central para la Inspección Fiscal Aduanera comisionados en Naco, Sonora, con el apoyo del perro entrenado "Sombra", lograron descubrir que la mujer, quien iba acompañada por un menor de edad, llevaba en la camioneta Chevrolet Tahoe modelo 2003, que se disponía a abordar: 41 armas largas tipo AK-47 de las llamadas "cuervo de chivo", todas calibre 7.62 x 39 mm, pero de diferentes marcas, modelos y países de origen; también llevaba un fusil calibre .50 marca Colt, 40 cargadores calibre 7.62 x 39 para fusil AK-47, tres cargadores calibre .50, 160 cartuchos calibre .50, una mira telescópica, un bípie para arma de fuego, tres cuchillos y un estuche.

La mujer fue puesta a disposición del agente del Ministerio Público de la Federación quien inició la averiguación previa AP/PGR/SON/AGP-1/492/2009 en su contra por el delito de posesión y acopio de armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea; en tanto que el menor de edad quedó a disposición del Juzgado Especializado en Justicia para Adolescentes.


Verónica Álvarez Toscano y el menor son originarios de DeLand, Florida, informó la PGR.

Source: <http://enlamira.net/?p=8178>


jueves 26 de noviembre de 2009

### CONSIGNAN VERÓNICA ÁLVAREZ TOSCANO POR POSESIÓN DE MÁS DE 40 FUSILES AK-47, EN NACO SONORA

COMUNICADO PGR La Procuraduría General de la República a través de su Delegación Estatal consignó ante el Juzgado Noveno de Distrito en la entidad a Verónica Álvarez Toscano por su probable responsabilidad en la comisión del delito de violación a la Ley Federal de Armas de Fuego y Explosivos, en las modalidades de posesión, introducción clandestina a territorio nacional, acopio de armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea y posesión de cartuchos para armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea. De acuerdo con la causa penal 284/09, personal de la Administración Central para la Inspección Fiscal Aduanera comisionados en Naco, Sonora, apoyados del binomio canino "Sombra", lograron descubrir que Álvarez Toscano, quien iba acompañada por un menor de edad, llevaba en el vehículo que iba a abordar, marca Chevrolet tipo Tahoe, modelo 2003, 41 armas largas tipo AK-47, todas calibre 7.62x39 mm, de diferentes marcas, modelos y países de origen; un fusil calibre .50, marca Colt; 40 cargadores calibre 7.62x39; tres cargadores calibre .50; 160 cartuchos, calibre .50; una mira telescópica; un bípie para arma de fuego; tres cuchillos y un estuche. En consecuencia, fue puesta a disposición del agente del Ministerio Público de la Federación quien inició la averiguación previa AP/PGR/SON/AGP-1/492/2009 en su contra por el delito referido; en tanto que el menor de edad quedó a disposición del Juzgado Especializado en Justicia para Adolescentes. Cabe destacar que Verónica Álvarez Toscano y el menor son originarios de DeLand, Florida. Lo anterior forma parte de las acciones del Gobierno Federal para erradicar la introducción clandestina de armas al país y garantizar la tranquilidad de la ciudadanía.

en Jueves, noviembre 26, 2009  Etiquetas: SONORA, TRÁFICO DE ARMAS

Source: <http://guaracontraelnarco.blogspot.com/2009/11/consignan-veronica-alvarez-toscano-por.html>

From: (b) (7)(C)  
Sent: Wednesday, December 09, 2009 10:20 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Suspect Gun firearm recovered, MEXICO, 

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117)

Type: [REDACTED]

Caliber: [REDACTED]

Model: [REDACTED]

Recovered (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C)

(b) (7)(C) VIA E-MAIL OR AT

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

From: Chait, Mark R.  
Sent: Sunday, February 21, 2010 1:27:33 PM  
To: Hoover, William J.  
Subject: Fw: Seizure

This is the fast and furious case mentioned to you last night. This was a key takedown and now we have [REDACTED] to further this investigation.

Mark R. Chait  
Acting Assistant Director  
Field Operations

\*\*\*

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----- Original Message -----

From: McMahon, William G.  
To: Newell, William D.  
Cc: Chait, Mark R.  
Sent: Sat Feb 20 23:44:09 2010  
Subject: Re: Seizure

Outstanding. Thank all involved. Great job this week and especially tonight.

\*\*\*

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----- Original Message -----

From: Newell, William D.  
To: McMahon, William G.  
Sent: Sat Feb 20 22:55:58 2010  
Subject: Fw: Seizure

FYI - 42 not 20.  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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----- Original Message -----

From: Gillett, George T. Jr.  
To: Newell, William D.  
Cc: (b) (7)(C)  
Sent: Sat Feb 20 22:33:44 2010  
Subject: Seizure

Update -

[REDACTED]

[REDACTED]

[REDACTED]

The actual number of guns seized today is 42 NOT 20. Of the 42 seized, there are some AR type rifles that were not sold by any of our known dealers.

[REDACTED] Sir first thing Monday morning.

George T. Gillett  
ASAC - Phoenix Field Division  
[REDACTED]

\*\*\*

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**From:** (b) (7)(C)  
**Sent:** Tuesday, June 01, 2010 1:01:30 PM  
**To:** (b) (7)(C)  
**CC:** Chait, Mark R.; McMahon, William G.  
**Subject:** RE: Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

(b) (7)(C)

I think we should address this item with the immediate work ahead that we've discussed (and are meeting on today). I wasn't in the El Paso conversations, but I assume the student SAs were referring to allowing firearm transfers, between individuals or between FFLs and individuals, to occur without LE interference in furtherance of a trafficking type investigation. The question you raised before was what volume of firearms is acceptable to allow to "walk" before we intervene, 5, 10, 50? Also, my read of your comments does not imply that we are, with only field level approval, authorizing "prop" firearms to walk ... Good idea - let's discuss later today. Thanks

(b) (7)(C)

Chief, Firearms Operations Division  
 ATF HQ - Room 6.S.129  
 [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, June 01, 2010 11:45 AM  
**To:** Chait, Mark R.; McMahon, William G.  
**Cc:** (b) (7)(C)  
**Subject:** FW: Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

This is what we've come up with regarding the transfers of firearms during the course of an investigation. This issue came up during the recent firearms trafficking seminar I attended in El Paso. Specifically, it appears that agents in the field are of the opinion that they can transfer or permit the transfer of firearms to further an investigation without HQ knowledge or approval. The language below would seem to support that position. Given that our Firearms Enforcement Order has not been updated for 21 years and much has changed during the intervening period I recommend that we develop policy to address this issue immediately, followed by an effort to update the Order.

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 27, 2010 6:03 PM  
**To:** (b) (7)(C)

**Subject:** Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 27, 2010 11:49 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

Hi (b) (7)(C), sorry for the delay. I've checked several resources, including our PD&E Shared Drive, which is an archive of old policy memos, broadcast messages, e-mails, and other miscellaneous documents and items and have not been able to find any supplemental policy regarding weapons transfer. It seems the information in ATF O 3310.4B is the only policy that exist.

Let me know if I can be of further assistance.

*Program Analyst (b) (7)(C)  
 Policy Development and Evaluation Branch  
 Field Management Staff, Office of Field Operations  
 99 New York Avenue, NE, [REDACTED]  
 Washington, DC 20226  
 [REDACTED]*

*I am who I am because of who we all are!*

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 20, 2010 4:14 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

**REQUEST FOR SUPPLEMENTAL DOCUMENTATION RE ATF O 3310.48 " WEAPONS TRANSFER" AND SUPERVISORY APPROVAL REQUIREMENT**

Per discussion this date, requesting supplemental documentation, if any, issued by HQ and/or Field Divisions reflecting the requirement of supervisory/SAC approval for "Weapons Transfers," which is not addressed in ATF O 3310.48.

Thank you for your time and assistance.

---



**“WEAPONS TRANSFER”**

ATF O 3310.48 Firearms Enforcement Program cites that a special agent “may choose to allow the transfer of firearms to take place in order to further an investigation and allow for the identification of additional coconspirators who would have continued to operate and illegally traffic firearms in the future, potentially producing more armed crime.”

Comment: Order reflects no procedural notation regarding supervisory approval; sole discretionary practice by investigative personnel

Reference: ATF O 3310.4B Firearms Enforcement Program, p. 113

Extract: 148. “Weapons Transfers”

- a. Considerations. During the course of illegal firearms trafficking investigations, special agents may become aware of, observe, or encounter situations where an individual(s) will take delivery of firearms, or transfer firearm(s) to others. In these instances, the special agent may exercise the following options:
  - (1) In cases where probable cause exists to believe a violation of law has occurred and the special agent determines there is a need to intervene in the weapons transfer (e.g., the recipient of the firearms is a known felon; it is known the firearms will be used in crime of violence), the special agent shall do so but should place concerns for public safety and the safety of the involved special agents as the primary determining factor in exercising this option.
  - (2) In other cases, immediate intervention may not be needed or desirable, and the **special agent may choose to allow the transfer of firearms to take place in order to further an investigation and allow for the identification of additional coconspirators who would have continued to operate and illegally traffic firearms in the future, potentially producing more armed crime.**

Document:

<< File: ATF O 3310.4B Firearms Enforcement Program.doc >>

Subject: Briefing for Asst Attorney General Lanny Breuer  
and Project Gunrunner  
Location: DOJ Room 2107

Start: 5/22/2011 6:57 AM  
End: 5/22/2011 6:57 AM  
Show Time As: Busy

Recurrence: (none)

Meeting Status: Accepted

Required Attendees: Chait, Mark R.; (b) (6)

Optional Attendees: McMahon, William G.

Resources: DOJ Room 2107

When: Monday, June 15, 2009 2:00 PM-2:45 PM (GMT-05:00) Eastern Time (US & Canada).

Where: DOJ Room 2107

\*~\*~\*~\*~\*~\*~\*~\*~\*~\*

From: Melson, Kenneth E.  
Sent: Wednesday, July 14, 2010 7:18:48 PM  
To: Chait, Mark R.; Hoover, William J.  
Subject: Re: SIR

This is great! When will we be taking Fast and Furious down? An awful lot of guns seem to be flowing south. Ken

\*\*\*

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----- Original Message -----

From: Chait, Mark R.  
To: Melson, Kenneth E.  
Cc: Hoover, William J.  
Sent: Wed Jul 14 16:14:55 2010  
Subject: Fw: SIR

This was the second case Grit took off last night.  
Mark R. Chait  
Assistant Director  
Field Operations

\*\*\*

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----- Original Message -----

From: Significant Activity Report  
To: SAR/SIR Message Delivery  
Sent: Wed Jul 14 14:16:48 2010  
Subject: FW: SIR

-----  
From: (b) (7)(C)  
Sent: Wednesday, July 14, 2010 2:16:45 PM  
To: Needles, James R.; Newell, William D.; (b) (7)(C)  
Significant Activity Report  
Subject: SIR  
Auto forwarded by a Rule

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES  
SIGNIFICANT INFORMATION REPORT

DATE: July 14, 2010  
FROM: Phoenix Field Division  
FIELD OFFICE: Phoenix VII Field Office

CASE INFORMATION

CASE NUMBER: 785115--10-0004  
CASE TITLE: (b) (7)(C)  
SPECIAL AGENT: (b) (7)(C)  
TELEPHONE NUMBER: [REDACTED]

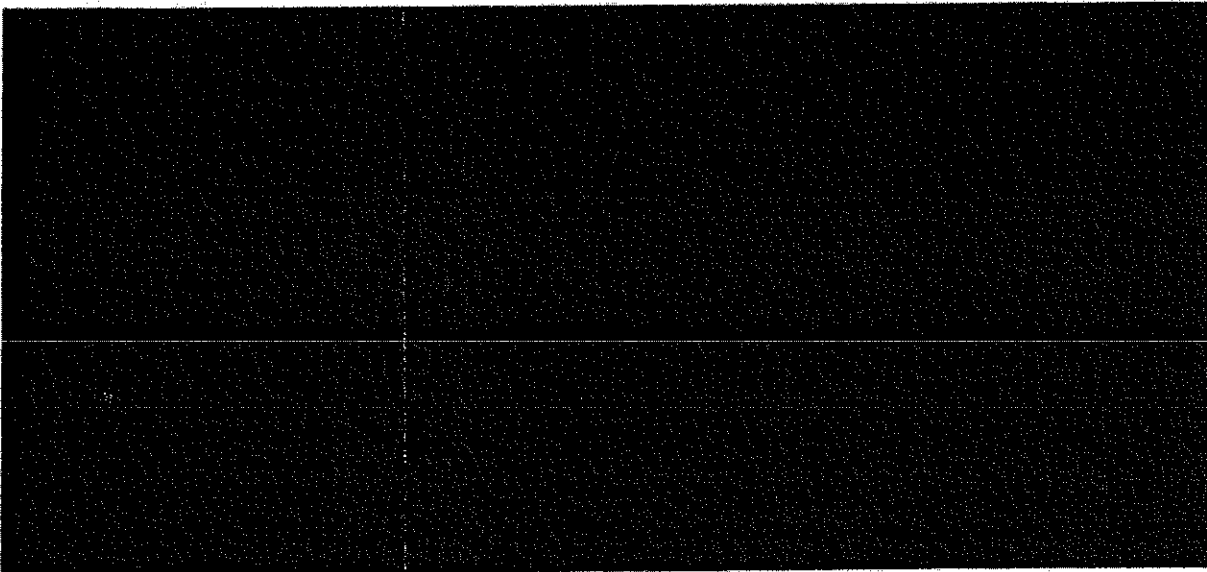
---

SYNOPSIS OF INCIDENT/ACTIVITY:

Recovery of 73 firearms and 250 AK-47 drum magazines.

---

NARRATIVE OF INCIDENT/ACTIVITY:



This recovery adds to the total of 169 firearms recovered in the previous 25 days by Group VII agents and GRIT agents assigned to Group VII as part of Southwest Border Firearms Trafficking investigations.

From: Newell, William D.  
Sent: Friday, June 04, 2010 1:56:03 PM  
To: McMahon, William G.; Chait, Mark R.  
Subject: Next week - Thursday schedule

---

9:00am-10:00am -- meeting with Phoenix division executive team (SAC, ASACs and DIO) in division conference room.

10am-11:30am -- Town Hall in Phoenix Division Office. We are renting 120 chairs and going to do this on the 3rd floor which is empty space right now and which we are trying to acquire in order to move all of Industry Ops personnel.

11:30-1:00 -- Lunch

1:00-2:00 -- meeting with USA Dennis Burke in his office.

2:30-4:00 -- Visit OCDETF Strike Force space, briefing by DEA SAC and ATF GS (b) (6)

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)  
[REDACTED]

\*\*\*

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**From:** Potter, Mark W.  
**Sent:** Monday, June 08, 2009 10:00:09 AM  
**To:** Chait, Mark R.; Torres, Julie M  
**CC:** (b) (6)  
**Subject:** FW: note the AG's emphasis on the SWB for Intell, information sharing, and law enforcement technology, we're on the right track

**Attachments:** Picture (Metafile)



**Remarks as Prepared for Delivery by Attorney General Holder at the  
Announcement of the National Southwest Border Counternarcotics  
Strategy**

**Albuquerque, N.M.  
Friday, June 5, 2009**

Thank you, Judge Webster for that introduction and for all that you have done and continue to do for the American people.

It is good to return to the Southwest, especially with my friends and partners, Secretary Napolitano and Director Kerlikowske. Fighting the cartels and preventing cartel-associated violence from spreading in our border region is one of this Administration's most important tasks.

To the members of the Homeland Security Advisory Council, as well as the many state and local law enforcement agencies, we appreciate your efforts and hope we can help provide you with the support you need. It is you who are on the front lines, facing these issues every day and doing your best to protect our communities. We are grateful for your courage and hard work, and we are committed to ensuring your continued success.

The National Southwest Border Counternarcotics Strategy we are introducing today is a central component of our comprehensive national response to the threat along the Southwest border.

Drug trafficking cartels spread violence and lawlessness throughout our border region and reach into all of our nation's communities, large and small. By focusing on increased cooperation between the U.S. and Mexican governments as well as enhanced communication within U.S. law enforcement agencies, the National Southwest Border Counternarcotics Strategy we introduce today provides an effective way forward that will crack down on cartels and make our country safer.

The strategy complements the increases in border security resources recently announced by the Obama Administration; the Department of Homeland Security's operations plan for border related contingencies; our cooperation with Mexico through the Merida Initiative; and our national effort to reduce the demand for illegal drugs at home. This strategy is tough, it is smart and it is balanced.

The Department of Justice, along with the Department of Homeland Security, ONDCP and numerous other state and local agencies all bring valuable expertise needed to disrupt the drug

trafficking and related criminal activity that fuel the devastating violence in Mexico and diminishes the quality of life not only along the Southwest border but in many other areas of the United States. This fight must be a national priority.

Our success requires all related agencies to communicate and work together effectively. It also depends on our ability to continue working effectively with the Government of Mexico.

President Calderon has taken unprecedented steps to fight the cartels in his country. His administration is showing in its actions that they are committed to match and enhance our efforts. I am confident that this will ultimately yield sustained progress against drug cartel activities in both of our nations.

**The National Southwest Border Counternarcotics Strategy outlines steps we can take in the fields of intelligence and information sharing, border security, investigations and prosecutions, and law enforcement technology to continue building on our success and partnership on both sides of the border.**

Of particular importance to me and the Department of Justice are the components of this new strategy aimed at enhancing investigations and prosecutions of drug traffickers, arms traffickers and money launderers.

To win this fight, we must pursue the most significant and strategic cases against these cartels, their leaders and their operations. But just as important, we must enhance coordinated action among federal agencies and identify areas for improved coordination with our state and local partners and bilaterally with the Government of Mexico.

These efforts, in part, will build upon existing successful programs like the interagency Organized Crime Drug Enforcement Task Forces, the El Paso Intelligence Center and our bilateral work with Mexican law enforcement. Ultimately, they will allow us to more effectively generate intelligence about cartel activities, target cartel vulnerabilities, and thereby give us a greater ability to arrest and prosecute cartel leaders and to dismantle cartel operations. It was a successful strategy that was employed against organized crime in the past and will be effective again.

Just yesterday, in the Eastern District of Texas, prosecutors announced the arrest and indictment of 17 individuals on drug trafficking and money laundering charges. These arrests were the result of a coordinated 2-year investigation by federal, state and local law enforcement of a drug trafficking ring alleged to have been responsible for the distribution of more than 1,000 kilograms of cocaine, worth approximately 22 million dollars.

Experience shows that the most effective means to attack sophisticated criminal organizations such as the Mexican drug cartels is through the use of multi-agency task forces. Such task forces allow each participating agency to bring its specialized knowledge and expertise to bear against a common target.

Through the pursuit of this strategy, we anticipate additional successes similar to what was achieved in Operation Xcellerator, a 21-month multi-district and bilateral narcotics trafficking and money laundering investigation targeting the Sinaloa Cartel. This operation combined numerous individual investigations in the United States, Mexico and Canada with a common focus on the drug trafficking activities of one of the largest Mexican drug cartels. With extensive cooperation and coordination with our federal, state, and local law enforcement partners, it resulted in more than 750 arrests and the seizure of \$59 million in illegal drug proceeds, more than 12,000 kilos of cocaine, 1,200 pounds of methamphetamine, 1.3 million ecstasy pills and more than 160 weapons.

This new strategy will improve intelligence support to investigations and increase the number of agents, prosecutors and other criminal justice system personnel along the southwest border.

The strategy also acknowledges that drug-trafficking organizations deal in more than illegal narcotics. We will address the drug trafficking-related cash smuggling, money laundering and arms smuggling as well.

While this new strategy will not solve all of our problems related to drug trafficking along the Southwest border and throughout the United States over night, it lays out a practical and effective new approach that I am confident will yield measurable and significant results.

I look forward to working with our partners in the days and weeks ahead as we implement the strategy and bring to bear the full resources of the government against these organizations.

It is now my pleasure to introduce my friend, who I had the privilege to get to know as a fellow United States Attorney and who is now once again one of my most trusted colleagues, Secretary of Homeland Security Janet Napolitano.



**From:** (b) (7)(C)

**Sent:** Friday, March 05, 2010 5:40 PM

**To:** Chait, Mark R.; McMahon, William G.; Martin, Steve K.; Newell, William D.; Champion, Robert R.; Torres, John A.; Webb, J. Dewey; Gillett, George T. Jr.; Needles, James R.; Golson Sr., Michael A.; Chisholm, Kenneth L.; Elder, Robert W.; Anglin, Karl G.; (b) (7)(C)

(b) (7)(C)

**CC:** Martin, Steve K.; (b) (7)(C)

**Subject:** FW: Presentation Slides and Case synopsis document

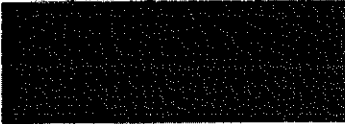
**Attachments:** Timeline for briefing 030410.docx; PHX presentation (final) draft presented on 030510.pdf

**Attached is the timeline briefing handout and a PDF of the powerpoint presentation from today's briefing on Operation - The Fast and The Furious**

(b) (7)(C)

***TEAM LEADER***

Field Intelligence Support Team - Southwest Border  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Intelligence Operations Specialist



**OPERATION THE FAST  
AND THE FURIOUS**



**From:** (b) (7)(C)  
**Sent:** Friday, June 11, 2010 5:47 PM  
**To:** Newell, William D.  
**Subject:** FW: GRIT Performance Report - June 11 2011  
**Attachments:** GRIT Performance Report - June 11 2011.doc

(b) (7)(C) is waiting for your okay before she sends this forward. Is there anything you want changed?

---

**From:** (b) (7)(C)  
**Sent:** Friday, June 11, 2010 11:58 AM  
**To:** (b) (7)(C); Newell, William D.; Needles, James R.; (b) (7)(C)  
**Subject:** GRIT Performance Report - June 11 2011

Good afternoon,

This is the GRIT report for the period June 4 – June 11, 2010. If you should have any corrections, additions or deletions, please advise me. After I make the changes, I will forward the report to (b) (7)(C)

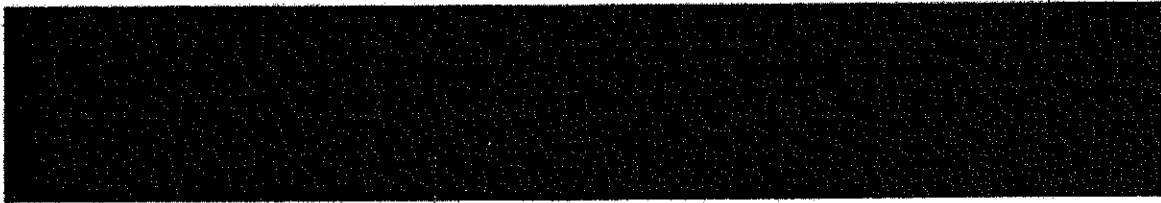
Thank you.

LAW ENFORCEMENT SENSITIVE

**Phoenix Gun Runner Impact Teams (GRIT)  
Performance Report  
June 11, 2010**

GRIT Performance for Reporting Period May 21 – June 3, 2010		
	Reporting Period	Since Inception
Number of Investigative Leads at the Start of GRIT (May 1, 2010)	(b) (7)(A)	(b) (7)(A)
Number of New Investigative Leads	(b) (7)(A)	(b) (7)(A)
<b>Total Leads</b>		
Number of Leads Investigated	(b) (7)(A)	(b) (7)(A)
Number of New Actual Criminal Cases Opened	(b) (7)(A)	(b) (7)(A)
Number of Existing Investigations Supported by GRIT	(b) (7)(A)	(b) (7)(A)
Number of Defendants Recommended for Prosecution	(b) (7)(A)	(b) (7)(A)
Number of Referrals to U.S. LE Agencies	-	-
Number of Liaison Activities with the Gov. of Mexico	-	(b) (7)(A)
Number of Firearms/Other Evidence Seized/Recovered by ATF or Other LE Agencies Acting on ATF Information	(b) (7)(A)	(b) (7)(A)
	(b) (7)(A)	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
	0	(b) (7)(A)
Number of FFL Inspections Initiated	(b) (7)(A)	(b) (7)(A)
Number of FFL Inspections Completed	(b) (7)(A)	(b) (7)(A)
FFL Adverse Actions Recommended:		
Number of Warning Letters/Warning Conferences	(b) (7)(A)	(b) (7)(A)
Number of Revocations (Recommended by Division and Concurred by HQ)	-	-
Number of Licenses Surrendered in Lieu of Revocation	-	-
Number of Criminal Enforcement Referrals Made by IOIs to ATF LE	(b) (7)(A)	(b) (7)(A)

LAW ENFORCEMENT SENSITIVE



**Phoenix Group VII (OCDETF Strike Force)**

785115 (b) (7)(A)

(b) (7)(A)  
(b) (7)(A) (b)(7)(A) & (D)  
**(b)(7)(A) & (D)**

(b)(7)(A) & (D) (b)(7)(A) & (D)  
(b)(7)(A) & (D) (b)(7)(A) & (D) (b)(7)(A) & (D)

(b)(7)(A) & (D)  
(b)(7)(A), (C) & (D) (b)(7)(A) & (D)

(b)(7)(A) & (D) (b)(7)(A) & (D)

**(b)(7)(A), (C) & (D)**

(b) (7)(A) (b)(7)(A) & (C)  
(b)(7)(A) & (C)

(b) (7)(A) (b) (7)(A)  
**(b)(7)(A) & (C)**

(b)(7)(A) & (C) (b)(7)(A) & (C)

(b)(7)(A) & (C) (b) (7)(A) (b) (7)(A)

(b) (7)(A)  
**(b)(7)(A) & (C)**

(b) (7)(A) (b) (7)(A)

**785115-10-0004, Operation Fast and Furious**

This OCDETF investigation (b) (7)(A)

**(b) (7)(A)**

**(b) (7)(A)**

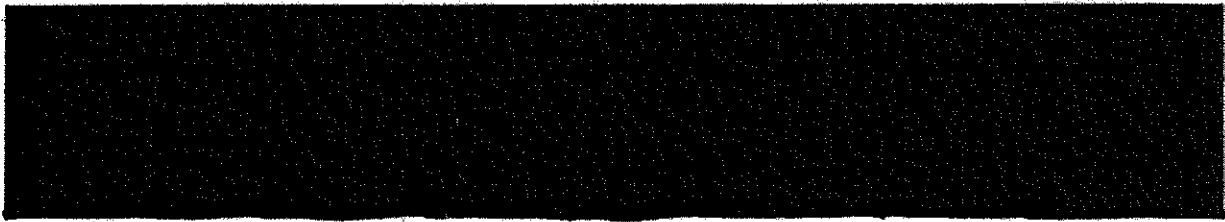
**(b) (7)(A)**

**(b) (7)(A)**

**(b) (7)(A)**

**(b) (7)(A)**

LAW ENFORCEMENT SENSITIVE



To

(b)(7)(A), (C) & (E)

(b)(7)(A), (C) & (E)

(b) (7)(A)

(b) (7)(A)

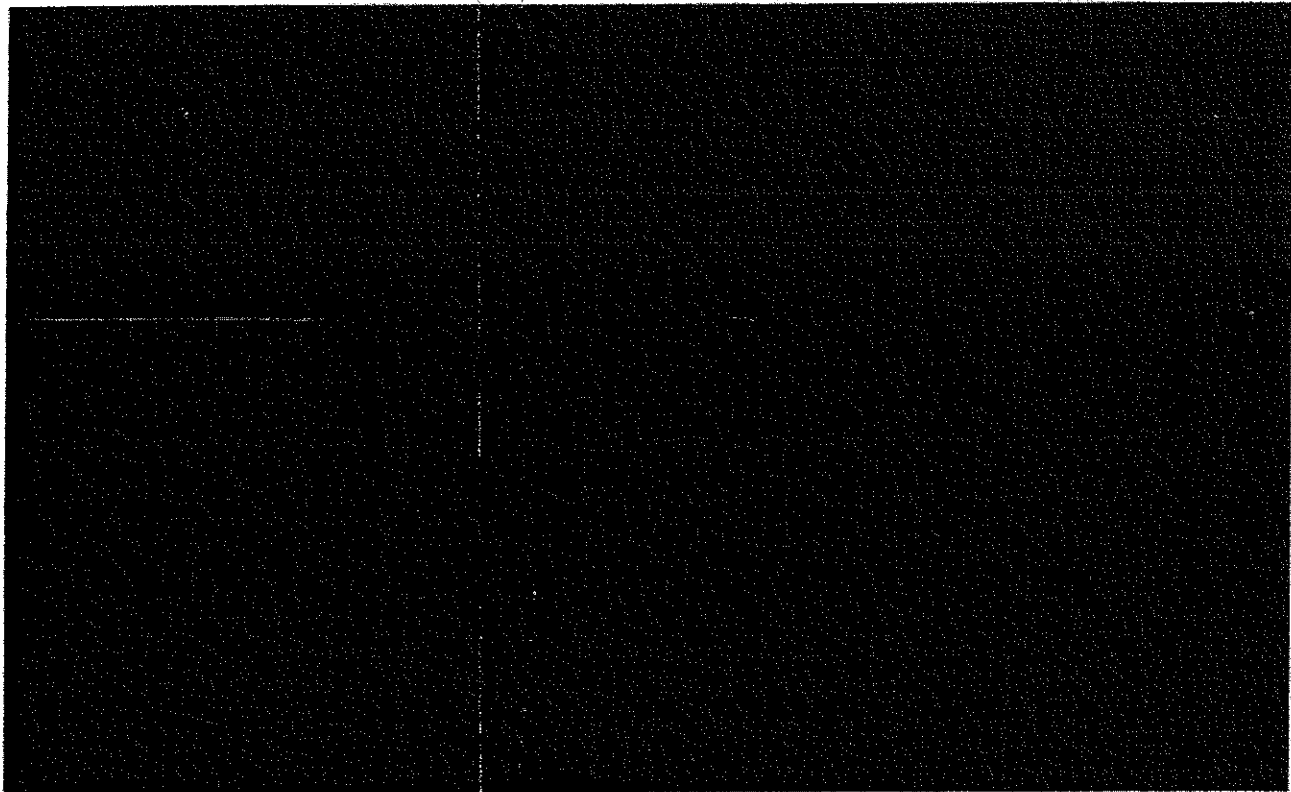
(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)



LAW ENFORCEMENT SENSITIVE

From: Newell, William D.  
 Sent: Monday, February 22, 2010 9:33:54 AM  
 To: Burke, Dennis (USAAZ)  
 CC: Hurley, Emory (USAAZ)  
 Subject: TNW .50 caliber rifle

Attachments: IMG00026-20100210-1431.jpg; 3297430.jpg

This is was seizure two weeks ago in Baja Norte, Mexico. In the picture ATF SA (b) (7)(C) is inspecting the TNW, .50 caliber rifle. If you recall two years ago we seized 42 "weapons of choice" out of a storage locker in Yuma following a Phoenix gun show operation. Also seized was approx 500 rounds of linked .50 caliber ammo. All were headed to Mexico and all are still in our ATF vaults here in Phoenix.

Since then several TNW, .50 caliber rifles have been seized in Mexico but not the one purchased in (b) (7)(C) not yet. So every time a TNW, .50 caliber rifle is seized in Mexico we immediately dispatch an agent to verify the information. TNW Firearms Inc., is based in Oregon. See more at [www.tnwfirearms.com](http://www.tnwfirearms.com)

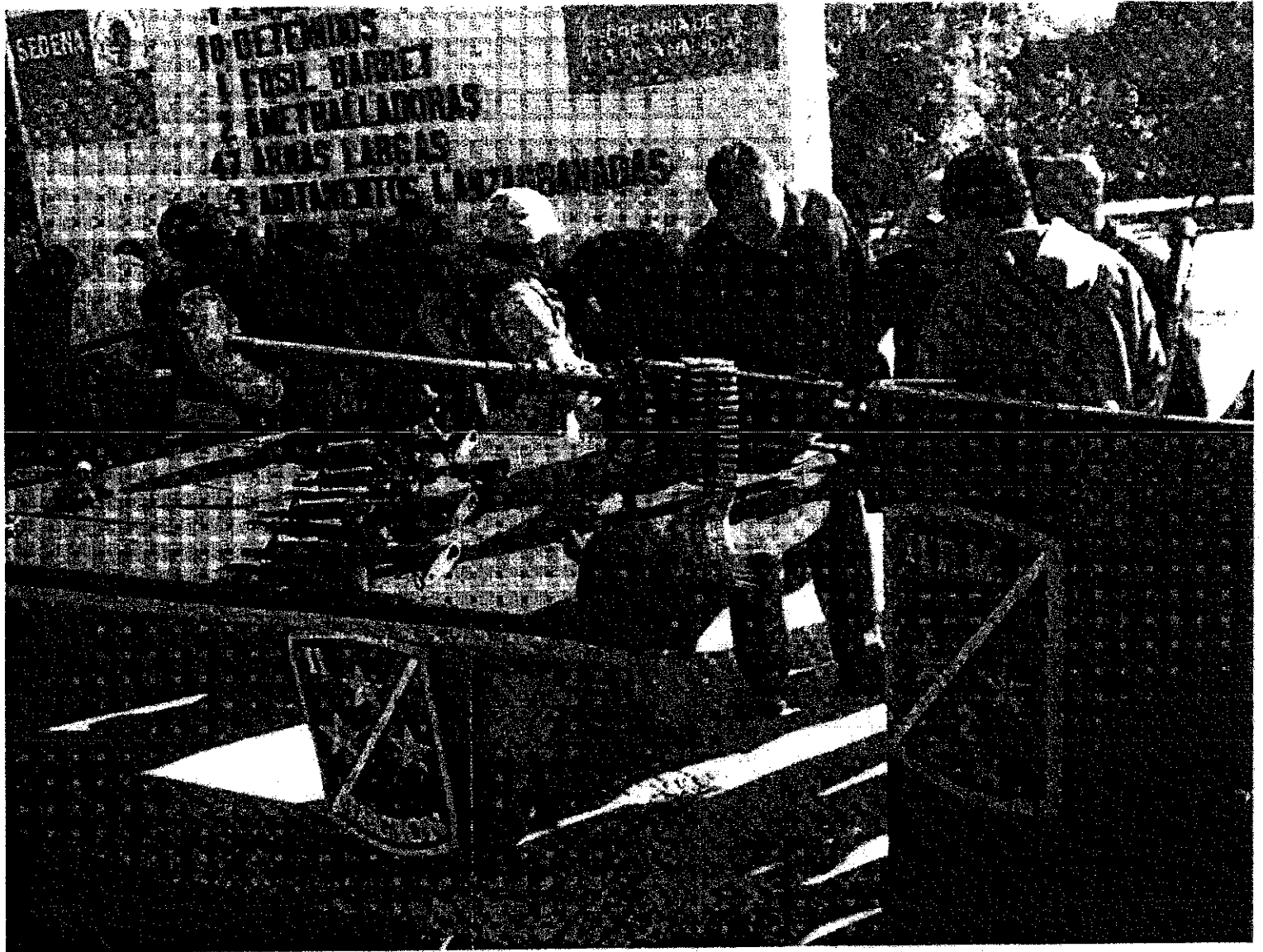
One of the TNW, .50 caliber rifles seized was the one in April, 2009 in Sonora from the Beltran Leyva DTO (see second photo attachment). The pictures of this gun, mounted in the back of a pickup behind a man-made shooting platform, fed the rumor mill on the internet and the media of the people who believe that this gun, and many more like it, come from the Central/South American black market or are "stolen" from the Mexican military. Not true at least not in this case and from what we see on a daily basis - including the 42 AK-47 rifles we seized this past Saturday west of Tucson and a mile from the border. The Casa Grande Border Patrol folks assisted us in an outstanding manner with this. The two cars carrying these firearms were about to cross into Mexico. This is from the ATF "Fast and Furious" OCDETF case. The suspects stopped at an

(b) (7)(A)

Bill Newell  
 Special Agent in Charge  
 Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
 Phoenix Field Division (Arizona and New Mexico)







**From:** Newell, William D.  
**Sent:** Wednesday, March 03, 2010 5:29 PM  
**To:** Zapor, Bernard J. (b) (7)(C)  
**Subject:** RE: Assistance with Southwest Border investigation

I meant to call you earlier today to advise you this request was going out – my apologies. Whatever support you can lend to this effort would be greatly appreciated. This is a great case that keeps growing by leaps and bounds and we are running out of bodies for surveillance support. Once [REDACTED] is up and running we are going to be even more shorthanded so the surveillance support will be greatly appreciated, if you can swing it. Thank you.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

---

**From:** Gillett, George T. Jr.  
**Sent:** Wednesday, March 03, 2010 3:19 PM  
**To:** (b) (7)(C)  
**Cc:** Newell, William D.; Needles, James R.; (b) (7)(C)  
**Subject:** Assistance with Southwest Border investigation

Gentlemen –

The Phoenix Field Division currently has an ongoing firearms trafficking investigation involving a large straw-purchasing network with significant firearms seizures in Mexico and along the border. This investigation is an approved OCDEF case, and it is anticipated that we will be engaging in [REDACTED] in the next 3-4 weeks. In preparation for this [REDACTED] Phoenix is looking for special agents that would be willing to assist with surveillance. Ideally we would need a total of five (5) agents that can begin March 15, 2010.

Obviously we are willing to pay all travel expenses, and Phoenix isn't a bad place to be this time of year. Would you please advise if you are willing to support this request by providing special agent personnel. To minimize hardships on your Divisions and personnel, I would like to schedule 2 week rotations.

Thank you for your consideration of this matter.

**George T. Gillett**  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

**From:** (b) (6)

**Sent:** Thursday, September 16, 2010 8:08 AM

**To:** Hoover, William J.; (b) (6); Newell, William D.; Chait, Mark R.; Boxler, Michael B.;  
Needles, James R.; Gillett, George T. Jr.; (b) (6)

**CC:** (b) (6)

(b) (6)

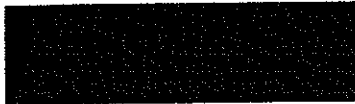
**Subject:** Attached is DD Melson's Itinerary for GRIT Press Conf. in Phoenix, AZ. Thank you.

**Attachments:** KEM Itinerary for Phoenix GRIT Close Out News Conference Phoenix, Arizona September 16-17, 2010.docx

**Importance:** High

(b) (6)

Office of the Director  
Room 5.S-100



ITINERARY  
PHOENIX GRIT CLOSE OUT NEWS CONFERENCE  
PHOENIX, ARIZONA  
SEPTEMBER 16-17, 2010

THURSDAY, SEPTEMBER 16, 2010

- 3:50PM DEPART BUREAU HEADQUARTERS EN ROUTE TO WASHINGTON  
REAGAN INTERNATIONAL AIRPORT
- 4:20PM ARRIVE WASHINGTON REAGAN INTERNATIONAL AIRPORT
- 5:15PM DEPART WASHINGTON REAGAN INTERNATIONAL AIRPORT  
ON US AIRWAYS FLIGHT 43
- 7:06PM ARRIVE PHOENIX, ARIZONA SKY HARBOR AIRPORT
- 7:30PM DEPART PHOENIX, ARIZONA SKY HARBOR AIRPORT  
EN ROUTE TO THE HYATT REGENCY PHOENIX

HYATT REGENCY PHOENIX  
122 NORTH SECOND STREET  
PHOENIX, ARIZONA  
PHONE: 602-252-1234

ARRIVE AT HYATT REGENCY PHOENIX AND RON

MELSON'S CONFIRMATION #: 44925198

FRIDAY, SEPTEMBER 17, 2010

- 7:45AM DEPART FROM HYATT REGENCY PHOENIX EN ROUTE  
TO THE PHOENIX FIELD DIVISION

PHOENIX FIELD DIVISION  
201 E WASHINGTON STREET, SUITE 940  
PHOENIX, ARIZONA 85004  
PHONE: 603-776-5400

-2-

8:00AM ARRIVE PHOENIX FIELD DIVISION AND MEET WITH  
SAC WILLIAM NEWELL

8:45AM DD MELSON TO GREEN ROOM TO MEET WITH U.S. ATTORNEY  
DENNIS BURKE, DISTRICT OF ARIZONA

9:00AM NEWS CONFERENCE - PHOENIX FIELD DIVISION

9:45AM NEWS CONFERENCE ENDS AND DD MELSON ENTERS GREEN  
ROOM

10:00AM ONE-ON-ONE WITH REPORTERS IN ROOM - (TBD)

10:30AM DD MELSON TO MEET WITH PHOENIX FIELD DIVISION STAFF

10:45AM DD MELSON DEPARTS FROM PHOENIX FIELD DIVISION EN ROUTE  
TO PHOENIX, ARIZONA SKY HARBOR AIRPORT

ARRIVE AT PHOENIX, ARIZONA SKY HARBOR AIRPORT

12:10PM DD MELSON DEPARTS PHOENIX, ARIZONA ON US AIRWAYS  
FLIGHT 42

7:31PM ARRIVES AT WASHINGTON REAGAN INTERNATIONAL AIRPORT

8:00PM DEPART WASHINGTON REAGAN INTERNATIONAL AIRPORT EN  
ROUTE TO RESIDENCE

**From:** Newell, William D.  
**Sent:** Tuesday, October 19, 2010 9:33 AM  
**To:** McMahon, William G.  
**Subject:** RE: Fast and Furious case  
**Attachments:** Operation FAST AND FURIOUS.DOCX; Wide Receiver.docx

Will do. This is what I sent two weeks ago. As you can see the USAO has pushed the indictment date back to December 7, saying they need this time to "prepare". I have a meeting scheduled with Dennis to discuss but they aren't budging so there's not much we can do. I will get a more detailed document to you today. Also, the Tucson Wide Receiver case (prosecuted by the detailed Main Justice AUSA) is also on hold until the Fast and Furious case goes to indictment since they are connected.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

---

**From:** McMahon, William G.  
**Sent:** Tuesday, October 19, 2010 6:19 AM  
**To:** Newell, William D.  
**Subject:** Fw: Fast and Furious case

Bill,

I know you sent me something the other day but could you update an overview with answers to Mark's questions below?

Thanks,

Bill

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

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**From:** Chait, Mark R.  
**To:** McMahon, William G.  
**Sent:** Tue Oct 19 08:52:14 2010  
**Subject:** Fast and Furious case

Bill – can we get an update on this indictment and potential arrests (when and how many defendants etc.). The DD would like to put a place holder on his schedule to be in Phoenix for some media etc. at the right time. Thanks

Mark R. Chait  
Assistant Director  
Field Operations  
[REDACTED]

**From:** Newell, William D.  
**Sent:** Tuesday, January 05, 2010 6:24 PM  
**To:** McMahon, William G.  
**Subject:** Mtng with USAO, re: Phoenix "Fast and Furious" case

Met with the USAO today on the status of this case. They want us to proceed with [REDACTED] before conducting any overt investigative activity. [REDACTED]

[REDACTED] (b)(5) & (7(A)) [REDACTED]

(b)(5) & (7(A)) [REDACTED] Even though I don't like it I have to agree and we are doing everything possible to slow these guys down. I need help though, in the form of detailees to conduct surveillance. I was hoping to wait until the GRIT but this case has progressed so fast, much quicker than any other case we've been involved with, that I need bodies asap. If it's OK with you I'll start reaching out to non-SWB SACs tomorrow to feel them out about letting us have some bodies to assist with surveillance. We are coordinating with ICE, they have a former ATF IOI now an ICE SA assigned to this case with us. It's a Strike Force case so we are also coordinating with ICE and DEA through the Strike Force coordination process.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, January 18, 2010 2:45 PM  
**To:** (b) (7)(C)  
(b) (7)(C)  
**CC:** (b) (7)(C)  
(b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(C) and (b) (7)(C) have been researching this seizure event for additional information.

(b) (7)(C) Can you ascertain if CENAPI or PGR can give us anything on this?

**From:** (b) (7)(C)  
**Sent:** Saturday, January 16, 2010 8:29 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
(b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered [REDACTED]  
Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: [REDACTED]  
Agents: (b) (7)(C)

No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)  
ATF Intelligence Research Specialist  
Project Gunrunner  
El Paso Intelligence Center (EPIC)  
El Paso, Texas  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, January 14, 2010 8:05 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.



A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: [REDACTED] (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

**(b) (7)(C)**

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 7:07 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO

(b)(7)(A) & (C) Where was I?

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 10:04 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO

This was that seizure event that both Mexico Country office traced as well as us here at HQ. I do believe at this point we still have (b)(7)(A) & (C)

How is everything going otherwise?

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 11:54 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO

(b) (7)(C)

Yes, we got it on this end.

2/20/2010 Guasave, Sinaloa	02/21/2010 Seizure Guasave & Novalato, Sinaloa
[Redacted]	(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 11:53 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO

Did we know about this one...? - Thanks!

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 6:50 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-733-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: [Redacted (b)(3) (Public Law 111-117) & (7)(A)]

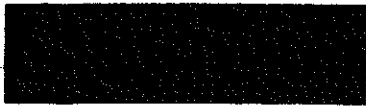
**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: [Redacted (b)(3) (Public Law 111-117) & (7)(A)]  
 Type: [Redacted]  
 Caliber: [Redacted]  
 Model: [Redacted (b)(3) (Public Law 111-117) & (7)(A)]  
 Recovered Location: [Redacted]  
 Suspects: [Redacted]

Trace Requestor: [Redacted (b)(3) (Public Law 111-117) & (7)(A)]  
 Requesting Agency: [Redacted]  
 Phone Number: [Redacted]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, [Redacted (b) (7)(C)] VIA E-MAIL OR AT [Redacted (b) (7)(C)]**

[Redacted (b) (7)(C)]  
 Program Analyst  
 ATF National Tracing Center  
 244 Needy Rd.  
 Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Friday, June 11, 2010 9:23 AM  
**To:** (b) (7)(C)  
**Subject:** Re: RE: Suspect Gun Notification DO NOT Trace ? [ SG File # ]

No you are absolutely right I didn't consider that. Can we postpone completing that trace as well? Thanks!

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Fri Jun 11 08:34:49 2010  
**Subject:** FW: RE: Suspect Gun Notification – DO NOT Trace ? [ SG File # ]

Good morning S/A (b) (7)(C)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

that information in the system now?

I am not sure of the status of the investigation and if it is still sensitive.

Sorry to be a bother. (b) (7)(C)

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Thursday, June 10, 2010 9:31 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: Suspect Gun Notification – DO NOT Trace ? [ SG File # ]

*Good Morning, as case agent you advised "do not trace", Trace will be held pending upon your instructions.*

**Subject:** Suspect Gun firearm recovered.

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding Theft, Multiple Sale and/or any other Trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the E-Trace Administrator at 304-260-1540 or via email at (b) (7)(C). Any additional questions regarding this theft should be addressed to the Law Enforcement Support Branch (LESB) at 800-788-7133 or 304-260-1500.*

Please advise as to whether your case is:

a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.

b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)

c) Active - NTC may not release Trace disposition history to other Requestors.

d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

FTS ID [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Caliber: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Crime Code: (b)(3) (Public Law 111-117) & (7)(A)

Case # **785115-10-0004**

THANK YOU

(b) (7)(C)

*CFC-Coordinator  
Senior Firearms Program Specialist  
U. S. Department of Justice / ATF National Tracing Center Division  
Law Enforcement Support Branch (LESB)*

[REDACTED]

**From:** (b) (7)(C)**Sent:** Wednesday, July 28, 2010 8:18 AM**To:** (b) (7)(C)**CC:** (b) (7)(C)**Subject:** MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]**Subject:** Suspect Gun firearm recovered, MEXICO.**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A) & (C)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: [REDACTED]

Model: (b)(3) (Public Law 111-117) & (7)(A)

Recovered: [REDACTED]

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: [REDACTED]

Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

Phone Number:

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center



**From:** (b) (7)(C)

**Sent:** Wednesday, September 15, 2010 7:12 PM

**To:** (b) (7)(C)

**CC:** (b) (7)(C)

(b) (7)(C)

**Subject:** Suspect Gun firearm recovered in Mexico, DNT, [REDACTED]

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

(b)(3) (Public Law 111-117) & (7)(A)

Suspect Gun submission request date:

Recovered

Location:

(b)(3)(Public Law 111-117)

(b)(3) (Public Law 111-117) & (7)(A)

Suspects:

[REDACTED]

- No files could be located for the gun recovery.

(b) (7)(C)

ATF Research Specialist

Project Gunrunner

Gun Desk, El Paso Intelligence Center (EPIC)

**From:** (b) (7)(C)

**Sent:** Wednesday, September 15, 2010 9:16 AM

**To:** (b) (7)(C)

**Cc:** (b) (7)(C)

**Subject:** Suspect Gun firearm recovered in Mexico, DNT, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:**

(b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

[REDACTED]

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: [REDACTED]  
Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]**

(b) (7)(C) [REDACTED]  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Wednesday, September 15, 2010 4:33 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**(b) (7)(C)**  
**Subject:** Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

ATF IN Number: **785115-10-0004**  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered  
Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects:

- **No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the other detainees and or vehicles become available.**

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

**From:** (b) (7)(C)  
**Sent:** Wednesday, September 01, 2010 6:26 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

**Subject:** Suspect Gun firearms (2) recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearms.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, September 13, 2010 2:29 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, DNT. [REDACTED]

**Subject:** Suspect Gun firearm recovered.

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, October 18, 2010 4:53 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(C)

Below are the purchasers for all four guns. All the ROI's and 4473's should be in our case tho

---

**From:** (b) (7)(C)  
**Sent:** Monday, October 18, 2010 1:47 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO, [REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, October 12, 2010 8:21 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

Yes ma'am.

It looks like these are the only firearms that hit off of your suspect guns. I did not realize that I duplicated the message to you on this gun. [REDACTED]

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Friday, October 08, 2010 5:43 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO, [REDACTED]

Hey (b) (7)(C)

You sent a previous email on 09/29 that the same firearm listed in your email notification below was recovered. Just wanted to confirm that as of today, you only have four suspect guns recovered from this recovery.

[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)  
[REDACTED] (b)(3) (Public Law 111-117) & (7)(A)  
[REDACTED] (b)(3) (Public Law 111-117) & (7)(A) & (C)

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Thursday, October 07, 2010 5:33 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A), [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) /IA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431





**From:** (b) (7)(C)  
**Sent:** Monday, October 18, 2010 2:58 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO

Recovery #2

**From:** (b) (7)(C)  
**Sent:** Friday, October 15, 2010 7:18 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [Redacted]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [Redacted]  
 Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
 Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency:

(b)(3) (Public Law 111-117) & (7)(A)

Phone Number:

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

(b)(3) (Public Law 111-117) & (7)(A)

Phone Number:

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** (b) (7)(C)  
**Sent:** Tuesday, October 12, 2010 11:53 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** FW: Request - Drawing-Spacesaver - ATF Evidence Vaults

Hi (b) (7)(C) how about those cardinals.

I wanted to throw this at you and see what you think. Since your case continually takes guns in, your vault here is maxed out. How about taking Fast and Furious guns and putting them at the offsite for storage. If you don't have to get to them on a regular basis this could free up about 80% space here. We would assist in the move and make sure the guns are stored in an orderly fashion. If receptive discuss with (b) (7)(C) and we will start on ordering gun boxes to improve storage capability. Let me know what you think.

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, October 12, 2010 8:21 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Request - Drawing-Spacesaver - ATF Evidence Vaults

(b) (7)(C) forwarding this re: FYI/follow-up; I'm coming down to talk to you about it.

(b) (7)(C)  
 Seized Property Investigator  
 AF&SP Section  
 ATF-Phoenix Field Division




---

**From:** (b) (7)(C)  
**Sent:** Friday, October 08, 2010 4:48 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: Request - Drawing-Spacesaver - ATF Evidence Vaults

(b) (7)(C)

I appreciate your continued assistance with this project. We are officially "full" in our temp vault space. Since you helped us do the inventory we have seized an additional 85 firearms, one of which is another one of those TNW .50 calibers with a tripod and you how much room those things take up. From Oct 2009 to Oct 2010 we have seized approximately 300 firearms (not to mention drum magazines and ammo) and with cases taking 1-3 years before completion in court we cannot destroy these items of property for several years. To that end we need the ability to store potentially 900 firearms at any given time.

I am not trying to be dramatic but I don't want to outgrow our new vault space before we even get in there...

---

Thanks again,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Friday, March 12, 2010 1:09 PM  
**To:** layne.france [REDACTED]  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, March 11, 2010 5:26 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, March 11, 2010 5:23 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

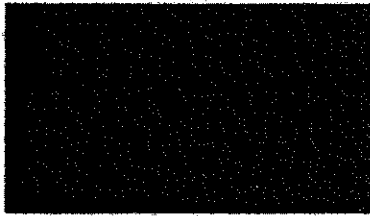
(b) (7)(C) The below seizure event mentions an "M16 type rifle". Can we check that? It appears that there are other firearms NOT accounted when comparing the below traces against the open source reporting.

(b) (7)(C) THE SERIAL NUMBERS ARE THE WEAPONS CENAPI TRACE FROM THE BELOW SEIZURE EVENT IN Guasave, Sinaloa. The highlighted firearms are what I was able to determine are suspect guns from 785115-10-0004.

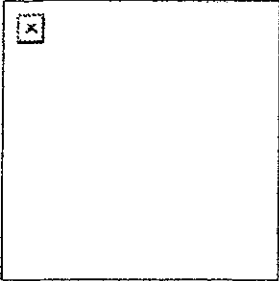
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, March 11, 2010 3:42 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]



CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001

**Title:** DECOMISO – SEIZURE BULLETIN – 02/21/2010 – GUASAVE & NOVALATO, SINALOA

**IRS:** (b) (7)(C)

**Date:** February 21, 2010

**Event:** Mexican Military was involved in a confrontation against several “Sicarios” (gunmen), arrested seven and seized an arsenal from two residences

**Location:** Guasave and Novalato, Sinaloa

**Case Number:** AP/SIN/CLN/204/2010/M-III and DPE/0911/10

**Arrests:**

**Criminal Group/Org.:** Not Specified

**Firearms/Explosives:**

- 6 AK-47 type rifles
- 3 AR-15 type rifles
- 1 M-16 type rifle
- several explosive devices
- 5 other rifles
- 5 handguns
- 1 grenade

**Magazines:**

- 137 magazines, various caliber

**Ammunition:**

- 11,624 rounds, various caliber

**Tactical Equipment:**

- several items

**Uniforms:**

- several Police-type uniforms

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs: N/A**

**Vehicles:**

- 2 vehicles

**Synopsis:** On February 21, 2010, Mexican Military was involved in a confrontation against several "Sicarios" (gunmen), arrested seven and seized an arsenal in Guasave and Novalato, Sinaloa. Authorities seized 6 AK-47 type rifles, 5 other rifles, 3 AR-15 type rifles, 1 M-16 type rifle, 5 handguns, 1 grenade, several explosive devices, 137 magazines, 11,624 rounds of ammunition, tactical gear, several Poice-type uniforms, and 2 vehicles.

(Español): 21 de Febrero, 2010.- Lomas de Sotelo, D.F., a 21 de febrero de 2010.- La Secretaría de la Defensa Nacional, informa a la opinión pública, que en el marco de la Campaña Permanente contra el Narcotráfico y la aplicación de la Lcy Federal de Armas de Fuego y Explosivos, el día 20 del actual, en dos eventos, personal militar perteneciente a la 9/a. Zona Militar detuvo a siete individuos y aseguró 20 armas, 85 cargadores, 6,092 cartuchos de diverso calibre, material explosivo, dos vehículos y equipo diverso en dos inmuebles en el estado de Sinaloa. En el primer evento, se detuvo a siete individuos que agredieron con armas de fuego a personal militar que al realizar reconocimientos terrestres se aproximaba a un inmueble utilizado como casa de seguridad en la colonia Florida, en el municipio de Guasave, Sin., dichos individuos se encontraban en posesión de 10 armas largas, 33 cargadores, 560 cartuchos de diversos calibres, dos camionetas y equipo diverso. En el segundo evento, efectivos castrenses lograron asegurar 5 armas largas, 5 armas cortas, 1 granada, 52 cargadores de diferentes calibres, 5,532 cartuchos de diversos calibres, material explosivo y uniformes de cuerpos de seguridad pública, en un inmueble utilizado como casa de seguridad en el municipio de Navolato, Sin. Los detenidos, inmuebles, armamento, vehículos y artículos encontrados en su poder, fueron puestos a disposición de las autoridades correspondientes.

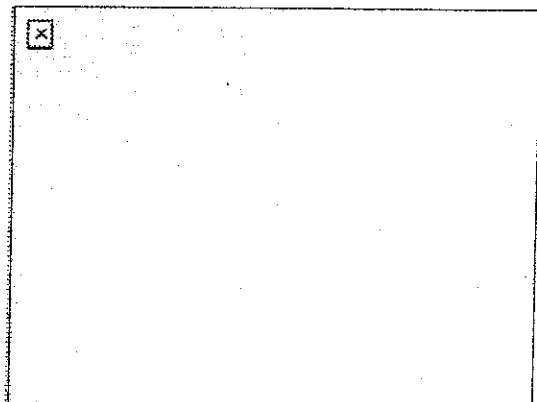
#### BOLETINES OFICIALES

##### DETENIDOS

Ante el Juez, detenidos por Ejército en Guasave

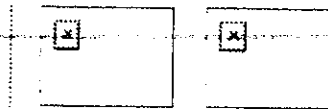
Son acusados de asociación delictuosa y portación de arma de fuego del uso exclusivo de Ejército

24-02-2010



En el operativo, a los detenidos se les aseguró un arsenal y vehículos.

Fotografía: Noroeste Guasave.



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#### NOTAS RELACIONADAS


Captura Ejército a 7 presuntos gatilleros

**21-02-2010**

CULIACÁN... Ante el Juzgado Tercero de Distrito en el estado fueron consignados seis sujetos detenidos por elementos del Ejército la semana pasada en Guasave.

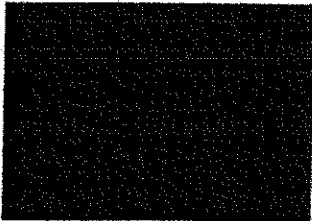
De acuerdo al comunicado de prensa DPE/0911/10 de la PGR, se trata de 

A estos individuos se les integró la averiguación previa AP/SIN/CLN/204/2010/M-III por su presunta responsabilidad en la comisión del delito de asociación delictuosa, y portación de arma de fuego del uso exclusivo de Ejército, Armada y Fuerza Aérea Nacional.

Los consignados fueron detenidos por elementos castrenses en , en la colonia Florida, en Guasave, tras enfrentarse a balazos con elementos del Ejército.

Tras someterlos, los militares aseguraron la vivienda donde estaban atrincherados, vehículos, armas, municiones y diversos artículos.

#### Presuntos sicarios



#### Lo asegurado

Fusil Colt calibre 5.56 mm  
 Fusil AR-15 Eagle Arms calibre 5.56mm  
 Fusil AR-15 calibre .223mm  
 Fusil AR-18 Armalite calibre 5.56mm  
 6 fusiles AK-47 sin marca calibre 7.62X39mm  
 27 cargadores para fusil AK-47 con capacidad para 30 cartuchos calibre 7.62X39mm  
 6 cargadores para fusil AR-15 con capacidad para 30 cartuchos  
 550 cartuchos útiles calibre 7.62X39 mm  
 50 cartuchos útiles calibre .223 mm  
 Una camioneta Kia modelo 2007  
 Una camioneta Ford Lobo  
 Siete chalecos portacargadores  
 Cuatro pasamontañas  
 Un inmueble

Salida de Prensa





**Martes, 23 de Febrero de 2010**  **Boletín Estatal DPE/0911/10**

**AMPF CONSIGNA A SEIS PRESUNTOS RESPONSABLES DE LOS DELITOS DE ASOCIACIÓN DELICTUOSA Y VIOLACIÓN A LA LEY FEDERAL DE ARMAS DE FUEGO Y EXPLOSIVOS**

El agente del Ministerio Público de la Federación consignó ante el Juzgado Tercero de Distrito, con sede en la ciudad de Culiacán, a Pascual Gerardo Juárez, Esteban Higuera Bórquez, Cosme Higuera Bórquez, Regino Pérez Juárez, Paúl Alonso Robles García y José Carlos Ibarra Rascón, dentro de la averiguación previa AP/SIN/CLN/204/2010/M-III por la presunta responsabilidad en la comisión del delito de asociación delictuosa, y portación de arma de fuego del uso exclusivo de Ejército, Armada y Fuerza Aérea Nacional.

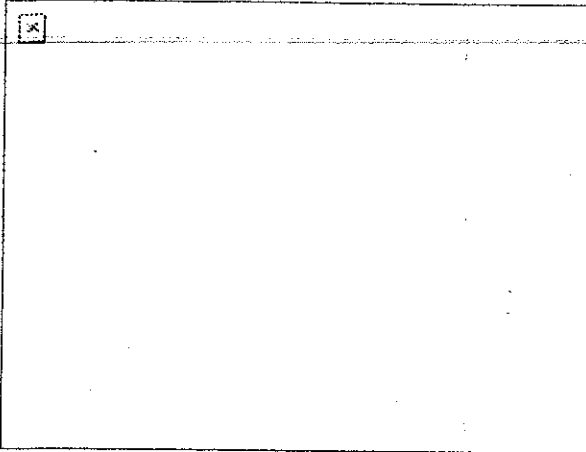
Los consignados fueron detenidos mediante un operativo efectuado por elementos castrenses, en la calle primera número 99 en la colonia Florida de la ciudad de Guasave, cuando uno de los indiciados armados, tras percatarse de la presencia de los elementos del Ejército alertaron al resto de los ocupantes de la vivienda y realizaron disparos.

Derivado de ello fue asegurado lo siguiente:

- Un fusil Colt calibre 5.56 mm.
- Un fusil AR-15 Eagle Arms calibre 5.56 mm.
- Un fusil AR-15 calibre 2.23 mm.
- Un fusil AR-18 Armalite calibre 5.56 mm.
- Seis fusiles AK-47 sin marca calibre 7.62X39 mm.
- 27 cargadores para fusil AK-47 con capacidad para 30 cartuchos calibre 7.62X39 mm.
- Seis cargadores para fusil AR-15 con capacidad para 30 cartuchos.
- 550 cartuchos útiles calibre 7.62X39 mm.
- 50 cartuchos útiles calibre .223 mm.
- Una camioneta Kia modelo 2007.
- Una camioneta Ford Lobo.
- Siete chalecos portacargadores.
- Cuatro pasamontañas.

Lo asegurado quedó a disposición del Juzgado para la Fe Judicial, mientras que los indiciados fueron internados en el Centro de Ejecución de las Consecuencias Jurídicas del Delito, donde enfrentarán su proceso penal.

El Gobierno Federal seguirá trabajando para propiciar un ambiente de certidumbre jurídica en el que las personas tengan la certeza de que cuentan con instituciones y programas gubernamentales que garantizan el respeto a sus derechos y la preservación de su patrimonio mediante la aplicación de la ley.



Elementos del Ejército mexicano detuvieron en la colonia 2 de Octubre a siete individuos, luego de que presuntamente los atacaran a balazos y a quienes les decomisaron varios rifles de alto poder, cartuchos, vehículos y una vivienda.

En el operativo fueron desplegados al menos 200 efectivos militares de los batallones 42 y 89, además de elementos de las Fuerzas Especiales, quienes se movilizaron en varias unidades tipo pick up y un helicóptero.

Noé Sandoval Alcázar, comandante de la Novena Zona Militar, informó que los hechos se registraron a las 4:40 horas de hoy por la calle Josefa Ortiz de Domínguez, del mencionado sector popular.

Explicó que los soldados realizaban labores de patrullaje cuando fueron atacados a balazos por varios sujetos que se encontraban en el interior de un domicilio marcado con el número 99.

Según el jefe militar, los efectivos castrenses no repelieron la agresión y le solicitaron a los agresores que se entregaran voluntariamente, lo cual hicieron 45 minutos después del ataque.

Sandoval Alcázar dio a conocer que a los supuestos delincuentes les fueron asegurados seis rifles AK-47 "cuernos de chivo", tres AR-15, un M-16, 33 cargadores, 560 cartuchos de diferentes calibres, una vivienda y dos vehículos. Manifestó que uno de los detenidos resultó herido aparentemente al balearse él mismo, pero no de gravedad; no hubo víctimas fatales.

El comandante de la Novena Zona Militar indicó que lo ocurrido la madrugada de ayer es parte de las acciones que realizan las Fuerzas Armadas en todo el estado contra la delincuencia organizada.

Estos hechos se dan a 4 días de que aparecieran en las principales ciudades de la entidad, entre ellas Guasave, varias mantas en las hacían señalamientos contra el comandante del 42 Batallón de Infantería.

El aparatoso operativo militar causó expectación entre los vecinos de la 2 de Octubre, quienes aseguraron que hubo una balacera que duró aproximadamente 45 minutos, los cuales pasaron refugiados en los rincones de sus casas. Ya entrada la mañana, algunos habitantes del mencionado sector salían tímidamente de sus casas para apreciar el fuerte despliegue militar.

Los detenidos, las armas, las municiones, el inmueble y los vehículos asegurados quedaron a disposición del Ministerio Público Federal.

Source: [www.narcotraficoenmexico.blogspot.com](http://www.narcotraficoenmexico.blogspot.com)

**domingo 21 de febrero de 2010**

### **EJÉRCITO ASEGURA A 7 PERSONAS Y ARSENAL EN SINALOA**

**EL UNIVERSAL** Elementos del Ejército mexicano aseguraron en dos acciones diferentes a siete personas e incautaron diferentes armas de fuego y material explosivo en Sinaloa, informó la Secretaría de la Defensa Nacional (Sedena).

Detalló que en el municipio de Guasave, siete sujetos iniciaron una balacera contra personal militar que efectuaba un reconocimiento terrestre cerca de un inmueble ubicado en la colonia Florida, el cual presuntamente era utilizado como casa de seguridad.

El personal perteneciente a la 9 Zona Militar logró detener a los sospechosos, a quienes tenían en su poder 10 armas largas, 33 cargadores, 560 cartuchos de diversos calibres, dos camionetas y equipo diverso.

Por otra parte, los efectivos militares descubrieron otro inmueble que era utilizado como casa de seguridad en el municipio de Navolato.

En dicho lugar hallaron cinco armas largas y cinco cortas, una granada, 52 cargadores, cinco mil 532 cartuchos de diversos calibres, material explosivo y uniformes similares a los que usan los cuerpos de Seguridad Pública.

La Sedena puntualizó que los detenidos, los inmuebles, el armamento, los vehículos y los artículos encontrados quedaron a disposición de las autoridades correspondientes.

en domingo, febrero 21, 2010  Etiquetas: SINALOA

Source: <http://guerracontraelnarco.blogspot.com/2010/02/ejercito-asegura-7-personas-y-arsenal.html>

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF @ EPIC

Intelligence Research Specialist

Southwest Border Unit

11339 SSG Sims St

El Paso Intelligence Center



(b) (7)(C)

ATF Research Specialist

Project Gunrunner

Gun Desk, El Paso Intelligence Center (EPIC)



From: (b) (7)(C)

Sent: Thursday, March 11, 2010 11:46 AM

To: (b) (7)(C)

Cc: (b) (7)(C)

Subject: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [Redacted]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [Redacted]  
 Recovered (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [Redacted]  
 Suspects: [Redacted]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
 Requesting Agency: [Redacted]  
 Phone Number: [Redacted]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
 Program Analyst  
 ATF National Tracing Center  
 244 Needy Rd.  
 Martinsburg, WV 25405-9431

**From:** Newell, William D.

**Sent:** Wednesday, November 25, 2009 2:58 PM

**To:** (b) (7)(C)

**CC:** (b) (7)(C)

(b) (7)(C)

**Subject:** Re: PERITAJE DE ARMAS (2).doc

Great work, and fast! Thank you  
Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)

\*\*\*  
NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)

**To:** Newell, William D.

**Cc:** (b) (7)(C)

Gillett, George T. Jr.; Needles, James R.:(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

**Sent:** Wed Nov 25 12:44:34 2009

**Subject:** PERITAJE DE ARMAS (2).doc

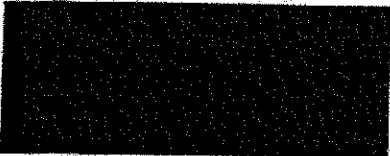
(b) (7)(C) Some of the traces are coming back. There are seven (7) suspect guns hit to IN# 785115 (b) (7)(A) case agents SA (b) (7)(C) & (b) (7)(C) (also spoke with (b) (7)(C) (b) (3) (Public Law 111-117) There are three additional successful traces, two of which related back to (b) (3) (Public Law 111-117) (b) (3) (Public Law 111-117) (b) (7)(A) (b) (3) (Public Law 111-117) (b) (7)(A) I am sending the PGR report with the weapons information for the case agents. I have been on the phone and working with NTC on the other traces. I spoke with (b) (7)(C) (b) (7)(C) We are submitting all associated individuals for EPIC 10 checks. I spoke with (b) (7)(C) and we will coordinate through Phoenix FIG GS.

In the [redacted] and [redacted] multiple sales: Traced Firearm-Green highlight; No Trace record found-Red highlight

(b) (7)(C)

*Team Leader*

Field Intelligence Support Team - Southwest Border  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Intelligence Operations Specialist



**From:** (b) (7)(C)  
**Sent:** Wednesday, December 02, 2009 8:47 AM  
**To:** (b) (7)(C)  
**Subject:** Re: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Can it be done by noon today? Mr. Gillett and the sac are requesting one for HQ by noon today (b) (7)(C) is working on one since ur out of town at my request but if u will have one done by today we can use that one.

(b) (7)(C)

Do u have targus I was hoping to submit a couple more subpoenas this week.

\*\*\*\*\*

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**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Cc:** (b) (7)(C) Newell, William D.  
**Sent:** Tue Dec 01 23:40:07 2009  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Thanks George. I am preparing a chart for (b) (7)(C) to assist in tracking the targets, the firearms and the recoveries.

(b) (7)(C)

Intelligence Research Specialist  
 Phoenix Field Division

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, December 01, 2009 10:11 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C) Newell, William D.  
**Subject:** FW: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

(b) (7)(C)

FYI -

**From:** (b) (7)(C)  
**Sent:** Sunday, November 29, 2009 12:47 PM  
**To:** Newell, William D.; Gillett, George T. Jr.; Needles, James R.; (b) (7)(C)  
**Cc:** (b) (7)(C) Martin, Steve K.  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

(b) (7)(C) In addition to the below names, (b)(3) (Public Law 111-117) & (7)(A) It is the Phoenix case file. The case file was been 6E'd on Friday, so I don't know if you or your agents are aware of the following additional information:

(b) (7)(C) in this Seizure event was previously traced under (b) (7)(C) The purchaser was (b) (7)(C) It was recovered from him at his home by the Maricopa PD.

ALL FIREARMS AND OTHER INFORMATION IS ON FILE IN THE SEIZURE EVENT FILE IN NFORCE AND YOUR CASE AGENTS HAVE BEEN GIVEN ACCESS.

**From:** (b) (7)(C)  
**Sent:** Friday, November 27, 2009 12:22 PM  
**To:** Newell, William D.  
**Cc:** (b) (7)(C)  
**Subject:** RE: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

Mr. Newell- THANKS SO MUCH FOR THE PHOTOS. There are additional purchasers in this seizure event that were not in the Phoenix case investigation file. I informed SA (b) (7)(C) of (b) (7)(C) (with 20 multiple sales) and (b) (7)(C) and she added them to her file on Wednesday. (b) (3) (Public Law 111-117) (b) (7)(A) Your case agent called the tracing center to have the tracing stopped. ASAC Needles also requested that the tracing be stopped. I sent the photographs to VCAB to enhance the tracing process and will be asking for guidance on the matter. Analysis of the information I have it appears that over (b) (7)(A)

**From:** Newell, William D.  
**Sent:** Friday, November 27, 2009 11:37 AM  
**To:** (b) (7)(C)  
**Subject:** Fw: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)  
**Importance:** High

Bill Newell  
 Special Agent in Charge  
 ATF Phoenix Field Division (AZ and NM)  
 (b) (7)(C)

\*\*\*

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**From:** (b) (7)(C)  
**To:** Newell, William D.; PhoenixFIG  
**Sent:** Fri Nov 27 11:06:10 2009  
**Subject:** FW: FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

**Enviado el:** jue 26/11/2009 16:39  
**Para:** (b) (7)(C)  
**Asunto:** FOTOS DE NUMERO DE SERIE ARMAS SONORA (segunda parte)

(b) (7)(C)  
 Phoenix, Arizona.

Adjunto envío a Usted las fotos de los números de serie del armamento asegurado en Naco, Sonora.

Saludos

Atte





**From:** (b) (7)(C)**Sent:** Monday, March 29, 2010 11:08 AM**To:** (b) (7)(C)**CC:** (b) (7)(C)

(b) (7)(C)

**Subject:** TWO Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) &amp; (7)(A)

Recovered

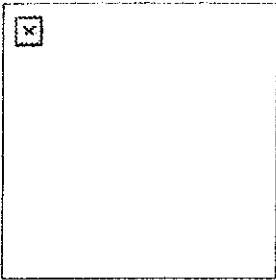
Location:

(b)(3) (Public Law 111-117) &amp; (7)(A)

Suspects:

- This case maybe your seizure case as there was an AK-47 7.62 caliber rifle recovered during a seizure in Tijuana, Mexico. It's a day difference; But, at the same time, I cannot say for sure that this is the your seizure 100% because of the broad information given.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001**Title:** DECOMISO – SEIZURE BULLETIN – 03/10/2010 – TIJUANA, BAJA CALIFORNIA**IRS:** (b) (7)(C)**Date:** March 10, 2010**Event:** Mexican Military personnel seized 4 tons of marijuana, crystal meth and two firearms**Location:** Tijuana, Baja California**Case Number:** Not Specified**Arrests:****Criminal Group/Org.:** Not Specified**Firearms/Explosives:**

- 1 AK-47 type rifle
- 1 .38 Super caliber handgun

**Magazines:** N/A**Ammunition:**

- an unspecified number of rounds

**Tactical Equipment:** N/A**Uniforms:** N/A**Communication Equipment:** N/A**Currency:** N/A**Other Objects:** N/A**Drugs:**

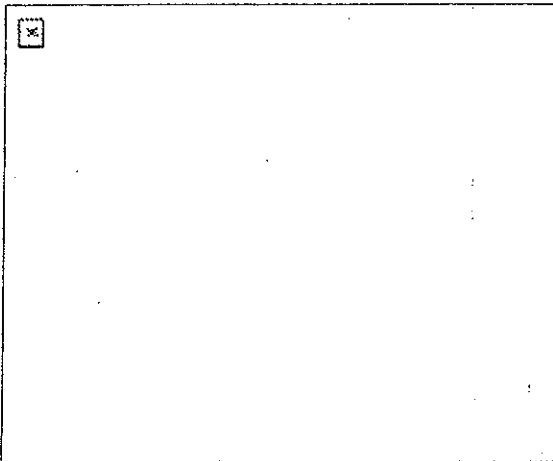
- 4 tons 630 kilos of marijuana
- 20 kilos of crystal meth

**Vehicles:**

- 1 Hyundai sedan
- 1 Ford sedan

**Synopsis:** On March 10, 2010, Mexican Military personnel seized 4 tons of marijuana, crystal meth and two firearms in Tijuana, Baja California. Authorities seized 1 AK-47 type rifle, 1 .38 Super caliber handgun, ammunition, over 4 tons of marijuana, 20 kilos of crystal meth and two vehicles.

(Español): 10 de Marzo, 2010.- Tijuana.- Elementos del Ejército Mexicano incautaron un total de cuatro toneladas 630 kilos de marihuana, poco más de 20 kilos de droga sintética descrita como "cristal", un rifle AK-47 conocido como "cuerno de chivo", una pistola escuadra 38 Súper y la detención de un sujeto. El presunto narcotraficante es ██████████, de 56 años, asegurado luego de que recibieran una denuncia ciudadana anónima en un inmueble del que sólo se aporta está en la Vía Rápida Oriente, de la colonia Tercera Etapa del Río Tijuana, en la delegación Cerro Colorado.

**BOLETINES OFICIALES****PRENSA****Decomisan 4 toneladas de marihuana**

Estaba en un inmueble de la colonia Tercera Etapa del Río Tijuana, en la delegación Cerro Colorado, El Sol de Tijuana  
10 de marzo de 2010

por Manuel Cordero

Tijuana.- Elementos del Ejército Mexicano incautaron un total de cuatro toneladas 630 kilos de marihuana, poco más de 20 kilos de droga sintética descrita como "cristal", un rifle AK-47 conocido como "cuerno de chivo", una pistola escuadra 38 Súper y la detención de un sujeto.

El presunto narcotraficante es José de Jesús Rangel Torres, de 56 años, asegurado luego de que recibieran una denuncia ciudadana anónima en un inmueble del que sólo se aporta está en la Vía Rápida Oriente, de la colonia Tercera Etapa del Río Tijuana, en la delegación Cerro Colorado.

En la escueta información proporcionada por la Segunda Zona Militar sólo se aporta que de inmediato se trasladaron al sitio elementos del Quinto Batallón de Fuerzas Especiales y agentes de la policía municipal y mediante el operativo conjunto atrapar al antes citado.

Pero también 444 paquetes de diversos pesos y tamaños que arrojaron la cantidad antes descrita de los enervantes, así como las armas de fuego, un automóvil Hyundai y un vehículo tipo panel Ford.

De momento se desconoce si el detenido Rangel Torres está vinculado a alguna de los grupos del crimen organizado que operan en la ciudad, ya que como antes se indicó, no se aportaron mayores detalles por parte de las autoridades castrenses.

Sólo indican que las drogas y restantes objetos antes referidos están bajo resguardo y fueron puestos a disposición de la delegación de la Procuraduría General de la República, para que se ordene una investigación al respecto.

**CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE**

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number:

785115-10-0004

Suspect Gun File Number:



Suspect Gun submission request date:

(b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(C)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431  
[REDACTED]

From: (b) (7)(C)

Sent: Thursday, May 13, 2010 6:36 PM

To: (b) (7)(C)

CC: (b) (7)(C)

(b) (7)(C)

Subject: Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) & (7)(A)

Recovered

Location:

(b)(3) (Public Law 111-117) & (7)(A)

Suspects:

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available

(b) (7)(C)

ATF Research Specialist

Project Gunrunner

Gun Desk, El Paso Intelligence Center (EPIC)

Subject: Suspect Gun firearm recovered, MEXICO, [REDACTED]

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

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Please advise as to whether your case is:

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- Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- Active - NTC may not release Trace disposition history to other Requestors.
- Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

[REDACTED]

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

Serial Number:

[REDACTED]

Manufacturer:

(b)(3) (Public Law 111-117) & (7)(A)

Type:

(b)(3) (Public Law 111-117) & (7)(A)

Caliber:

Model:

Recovered

(b)(3) (Public Law 111-117) & (7)(A)

Location:

[REDACTED]

Suspects:

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

[REDACTED]

Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency:

[REDACTED]

Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

[REDACTED]

From: (b) (7)(C)  
 Sent: Wednesday, June 23, 2010 2:14 PM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 (b) (7)(C)  
 Subject: TWO Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number: 785115-10-0004  
 Suspect Gun File Number: [REDACTED]  
 Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered [REDACTED]  
 Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: [REDACTED]

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)  
 ATF Research Specialist  
 Project Gunrunner  
 Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)  
 Sent: Monday, June 21, 2010 6:45 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: TWO Suspect Gun firearm recovered, MEXICO, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- Active - NTC may not release Trace disposition history to other Requestors.

d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C) [REDACTED]  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

[REDACTED]



From: (b) (7)(C)  
 Sent: Wednesday, June 23, 2010 2:14 PM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 (b) (7)(C)

Subject: FOUR Suspect Gun firearms recovered, MEXICO, [REDACTED]

ATF IN Number: 785115-10-0004  
 Suspect Gun File Number: [REDACTED]  
 Suspect Gun submission request date: (b)(3) - Filed Under Seal 18USC §2510-2520  
 Recovered [REDACTED]  
 Location: (b)(3) - Filed Under Seal 18USC §2510-2520  
 Suspects: [REDACTED]

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)

ATF Research Specialist  
 Project Gunrunner  
 Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)  
 Sent: Monday, June 14, 2010 6:48 AM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: FOUR Suspect Gun firearms recovered, MEXICO, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO. [REDACTED]

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)

- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

1-Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

2-Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

3-Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Serial Number: [REDACTED]

4-Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 2:28 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** RE: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

(b) (7)(C)

This seizure did not/not come here so we have to send an Oficio to CENAPI/SEDO who will then have to reach out to the office in Acapulco for the information. I'll try to get the Oficio ready today and then sent to CENAPI tomorrow for their action.

(b) (7)(C)

(b) (7)(C)  
Analyst Chief  
ATF Mexico Country Office

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 1:03 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

(b) (7)(C) (b) (7)(C) is requesting documentation for the below seizure. Can we do an oficio at first and follow it up with an MLAT for trial purposes (b) (7)(C) bened the up in the seizure event but isn't much in the file at this time.

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 1:28 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FW: Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

Is there anything we can lay our hands on to document this seizure event? Is there a seizure case number in N-Force? If so I do not have Intel access so I cannot view it but perhaps I could be given case access?

Thanks team!

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 11:17 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Ref the 11-14-09 Atoyac De Alvarez, Guerrero, Mexico Seizure

(b) (7)(C)

Can we get the related report from this seizure so we can complete a recovery ROI? Also, did someone from ATF actually view the f/a's? (b) (7)(A)

(b) (7)(C)

(b) (7)(C)  
(Firearm not in Suspect Guns) -

(b) (7)(C)

[Redacted] (b) (7)(A) [Redacted]

[Redacted]

(b) (7)(C) [Redacted]

\*One of the firearms was traced with s/n: [Redacted] (b)(3) (Public Law 111-117) & (7)(A)  
s/n: [Redacted] (b)(3) (Public Law 111-117) & (7)(A) & (C) Is there any way we can verify the serial number of the recovered firearm?

Thanks,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Wednesday, February 24, 2010 9:51 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: RE: SEVEN Suspect Gun firearm recovered, MEXICO, [Redacted]

(b) (7)(C)

All the trace numbers from the Guerrero seizure. If you need anything else let me know. Two of the firearms I believe are suspect guns but the Mexicans reversed the numbers. That would put at us 9 suspect guns traced from the Guerrero seizure. Below is also the seizure event from open source.

[Redacted]	In Progress	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	In Progress	Assoc	[Redacted]	[Redacted]
[Redacted]	Completed	Assoc	[Redacted]	[Redacted]
[Redacted]	In Progress	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	In Progress	Assoc	[Redacted]	[Redacted]
[Redacted]	Completed <sup>3</sup>	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	Completed <sup>4</sup>	Assoc	[Redacted]	[Redacted]
[Redacted]	Completed <sup>5</sup>	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	In Progress	Assoc	[Redacted]	[Redacted]
[Redacted]	Completed <sup>6</sup>	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	Completed <sup>7</sup>	Assoc	[Redacted]	[Redacted]
[Redacted]	Completed <sup>8</sup>	Assoc	[Redacted]	(b)(3) (Public Law 111-117) & (7)(A)
[Redacted]	Completed <sup>9</sup>	Assoc	[Redacted]	[Redacted]
[Redacted]	In Progress	Assoc	[Redacted]	[Redacted]

The additional serial numbers that weren't suspect firearms are:

[Redacted] (b)(3) (Public Law 111-117) & (7)(A) & (C) [Redacted]

[Redacted] (b)(3) (Public Law 111-117) & (7)(A) & (C) [Redacted]

**NFORCE:** 162080-10-0001

**Title:** 11/15/2009 - ACAPULCO, GUERRERO

**Date:** November 15, 2009

**Event:** Mexican Military rescued a women and arrested 12 individuals from a hotel room and seized firearms, magazines, ammunition and vehicles in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

**Location:** city of Atoyac de Alvarez, Costa Grande, Guerrero

**Case No:** AP/PGR/ACA/GRO/M3/457/2009

**Detentions:**



**Organization:** not reported.

**Firearms:**

- 15 AK-47 Rifles

**Magazines:**

-30 Magazines, AK-47

**Ammunition:**

-288 Rounds, AK-47

**Vehicles:**

- Nissan pickup, without license plates

-Ford pickup, without license plates

**Summary:** On 16 November 2009, Mexican Military responding to a anonymous call rescued [REDACTED] and arrested 12 individuals from the Thunderbird hotel and seized 15 AK-47s, 30 magazines, ammunition and two pickup trucks without license plates in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

El 16 de Noviembre de 2009, Militares Mexicanos respondiendo a una llamada anonima rescataron a [REDACTED] y arrestado a 12 personas del hotel "Thunderbird" y se aseguraron 15 fusiles AK-47, 30 cargadoras, municiones y dos camionetas sin placas, en la ciudad de Atoyac de Alvarez, Costa Grande, Guerrero.

**From:** (b) (7)(C)

**Sent:** Wednesday, February 24, 2010 11:21 AM

**To:** (b) (7)(C)

**Cc:** (b) (7)(C)

**Subject:** RE: SEVEN Suspect Gun firearm recovered, MEXICO, [REDACTED]

(b) (7)(C)

Do you have a list of all the f/a's recovered in this seizure?

**From:** (b) (7)(C)

**Sent:** Monday, February 22, 2010 1:06 PM

**To:** (b) (7)(C)

**Cc:** (b) (7)(C)

**Subject:** SEVEN Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is

not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-3500 or 800-788-7133

Please advise us to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Serial Number: [REDACTED]  
 Serial Number: [REDACTED]  
 Serial Number: [REDACTED]  
 Serial Number: [REDACTED]  
 Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
 Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
 Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Tuesday, June 15, 2010 5:13 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Request from (b) (7)(C)

(b) (7)(C)

I am not sure this was forwarded to you, please advise if you wish the Phoenix FIG to process or if your office will be responding to this request.

Thanks.

(b) (7)(C)

Senior Intelligence Research Specialist  
 Phoenix Field Division

**From:** (b) (7)(C)  
**Sent:** Tuesday, June 15, 2010 2:03 PM  
**To:** PhoenixFIG  
**Subject:** Fw:

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C); Gillett, George T. Jr.  
**Sent:** Tue Jun 15 16:34:59 2010  
**Subject:**

(b) (7)(C) Could you please provide me the information on the recovered firearms reported below. Please provide firearms data and information to include the date, time and location of recoveries. We have a good handle on the US recoveries (just off by a few) but we need more info on the Mexican recoveries. We will be utilizing this information to update our seizure events in Mexico.

785115-10-0004      Operation Fast and Furious

(b) (7)(A)

Of those domestic recoveries, all have been along or near the U.S. and Mexican border, i.e., El Paso, TX; Douglas, AZ; Nogales, AZ; Sells, AZ and Casa Grande, AZ, with the farthest recovery north of the border being here in Phoenix, AZ. (b) (7)(A) group has spent over one million in cash transactions at various Phoenix area FFLs. Due to the proximity to the border, bank subpoenas and financial investigations have yielded little or no results.

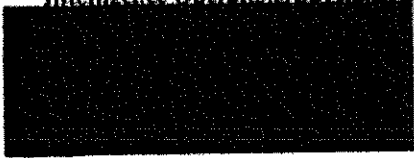


THANKS SO MUCH

(b) (7)(C)

*Team Leader*

Field Intelligence Support Team - Southwest Border  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Intelligence Operations Specialist



**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 12:56 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** RE: Barrett ( under suspect gun)

(b) (7)(C)

Good day. I am the acting supervisor in Phoenix Group VII while GS (b) (7)(C) is on A/L. I spoke w/ SA (b) (7)(C) who is the co-case agent on the investigation involving the purchaser of the .50 caliber rifle in question. The purchaser of the firearm, (b)(3) (Public Law 111-117) & (7)(A) & (C) (b)(7)(A) & (C)

(b) (7)(A) and numerous other investigative techniques.

What types of information can we provide for you? Please let us know so that we can get you what you need.

(b) (7)(C)

*Special Agent  
 Bureau of Alcohol, Tobacco, Firearms, and Explosives  
 Phoenix Field Division - Group VII*

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Wed Jul 28 12:34:45 2010  
**Subject:** FW: Barrett ( under suspect gun)

(b) (7)(C)

Can you follow up with Supervisory Special Agent (b) (7)(C) in the Mexico Country Office regarding the below. Your support in this matter will be highly appreciated.

Thanks!

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 9:32 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Barrett ( under suspect gun)

(b) (7)(C) can u get the info on this .50 cal

**From:** (b) (7)(C)  
**Sent:** Wednesday, July 28, 2010 11:05 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Barrett ( under suspect gun)

(b) (7)(C) can you please send out a referral to :

**Requestor:** ATF Office  
 785115  
 PHOENIX FD GROUP VII  
 201 E WASHINGTON ST STE 940  
 PHOENIX, AZ 85004  
 (602) 776-5400 PHONE Ext:

(b) (7)(C)

~~(602) 776-5479 FAX~~

Agent First Name:

(b) (7)(C)

Agent Badge No.:

ATF Case No.:

785115-10-0004

SEDENA recovered this Barrett in Durango, according to our POC they were shot at with the .50 caliber and other weapons, soldiers injured.

Trace number

Suspect gun number

Thank you.

**From:** (b) (7)(C)

**Sent:** Wednesday, January 13, 2010 4:09 PM

**To:** (b) (7)(C)

**CC:** (b) (7)(C)

(b) (7)(C)

**Subject:** RE: Suspect Gun firearm recovered, MEXICO

**ATF IN Number:**

785115-10-0004

**Suspect Gun File Number:**

**Suspect Gun submission request date:**

(b)(3) (Public Law 111-117) & (7)(A)

**Recovered**

(b)(3) (Public Law 111-117) & (7)(A)

**Location:**

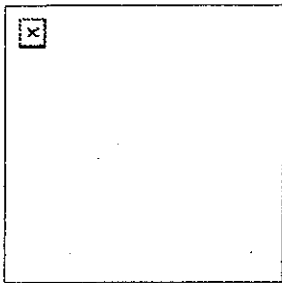
**Suspects:**

**Agents:**

(b)(3) (Public Law 111-117) & (7)(A) & (C)

**Remarks:** Please contact (b) (7)(C) in the CC: line if you need access to the case file.

**CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE**



**UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE**

**NFORCE:** 164020-10-0001

**Title:** DECOMISO - SEIZURE BULLETIN - 12/18/2009 - TIJUANA, BAJA CALIFORNIA

**IRS:** (b) (7)(C)

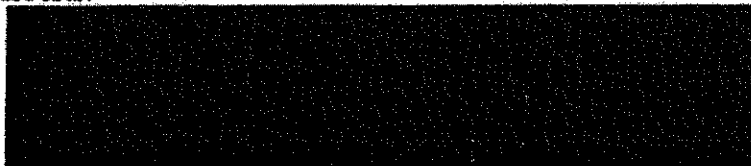
**Date:** December 18, 2009

**Event:** Elements with the Policia Estatal Preventiva (PEP), arrested five gunmen suspected members of Teodoro Garcia Simental aka: "El Teo" Organization for the possession of several firearms, magazines, and ammunition

**Location:** Along Islas Gilber, inside of Colonia Villa Fontana, in Tijuana, Baja California

**Case Number:** Not Specified

**Arrests:**



**Criminal Group/Org.:** Teodoro García Simental aka: "El Teo"

**Firearms: Explosives:**

- 5 AK-47 type rifles

**Magazines:**

- 10 magazines

**Ammunition:**

- 300 7.62x39 caliber rounds

**Tactical Equipment:** N/A

**Uniforms:** N/A

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:**

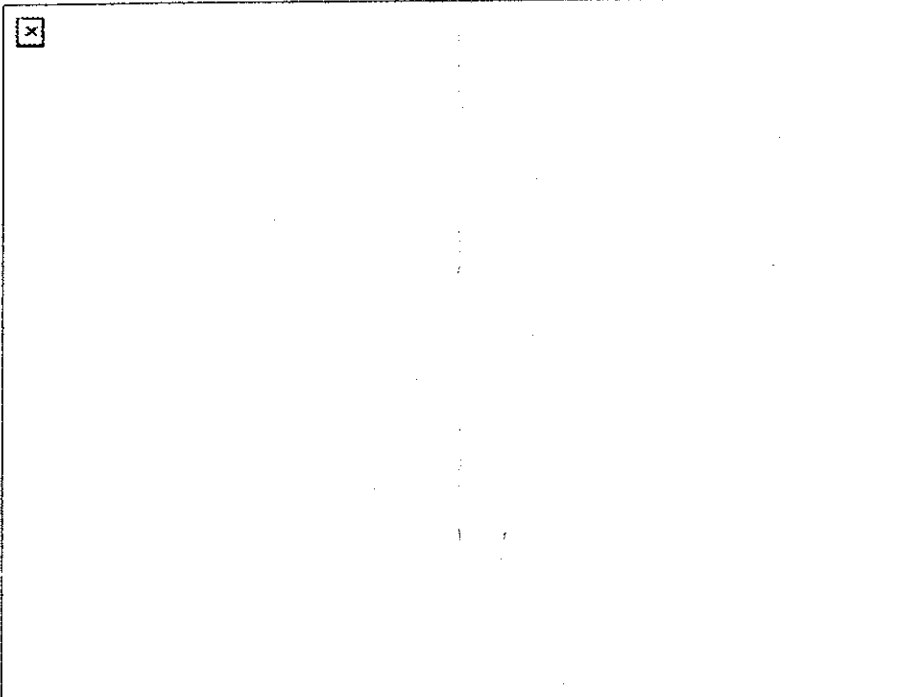
- 1 grey colored Nissan Xterra, previously reported stolen
- 1 grey colored Jeep Grand Cherokee

**Synopsis:** On December 18, 2009, Elements with the Policía Estatal Preventiva (PEP), arrested five gunmen suspected members of Teodoro García Simental aka: "El Teo" Organization for the possession of several firearms, magazines, and ammunition along Islas Gilber, inside of Colonia Villa Fontana, in Tijuana, Baja California. Authorities seized 5 AK-47 type rifles, 10 magazines, 300 rounds of ammunition and two vehicles.

(Español): 18 de Diciembre, 2009.- Tijuana (OEM).- Con cinco rifles AK-47 conocidos como "cuerno de chivo" fueron capturados cinco integrantes de la organización criminal que lidera Teodoro García Simental, alias "El Tres Letras", y confesaron haber participado en las múltiples ejecuciones registradas en las últimas semanas. Los señalados como sicarios son:

Fueron agentes de la Policía Estatal Preventiva quienes los interceptaron sin necesidad de detonar un arma de fuego, luego de que la madrugada de ayer culminaran un trabajo de inteligencia y una amplia investigación, según informó la Secretaría de Seguridad Pública Estatal. Razón por la que dispusieron un operativo policiaco en la avenida Islas Gilber, de la colonia Villa Fontana, en la delegación La Presa, ya que en el sitio ubicaron dos vehículos en los que se transportaban los hampones, una vagoneta Nissan y una Cherokee, ambas de color gris y modelo reciente.

**BOLETINES OFICIALES**  
**PRENSA**



En base a trabajo de inteligencia y una amplia investigación de agentes de la Policía Estatal Preventiva, fue desmembrada por completo una célula de presuntos secuestradores pertenecientes a la organización criminal de Teodoro García Simental alias "El Teo", en Tijuana, los ahora detenidos son, Emmanuel Morales Vázquez Alias "El Meño", de 29 años, Gregorio Rodríguez Valenzuela alias "El Pelón", de 26 años, José Carlos Reyes

~~Rodríguez alias "El Calafiero", de 21 años, Alejandro García García, de 19 años, y José Ricardo Franco Velásquez, de 22 años de edad.~~

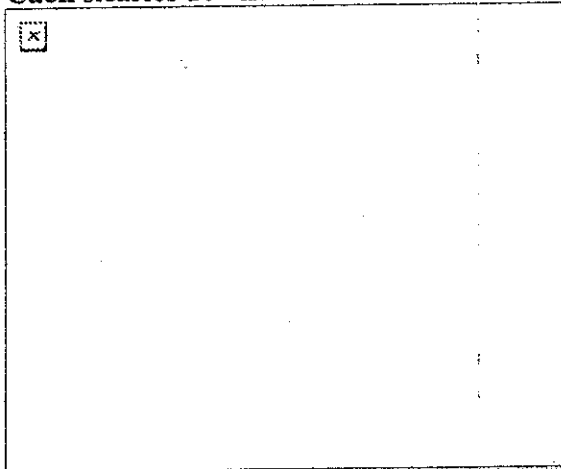
Tras obtener resultados en la investigación los policías estatales preventivos se trasladaron a la colonia Villa Fontana, en donde al parecer operaba una peligrosa célula de secuestradores. Fue sobre la avenida Islas Gilber donde los oficiales ubicaron los dos vehículos que buscaban, se trataba de una Nissan Xterra color gris y una Grand Cherokee también de color gris, los cuales eran tripulados por 3 y 2 personas respectivamente, por lo que los agentes implementaron un operativo con altas medidas de seguridad para no poner a nadie en riesgo, pues las personas podrían estar armadas. Tras el protocolo de seguridad los autos fueron interceptados y las cinco personas aseguradas de inmediato impidiéndoles totalmente que realizaran cualquier acción contra los oficiales. En suma fueron decomisadas 5 armas AK-47 o "Cuernos de chivo", 10 cargadores, 300 cartuchos útiles calibre 7.62 x 39 mm. Así mismo se pudo corroborar que la Nissan Xterra contaba con reporte de robo con lujo de violencia del pasado 16 de diciembre. Tras ser detenidos los sujetos manifestaron conformar una célula delictiva perteneciente a la organización criminal del presunto delincuente conocido como "El Teo", y estar involucrados en ejecuciones de "narcomenudistas" de grupos rivales efectuadas en la colonia Camino Verde.

Dos de los detenidos identificados como José Ricardo Franco Velásquez y José Carlos Reyes Rodríguez dijeron haber participado en el secuestro de un conocido transportista que la semana pasada fue liberado por la Policía Estatal Preventiva.

Source: [http://www.radiopatrulla.com/xampp/index.php?option=com\\_content&task=view&id=5936&Itemid=27](http://www.radiopatrulla.com/xampp/index.php?option=com_content&task=view&id=5936&Itemid=27)

**Policia**

**Caen sicarios de "El Teo"**



Los detenido presentados por las autoridades.

La Voz de la Frontera

19 de diciembre de 2009

Por Manuel Cordero

Tijuana (OEM).- Con cinco rifles AK-47 conocidos como "cuerno de chivo" fueron capturados cinco integrantes de la organización criminal que lidera Teodoro García Simental, alias "El Tres Letras", y confesaron haber participado en las múltiples ejecuciones registradas en las últimas semanas.

Los señalados como sicarios son: Emmanuel Morales Vázquez (a) "El Meño", de 29 años de edad; Gregorio Rodríguez Valenzuela "El Pelón", de 26; José Carlos Reyes Rodríguez "El Calafiero", de 21; Alejandro García García, de 19; y José Ricardo Franco Velásquez, de 22.

Fueron agentes de la Policía Estatal Preventiva quienes los interceptaron sin necesidad de detonar un arma de fuego, luego de que la madrugada de ayer culminaran un trabajo de inteligencia y una amplia investigación,

según informó la Secretaría de Seguridad Pública Estatal.

Razón por la que dispusieron un operativo policíaco en la avenida Islas Gilber, de la colonia Villa Fontana, en la delegación La Presa, ya que en el sitio ubicaron dos vehículos en los que se transportaban los hampones, una vagoneta Nissan y una Cherokee, ambas de color gris y modelo reciente.

La primera la conducía Morales Vázquez, acompañado de sus cómplices Rodríguez Valenzuela y Reyes Rodríguez, mientras que en la restante Ricardo Franco y Alejandro García.

Con todas las medidas de seguridad para evitar un posible enfrentamiento armado que pusiera en riesgo la vida de personas inocentes, de forma sorpresiva los maleantes fueron rodeados por numerosos estatales preventivos, sin darles tiempo de utilizar sus armas de fuego.

Al verse copados se rindieron sin disparar un sólo tiro y tras de someterlos se procedió a efectuarles una revisión precautoria sin hallarles nada ilegal en su persona.

Sin embargo, al inspeccionar los vehículos se halló en el primero tres letales rifles AK-47 conocidos como "cuerno de chivo" y dos más en el restante, así como un total de 10 cargadores con 300 proyectiles útiles de calibre 7.62 x 39 milímetros.

Al ser interrogados confesaron pertenecer a una célula criminal al servicio de la banda delictiva que capitanea García Simental, también conocido como "El Teo", a quien se le achacan oficialmente cientos de asesinatos violentos y secuestros cometidos en la ciudad.

Además manifestaron haber participado en la ejecución de un número indeterminado de vendedores de droga al menudeo, pertenecientes a grupos rivales que operan en la colonia Camino Verde, en la delegación Sánchez Taboada.

Por su lado, José Ricardo Franco y José Carlos Reyes reconocieron haber participado en el secuestro del dirigente sindical de los chóferes de taxi libre, Ariel Armenta Estrada, de 42 años, privado de su libertad el día 7 pasado frente a las oficinas de Transporte y Vialidad Municipal.

Dos días después fue rescatado en una "casa de seguridad" por agentes de la misma corporación policiaca y en el momento asegurarse tres rifles y una pistola escuadra, pero no se lograra la detención de persona alguna.

Los cinco presuntos narcotraficantes, homicidas y secuestradores de la gavilla de "El Tres Letras" fueron remitidos para su investigación y posterior consignación ante las autoridades federales.

**CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE**

**From:** (b) (7)(C)  
**Sent:** Wednesday, January 13, 2010 7:34 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely

important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: [REDACTED]

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: [REDACTED]

Type: [REDACTED]

Caliber: [REDACTED]

Model: [REDACTED]

Recovered [REDACTED]

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

Trace Requestor: [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, [REDACTED] VIA E-MAIL OR AT [REDACTED]

[REDACTED]

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431  
[REDACTED]





From: (b) (7)(C)  
 Sent: Monday, September 13, 2010 8:54 AM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 Subject: Suspect Gun firearm recovered, DNT, [REDACTED]

**Subject:** Suspect Gun firearm recovered.

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

From: (b) (7)(C)  
 Sent: Monday, August 23, 2010 6:00 PM  
 To: (b) (7)(C)  
 CC: (b) (7)(C)  
 Subject: RE: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Importance: High

(b) (7)(C)

I apologize for the delay, but here is the information on your suspect gun case [REDACTED]. I have another article that I was provided with from our SEDENA LNO assigned here to EPIC in a separate email. Take care and hope to see you here in El Paso on the next class.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

NFORCE: 162080-10-0001

Title: DECOMISO - SEIZURE BULLETIN - 08/14/2010 - EL NARANJO, SINALOA

IRS: (b) (7)(C)

Date: August 14, 2010

Event: Mexican Military personnel, raided three residences, arrested three subjects for the possession of firearms and seized an arsenal

Location: El Naranjo, Sinaloa

Case Number: AP/SIN/CLN/895/2010/M-IV

Arrests:

- [REDACTED]  
 - [REDACTED]  
 - [REDACTED]

Criminal Group/Org.: Not Specified

Firearms/Explosives:

- 6 AK-47 type rifles
- 1 Barrett .50 caliber rifle
- 1 .45 caliber handgun
- 1 .380 caliber handgun
- 1 .40 caliber handgun
- 2 AR-15 type rifles
- 1 5.56mm rifle
- 2 fragmentation grenades
- 2 40mm grenades

Magazines:

- 23 AK-47 type magazines
- 2 AK-47 Drum magazines
- 40 AR-15 magazines
- 1 .50 caliber magazine
- 1 .45 caliber magazine
- 1 .380 caliber magazine
- 1 G-3 type magazine

Ammunition:

- 2,060 rounds, various caliber

**Tactical Equipment:**

- 2 tactical vests

**Uniforms:** N/A

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:**

- 1 Armored-Equipped Ford Lobo Pick-Up Truck
- 1 Dodge Ram Pick-Up Truck, previously reported stolen
- 1 2008 Jeep Grand Cherokee
- 1 Nissan Tiida
- 1 2008 Dodge Nitro
- 1 Lincoln Mark LT Pick-Up Truck
- 1 Dodge Dakota

**Synopsis:** On August 14, 2010, Mexican Military personnel, raided three residences, arrested three subjects for the possession of firearms and seized an arsenal in El Naranjo, Sinaloa. Authorities seized 1 Barrett .50 caliber rifle, 6 AK-47 type rifles, 1 .45 caliber handgun, 1 .380 caliber handgun, 1 .40 caliber handgun, 2 AR-15 type rifles, 1 5.56mm rifle, 2 fragmentation grenades, 2 40mm grenades, 69 magazines, over 2,000 rounds of ammunition, tactical gear, and 7 vehicles, to include an Armored-Equipped Pick-Up Truck.

(Español): 14 de Agosto, 2010.- AMPF CONSIGNA A PRESUNTOS RESPONSABLES DE LOS DELITOS DE VIOLACIÓN A LA LEY FEDERAL DE ARMAS DE FUEGO Y EXPLOSIVOS, CONTRA LA SALUD Y OTROS

BOLETINES OFICIALES

PRENSA

Sala de Prensa

Sábado, 14 de Agosto de 2010  Boletín Estatal DPE/3748/10

AMPF CONSIGNA A PRESUNTOS RESPONSABLES DE LOS DELITOS DE VIOLACIÓN A LA LEY FEDERAL DE ARMAS DE FUEGO Y EXPLOSIVOS, CONTRA LA SALUD Y OTROS

El agente del Ministerio Público de la Federación consignó ante el Juzgado Tercero de Distrito con sede en la ciudad de Culiacán, a Jacinto Valenzuela Pérez, Carlos Fabián Barraza Chávez y René David Valenzuela López, por la presunta responsabilidad en la comisión del delito de violación a la ley federal de armas de fuego y explosivos, en las modalidades de portación de armas de fuego sin licencia, portación y acopio de cartuchos y armas de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea. Además de los delitos de homicidio en grado de tentativa, delitos cometidos contra servidores públicos, y contra la salud en la modalidad de posesión de marihuana.

De acuerdo con la averiguación previa AP/SIN/CLN/895/2010/M-IV, elementos del Ejército Mexicano durante un recorrido en las inmediaciones del ejido Campo 6, sindicatura de El Naranjo, en el municipio de Sinaloa Municipio, detuvieron a los consignados tras un enfrentamiento, donde se aseguraron tres inmuebles con lo siguiente:

Inmueble 1: una camioneta Ford Lobo blindada, dos camionetas Ford Lobo con reporte de robo, dos fusiles AK-47, cuatro cargadores para fusil AK-47, seis cargadores para fusil AR-15, 288 cartuchos de diferentes calibres, dos chalecos tácticos y un bipie metálico para fusil de alto poder.

Inmueble 2: una camioneta Dodge Ram con reporte de robo, una camioneta Jeep Nitro 2008, un vehículo Nissan Tiida, una camioneta Jeep Gran Cherokee, dos fusiles AK-47,

un fusil Barret calibre .50mm, una pistola tipo escuadra calibre .45mm, una pistola tipo escuadra calibre .380mm, una pistola tipo escuadra calibre .40mm, un aditamento lanzagranadas calibre .40mm, 15 cargadores para fusil AR-15, nueve cargadores para fusil AK-47, un cargador AK-47 de disco, un cargador para fusil Barret calibre .50mm, un cargador para pistola tipo escuadra calibre .45, un cargador para pistola tipo escuadra calibre .380, 475 cartuchos útiles calibre 5.45x39mm, una granada de fragmentación, dos granadas para aditamento lanzagranadas calibre .40mm, 284 cartuchos calibre 7.62x39mm, 139 cartuchos útiles calibre .223mm, 75 cartuchos útiles calibre 7.62x51mm. Además se encontró 10 gramos de marihuana, un chaleco táctico, dos uniformes tipo pixeados, seis radios y un portagranada.

Inmueble 3: una camioneta marca Lincoln Mark Lt, una camioneta Dodge Dakota, dos fusiles AR-15, un fusil 5.56, dos fusiles AK-47, un cargador para fusil AK-47 de disco, 10 cargadores para fusil AK-47, 19 cargadores para fusil AR-15, un cargador para fusil G3, una granada de mano de fragmentación, 219 cartuchos calibre 7.62x39mm, 560 cartuchos calibre .223mm, 20 cartuchos calibre 7.62x51mm, cinco chalecos tácticos portacargadores.

Lo asegurado quedó a disposición del Juzgado para la Fe Judicial, mientras que los indiciados fueron internados en el Centro de Ejecución de las Consecuencias Jurídicas del Delito, donde enfrentarán su proceso penal.

El Gobierno Federal, a través de la Procuraduría General de la República, actúa en el marco de la Ley para llevar ante la justicia a quienes la infrinjan y reitera su compromiso de usar todos los instrumentos jurídicos a su alcance para combatir a la delincuencia y recluir en prisión a quienes atentan contra la confianza y la tranquilidad de la sociedad.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF @ EPIC  
Intelligence Research Specialist  
Southwest Border Unit  
11339 SSG Sims St  
El Paso Intelligence Center

From: (b) (7)(C)

Sent: Friday, August 13, 2010 9:25 AM

To: (b) (7)(C)

Subject: FW: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

(b) (7)(C)

Please help me find this open source article. I have looked in Google, PGR, Sedena, SSP, SEMAR, & the SAT website for this seizure. I'll continue to look, but I have yet to find anything yet.

Thanks!

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)  
Sent: Friday, August 13, 2010 6:30 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: MEXICO RECOVERY Suspect Gun firearm recovered, DNT, [REDACTED]

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
Location: [REDACTED]  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center  
[REDACTED]

**From:** (b) (7)(C)**Sent:** Monday, October 18, 2010 10:08 PM**To:** (b) (7)(C)**CC:** (b) (7)(C)

(b) (7)(C)

**Subject:** Suspect Gun firearm recovered, MEXICO, [REDACTED]

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) &amp; (7)(A)

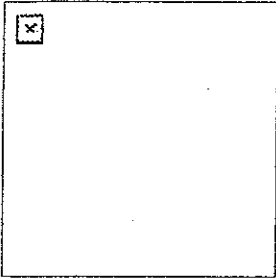
Recovered

Location:

(b)(3) (Public Law 111-117) &amp; (7)(A)

Suspects:

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001**Title:** DECOMISO – SEIZURE BULLETIN – 08/11/2010 – SANTIAGO PAPASQUIARO, DURANGO**IRS:** (b) (7)(C)**Date:** August 11, 2010**Event:** Mexican Military personnel were involved in a confrontation against an unidentified armed group that left 12 dead and 3 soldiers wounded**Location:** Santiago Papasquiario, Durango**Case Number:** Not Specified**Arrests:**

- Several subjects, identification is pending

**Criminal Group/Org.:** Not Specified**Firearms/Explosives:**

- 16 unspecified caliber rifles

**Magazines:**

- 110 magazines, various caliber

**Ammunition:** N/A**Tactical Equipment:**

- 13 bullet-proof vests

**Uniforms:** N/A**Communication Equipment:** N/A**Currency:** N/A**Other Objects:** N/A**Drugs:** N/A**Vehicles:**

- 4 vehicles

**Synopsis:** On August 11, 2010, Mexican Military personnel were involved in a confrontation against an unidentified armed group that left 12 dead and 3 soldiers wounded in Santiago Papasquiario, Durango. Authorities seized 16 unspecified caliber rifles, 110 magazines, 13 bullet-proof vests, and 4 vehicles.



**(Español): 11 de Agosto, 2010.- BALACERA EN DURANGO DEJA 12 MUERTOS Y 3 MILITARES HERIDOS**

**BOLETINES OFICIALES**

**PRENSA**

Sala de Prensa

**Sabado, 14 de Agosto de 2010  Boletín Estatal DPE/3752/10**

**AMPF INICIA AVERIGUACIÓN PREVIA POR EL DELITO DE HOMICIDIO Y PORTACIÓN DE ARMA DE FUEGO DE USO EXCLUSIVO**

El agente del Ministerio Público Federal adscrito a la Delegación Estatal en Durango, inició la averiguación previa A.P./PGR/DGO/DGO/IV-INV/447/2010 en contra de quien o quienes resulten responsables en la comisión de un delito de homicidio y portación de arma de fuego de uso exclusivo del Ejército, Armada y Fuerza Aérea, después de que elementos del Ejército Mexicano pusieron a disposición del Representante Social de la Federación, armamentos y vehículos que fueron asegurados durante un enfrentamiento en el municipio de Santiago Papasquiari.

De acuerdo al expediente, el aseguramiento de las armas, cartuchos, cargadores, fornituras y vehículos se llevo a cabo en la entrada del rancho denominado "La Herradura" ubicado entre los ranchos "La soledad" y "El cazadero", del municipio de Santiago Papasquiari, lugar donde se suscitó un enfrentamiento entre elementos castrenses y sujetos armados, donde perdieron la vida once civiles del grupo armado y resultaron heridos tres militares, logrando decomisar lo siguiente:

**ARMAMENTO**

- 1 FUSIL CALIBRE .50", MARCA SHF, MODELO R50, MATRICULA [REDACTED]
- 1 ADITAMENTO LANZA GRANADAS, CALIBRE 40 mm., MARCA COLT., MODELO M203, SIN MATRICULA.
- 1 CARABINA CALIBRE 5.56 mm., MARCA COLT, MODELO 5T AG-15, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA FEG., SIN MODELO, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA INTRAC, MODELO MAADI, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO Y MATRICULA BORRADA.
- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO Y MATRICULA [REDACTED].

- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA BORRADA.
- 1 FUSIL A K-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62.X51 mm., MARCA IZHMAH, SIN MODELO, MATRICULA [REDACTED].
- 1 FUSILAK-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA [REDACTED].
- 1FUSILAK-47, CALIBRE 7.62.X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62X39 mm., MARCA NORINCO, MODELO MK-90, MATRICULA [REDACTED].
- 1 FUSIL AK-47, CALIBRE 7.62.X39 mm., SIN MARCA, SIN MODELO Y SIN MATRICULA.
- 1 FUSILAK-47, CALIBRE 7.62.X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA [REDACTED].
- 1 FUSILAK-47, CALIBRE 7.62X39 mm., MARCA ROMARM, SIN MODELO, MATRICULA [REDACTED].
- CARGADORES.
- 14 CARGADORES CON CAPACIDAD DE 30 CARTUCHOS PARA FUSIL AR-15, CALIBRE .223".
- 75 CARGADORES CON CAPACIDAD DE 40 CARTUCHOS PARA FUSIL AK-47, CALIBRE 7.62X39 mm.
- 23 CARGADORES CON CAPACIDAD DE 30 CARTUCHOS PARA FUSIL AK-47, CALIBRE 7.62X39 mm.
- 1 CARGADOR CON CAPACIDAD DE 5 CARTUCHOS PARA FUSIL CALIBRE .50"mm;
- MUNICIONES.
- 970 CARTUCHOS CALIBRE .223"mm, PARA FUSIL AR-15, CALIBRE .223".
- 3,700 CARTUCHOS CALIBRE 7.62X39 mm, PARA FUSIL AK-47, CALIBRE 7.62X39 mm.
- 177 CARTUCHOS CALIBRE 7.62X51mm., PARA FUSIL AK-47, CALIBRE 7.62X51 mm.
- 5 CARTUCHOS CALIBRE .50", PARA FUSIL CAL. .50".
- VESTUARIO Y EQUIPO.
- 10 UNIFORMES CAMUFLAGEADOS TIPO DESIERTO.
- 14 GORRAS FLEXIBLES CAMUFLAGEADAS TIPO DESIERTO.
- 15 CHALECOS COLOR NEGRO TODOS CON UNA PLACA.
- 20 FORNITURAS COLOR NEGRO.

#### VEHÍCULOS.

- UNA CAMIONETA MARCA JEEP, TIPO GRAND CHEROKEE, COLOR BLANCA, No. SERIE [REDACTED] SIN PLACAS DE CIRCULACIÓN AL PARECER CON BLINDAJE.
- UN VEHÍCULO TIPO CAMIONETA ESCALADE, LÍNEA LINCOIN, MARCA CADILLAC, COLOR ROJO, CON NUMERO DE SERIE [REDACTED], CON PLACAS DE CIRCULACIÓN [REDACTED] DEL ESTADO DE DURANGO y SIN BLINDAJE.
- UNA CAMIONETA MARCA CHEVROLET. TIPO 3.5 TONELADAS, COLOR BLANCA, DOBLE RODADA, No. SERIE [REDACTED], SIN PLACAS DE CIRCULACIÓN Y SIN BLINDAJE.

• UNA CAMIONETA TIPO DOBLE RODADA V8, MARCA FORD, COLOR BLANCA. No. SERIE [REDACTED] SIN PLACAS DE CIRCULACIÓN Y SIN BLINDAJE. INMUEBLES.

• DOS INMUEBLES, PERTENECIENTES AL RANCHO [REDACTED] UBICADOS EN LA COORDENADA [REDACTED] ENTRE LOS RANCHOS [REDACTED] Y [REDACTED] DE CUYO INTERIOR LOS SICARIOS DISPARABAN A LOS ELEMENTOS DEL EJERCITO MEXICANO.

El Ministerio Público de la Federación continúa con la integración de la respectiva averiguación previa para determinar a los probables responsables en la comisión de los ilícitos antes mencionados.

El Gobierno Federal continuará sosteniendo con firmeza un compromiso indeclinable con la sociedad para fortalecer el Estado de Derecho y garantizar la seguridad pública en todo el país.

**Jueves 12 de Agosto de 2010**

### **BALACERA EN DURANGO DEJA 12 MUERTOS Y 3 MILITARES HERIDOS**

**MILENIO Ciudad de México.-** Doce muertos y tres militares heridos fue el saldo de un enfrentamiento entre militares y sicarios en un operativo en el rancho "El Cazadero", del municipio de Santiago Papasquiaro, Durango, informó la Secretaría de la Defensa Nacional.

En un comunicado la dependencia explicó que el operativo se dio luego de una denuncia ciudadana donde reportaban la presencia de un grupo armado en dicho rancho y al llegar militares al lugar fueron recibidos con disparos de arma de fuego, por lo que se repelió la agresión.

Los militares heridos fueron trasladados a un nosocomio para recibir atención médica.

Al momento de ser detenidos los delincuentes se les aseguraron 16 armas largas, 110 cargadores, 13 chalecos antibalas, así como cuatro vehículos.

El armamento, equipo y vehículos fueron puestos a disposición de las autoridades correspondientes.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist

Project Gunrunner

Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)

Sent: Monday, October 18, 2010 6:17 AM

To: (b) (7)(C)

Cc: (b) (7)(C)

Subject: Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
 Program Analyst  
 ATF National Tracing Center

244 Needy Rd.  
Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Monday, October 18, 2010 10:08 PM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
 (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO [REDACTED]

ATF IN Number: 785115-10-0004  
 Suspect Gun File Number: [REDACTED]  
 Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered [REDACTED]  
 Location: (b)(3) (Public Law 111-117) & (7)(A)  
 CH, MEXICO  
 Suspects: (b)(3) (Public Law 111-117) & (7)(A)

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

(b) (7)(C)  
 ATF Research Specialist  
 Project Gunrunner  
 Gun Desk, El Paso Intelligence Center (EPIC)  
 [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Friday, October 15, 2010 8:18 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

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*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)

- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Friday, September 24, 2010 7:21 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

**From:** (b) (7)(C)  
**Sent:** Monday, September 20, 2010 9:02 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
(b) (7)(C)  
**Subject:** Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

ATF IN Number: 785115-10-0004  
Suspect Gun File Number: [REDACTED]  
Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)  
Recovered [REDACTED]  
Location: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Suspects: [REDACTED]

- No files could be located for the gun recovery

(b) (7)(C)  
ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, September 16, 2010 8:03 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearms (2) recovered in Mexico, DNT, [REDACTED]

**Subject:** Suspect Gun firearms (2) recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received Trace requests for the above listed firearms.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004



Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

TRACE ID: [REDACTED]

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A)

Model: [REDACTED]

Recovered (b)(3) (Public Law 111-117) & (7)(A)

Location: [REDACTED]

Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Requesting Agency: [REDACTED]

Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center

[REDACTED]

**From:** (b) (7)(C)**Sent:** Wednesday, December 08, 2010 4:49 PM**To:** (b) (7)(C)**CC:** (b) (7)(C)

(b) (7)(C)

**Subject:** Suspect Gun firearm recovered, MEXICO [REDACTED]

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) &amp; (7)(A)

Recovered

Location:

(b)(3) (Public Law 111-117) &amp; (7)(A)

Suspects:

- No files could be located for the gun recovery based on the information provided. Please resubmit another request should any additional information such as the name of the possessor(s) become available.

**Subject:** Suspect Gun firearm recovered, MEXICO.**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

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*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- Active - NTC may not release Trace disposition history to other Requestors.
- Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) &amp; (7)(A)

**Trace details regarding firearm recovery:**

Serial Number:

Manufacturer:

Type:

(b)(3) (Public Law 111-117) &amp; (7)(A)

Caliber:

(b)(3) (Public Law 111-117) & (7)(A)

Model:

Recovered

(b)(3) (Public Law 111-117) & (7)(A)

Location:

(b)(3) (Public Law 111-117) & (7)(A)

Suspects:

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

Phone Number:

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 9:16 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

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*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered: (b)(3) (Public Law 111-117) & (7)(A)  
 Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
 Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
 Phone Number: (b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

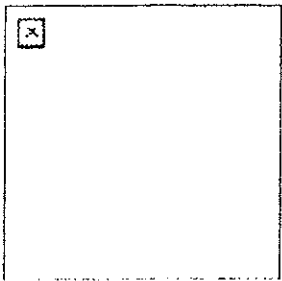
(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



**From:** (b) (7)(C)  
**Sent:** Wednesday, February 02, 2011 11:32 AM  
**To:** (b) (7)(C)  
**CC:** (b) (7)(C)  
**(b) (7)(C)**  
**Subject:** Suspect Gun firearms (2) recovered in MEXICO, [REDACTED]

**ATF IN Number:** 785115-10-0004  
**Suspect Gun File Number:**  
**Suspect Gun submission request date:** (b)(3) (Public Law 111-117) & (7)(A)  
**Recovered:** [REDACTED]  
**Location:**  
**Suspects:** [REDACTED]

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-11-0001  
**Title:** DECOMISO – SEIZURE BULLETIN – 01/09/2011 – CHIHUAHUA, CHIHUAHUA  
**IRS:** (b) (7)(C)  
**Date:** January 9, 2011  
**Event:** Elements with the Federal police arrested six suspected members of a kidnapping ring  
**Location:** Chihuahua, Chihuahua  
**Case Number:** Not Specified  
**Arrests:**

[REDACTED]

**Criminal Group/Org.:** Not Specified  
**Firearms/Explosives:**  
- 2 AK-47 type rifles  
- 4 AR-15 type rifles  
**Magazines:**  
- 6 magazines  
**Ammunition:**

- an unspecified number of rounds

**Tactical Equipment:** N/A

**Uniforms:** N/A

**Communication Equipment:**

- 8 cellular telephones

**Currency:** N/A

**Other Objects:** N/A

**Drugs:** N/A

**Vehicles:** N/A

**Synopsis:** On January 9, 2011, Elements with the Federal police arrested six suspected members of a kidnapping ring in Chihuahua, Chihuahua. Authorities seized 2 AK-47 type rifles, 4 AR-15 type rifles, 6 magazines, an unspecified number of rounds, and 8 cellular telephones.

(Español): 9 de Enero, 2011.- MAS CLIENTES PA LA PERPETUA...CAEN 6 SECUESTRADORES

## BOLETINES OFICIALES

### PRENSA

#### **Captura Policía Federal a seis secuestradores en Chihuahua**



\* Tenían a un joven privado de la libertad desde hace 29 días

**10 de enero de 2011.** Chihuahua, Chih.- Mediante trabajos de inteligencia en Chihuahua por parte de los miembros del Operativo Conjunto Chihuahua, lograron la madrugada del lunes la captura de una banda de secuestradores en la capital, dejando en libertad a una víctima que tenían privada de la libertad desde hace un mes.

Mediante una rueda de prensa en donde se contó con la presencia del Fiscal General del Estado, Carlos Manuel Salas, Facundo Rosas, Comisionado de la Policía Federal, quienes anunciaron la detención de la banda de secuestradores.

Se informó además por parte del Fiscal General del Estado, que la banda detenida esta conformada por seis personas, quienes tenían a un joven secuestrado desde hace 29 días y pedían por su libertad un millón y medio de dólares.

La captura de los delincuentes se logró en la vivienda ubicada en la calle Bastimento número 15310 de la

colonia Quinta de Quijote, donde fueron notificados elementos de la Policía Federal quienes actuaron de manera inmediata para así lograr la detención de los delincuentes.

En esa vivienda fueron detenidos David Antonio Alanís Guevara de 34 años, Manuel Dionisio Madrid Bracamontes, alias "el Lobo" de 33 años y César Eligio Lizárraga Guerra, alias "el Chobi" de 24 años, quienes se encargaban de la custodia de la víctima.

Las investigaciones llevaron a los agentes estatales y federales a una segunda casa de seguridad que utilizaba la banda, ubicada en las calles Mina de la Junta y Piedras Verdes de la colonia Villas del Real número 16708, donde se detuvo a los hermanos Noe, Adiu y David Villanueva Agüero, de 28, 25 y 24 años de edad respectivamente.

Una tercera casa de seguridad fue ubicada en la calle Privada de Roberto Saldívar número 10912 en la colonia Deportistas, donde fueron asegurados dos camionetas, una de ellas de la marca Ford cabina y media, de color negro y con placas de circulación del Distrito Federal en la que, de acuerdo a declaraciones de la víctima, fue la que utilizaron en su secuestro el pasado 14 de diciembre. La otra es una Nissan modelo 1993, de color blanco y sin placas de circulación.

#### Detenidos:

1. Cesar Eligio Lizárraga Guerra, alias "Choby" de 24 años
2. David Antonio Alanís Guevara, de 34 años
3. David Villanueva Agüero, de 24 años
4. Noe Villanueva Agüero, de 28 años
5. Adiu Villanueva Agüero, alias "cholo", de 25 años
6. Manuel Dionisio Dadrid Bracamontes, alias "el lobo", de 33 años

#### Ubicación casas de seguridad:

1. Bastimiento número 15310, colonia quintas quijote
2. Priv. Profesor roberto saldivar numero 10912, colonia deportistas
3. Mina de la junta 16708, villas del real

#### Objetos asegurados:

08 teléfonos celulares y seis armas largas:

Gt 3 calibre 7.62 x 52mm

Gt3 calibre 7.62 x 52mm

ak-47 calibre .223

Hk calibre 5.56 x 45mm

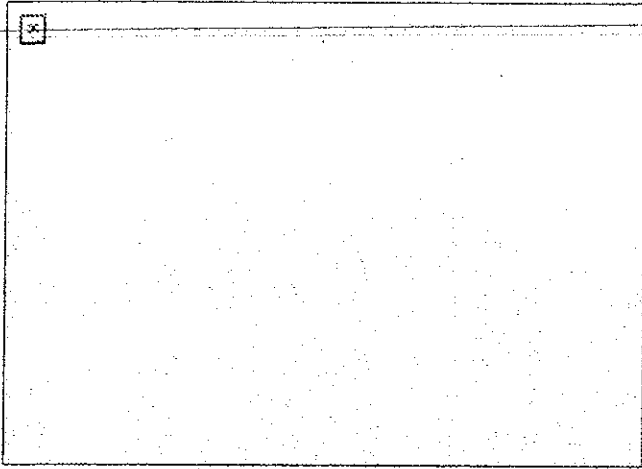
Ar-15 calibre .223

Ar-15 calibre .223

#### MAS CLIENTES PA LA PERPETUA...CAEN 6 SECUESTRADORES

Noticias - Calibre 800





La banda que detuvieron de secuestradores también los investigan, por su posible participación en tres ejecuciones, así como asaltos a tiendas departamentaleso anterior lo dio a conocer la fiscalía luego de que informaron que durante la madrugada de hoy, en operativo conjunto elementos de la fiscalía, la PFP y la PGR desarticularon peligrosa banda de secuestradores y rescataron a joven que tenían bajo secuestro en casa de la colonia Quintas Quijote.

Lo anterior lo dio a conocer la fiscalía luego de que informaron que durante la madrugada de hoy, en operativo conjunto elementos de la fiscalía, la PFP y la PGR desarticularon peligrosa banda de secuestradores y rescataron a joven que tenían bajo secuestro en casa de la colonia Quintas Quijote.

En boletín de prensa la autoridad sin dar mayores detalles de la relación que podría tener con tres ejecución, la fiscalía informó que "la banda la investigan además por su participación en otros dos secuestros, tres homicidios así como en varios asaltos a tiendas departamentales y joyerías".

Detalló que el arresto lo lograron tras varios días de acciones de inteligencia policial, elementos de la Unidad Modelo de Atención al Secuestro y de grupos especiales de la Policía Federal, lograron liberar a joven de 21 años a quien la banda mantuvo cautivo durante 29 días en la vivienda en la calle Bastimento número 15310 de la colonia Quinta de Quijote.

En esa vivienda fueron detenidos David Antonio Alanís Guevara de 34 años, Manuel Dionisio Madrid Bracamontes, alias "el Lobo" de 33 años y César Eligio Lizárraga Guerra, alias "el Chobi" de 24 años, quienes se encargaban de la custodia de la víctima.

Las investigaciones llevaron a los agentes estatales y federales a segunda casa de seguridad que utilizaba la banda, en las calles Mina de la Junta y Piedras Verdes de la colonia Villas del Real número 16708, donde detuvo a los hermanos Noe, Adiu y David Villanueva Agüero, de 28, 25 y 24 años de edad respectivamente.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF Research Specialist

Project Gunrunner

Gun Desk, El Paso Intelligence Center (EPIC)

**From:** (b) (7)(C)  
**Sent:** Tuesday, January 18, 2011 6:20 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearms (2) recovered in MEXICO, [REDACTED]

**Subject:** Suspect Gun firearms (2) recovered in MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7333.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]

Manufacturer: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED] (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Type: [REDACTED]

Caliber: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED] (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Model: [REDACTED]

Recovered Location: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]

Suspects: [REDACTED]

[REDACTED]

Trace Requestor:

(b)(3) (Public Law 111-117) & (7)(A)

Requesting Agency:

Phone Number:

[REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
Team Sage-Research Assistant  
ATF National Tracing Center

[REDACTED]

From: (b) (7)(C)

Sent: Monday, March 07, 2011 9:59 PM

To: (b) (7)(C)

CC: (b) (7)(C)

(b) (7)(C)

Subject: Suspect Gun firearms (2) recovered in MEXICO, [REDACTED]

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

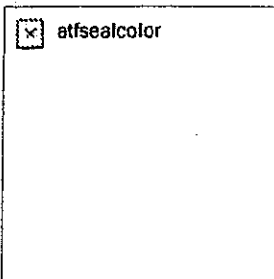
(b)(3) (Public Law 111-117) & (7)(A)

Recovered

Location:

Suspects:

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

NFORCE: 162080-11-0001

Title: DECOMISO - SEIZURE BULLETIN - 02/19/2011 - NAVOJOA, SONORA

IRS: (b) (7)(C)

Date: February 19, 2011

Event: Mexican Military personnel seized an arsenal

Location: Navojoa, Sonora

Case Number: Not Specified

Arrests:



Criminal Group/Org.: Not Specified

Firearms/Explosives:

- 21 AK-47 type rifles
- 11 AR-15 type rifles
- 1 5.45 caliber rifle
- 1 9mm handgun
- 1 .45 caliber handgun
- 1 other handgun
- 2 40mm grenade launchers
- 1 37mm grenade launcher
- 1 fragmentation grenade
- 2 smoke grenades

Magazines:

- 345 magazines, various caliber

Ammunition:

- 16,000 rounds, various caliber

**Tactical Equipment: N/A****Uniforms: N/A****Communication Equipment: N/A****Currency: N/A****Other Objects: N/A****Drugs: N/A****Vehicles: N/A**

**Synopsis:** On February 19, 2011, Mexican Military personnel seized an arsenal in Navojoa, Sonora. Authorities seized 21 AK-47 type rifles, 11 AR-15 type, rifles, 1 5.45 caliber rifle, 1 9mm handgun, 1 .45 caliber handgun, 1 other handgun, 2 40mm grenade launchers, 1 37mm grenade launcher, 1 fragmentation grenade, 2 smoke grenades, 345 magazines, and 16,000 rounds of ammunition.

(Español): 19 de Febrero, 2011.- **Incauta Ejército "megaarsenal"**

**BOLETINES OFICIALES**

**Hermosillo, Son., a 19 de febrero de 2011.**

Personal perteneciente a la 4/a. y 40/a. Zonas Militares, llevaron a cabo la detención de dos civiles y el aseguramiento de armas y un vehículo en el municipio de Navojoa, Son.

La Secretaría de la Defensa Nacional, a través de la Comandancia de la 4/a. Zona Militar, perteneciente a la II Región Militar (Mexicali, B.C.), informa:

En el marco de la Campaña Permanente Contra el Narcotráfico y aplicación de la Ley Federal de Armas de Fuego y Explosivos.

El día 19 de febrero de 2011, personal perteneciente a la 4/a. y 40/a. Zonas Militares, (Hermosillo, Son. y Guerrero Negro, B.C., respectivamente), en atención a una denuncia ciudadana, efectuó patrullamientos en la Col. 16 junio del municipio de Navojoa, Son., asegurando lo siguiente:

**Detenidos:**

- 

**Armamento:**

- 21 fusiles tipo AK 47 Cal. 7.62X39 mm.
- Seis fusiles Cal. 223 mm.
- Cuatro fusiles Cal. 5.56 mm.
- Una carabina Cal. 5.56 mm.
- Un fusil Cal. 5.45 mm.
- Una pistola Cal. 9 mm.
- Una pistola Cal. 45 mm.
- Un revolver Cal. 500 SW Magnum.
- Dos aditamentos lanzagranadas Cal. 40 mm.
- Un lanzagranadas Cal. 37 mm.
- Un cajón de mecanismo y cañón Cal. 7.62X39 mm.
- Una granada de mano de fragmentación.
- Dos granadas de humo.
- Una carga de percusiones.

**Cargadores:**

- 227 cargadores para armas largas diferentes calibres.
- 118 cargadores para armas cortas diferentes calibres.
- 18 cargadores de disco.

**Cartuchos:**

- 16,000 cartuchos de diferentes calibres.

**Vehículos:**

- Una camioneta marca Chevrolet. Mod. Silverado, Color rojo, sin placas de circulación. modelo 1996.

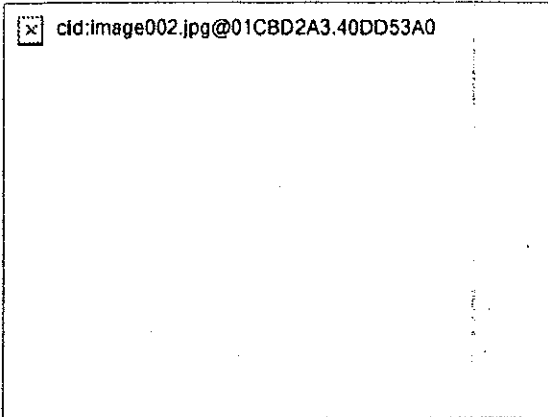
**Vestuario y equipo táctico:**

- 18 chalecos color negro.
- 20 playeras negras con leyenda P.E.I.
- 21 gorras negras con leyenda P.E.I.
- Una gorra negra con la leyenda P.G.R.
- Dos gorras negras con la leyenda P.J. E.
- 11 gorras azules con la leyenda P.F.
- Dos gorras azules con la leyenda A.F.I.
- Seis uniformes completos con la leyenda P.E.I.
- Tres uniformes completos con la leyenda A.F.I.
- 14 camisolas con la leyenda A.F.I.
- 29 pantalones azul marino.
- Una chamarra azul marino con la leyenda de A.F.I.
- Cinco playeras azul marino con la leyenda de A.F.I.
- Cuatro uniformes pixelados tipo americano.
- Tres uniformes completos camuflajados tipo americano.
- 18 Cuchillos bayoneta.

En el concepto que los civiles detenidos, armas, cartuchos, cargadores y vehículo, asegurados, fueron puestos a disposición del A.M.P.F. de Cd. Obregón, Son., abriéndose la averiguación previa correspondiente.

Cabe mencionar que al atender la denuncia ciudadana se efectuaron patrullamientos en la zona urbana del municipio de Navojoa Son., logrando la detención de [REDACTED] y [REDACTED], quienes según la denuncia recibida son integrantes de una célula delictiva, dirigida por un sujeto de nombre [REDACTED], el cual a su vez cumple ordenes de [REDACTED], quien dirige a la banda delictiva [REDACTED], asimismo, se aseguró una camioneta, armamento y equipo policiaco.

Una vez más el Ejército y Fuerza Aérea Mexicanos, refrendan su compromiso con la ciudadanía, en esta lucha frontal contra el narcotráfico y la delincuencia organizada, enfatizando que la participación actual de la población Sonorense ha sido fundamental para asestar golpes certeros a las organizaciones criminales que operan en esta entidad.

**PRENSA****POLICIACA**

Publicada: 20/02/2011 09:22 Por: Redacción ElImparcial

Incauta Ejército "megaarsenal"

12

33

Comentarios

**NAVOJOA, Sonora (PH)** Personal del Ejército Mexicano logró la detección de dos personas y el aseguramiento de 36 armas de alto calibre, 16 mil cartuchos, así como tres granadas y un vehículo durante un operativo realizado en Navojoa, de acuerdo con información divulgada por la Sedena.

Esto bajo el marco de la Campaña Permanente Contra el Narcotráfico y aplicación de la Ley Federal de Armas de Fuego y Explosivos, informó la Secretaría de la Defensa Nacional, (Sedena), a través de un comunicado oficial de la Comandancia de la Cuarta Zona Militar.

Las personas que fueron detenidos en la colonia 16 de Junio, al Oriente de Navojoa, son Isai Solís Velázquez y Cecilio Castro Fierro.

De acuerdo con el comunicado oficial les fueron decomisados 21 fusiles tipo AK 47, calibre 7.62 x 39 milímetros; 6 fusiles calibre .223 milímetros; 4 fusiles calibre 5.56 milímetros.

Además les incautaron un fusil calibre 5.45 milímetros; 1 pistola calibre .9 mm; 1 pistola calibre 45 milímetros; 1 revólver calibre 500 SW Magnum; 2 aditamentos lanzagranadas, calibre 40 milímetros; 1 lanzagranadas calibre 37 milímetros, se detalla en el boletín informativo.

También se aseguraron un cajón de mecanismo y cañón calibre 7.62 x 39 milímetros, 1 granada de mano de fragmentación, 2 granadas de humo y 1 carga de percusiones, establece el informe de la Sedena.

El parte informativo del Ejército Mexicano establece además que fueron asegurados 227 cargadores para armas largas de diferentes calibres; 118 cargadores A detalle

En un operativo realizado en Navojoa por el Ejército Mexicano, se logró el decomiso de lo siguiente:

**DETENIDOS**

Isai Solís Velázquez  
Cecilio Castro Fierro

**ARMAS**

21 Fusiles Tipo AK 47 Cal. 7.62X39 mm  
6 Fusiles calibre 223 mm  
4 Fusiles calibre 5.56 mm

- 1 Carabina calibre 5.56 mm
- 1 Fusil calibre 5.45 mm
- 1 Pistola calibre 9 mm
- 1 Pistola calibre 45 mm
- 1 Revólver calibre 500 SW Magnum
- 2 Aditamentos lanzagranadas calibre 40 mm
- 1 Lanzagranadas calibre 37 mm
- 1 Cajón de mecanismo y cañón calibre 7.62X39 mm
- 1 Granada de mano de fragmentación
- 2 Granadas de humo
- 1 Carga de percusiones

**CARGADORES**

- 227 Cargadores para armas largas diferentes calibres
- 118 Cargadores para armas cortas diferentes calibres
- 18 Cargadores de disco

**CARTUCHOS**

16 mil, de diferentes calibres

Source: <http://www.elimparcial.com/EdicionEnLinea/Notas/Policiaca/20022011/498310.aspx>

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF @ EPIC  
 Intelligence Research Specialist  
 Firearms and Explosives Trafficking Intelligence Unit  
 11339 SSG Sims St  
 El Paso, Texas 79908-8098

Desk  
 Cell  
 Fax

(b) (7)(C)

ATF Research Specialist  
 Project Gunrunner  
 Gun Desk, El Paso Intelligence Center (EPIC)

[Redacted]

From: (b) (7)(C)

Sent: Tuesday, March 01, 2011 10:06 AM

To: (b) (7)(C)

Cc: (b) (7)(C)

Subject: Suspect Gun firearms (2) recovered in MEXICO, [Redacted]

Subject: Suspect Gun firearms (2) recovered, MEXICO.

Case Agents: (b) (7)(C)



The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

TRACE ID: [REDACTED]  
 Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A), [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: [REDACTED]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) /IA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Suspect Gun Program  
 Team Sage-Research Assistant  
 ATF National Tracing Center



From: (b) (7)(C)  
Sent: Wednesday, December 09, 2009 1:22 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: RE: Assistance  
Attachments: (b) (7)(C)Traces.doc

I attached an amended copy. I have put trace update notes in blue.

(b) (7)(C)

From: (b) (7)(C)  
Sent: Wednesday, December 09, 2009 12:33 PM  
To: (b) (7)(C)  
Subject: Assistance

(b) (7)(C)

I'm trying to tie up loose ends with the traces for the Naco, Sonora recovery on November 20, 2009. I have attached a chart and highlighted in red those traces which we do not have purchaser information on. In addition the chart in red at the bottom indicates serial numbers which have trace numbers but were not on the list of firearms recovered according to the Mexican police report. Any help you can provide would be greatly appreciated! Thanks.



11-20-09 NACO, SONORA, MEXICO FIREARM RECOVERY

TRAC #	TRACE #	PURCHASER	PHOTO #	DATE	COMMENTS
1					(b)(3) (Public Law 111-117) & (7)(A)
3					(b)(3) (Public Law 111-117) & (7)(A)
4					(b)(3) (Public Law 111-117) & (7)(A)
5					
6					(b)(3) (Public Law 111-117) & (7)(A)
7					(b)(3) (Public Law 111-117) & (7)(A)
8					
11					(b)(3) (Public Law 111-117) & (7)(A) & (C)
12					(b)(3) (Public Law 111-117) & (7)(A)
14					(b)(3) (Public Law 111-117) & (7)(A)
15					(b)(3) (Public Law 111-117) & (7)(A) & (C)
16					(b)(3) (Public Law 111-117) & (7)(A)
17					(b)(3) (Public Law 111-117) & (7)(A) & (C)
18					(b)(3) (Public Law 111-117) & (7)(A) & (C)
					(b)(3) (Public Law 111-117) & (7)(A)

19				(b)(3) (Public Law 111-117) & (7)(A)
20				(b)(3) (Public Law 111-117) & (7)(A) & (C)
21				(b)(3) (Public Law 111-117) & (7)(A)

	FFY	TRIP	PURCHASE	SPREADSHEET	SOFA	GRANT SPREADSHEET
22						(b)(3) (Public Law 111-117) & (7)(A)
24						(b)(3) (Public Law 111-117) & (7)(A)
25						(b)(3) (Public Law 111-117) & (7)(A) & (C)
26						
27						(b)(3) (Public Law 111-117) & (7)(A) & (C)
28						
29						(b)(3) (Public Law 111-117) & (7)(A) & (C)
30						
31						(b)(3) (Public Law 111-117) & (7)(A) & (C)
32						
33						(b)(3) (Public Law 111-117) & (7)(A) & (C)
34						
35						
37						(b)(3) (Public Law 111-117) & (7)(A)
						(b)(3) (Public Law 111-117) & (7)(A)
38						(b)(3) (Public Law 111-117) & (7)(A) & (C)
						(b)(3) (Public Law 111-117) & (7)(A)
39						(b)(3) (Public Law 111-117) & (7)(A) & (C)
						(b)(3) (Public Law 111-117) & (7)(A)
40						(b)(3) (Public Law 111-117) & (7)(A)
41						(b)(3) (Public Law 111-117) & (7)(A)
42						(b)(3) (Public Law 111-117) & (7)(A)

ADDITIONAL SERIAL NUMBERS  
SUBMITTED

	FFY	TRIP	PURCHASE	SPREADSHEET	SOFA	GRANT SPREADSHEET

(b) (7)(C)

Special Agent Phoenix Group VII

**From:** (b) (7)(C)  
**Sent:** Tuesday, December 29, 2009 10:54 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO [REDACTED]

Good morning ma'am.

I have all of these traces restricted right away. (b) (7)(C)

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Tuesday, December 29, 2009 10:52 AM  
**To:** (b) (7)(C)  
**Subject:** Re: Suspect Gun firearm recovered, MEXICO [REDACTED]

Active please do not release information, thank you

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Tue Dec 29 09:43:21 2009  
**Subject:** Suspect Gun firearm recovered, MEXICO [REDACTED]  
**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

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*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

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- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

Trace details regarding firearm recovery:

Serial Number: [REDACTED]  
Manufacturer: (b)(3) (Public Law 111-117) & (7)(A)  
Type: [REDACTED]  
Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
Model: [REDACTED]  
Recovered Location: (b)(3) (Public Law 111-117) & (7)(A)  
Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
Requesting Agency: [REDACTED]  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431  
[REDACTED]

From: (b) (7)(C)

Sent: Friday, January 08, 2010 8:12 AM

To: (b) (7)(C)

CC: (b) (7)(C)

Subject: TWO URGENT TRACES: Suspect Gun firearm recovered, MEXICO

Subject: Suspect Gun firearm recovered, MEXICO.

Case Agents: (b) (7)(C)

Purchased by (b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

Traces closed without updating purchaser per your instructions.

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

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Please advise as to whether your case is:

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- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number:

Suspect Gun submission request date:

**Trace details regarding firearm recovery:**

Serial Number:

Serial Number:

Manufacturer:

Type:

Caliber:

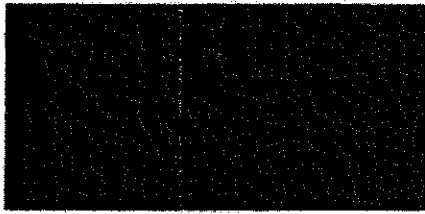
Model:

Recovered

Location:

Suspects:





Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) & (C)  
Requesting Agency: (b)(3) (Public Law 111-117) & (7)(A)  
Phone Number: [REDACTED]

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF, (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431



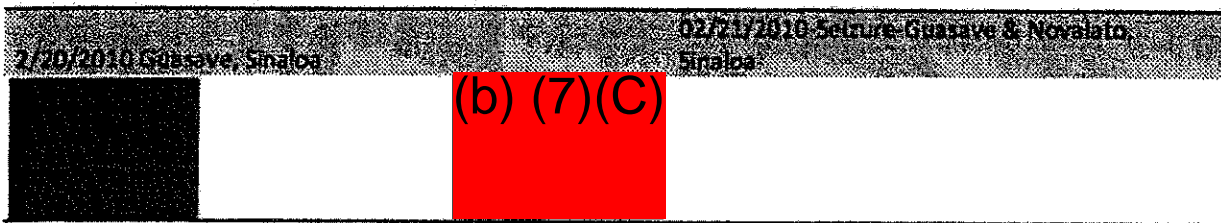
**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 12:06 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO

(b) (7)(C) maybe we already knew but here it is anyway...

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 8:54 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Suspect Gun firearm recovered, MEXICO

(b) (7)(C)

Yes, we got it on this end.



**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 11:53 AM  
**To:** (b) (7)(C)  
**Subject:** FW: Suspect Gun firearm recovered, MEXICO

Did we know about this one...? - Thanks!

**From:** (b) (7)(C)  
**Sent:** Monday, March 22, 2010 6:50 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Manufacturer: (b)(3) (Public Law 111-117) &  
 Type: [REDACTED]  
 Caliber: (b)(3) (Public Law 111-117) & (7)(A)  
 Model: [REDACTED]  
 Recovered (b)(3) (Public Law 111-117) & (7)(A)  
 Location: [REDACTED]  
 Suspects: [REDACTED]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [REDACTED]  
 Requesting Agency: [REDACTED]  
 Phone Number: (b)(3) (Public Law 111-117) & (7)(A)

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC BRANCH CHIEF, (b) (7)(C) [REDACTED] VIA E-MAIL OR AT (b) (7)(C) [REDACTED]**

(b) (7)(C) [REDACTED]  
 Program Analyst  
 ATF National Tracing Center  
 244 Needy Rd.  
 Martinsburg, WV 25405-9431

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Thursday, January 14, 2010 10:13 AM  
**To:** (b) (7)(C)  
**Subject:** Re: Suspect Gun firearm recovered, MEXICO, [REDACTED]

From (b)(3) (Public Law 111-117) & (7)(A) & (C)

\*\*\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Sent:** Thu Jan 14 10:05:26 2010  
**Subject:** Suspect Gun firearm recovered, MEXICO, [REDACTED]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: 785115-10-0004

Suspect Gun File Number: [REDACTED]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number: [REDACTED]  
 Serial Number: [REDACTED]

**Manufacturer:** (b)(3) (Public Law 111-117) & (7)(A)  
**Type:**  
**Caliber:** (b)(3) (Public Law 111-117) & (7)(A)  
**Model:**  
**Recovered:** (b)(3) (Public Law 111-117) & (7)(A)  
**Location:**  
**Suspects:**

**Trace Requestor:** (b)(3) (Public Law 111-117) & (7)(A)  
**Requesting Agency:** (b)(3)(Public Law 111-117)  
**Phone Number:**

**THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)**

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 12:57 PM  
**To:** Newell, William D.; Gillett, George T. Jr.; (b) (7)(C)  
**Subject:** RE: USBP

10-4. We are aborting our [REDACTED] for this AM and heading down to Nogales shortly.  
 We will keep you in the loop.  
 Thanks for the support.

(b) (7)(C)

---

**From:** Newell, William D.  
**Sent:** Wednesday, December 15, 2010 10:48 AM  
**To:** Gillett, George T. Jr.; (b) (7)(C)  
**Subject:** Re: USBP

Agree with George completely. Send every available body and provide as much assistance as possible. George is correct also in that I want an ATF supervisor on-scene coordinating and directing our efforts. Also, pls confirm asap if the gun has been traced. If you need additional bodies let George know asap.

\*\*\*

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---

**From:** Gillett, George T. Jr.  
**To:** (b) (7)(C)  
**Cc:** Newell, William D.  
**Sent:** Wed Dec 15 12:44:55 2010  
**Subject:** RE: USBP

Absolutely. I spoke with (b) (7)(C) about this. I also understand that you will be down there. I want an ATF supervisor on site and our personnel answering to us. Please keep me in the loop on developments and/or plans. I will be on my cell for a bit this afternoon.

Thanks!

George

---

**From:** (b) (7)(C)  
**Sent:** Wednesday, December 15, 2010 10:21 AM  
**To:** Gillett, George T. Jr.  
**Subject:** USBP

G  
 (b) (7)(C) called me and said USBP is asking for some help. They believe there may be some guns stashed in the desert in the area of the shooting. Possibly one other suspect also.  
 Are you good with us sending some bodies down, along with all our available K-9's (U of A and PCSO) to help the search the area for any firearms?

(b) (7)(C)

**From:**  
**To:** McMahon, William G.  
**Subject:** FW: OCDETF Proposal  
**Attachments:** The Fast and The Furious.doc; The Fast and The Furious narrative.doc

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

**From:** Gillett, George T. Jr.  
**Sent:** Tuesday, January 26, 2010 11:26 AM  
**To:** Newell, William D.  
**Subject:** FW: OCDETF Proposal

Bill -

(b) (7)(C) and (b) (7)(C) OCDETF proposal was unanimously passed today at the USAO. This investigation is currently engaging [REDACTED] (b) (7)(C) is working on the [REDACTED]. We'll coordinate with ATF Counsel and the USAO regarding review of the [REDACTED] (b) (7)(A)

(b) (7)(A)

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

**From:** (b) (7)(C)

**Sent:** Tuesday, January 25, 2011 1:34 PM

**To:** Chait, Mark R.; McMahon, William G.; Boxler, Michael B.; Torres, Julie M; Then, Colleen M.; Torres, John A.; Newell, William D.; Champion, Robert R.; Webb, J. Dewey; (b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

**CC:** (b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

Intel-Group Supervisors

**Subject:** SWB Briefing Paper - January 24, 2011

**Attachments:** SWB Briefing Paper - January 24, 2011.docx

Good afternoon. Attached is the approved edition of the Southwest Border briefing paper, dated January 24, 2011. New updates to the SWB paper are now due to (b) (7)(C) by COB Friday, February 4, 2011.

FIG Supervisors,

Per (b) (7)(C) ATF National Coordinator for Southwest Border/Project Gunrunner, I will be forwarding this report to you bi-weekly for your information. The report serves as a biweekly update of significant investigations, activities and events related to ATF's Southwest Border Initiative. Currently, our four primary Southwest Border field divisions and offices in Mexico report biweekly on all open Southwest Border related investigations or investigative activity in their respective areas of responsibility. Additionally, these divisions or offices provide narratives describing significant Southwest Border related liaison or training activity with the Government of Mexico and other Federal, State or local agencies and any Southwest Border related media events.

Please contact me with any questions. Thanks.

(b) (7)(C)

*Program Analyst  
Firearms Operations Division  
Office of Field Operations  
Bureau of ATF*





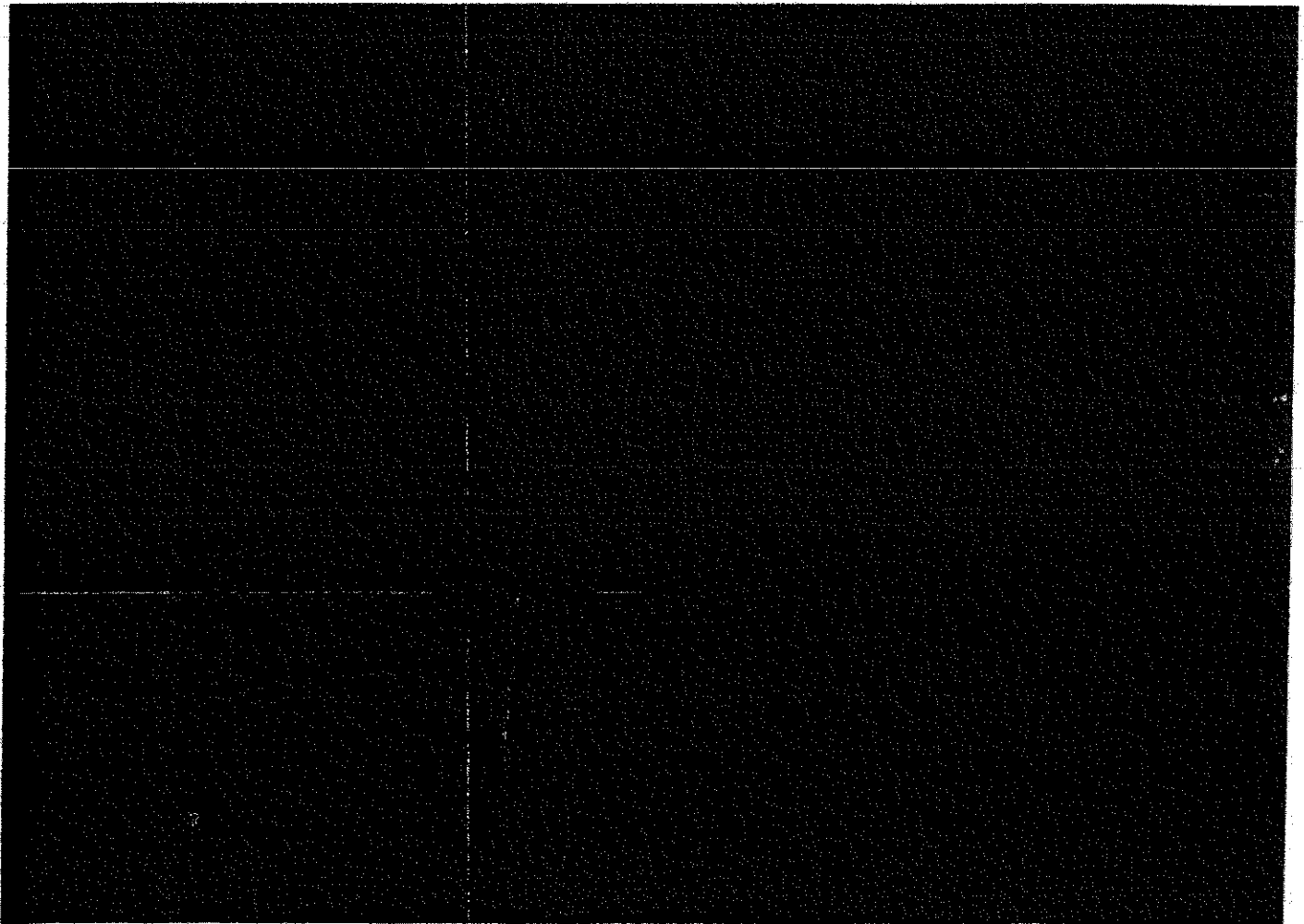
LAW ENFORCEMENT SENSITIVE

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**January 24, 2011**

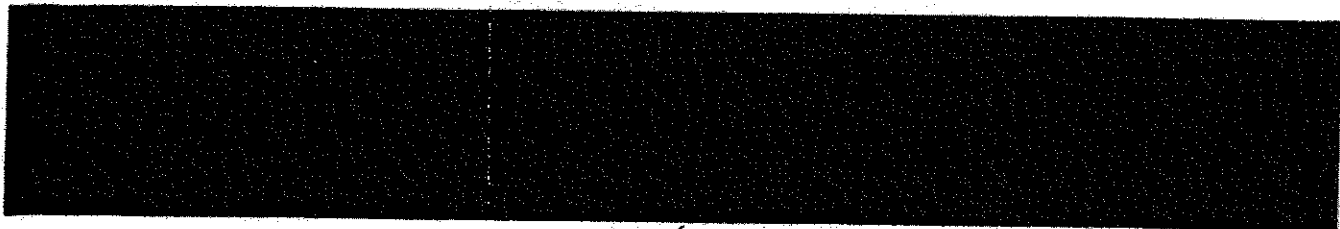
**The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.**



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**LAW ENFORCEMENT SENSITIVE****Investigations:**Phoenix VII - Gunrunner

785115-10-0004 Operation Fast & Furious: On January 19, 2011, the Federal Grand Jury in the District of Arizona returned a "true bill" indicting 20 individuals in this firearm trafficking investigation. This was a 53 count indictment charging Conspiracy (371), Dealing in Firearms Without a License (922 a 1 A), False Statement in Connection with the Acquisition of a Firearm (924 a 1 A), Conspiracy to Possess a Firearm in Furtherance of a Drug Trafficking Offense (924 o), Money Laundering (1956), Possession/Conspiracy to Possess a Controlled Substance with Intent to Distribute (841 & 846), Aid and Abet (2). Twenty arrest warrants and several search warrants will be executed next week during a combined multi-agency law enforcement effort.

Tucson IV - GunrunnerLas Cruces II - Gunrunner

**From:** (b) (7)(C)

**Sent:** Thursday, February 10, 2011 9:29 PM

**To:** Chait, Mark R.; McMahon, William G.; Boxler, Michael B.; Torres, Julie M; Then, Colleen M.; Torres, John A.; Newell, William D.; Champion, Robert R.; Webb, J. Dewey; (b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

**CC:** (b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

**Subject:** SWB Briefing Paper - February 7, 2011

**Attachments:** SWB Briefing Paper - February 7, 2011.docx

Attached is the approved edition of the Southwest Border briefing paper, dated February 7, 2011. New updates to the SWB paper are now due to (b) (7)(C) by COB Friday, February 18, 2011.

Thanks (b) (7)(C)

(b) (7)(C)

*Program Analyst  
Firearms Operations Division  
Office of Field Operations  
Bureau of ATF*



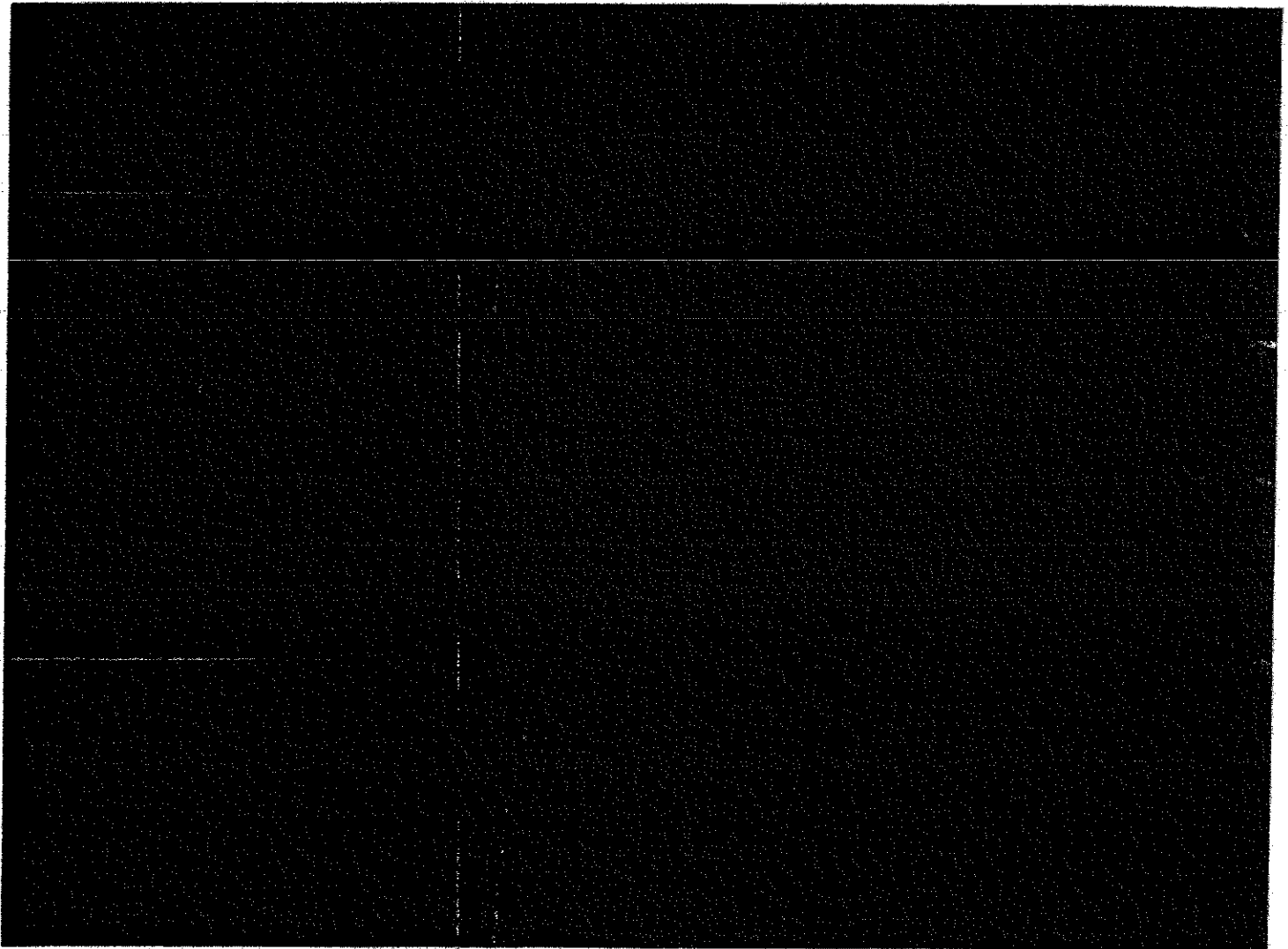
~~LAW ENFORCEMENT SENSITIVE~~

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

**February 7, 2011**

The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.



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LAW ENFORCEMENT SENSITIVE

**PHOENIX FIELD DIVISION****Investigations:****Phoenix VII – Gunrunner**

**785115-10-0004 Operation Fast and Furious:** (OCDETF investigation) On January 19, 2011, the Federal Grand Jury in the District of Arizona returned a 53 count indictment charging 20 individuals with a variety of charges that include; Conspiracy (371), Dealing in Firearms without a License (922 a 1 A), False Statement in Connection with the Acquisition of a Firearm (924 a 1 A), Conspiracy to Possess a Firearm in Furtherance of a Drug Trafficking Offense (924 o), Money Laundering (1956), Possession/Conspiracy to Possess a Controlled Substance with Intent to Distribute (841 & 846), Aid and Abet (2).

On January 25, 2011, the ATF Phoenix Field Division, Phoenix Group VII, along with numerous other federal and local law enforcement agencies, concluded this firearm trafficking investigation with the arrest of 18 individuals and the execution of four search warrants. During the execution of the above mentioned arrest and search warrants, as well as an additional consent search, 12 firearms, body armor, ammunition, two pounds of methamphetamine, numerous pounds of marijuana, scales, computers, documents, and packaging material were recovered. Several of the suspects provided interviews on scene to ATF agents and to Mexican Law Enforcement Officials, present on scene, which resulted (b) (7)(A)

(b) (7)(A)

On February 2, 2011, the United States Marshals Service developed information that the main suspect and fugitive in this investigation (b) (7)(C) was hiding in a relative's house in El Paso, Texas. The USMS followed up accordingly and arrested (b) (7)(C) in El Paso. Two ATF agents from Phoenix flew to El Paso and conducted an interview with (b) (7)(C)

6

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**From:** (b) (7)(C)

**Sent:** Wednesday, February 23, 2011 11:26 AM

**To:** Chait, Mark R.; McMahon, William G.; Boxler, Michael B.; Torres, Julie M.; Then, Colleen M.; Torres, John A.; Newell, William D.; Champion, Robert R.; Webb, J. Dewey; (b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

**cc:** (b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C)

(b) (7)(C) Intel-Group Supervisors; (b) (7)(C)

**Subject:** SWB Briefing Paper - February 22, 2011

**Attachments:** SWB Briefing Paper - February 22, 2011.docx

Good morning. Attached is the approved edition of the Southwest Border briefing paper, dated February 22, 2011. New updates to the SWB paper are now due to (b) (7)(C) by COB Friday, March 4, 2011.

Thanks, (b) (7)(C)

(b) (7)(C)

*Program Analyst  
Firearms Operations Division  
Office of Field Operations  
Bureau of ATF*



LAW ENFORCEMENT SENSITIVE

**Southwest Border Initiative  
(Project Gunrunner)**

**Biweekly Update**

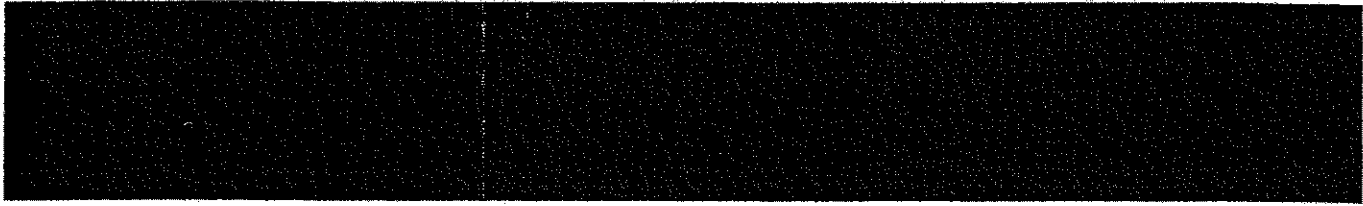
**February 22, 2011**

**The following is a biweekly update on significant events related to the Southwest Border Initiative. The information is collected from the different ATF offices involved in this initiative and intended for ATF use only.**



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LAW ENFORCEMENT SENSITIVE



**PHOENIX FIELD DIVISION**

**Investigations:**

**Phoenix VII - Gunrunner**



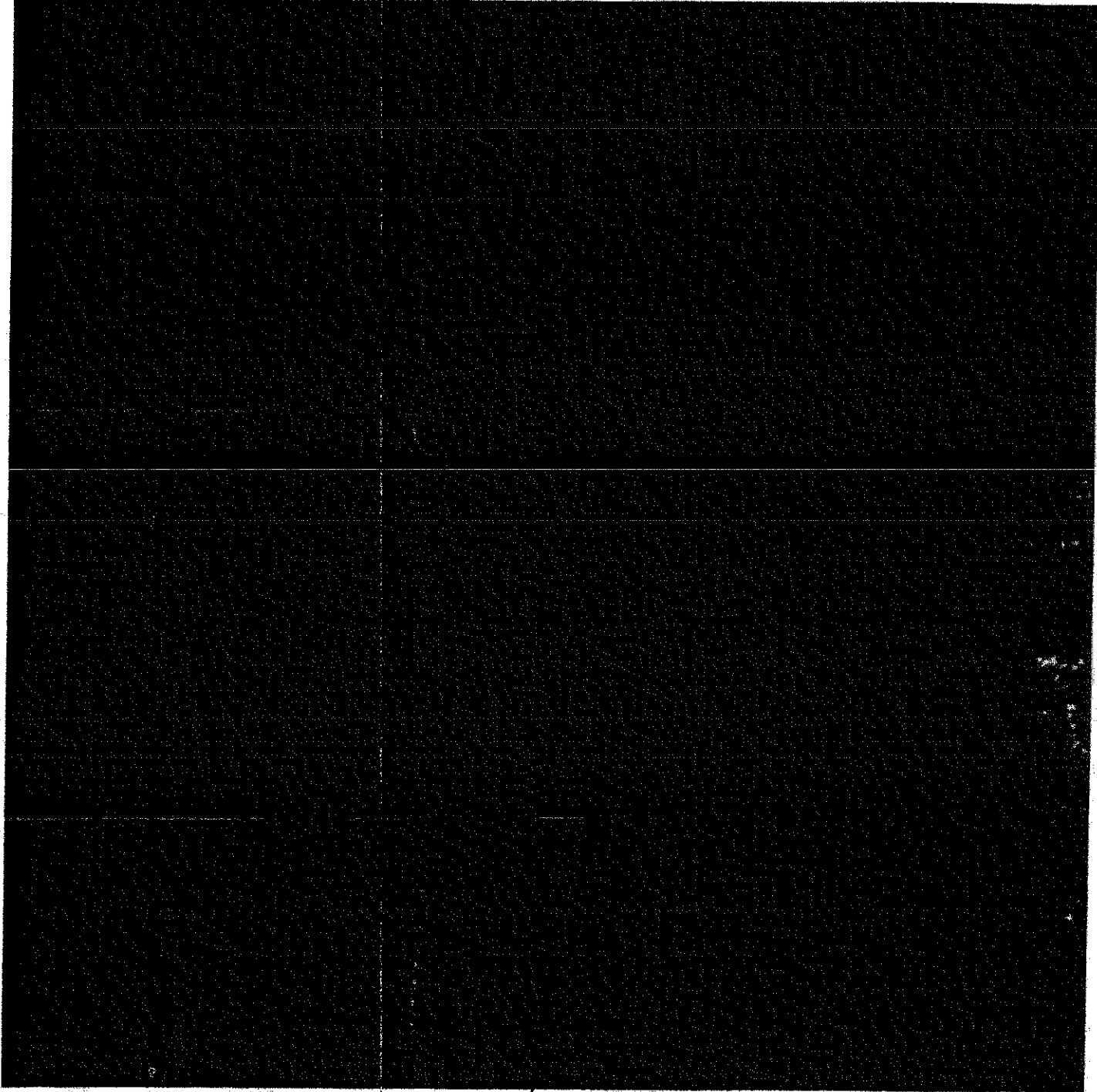
785115-10-0004 Operation Fast & Furious: This is a previously approved OCDETF case (SWAZP0496). To date, 19 of the 20 defendants indicted on this Project Gunrunner firearm trafficking case have been arrested. Since that time, (b) (7)(A)

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LAW ENFORCEMENT SENSITIVE

(b) (7)(A)



LAW ENFORCEMENT SENSITIVE  
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**From:** (b) (7)(C)  
**Sent:** Tuesday, June 01, 2010 2:14:38 PM  
**To:** (b) (7)(C)  
**CC:** Chait, Mark R.; McMahon, William G.  
**Subject:** RE: Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

10-4. My comments do not concern prop firearms.

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, June 01, 2010 1:02 PM  
**To:** (b) (7)(C)  
**Cc:** Chait, Mark R.; McMahon, William G.  
**Subject:** RE: Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

(b) (7)(C)

I think we should address this item with the immediate work ahead that we've discussed (and are meeting on today). I wasn't in the El Paso conversations, but I assume the student SAs were referring to allowing firearm transfers, between individuals or between FFLs and individuals, to occur without LE interference in furtherance of a trafficking type investigation. The question you raised before was what volume of firearms is acceptable to allow to "walk" before we intervene, 5, 10, 50? Also, my read of your comments does not imply that we are, with only field level approval, authorizing "prop" firearms to walk ... Good idea - let's discuss later today. Thanks

(b) (7)(C)

Chief, Firearms Operations Division  
ATF HQ - [REDACTED]  
[REDACTED]

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, June 01, 2010 11:45 AM  
**To:** Chait, Mark R.; McMahon, William G.  
**Cc:** (b) (7)(C)  
**Subject:** FW: Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

This is what we've come up with regarding the transfers of firearms during the course of an investigation. This issue came up during the recent firearms trafficking seminar I attended in El Paso. Specifically, it appears that agents in the field are of the opinion that they can transfer or permit the transfer of firearms to further an investigation without HQ knowledge or approval.

The language below would seem to support that position. Given that our Firearms Enforcement Order has not been updated for 21 years and much has changed during the intervening period I recommend that we develop policy to address this issue immediately, followed by an effort to update the Order.

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 27, 2010 6:03 PM  
**To:** (b) (7)(C)  
**Subject:** Response -- Negative / Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 27, 2010 11:49 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

Hi (b) (7)(C), sorry for the delay. I've checked several resources, including our PD&E Shared Drive, which is an archive of old policy memos, broadcast messages, e-mails, and other miscellaneous documents and items and have not been able to find any supplemental policy regarding weapons transfer. It seems the information in ATF O 3310.4B is the only policy that exist.

Let me know if I can be of further assistance.

*Program Analyst (b) (7)(C)*  
*Policy Development and Evaluation Branch*  
*Field Management Staff, Office of Field Operations*  
*99 New York Avenue, NE, [REDACTED]*  
*Washington, DC 20226*  
 [REDACTED]

*I am who I am because of who we all are!*

---

**From:** (b) (7)(C)  
**Sent:** Tuesday, April 20, 2010 4:14 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Request for Supplemental Documentation / ATF O 3310."Firearm Transfer" / ? - Supervisory Approval Requirement

**REQUEST FOR SUPPLEMENTAL DOCUMENTATION RE ATF O 3310.48 " WEAPONS TRANSFER" AND SUPERVISORY APPROVAL REQUIREMENT**

Per discussion this date, requesting supplemental documentation, if any, issued by HQ and/or Field Divisions reflecting the requirement of supervisory/SAC approval for "Weapons Transfers," which is not addressed in ATF O 3310.48.

Thank you for your time and assistance.

---

**"WEAPONS TRANSFER"**

ATF O 3310.48 Firearms Enforcement Program cites that a special agent "may choose to allow the transfer of firearms to take place in order to further an investigation and allow for the identification of additional coconspirators who would have continued to operate and illegally traffic firearms in the future, potentially producing more armed crime."

Comment: Order reflects no procedural notation regarding supervisory approval; sole discretionary practice by investigative personnel

Reference: ATF O 3310.4B Firearms Enforcement Program, p. 113

Extract: 148. "Weapons Transfers"

- a. Considerations. During the course of illegal firearms trafficking investigations, special agents may become aware of, observe, or encounter situations where an individual(s) will take delivery of firearms, or transfer firearm(s) to others. In these instances, the special agent may exercise the following options:
  - (1) In cases where probable cause exists to believe a violation of law has occurred and the special agent determines there is a need to intervene in the weapons transfer (e.g., the recipient of the firearms is a known felon; it is known the firearms will be used in crime of violence), the special agent shall do so but should place concerns for public safety and the safety of the involved special agents as the primary determining factor in exercising this option.
  - (2) In other cases, immediate intervention may not be needed or desirable, and the **special agent may choose to allow the transfer of firearms to take place in order to further**

**an investigation and allow for the identification of additional coconspirators who would have continued to operate and illegally traffic firearms in the future, potentially producing more armed crime.**

Document:

<< File: ATF O 3310.4B Firearms Enforcement Program.doc >>

**From:** (b) (7)(C)  
**Sent:** Monday, March 01, 2010 10:59 AM  
**To:** Phoe-Group VII  
**Subject:** keeping up with (b) (7)(C)

(b)(3) (Public Law 111-117) & (7)(A) & (7)(C)

(b)(3) (Public Law 111-117) & (7)(C)

(b)(3) (Public Law 111-117)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

I know people were off on Friday due to working the Gun Show on Saturday (thanks) but we need to make sure we stay up to date on (b)(3) (Public Law 111-117) & (7)(A) & (C) (b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
(b)(3) (Public Law 111-117) & (7)(A)

**From:** (b) (7)(C)  
**Sent:** Friday, February 26, 2010 5:39 PM  
**To:** Hurley, Emory (USAAZ)  
**Subject:** FW: guns from "El Teo" Gunmen

Per our conversation 15 minutes ago at Starbucks: below is an e-mail from (b) (7)(C) in Mexico. Please scroll down and you will see I highlighted the "BEOWULF .50 caliber rifle, made on a Rock River Arms LAR-15 receiver." Recovered from this same seizure are 3 firearms purchased by subjects of our current case. Couple that fact with the [REDACTED] information and we might be on to something...?

Thanks again,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Friday, February 26, 2010 3:04 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: guns from "El Teo" Gunmen

Of the serial numbers listed below:

[REDACTED] (b) (7)(C)  
 [REDACTED] (b) (7)(C)  
 [REDACTED] (b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Friday, February 26, 2010 1:44 PM  
**To:** (b) (7)(C)  
**Subject:** FW: guns from "El Teo" Gunmen

**From:** (b) (7)(C)  
**Sent:** Friday, February 26, 2010 1:02 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** guns from "El Teo" Gunmen

(b) (7)(C) we just got back from viewing some guns that were taken last night from a group of gunmen tied to the "el teo" organization. Supposedly they were on their way to kill the Public Safety Secretary Lt Col. (b) (7)(C) when they were intercepted by the State Police (PEP). They were armed with five long guns and five handguns, vests etc .

From examination of the guns these look like the same type of weapons that are coming from Phoenix and that we have been seen in other cases tied to El Teo.

Below are the guns.

Four of the guns are Romania, Romarm GP WASR 10/63 UP AK-47 type rifles, 7.62X39mm, all had been converted to fire full auto and were in good condition. The importer, as in the others, is CAI, Georgia, VT. With the following serial numbers: [REDACTED] and [REDACTED]

One is an Alexander Arms LLC, US Army Radford Arsenal, BEOWULF .50 caliber rifle, made on a Rock River Arms LAR-15 receiver, Cleveand, Ill, serial number obliterated

Two are FN HERSTAL 5 7 semi-auto pistols, caliber 5.7mmX28mm, imported by FNH USA, Fredricksburg, VA one

obliterated serial number the other [REDACTED]

One Ruger P94, 9mm pistol, serial [REDACTED] made in Southport, Conn, USA by Ruger

One EAA Witness, caliber .38 super, semi-auto pistol, serial number [REDACTED] made in Italy imported by EAA Cocoa, Fla

One Smith and Wesson, Model 39-2, 9mm semi-auto pistol, serial number [REDACTED]

(b) (7)(C)

ATF Senior Special Agent  
US Consulate General  
Tijuana, Mexico

[REDACTED] desk  
- cellular



From: (b) (7)(C)  
 Sent: Monday, December 07, 2009 12:37:53 PM  
 To: Gillett, George T. Jr.  
 Subject: RE: How's your first day?

10-4

-----Original Message-----

From: Gillett, George T. Jr.  
 Sent: Monday, December 07, 2009 11:37 AM  
 To: (b) (7)(C)  
 Subject: Re: How's your first day?

Ummmm. I'll fill you in tonight. It's high side info.

George T. Gillett  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 [REDACTED]

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

----- Original Message -----

From: (b) (7)(C)  
 To: Gillett, George T. Jr.  
 Sent: Mon Dec 07 12:33:57 2009  
 Subject: RE: How's your first day?

Should be clear for football. I think (b) (7)(C) said (b) (7)(A)

(b)(7)(A) & (C)

(b) (7)(A)

Is there a point of contact @ SOD or someone I can talk to regarding this information?

Thanks

-----Original Message-----

From: Gillett, George T. Jr.  
 Sent: Monday, December 07, 2009 11:28 AM  
 To: (b) (7)(C)  
 Subject: Re: How's your first day?

Are you up for going out to Monday Night Football or does your group have something going tonight?

George T. Gillett  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 [REDACTED]

\*\*\*

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transmission in error, please notify the sender by return e-mail and  
destroy this message in its entirety (including all attachments).

----- Original Message -----

From: (b) (7)(C)  
To: Gillett, George T. Jr.  
Sent: Mon Dec 07 12:25:10 2009  
Subject: RE: How's your first day?

So far so good! Thanks

-----Original Message-----

From: Gillett, George T. Jr.  
Sent: Monday, December 07, 2009 11:25 AM  
To: (b) (7)(C)  
Subject: How's your first day?

George T. Gillett  
Assistant Special Agent in Charge  
ATF - Phoenix Field Division  
[REDACTED]

\*\*\*

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transmission in error, please notify the sender by return e-mail and  
destroy this message in its entirety (including all attachments).

**From:** (b) (7)(C)  
**Sent:** Monday, December 14, 2009 10:14 AM  
**To:** Gillett, George T. Jr.  
**Subject:** RE: Latest Trafficking Case

I just spoke with (b) (7)(C) and she stated she sent him the list last week, maybe are talking apples and oranges but according to SA (b) (7)(C) she sent (b) (7)(C) what he requested last week...

Thanks,

(b) (7)(C)

---

**From:** Gillett, George T. Jr.  
**Sent:** Monday, December 14, 2009 6:45 AM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Fw: Latest Trafficking Case  
**Importance:** High

(b) (7)(C)

Please coordinate with Intel and see if (b) (7)(C) can assist with this request.

Thanks.

George T. Gillett  
 Assistant Special Agent in Charge  
 ATF - Phoenix Field Division  
 [REDACTED]

\*\*\*

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---

**From:** (b) (7)(C)  
**To:** Gillett, George T. Jr.  
**Sent:** Mon Dec 14 08:03:51 2009  
**Subject:** Latest Trafficking Case

George - I know my folks made contact last week while I was away from the office. DAD McMahon has tasked us with doing a link analysis on (b) (7)(C) case, in order for him to brief AD Chait, who will in turn brief a DOJ Attorney on new SWB operational plans and use this case as an example. Sorry, if this word did not come down the field ops chain to you all.

My folks are under a time crunch, need to print out link analysis by tomorrow (Tuesday). They still need the Suspect Gun List that NTC provided (b) (7)(C) at the end of last week, in order to complete their chart. Can you please remind her to send it to (b) (7)(C) Much appreciated.

Call me if you have questions or concerns. [REDACTED] is cell.

(b) (7)(C)

Chief, Criminal Intel Div  
 [REDACTED]

---

**From:** (b) (7)(C)

**Sent:** Sunday, December 13, 2009 11:53 PM

**To:** (b) (7)(C)

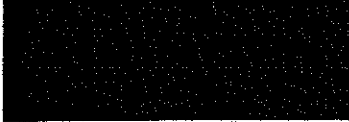
**Subject:**

I HAVE NOT RECEIVED THE SUSPECT GUN LIST FROM PHOENIX WITH THE PURCHASERS NAMES IN ORDER TO COMPLETE YOUR REQUEST.

(b) (7)(C)

***TEAM LEADER***

Field Intelligence Support Team - Southwest Border  
Bureau of Alcohol, Tobacco, Firearms and Explosives  
Intelligence Operations Specialist



---

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 7:40 PM  
**To:** (b) (7)(C)  
**Subject:** RE: DEA clearance

Yeah good times, no looking for cases they slap you right in the face...

---

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 5:39 PM  
**To:** (b) (7)(C)  
**Subject:** Re: DEA clearance

Wow...sounds like fun! I look forward to getting there.

\*\*\*\*\*

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---

**From:** (b) (7)(C)  
**To:** (b) (7)(C)  
**Sent:** Thu Dec 10 19:37:42 2009  
**Subject:** RE: DEA clearance (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

---

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 5:25 PM  
**To:** (b) (7)(C)  
**Subject:** RE: DEA clearance

Roger that. The form w/ my info is attached.

How is the first week treating you?

(b) (7)(C)

*Special Agent  
Bureau of Alcohol, Tobacco, Firearms, and Explosives  
Los Angeles Field Division, Glendale Group 1*

---

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 4:24 PM  
**To:** (b) (7)(C)  
**Subject:** RE: DEA clearance

Me please, I am sending them all in together...

---

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 4:43 PM  
**To:** (b) (7)(C)  
**Subject:** RE: DEA clearance

Do you want it sent to you or should I follow the instructions and send to PSB Verifications?

Thanks.

(b) (7)(C)

*Special Agent  
Bureau of Alcohol, Tobacco, Firearms, and Explosives  
Los Angeles Field Division, Glendale Group 1*

---

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 3:39 PM  
**To:** (b) (7)(C)  
**Subject:** DEA clearance

Gentlemen,

Please complete and return this form as we will be co-located with DEA at the OCDETF Strikeforce and need this processed.

Thanks,

(b) (7)(C)

Group Supervisor  
Phoenix Group VII

**From:** (b) (7)(C)  
**Sent:** Thursday, December 10, 2009 3:53 PM  
**To:** (b) (7)(C)  
**Subject:** Phoenix Group VII biweekly update 12-10-09  
**Attachments:** Phoenix Group VII biweekly update 12-10-09.docx

Phoenix Group VII



785115-10-0004. (b) (7)(C)

This case (b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) (b) (7)(A)

(b)(7)(A) & (E)

preparing OCDETF Initiation paperwork, starting a financial investigation into the quarter of a million dollars in cash (\$250,000.00) used thus far to purchase said firearms, and

to further connect the conspiracy.



**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 4:17 PM  
**To:** (b) (7)(C)  
**CC:** Gillett, George T. Jr.  
**Subject:** RE: Phoenix Group VII biweekly update 12-18-09

Thanks for catching that, it should read (b) (5) Again sorry but thanks for proofing what I put out!

(b) (7)(C)

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 1:16 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Phoenix Group VII biweekly update 12-18-09

(b) (7)(C)

Thank you for getting back to me so quickly.

Did this group purchase over (b) (5) or am I not reading your description correctly?

Please let me know. Thanks.

785115-10-0004 (b) (7)(C) This case is a large scale conspiracy of over a fifteen interconnected straw purchasers. Thus far in the investigation (September through present) the group has

(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(C) Intelligence Officer  
Phoenix FIG  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428

---

**From:** (b) (7)(C)  
**Sent:** Friday, December 18, 2009 11:21 AM  
**To:** (b) (7)(C) Gillett, George T. Jr.  
**Subject:** Phoenix Group VII biweekly update 12-18-09

From: (b) (7)(C)  
Sent: Thursday, December 17, 2009 3:54 PM  
To: (b) (7)(C)  
CC: (b) (7)(C)  
Subject: RE: Updated with [redacted] and [redacted]

(b) (7)(C)

Thanks for all the e-mails today. After speaking with SA (b) (7)(C) and (b) (7)(C) we believe the list below might have some additions and/or corrections. (b) (7)(C) and or (b) (7)(C) will be providing updates.

Thanks again,

(b) (7)(C)

(new AZ cell phone number as of today: [redacted])

From: (b) (7)(C)  
Sent: Thursday, December 17, 2009 12:39 PM  
To: (b) (7)(C)  
Subject: FW: Updated with [redacted] and [redacted]

(b) (7)(C) See below. I understand that (b) (7)(C) as more to be added.

From: (b) (7)(C)  
Sent: Thursday, December 17, 2009 10:58 AM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: Updated with [redacted] and [redacted]

This is updated with [redacted] and (1) more for [redacted] who are (b) (7)(E) Had Firearms Seized in Mexicali

Suspect Gun (TOTAL)	
[redacted]	0
[redacted]	0
[redacted]	0
[redacted]	20
(b) (7)(C)	5
[redacted]	5
[redacted]	8
[redacted]	0
[redacted]	0
(b) (7)(C)	18 *trace
[redacted]	58
[redacted]	3 *trace (b) (7)(A)
[redacted]	13
[redacted]	0
[redacted]	0
(b) (7)(C)	32
[redacted]	1 *Trace (b) (7)(A)

[REDACTED]	20	
(b) (7)(C)	0	
[REDACTED]	0	
[REDACTED]	1	*Trace (b) (7)(A)
(b) (7)(C)	0	
[REDACTED]	0	
[REDACTED]	1	*Trace
(b) (7)(C)	110	*Trace (b) (7)(A)
[REDACTED]	104	
[REDACTED]	3	*Trace (b) (7)(A)
[REDACTED]	1	*Trace (b) (7)(A)
(b) (7)(C)	103	
[REDACTED]	0	
[REDACTED]	25	
[REDACTED]	16	
[REDACTED]	1	*trace
TOTAL	548	

(b) (7)(C)  
**Intelligence Research Specialist**  
**Bureau of Alcohol, Tobacco, Firearms and Explosives**  
**SWB Field Intelligence Support Team**  
[REDACTED]

**From:** (b) (7)(C)

**Sent:** Wednesday, January 06, 2010 11:23 AM

**To:** Gillett, George T. Jr.

**Subject:** Additional Resources; I hope this is close to what you were looking for...? I can always change or add to it. - Thanks

**Attachments:** briefing paper II.docx

**Phoenix Field Division  
Phoenix Group VII (SWB Group)  
Briefing Paper on: 785115-10-0004**

**Background**

1. This investigation has currently identified more than [REDACTED] individual connected straw purchasers. More suspects are being identified as the scope of the investigation expands.

[REDACTED]

To date (September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 variants) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five (5) notable seizure events connected with this group, and approximately 53 firearms originally purchased by this group have been recovered. Three of these seizures have been in the Country of Mexico, one recovery in Douglas, AZ, and one recovery in Nogales, AZ. The U.S. recoveries were both believed to be destined for Mexico.

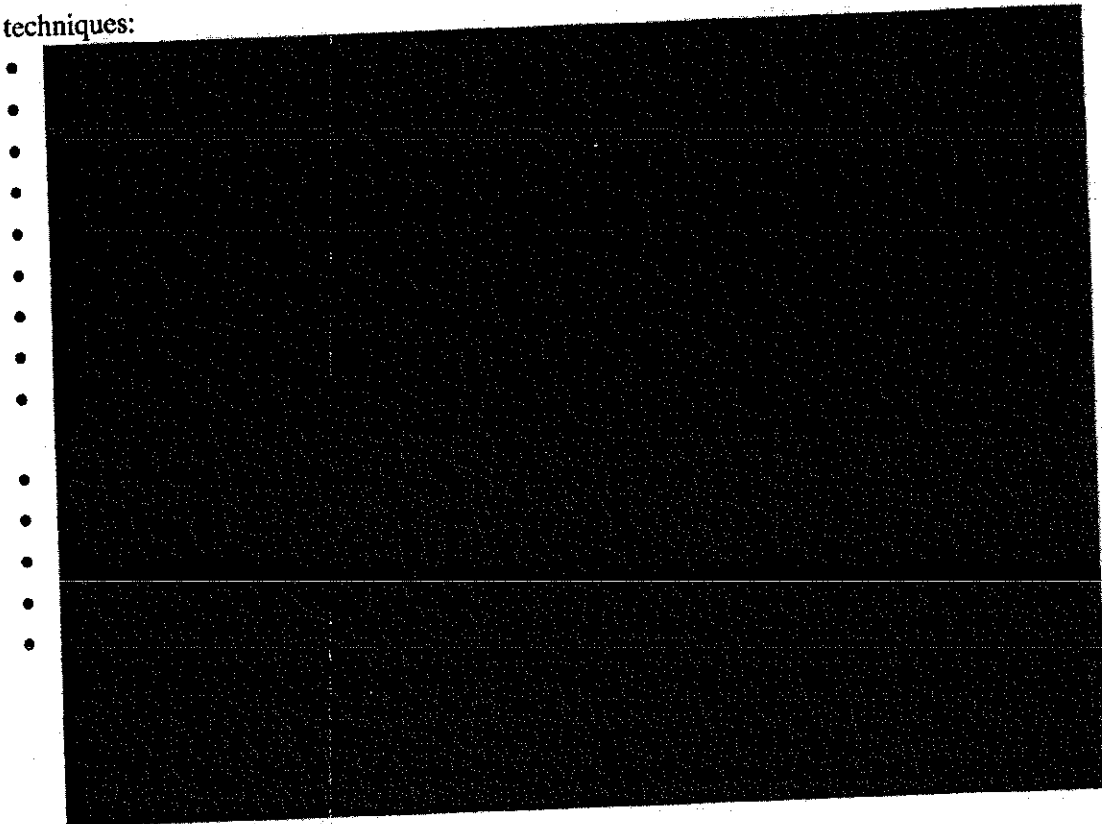
3. (b) (7)(A) (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

4. (b) (7)(A) (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

5. [REDACTED]

Investigative Techniques Used to Date

6. To date in this investigation we have utilized numerous proactive, advanced investigative techniques:



Strategy

7. This investigation is currently being conducted in conjunction with the Phoenix DEA OCDETF strike force. ATF Phoenix VII is the lead investigating enforcement group. A formal OCDETF proposal is completed and will be presented on January 26, 2009, in furtherance of this investigation. (b)(5) & (7(A))

(b) (7)(A)

(b) (7)(A)

(b) (7)(A)

8. (b)(5) & (7(A))

(b)(5) & (7(A))

(b)(5) & (7(A))

9. The ultimate goal is to [REDACTED] identify and prosecute all

(b) (5)

(b) (5)

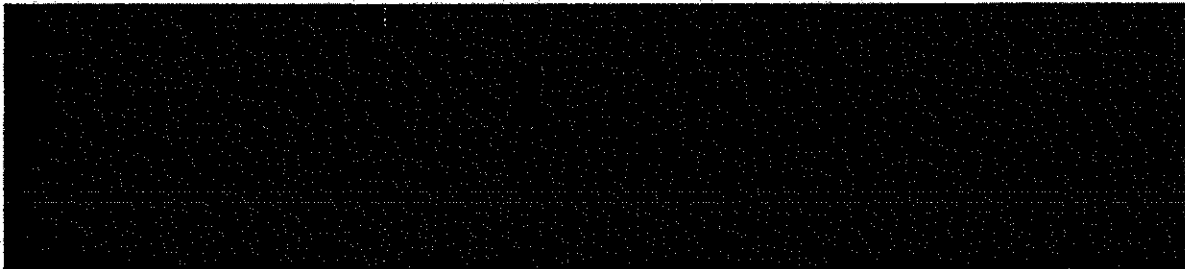
#### Additional Resources

10. This investigation is a Southwest Border "Gunner" investigation initiated by Phoenix Group VII. Group VII did not exist in FY'09 and was newly formed FY'10 under the Southwest Border Strategy to focus on investigations involving the trafficking of firearms to Mexico. As such, Group VII currently is comprised of (b) (7)(E) Special Agents; (b) (7)(E) (b) (7)(E) and one Group Supervisor.
11. In order to conduct (b) (7)(E) Group VII is totally reliant on other groups and TFO to provide additional bodies. If those agents or TFO have other investigative priorities, (b) (7)(E) If one or more of the agents in Group VII is on leave or training we have 2-3 agents (b) (7)(E) (b) (7)(E)
12. It is hereby respectfully requested that 6 additional special agents be brought in for an initial 30 day detail the purpose of aiding/assisting in this investigation, mainly in the capacity of surveillance. [REDACTED] will necessitate intensive, possibly round the clock, surveillance to prevent firearms from traveling south into the Country of Mexico. [REDACTED]
13. Additionally, these 6 agents will need vehicles, preferably rental vehicles enabling them to switch out vehicles so as not to get "burned," and communication devices. These communication devices would most likely need to be in the form of either Phoenix Police Department handheld radios or Nextel push-to-Talk cellular telephones.

**From:** (b) (7)(C)  
**Sent:** Tuesday, January 05, 2010 6:19 PM  
**To:** (b) (7)(C)  
**Subject:** Phoenix Group VII biweekly update 01-05-09  
**Attachments:** Phoenix Group VII biweekly update 01-05-09.docx



**Phoenix Group VII**



785115-10-0004, (b) (7)(C) This case (b) (7)(A)  
(b) (7)(A)

(b) (7)(A)

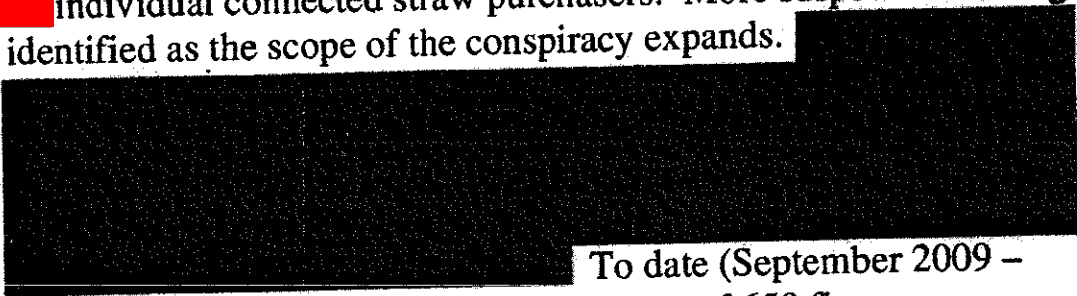
(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

**From:** (b) (7)(C)  
**Sent:** Monday, January 04, 2010 12:29 PM  
**To:** Gillett, George T. Jr.  
**Subject:** briefing paper II  
**Attachments:** briefing paper II.docx

**Phoenix Field Division**  
**Phoenix Group VII (SWB Group)**  
**Briefing Paper on: 785115-10-0004**

Background

1. This investigation has currently identified and targeted more than (b) (7)(A) individual connected straw purchasers. More suspects are being identified as the scope of the conspiracy expands. 

To date (September 2009 – present) this group has purchased in excess of 650 firearms (mainly AK-47 types) for which they have paid cash totaling more than \$350,000.00.

2. To date there have been five notable seizures associated with this group with approximately 53 firearms originally purchased by this group recovered. Three of these seizures have been in the Country of Mexico, with one recovery in Douglas, AZ, and one recovery in Nogales, AZ; both believed to be destined for Mexico.

3. (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

4. (b) (7)(A)

(b) (7)(A)

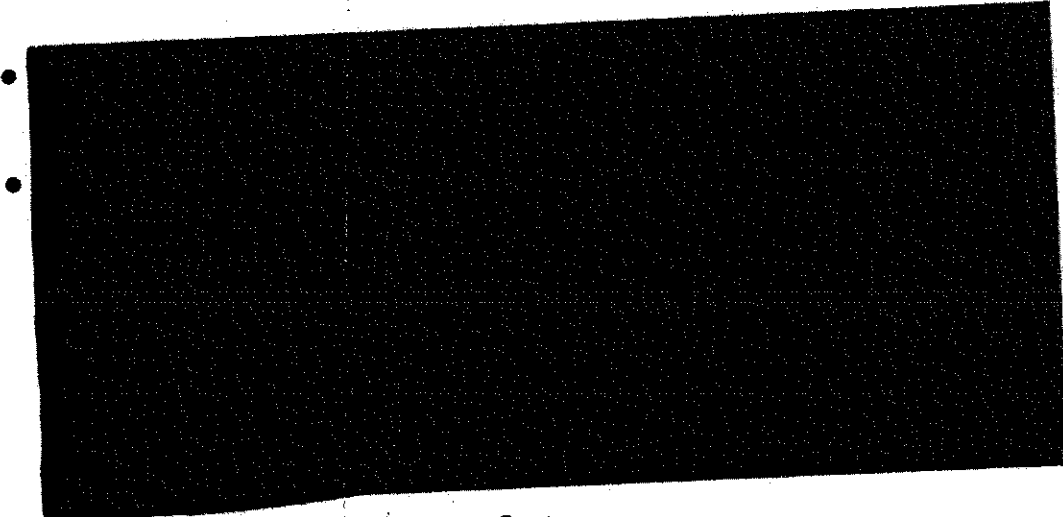
(b) (7)(A)

5.

Investigative Techniques Used to Date

6. To date in this investigation we have utilized numerous proactive advanced investigative techniques:

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Strategy

7. ATF Phoenix Group VII is a member of the Department of Justice OCDETF co-located Strike Force in Phoenix, AZ. The Arizona OCDETF Strike Force investigative strategy is to focus completely on investigations of command and control elements of the Sinaloa Cartel and the Arizona based cells associated with the Cartel. As such its mission is to aggressively target the highest-level trafficking organizations not just of narcotics trafficking north but also of firearms and illegal proceeds trafficking back to Mexico through Arizona.

8. This group of <sup>(b) (7)(A)</sup> [redacted] connected straw purchasers as identified thus far in the investigation is of significant importance to the ATF Phoenix Field Division; however, they are not the command and control elements of a Mexican DTO. <sup>(b) (5)</sup> [redacted]

<sup>(b) (5)</sup> [redacted]

<sup>(b) (5)</sup> [redacted]

9. <sup>(b) (5)</sup> & <sup>(7)(A)</sup> [redacted]

(b)(5) & (7(A))

10. Our goal is to [REDACTED]

(b) (5)

(b) (5)

(b) (5)

(b) (5)

Additional Resources

11. This investigation is a Southwest Border "Gunner" investigation initiated by Phoenix Group VII. Group VII did not exist in FY'09 and was newly formed FY'10 under the Southwest Border Strategy to focus on investigations involving the trafficking of firearms to Mexico. As such, Group VII currently is comprised of (b) (7)(E) Special Agents; (b) (7)(E)

(b) (7)(E) and one Group Supervisor.

12. In order to conduct (b) (7)(E)

Group VII is totally reliant on other groups and TFO to provide additional bodies. If those agents or TFO have other investigative priorities, (b) (7)(E) If one or more of the agents in Group VII is on leave or training we have 2-3 agents (b) (7)(E)

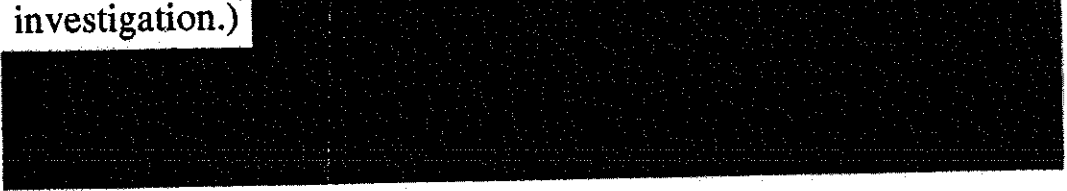
13. It is hereby respectfully requested that additional bodies be brought in for the purpose of aiding/assisting in this investigation, mainly in the capacity of surveillance. [REDACTED]

[REDACTED] will necessitate intensive, possibly round the clock, surveillance to prevent firearms from traveling

south into the Country of Mexico (b) (7)(A)

(b) (7)(A)

investigation.)



**From:** (b) (7)(C)  
**Sent:** Thursday, January 14, 2010 7:22 PM  
**To:** (b) (7)(C)  
**Subject:** FW: s/ns for last night

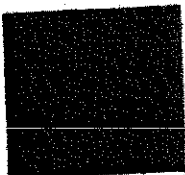
All from (b)(3) (Public Law 111-117) & (7)(A) & (C) That is 39-41 depending...

**From:** (b) (7)(C)  
**Sent:** Thursday, January 14, 2010 5:12 PM  
**To:** (b) (7)(C), (b) (7)(C), (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** s/ns for last night

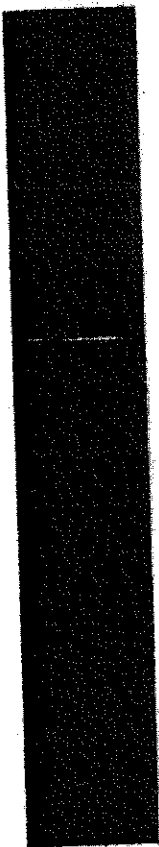
The case agents from El Paso and Phoenix are already talking. I went to the AUSA's office and looked over the affidavit for the 2 AKs at the Port. Right now, they are charging the driver (b) (5)

Here are the serial number for last night's seizure:

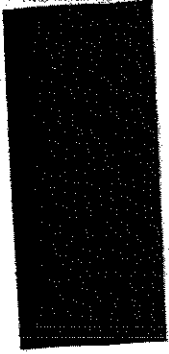
RomArm, GP/WASR 10/63:



Century Arms, Model M70AB2:







(b) (7)(C)

ATF EPIC Branch Chief



From: (b) (7)(C)  
Sent: Thursday, January 14, 2010 7:22 PM  
To: (b) (7)(C); Newell, William D.  
Cc: (b) (7)(C); Gillett, George T. Jr.  
Subject: RE: s/ns for last night

Go ahead and take a look but so far I found every gun you sent on our list (which is in Suspect Gun Database) as being purchased by (b) (7)(C) I cut-n-pasted it below for your viewing...that is 39 guns although I might have missed one?


156	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
160	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
181	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
182	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
183	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
164	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
165	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
166	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
167	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
168	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
169	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
170	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
171	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
172	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
173	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
174	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
175	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
176	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
177	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
178	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
179	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
180	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
181	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
182	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
183	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
184	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
185	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
186	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
187	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
188	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
189	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
190	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
191	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
192	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
193	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
194	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
195	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
196	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
197	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)
198	(b)(3) (Public Law 111-117)	(b)(3) (Public Law 111-117) & (7)(A)

From: (b) (7)(C)  
Sent: Thursday, January 14, 2010 5:12 PM  
To: (b) (7)(C)  
Cc: (b) (7)(C)  
Subject: s/ns for last night

The case agents from El Paso and Phoenix are already talking. I went to the AUSA's office and looked over the affidavit for the 2 AKs at the Port. Right now, they are charging the drive (b) (5)  
(b) (5)

Here are the serial number for last night's seizure:

RomArm, GP/WASR 10/63:



Century Arms, Model M70AB2:



(b) (7)(C)

ATF FIRE ARMS DIVISION

**From:** (b) (7)(C)  
**Sent:** Friday, January 22, 2010 7:35 PM  
**To:** (b) (7)(C) (USAAZ)  
**CC:** (b) (7)(C)  
**Subject:** Sorry if I am late...  
**Attachments:** OCDETF\_Invest\_Initiation-The Fast and The Furious.doc; 785115-10-0004 -  
OCDETF\_Narrative - The Fast and The Furious.doc

(b) (7)(C)

Sorry if I am late, I see you sent the other 4 proposals out already. Here is our latest ATF OCDETF proposal for Tuesday's (01/26/2009) meeting.

Thanks for your help and again sorry if we are late in submitting,

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[Redacted]

**From:** (b) (7)(C)  
**Sent:** Thursday, February 25, 2010 12:28 PM  
**To:** (b) (7)(C)  
**CC:** Gillett, George T. Jr.; Newell, William D.  
**Subject:** FW: Memorandum for PGR

Per our conversation here is what (b) (7)(C) provided for the PGR on our Group VII ongoing investigation.

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 11:15 AM  
**To:** (b) (7)(C)  
**Subject:** Memorandum for PGR

(b) (7)(C)

*Here is what the memo/correspondence should say on the Phoenix gun trafficking investigation:*

*Since the summer of 2009, authorities with the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) an agency within the United States Department of Justice have been investigating a gun trafficking network that is supplying firearms to drug trafficking organizations in the Country of Mexico. Recently through collaborative efforts between the ATF and the Mexican PGR, firearms have been identified that can be linked to this gun trafficking network that is under investigation.*

*The first recovery is a seizure of approximately 40 firearms on November 20, 2009, that were seized from a vehicle that was attempting to enter Mexico through the Mexican port of entry at Naco, Sonora, Mexico. The firearms were seized by Mexican Customs officials.*

*The following firearms traced back to the US investigation:* (b)(3)(Public Law 111-117)

(b)(3)(Public Law 111-117) / / and

*The second recovery occurred on December 9, 2009 in Mexicali, Baja California North, Mexico when the Mexican Military raided a warehouse that was suspected of being used as a place to store drugs. At that location approximately 47 firearms were recovered along with drugs and currency. Some of the recovered firearms once traced were again linked to the investigation of the gun trafficking network.*

*The following firearms traced back to the US investigation:* (b)(3)(Public Law 111-117)

(b)(3)(Public Law 111-117)

*On December 12, 2009, the Baja California North State Police seized five firearms from a group of suspected gunmen in the City of Tijuana. Some of these firearms also traced back to the investigation in the United States.*

*The following firearms traced back to the US investigation:* (b)(3)(Public Law 111-117)

(b)(3)(Public Law 111-117)

*On January 8, 2010, that occurred when the Mexican Military rescued a kidnapped female from a group of gunmen who are linked to the "El Teo" drug trafficking organization. Some of the firearms seized in this incident again traced back to the on-going investigation in the United States.*

The following firearms traced back to the US investigation  
serial numbers [REDACTED] and [REDACTED]

(b)(3)(Public Law 111-117)

Thanks for all your help. Can you send me a copy of the memo when you have it signed.

(b) (7)(C)

Assistant Country Attaché  
Tijuana Field Office

[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 7:48 PM  
**To:** (b) (7)(C)  
**Subject:** RE: FAST RESPONSE REQUIRED by noon, tomorrow (Thursday) - Investigations with Mexican Cartel Nexus (Urgent - Time Sensitive Reply)

Significant active investigations with a nexus to Mexican Cartels being worked by ATF Phoenix Group VII:



785115-10-0004, (b) (7)(C) This case (b) (7)(A)  
(b) (7)(A)  
(b) (7)(A)

(b)(3) (Public Law 111-117) & (7)(A) New straw purchasers are continually being identified as part of this investigation.

This is an approved ATF OCDETF investigation # SWAZP0496. ATF is continuing to receive data (b) (7)(A)  
(b) (7)(A) (b) (7)(A) (b) (7)(A)  
(b) (7)(A)

This investigation has ties to the Sinaloa Cartel.

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**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 4:42 PM  
**To:** Phoenix Supervisors; (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** FAST RESPONSE REQUIRED by noon, tomorrow (Thursday) - Investigations with Mexican Cartel Nexus (Urgent - Time Sensitive Reply)

Supervisors and Acting Supervisors,

By noon tomorrow (Thursday 02/25/2010), please provide information concerning each of your significant active investigations with a nexus to Mexican cartels. For any relevant cases, please provide the case number and title, as well as a brief synopsis including the current status of the case.

After AD and DAD review of the information, you may be contacted again for additional information on specific cases. This information is being requested in anticipation of an upcoming OCDETF strategy session that will include discussion of multi-agency efforts and potential funding opportunities.

**(b) (7)(C)** Acting Division Operations Officer  
U.S. Department of Justice - ATF  
201 E. Washington Street - Suite 940  
Phoenix, Arizona 85004-2428





**From:** (b) (7)(C)  
**Sent:** Tuesday, February 23, 2010 11:23 AM  
**To:** (b) (7)(C)  
**Subject:** RE: Ref 41 F/A's recovered in the (b) (7)(A)

Great work thanks for the update!

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**From:** (b) (7)(C)  
**Sent:** Monday, February 22, 2010 8:32 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Ref 41 F/A's recovered in the (b) (7)(A)

(b) (7)(C)

(b)(3) (Public Law 111-117) & (7)(A) & (C) The other four firearms are not in our records. I have attached a spreadsheet with the firearm information.

Thanks,

(b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Monday, February 22, 2010 11:57 AM  
**To:** Newell, William D.; Gillett, George T. Jr.  
**Subject:** RE: FYI photo from this weekend...

10-4 thanks

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**From:** Newell, William D.  
**Sent:** Monday, February 22, 2010 9:56 AM  
**To:** (b) (7)(C) Gillett, George T. Jr.  
**Subject:** RE: FYI photo from this weekend...

Mr. Gillett sent it to me yesterday but thank you. Also, I talked to the USA this morning about this case and advised him of the seizure and link to the Tucson OCDEF case. He was taken aback by some of the facts I informed him about (including the fact that we are up to approx 800 guns) so I am setting up a briefing for him (alone no USAO "posse") about this case and several other cases I feel his is being misled about. Should be within the next couple of weeks so be prepared. Thanks.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)  
Phoenix Field Division (Arizona and New Mexico)  
[REDACTED]

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**From:** (b) (7)(C)  
**Sent:** Monday, February 22, 2010 9:53 AM  
**To:** Gillett, George T. Jr.; Newell, William D.  
**Subject:** FYI photo from this weekend...

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII  
[REDACTED]

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 6:58 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

Yes please - trace numbers would be great, thanks!

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 4:51 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

Aww thanks. Umm I got the list of the other F/A's from (b) (7)(C) that were traced...I want to take a look at them to see if any of them could match up to any of your guys in your case. I can send you the trace numbers if you want to take a look at them or I will get to them tomorrow afternoon (taking the interns to the range to get out some of their aggressions) :)

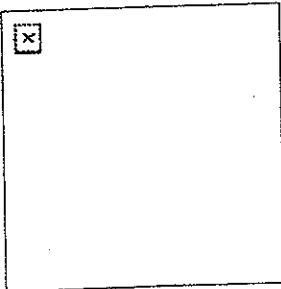
**From:** (b) (7)(C)  
**Sent:** Tue 3/9/2010 4:52 PM  
**To:** (b) (7)(C)  
**Subject:** RE: Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

Thank you. For all of your hard work on this don't worry about Saturday. Now the question is do we need another PP slide with the map of Mexico, and an arrow (b) (7)(C) pretty head shot, etc...?

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 2:46 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** RE: Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

(b) (7)(C) I found the following seizure event. Its very limited on the information so it might actually be the same seizure event for both (b) (7)(C) trace. I Will dig a bit more for ya. I, also, gave you access to this seizure event in NFORCE.

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE



UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

**NFORCE:** 162080-10-0001  
**Title:** DECOMISO - SEIZURE BULLETIN - 01/11/2010 - GAUSAVE, SINALOA  
**IRS:** (b) (7)(C)  
**Date:** January 11, 2010  
**Event:** Mexican Military raided a residence that was being used as a "Safe House" and seized an arsenal  
**Location:** Along Rio Sinaloa, in Guasave, Sinaloa

**Case Number:** Not Specified

**Arrests:**

- N/A

**Criminal Group/Org.:** Not Specified

**Firearms: Explosives:**

- 6 AK-47 type rifles
- 3 AR-15 type rifles
- 1 M203 rifle (5.56 caliber rifle with a .40mm grenade launcher)
- 1 other grenade launcher
- 1 hand grenade

**Magazines:**

- 30 AK-47 type magazines
- 2 drum AK-47 type magazines
- 23 AR-15 type magazines

**Ammunition:**

- 3 belts of rounds, used on an automatic fired weapon
- 2,681 rounds, various caliber
- 88 handgun type rounds, various caliber

**Tactical Equipment:** N/A

**Uniforms:**

- several black tactical vests
- several jackets with AFI insignias
- several shirts with AFI insignias

**Communication Equipment:** N/A

**Currency:** N/A

**Other Objects:** N/A

**Drugs:**

- almost 9 kilos of marijuana

**Vehicles:**

- 1 2006 Toyota Tacoma Pick-Up Truck
- 1 2009 Chevrolet Pick-Up Truck

**Synopsis:** On January 11, 2010, Mexican Military raided a residence that was being used as a "Safe House" and seized an arsenal along Rio Sinaloa, in Guasave, Sinaloa. Authorities also seized 6 AK-47 rifles, 3 AR-15 rifles, 1 M203 rifle/grenade launcher, 1 other grenade launcher, 1 hand grenade, several magazines, large amount of ammunition, almost 9 kilos of magazines, uniforms with AFI insignias, tactical gear, and 2 vehicles.

(Español): 11 de Enero, 2010.- GUASAVE.- Armamento de alto poder con miles de municiones, droga y vehículos fueron asegurados por elementos del Ejército mexicano en un cateo realizado en una casa de seguridad ubicada en la colonia Sinaloa. En un comunicado de prensa, la Secretaría de la Defensa Nacional, a través del 89 Batallón de Infantería, informó que el operativo se realizó el lunes en una vivienda ubicada por la avenida Río Sinaloa, en el mencionado sector popular. Señalaron que mediante los trabajos de inteligencia que realiza el personal militar se logró ubicar un inmueble con una extensión de 2 mil 400 metros cuadrados y 400 metros cuadrados de construcción. Al ingresar a la supuesta casa de seguridad, los soldados encontraron rifles de alto poder de diferentes calibres, un lanzacohete, dos granadas calibre .40 y otra más de fragmentación. En la casa los efectivos castrenses también encontraron cargadores para los fusiles de asalto y miles de municiones de diferentes calibres, así como dos paquetes elaborados con cinta canela que contenían 7 kilos 900 gramos de presunta marihuana, además de material táctico consistente en chalecos negros, chamarras con siglas de la AFI y camisas camufladas. Detallaron que en el interior de la vivienda también fueron localizadas una camioneta tipo Tacoma, modelo 2006, y otra Chevrolet, tipo GMC, modelo 2009, ambas sin placas de circulación.

**From:** (b) (7)(C)

**Sent:** Tuesday, March 09, 2010 4:37 PM

**To:** (b) (7)(C)

**Subject:** FW: Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 4:12 PM  
**To:** (b) (7)(C)  
**Subject:** FW: Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

Gentlemen,

Please see the below information as outlined by SA (b) (7)(C) regarding firearms purchased as part of our case in chief here in Phoenix, apparently recovered in Mexico? We have no information on these seizures.

Thanks for your prompt response.

(b) (7)(C)  
Group Supervisor  
Phoenix Group VII

**From:** (b) (7)(C)  
**Sent:** Tuesday, March 09, 2010 1:49 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Ref MX Seizure on 01/11 or 01/12 involving (b) (7)(C)

(b) (7)(C) (b)(3) (Public Law 111-117) & (7)(A)  
(b)(3) (Public Law 111-117) & (7)(A) . The other firearm, s/n:

(b)(3) (Public Law 111-117) & (7)(A)  
was traced on (b)(3) (Public Law 111-117) & (7)(A)  
(b)(3) (Public Law 111-117) & (7)(A) and possibly (b)(3) (Public Law 111-117) & (7)(A)  
Can we get info. on the highlighted seizure including the report and a list of f/a's recovered in this seizure?

Thanks,

(b) (7)(C)

From: (b) (7)(C)  
Sent: Tuesday, March 09, 2010 1:30 PM  
To: (b) (7)(C) (b) (7)(A) (b) (7)(A)  
Subject: RE: Ref the 11-14-09 (b) (7)(A)

I have requested info for a seizure report to document this seizure event. I am going to guess that no one from ATF viewed the firearms as we have no one stationed close to where the seizure occurred but I can make some request to see if they could attempt it. From what I hear once the firearms is in the military custody it is locked down and they don't give access but we will see?

Thanks for the update, I was only aware of 3 recoveries coming back to our case from this seizure, 10 is even better!

From: (b) (7)(A)  
Sent: Tuesday, March 09, 2010 11:17 AM  
To: (b) (7)(C)  
Cc: (b) (7)(A)  
Subject: Ref the 11-14-09 (b) (7)(A)

(b) (7)(C)  
Can we get the related report from this seizure so we can complete a recovery ROI? Also, did someone from ATF actually view the /a's? So far, 10 of the 15 /a's recovered were (b) (7)(C)

Chambers  
[Redacted]  
Firearm not in Suspect Guns -  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]

\*One of the firearms was traced with s/n: (b)(3) (Public Law 111-117) & (7)(A) They did locate ed firearm?  
s/n: (b)(3) (Public Law 111-117) & (7)(A) & (C) can verify the serial number?

Thanks,  
(b) (7)(C)

From: (b) (7)(C)  
Sent: Wednesday, February 24, 2010 9:51 AM  
To: (b) (7)(C) (b) (7)(C) (b) (7)(C)  
Cc: (b) (7)(C) (b) (7)(C) (b) (7)(C)  
Subject: RE: SEVEN suspect Gun firearm recovered, MEXICO, [Redacted]

(b) (7)(C)  
All the trace numbers from the Guerrero seizure. If you need anything else let me know. Two of the firearms I believe are suspect guns but the Mexicans reversed the numbers. That would put at us 9 suspect guns traced from the Guerrero seizure. Below is also the seizure event from open source.

(b)(3) (Public Law 111-117) & (7)(A)

[Redacted]	In Progress	Assoc	[Redacted]
[Redacted]	In Progress	Assoc	[Redacted]
[Redacted]	Completed	Assoc	[Redacted]

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

The additional serial numbers that weren't suspect firearms are:

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A) & (C)

**NFORCE:** 162080-10-0001

**Title:** 11/15/2009 - ACAPULCO , GUERRERO

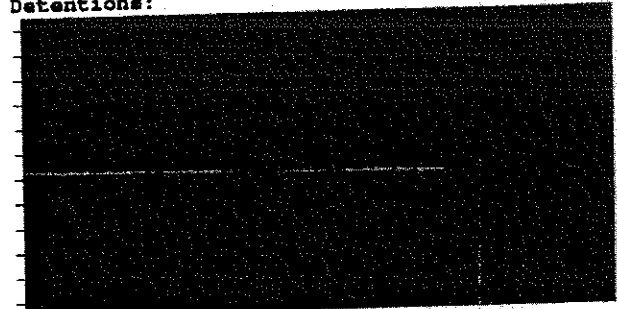
**Date:** November 15, 2009

**Event:** Mexican Military rescued a women and arrested 12 individuals from a hotel room and seized firearms, magazines, ammunition and vehicles in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

**Location:** city of Atoyac de Alvarez, Costa Grande, Guerrero

**Case No:** AP/PGR/ACA/GRO/M3/457/2009

**Detentions:**



**Organization:** Not reported.

**Firearms:**

- 15 AK-47 Rifles

**Magazines:**

-30 Magazines, AK-47

**Ammunition:**

-288 Rounds, AK-47

**Vehicles:**

- Nissan pickup, without license plates

-Ford pickup, without license plates

**Summary:** On 16 November 2009, Mexican Military responding to a anonymous call rescued [redacted] and arrested 12 individuals from the Thunderbird hotel and seized 15 AK-47s, 30 magazines, ammunition and two pickup trucks without license plates in the city of Atoyac de Alvarez, Costa Grande, Guerrero.

El 16 de Noviembre de 2009, Militares Mexicanos respondiendo a una llamada anonima rescataron a [redacted] y arrestado a 12 personas del hotel "Thunderbird" y se aseguraron 15 fusiles AK-47, 30 cargadoras, municiones y dos camionetas sin placas, en la ciudad de Atoyac de Alvarez, Costa Grande, Guerrero.

**From:** (b) (7)(C)  
**Sent:** Wednesday, February 24, 2010 11:21 AM  
**To:** (b) (7)(C)  
**Cc:** [redacted]  
**Subject:** RE: SEVEN Suspect Gun firearm recovered, MEXICO, [redacted]

(b) (7)(C)

Do you have a list of all the f/a's recovered in this seizure?

**From:** (b) (7)(C)  
**Sent:** Monday, February 22, 2010 1:06 PM  
**To:** (b) (7)(C) (b) (7)(C) (b) (7)(C)  
**Cc:** [redacted]  
**Subject:** SEVEN Suspect Gun firearm recovered, MEXICO, [redacted]

**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or a trace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number:

785115-10-0004

Suspect Gun File Number:

[redacted]

Suspect Gun submission request date:

(b)(3) (Public Law 111-117) & (7)(A)

**Trace details regarding firearm recovery:**

Serial Number:  
Serial Number:  
Serial Number:  
Serial Number:  
Serial Number:  
Serial Number:

[redacted]



Manufacturer:  
Type:  
Caliber:  
Model:  
Recovered  
Location:  
Suspects:

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

[REDACTED]

Trace Requestor:  
Requesting Agency:  
Phone Number:

(b)(3) (Public Law 111-117) & (7)(A) & (C)

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:  
Requesting Agency:  
Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

Trace Requestor:  
Requesting Agency:  
Phone Number:

(b)(3) (Public Law 111-117) & (7)(A)

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT ATF-EPIC  
BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)  
Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9431

From: (b) (7)(C)  
 Sent: Thursday, March 11, 2010 8:34 PM  
 To: (b) (7)(C)  
 Subject: RE: Suspect Gun firearm recovered, MEXICO [REDACTED]

10-4 thanks

From: (b) (7)(C)  
 Sent: Thursday, March 11, 2010 6:33 PM  
 To: (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: RE: Suspect Gun firearm recovered, MEXICO [REDACTED]

(b) (7)(C) MOST LIKELY NO US LE VIEWED THEM, based on the fact that CENAPI did the traces. We are at the mercy of the Mexican government. Due to Mexican laws, by now these weapons are most likely in the Mexican vaults. If they are, it will probably take a Mexican court order to get them out to view them. (b) (7)(C) is looped into this email so we will talk to our guys in Mexico to see how many have been viewed and photographed by U.S. Law Enforcement.

From: (b) (7)(C)  
 Sent: Thursday, March 11, 2010 7:29 PM  
 To: (b) (7)(C) (b) (7)(C)  
 Cc: [REDACTED]  
 Subject: RE: Suspect Gun firearm recovered, MEXICO [REDACTED]

Perfect this is the most recent (or least a tie with (b) (7)(A) [REDACTED] recovery we have. Great work, has anyone from Mexico country office looked at these firearms? The reason I am asking is because [REDACTED] and the AUSA has already asked how many of these seizures have been viewed by US law enforcement (i.e. ATF agents.)

Thanks again to all...!

From: (b) (7)(C)  
 Sent: Thursday, March 11, 2010 5:23 PM  
 To: (b) (7)(C) (b) (7)(C)  
 Cc: (b) (7)(C)  
 Subject: FW: Suspect Gun firearm recovered, MEXICO [REDACTED]

(b) (7)(C) The below seizure event mentions an "M16 type rifle". Can we check that? It appears that there are other firearms NOT accounted when comparing the below traces against the open source reporting.

(b) (7)(C) THE SERIAL NUMBERS ARE THE WEAPONS CENAPI TRACE FROM THE BELOW SEIZURE EVENT IN Guasave, Sinaloa. The highlighted firearms are what I was able to determine are suspect guns from 785115-10-0004.



**From:** (b) (7)(C)  
**Sent:** Thursday, March 11, 2010 3:42 PM  
**To:** (b) (7)(C)  
**Cc:** (b) (7)(C)  
**Subject:** Suspect Gun firearm recovered, MEXICO

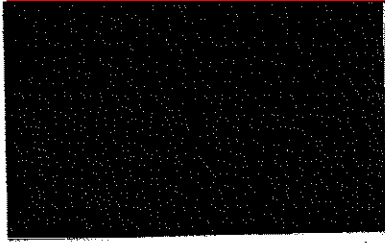
(b) (7)(C)

**ATF IN Number:**  
**Suspect Gun File Number:**  
**Suspect Gun submission request date:**  
**Recovered**  
**Location:**  
**Suspects:**

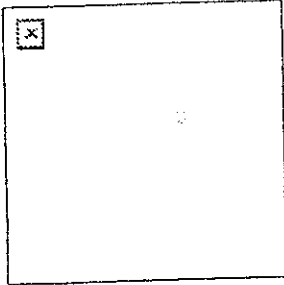
785115-10-0004

(b)(3) (Public Law 111-117) & (7)(A)

(b)(3) (Public Law 111-117) & (7)(A)

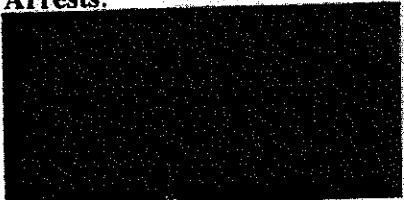


**CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE**



**UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE**

**NFORCE:** 162080-10-0001  
**Title:** DECOMISO - SEIZURE BULLETIN - 02/21/2010 - GUASAVE & NOVALATO, SINALOA  
**IRS:** (b) (7)(C)  
**Date:** February 21, 2010  
**Event:** Mexican Military was involved in a confrontation against several "Sicarios" (gunmen), arrested seven and seized an arsenal from two residences  
**Location:** Guasave and Novalato, Sinaloa  
**Case Number:** AP/SIN/CLN/204/2010/M-III and DPE/0911/10  
**Arrests:**



**Criminal Group/Org.:** Not Specified  
**Firearms/Explosives:**

- 6 AK-47 type rifles
- 3 AR-15 type rifles
- 1 M-16 type rifle
- several explosive devices
- 5 other rifles
- 5 handguns
- 1 grenade

**Magazines:**

- 137 magazines, various caliber

**Ammunition:**

- 11,624 rounds, various caliber

**Tactical Equipment:**

- several items

**Uniforms:**

- several Police-type uniforms

**Communication Equipment: N/A****Currency: N/A****Other Objects: N/A****Drugs: N/A****Vehicles:**

- 2 vehicles

**Synopsis:** On February 21, 2010, Mexican Military was involved in a confrontation against several "Sicarios" (gunmen), arrested seven and seized an arsenal in Guasave and Novalato, Sinaloa. Authorities seized 6 AK-47 type rifles, 5 other rifles, 3 AR-15 type rifles, 1 M-16 type rifle, 5 handguns, 1 grenade, several explosive devices, 137 magazines, 11,624 rounds of ammunition, tactical gear, several Police-type uniforms, and 2 vehicles.

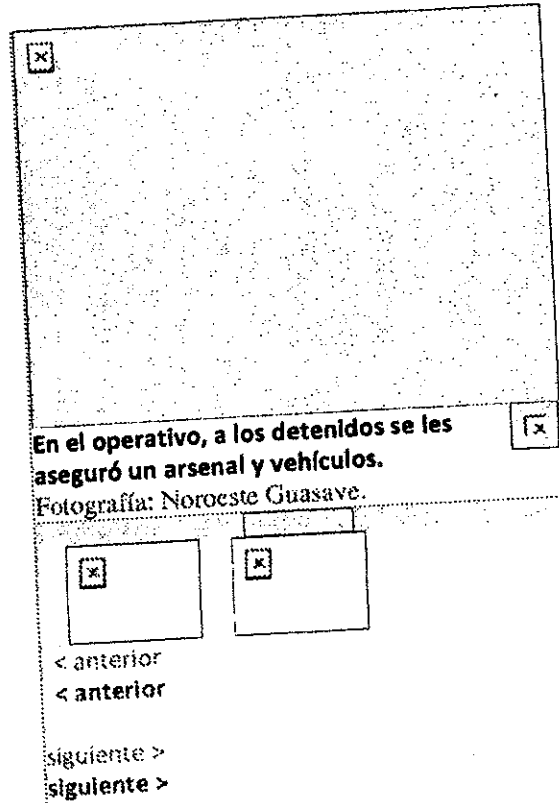
(Español): 21 de Febrero, 2010.- Lomas de Sotelo, D.F., a 21 de febrero de 2010.- La Secretaría de la Defensa Nacional, informa a la opinión pública, que en el marco de la Campaña Permanente contra el Narcotráfico y la aplicación de la Ley Federal de Armas de Fuego y Explosivos, el día 20 del actual, en dos eventos, personal militar perteneciente a la 9/a. Zona Militar detuvo a siete individuos y aseguró 20 armas, 85 cargadores, 6,092 cartuchos de diverso calibre, material explosivo, dos vehículos y equipo diverso en dos inmuebles en el estado de Sinaloa. En el primer evento, se detuvo a siete individuos que agredieron con armas de fuego a personal militar que al realizar reconocimientos terrestres se aproximaba a un inmueble utilizado como casa de seguridad en la colonia Florida, en el municipio de Guasave, Sin., dichos individuos se encontraban en posesión de 10 armas largas, 33 cargadores, 560 cartuchos de diversos calibres, dos camionetas y equipo diverso. En el segundo evento, efectivos castrenses lograron asegurar 5 armas largas, 5 armas cortas, 1 granada, 52 cargadores de diferentes calibres, 5,532 cartuchos de diversos calibres, material explosivo y uniformes de cuerpos de seguridad pública, en un inmueble utilizado como casa de seguridad en el municipio de Navolato, Sin. Los detenidos, inmuebles, armamento, vehículos y artículos encontrados en su poder, fueron puestos a disposición de las autoridades correspondientes.

**BOLETINES OFICIALES****DETENIDOS**

Ante el Juez, detenidos por Ejército en Guasave

Son acusados de asociación delictuosa y portación de arma de fuego del uso exclusivo de Ejército

██████████  
24-02-2010



**NOTAS RELACIONADAS**

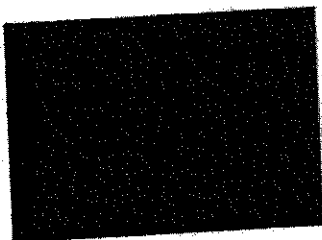
□ Captura Ejército a 7 presuntos gatilleros  
**21-02-2010**

CULIACÁN. \_Ante el Juzgado Tercero de Distrito en el estado fueron consignados seis sujetos detenidos por elementos del Ejército la semana pasada en Guasave.  
De acuerdo al comunicado de prensa DPE/0911/10 de la PGR, se trata de [REDACTED]

A estos individuos se les integró la averiguación previa AP/SIN/CLN/204/2010/M-III por su presunta responsabilidad en la comisión del delito de asociación delictuosa, y portación de arma de fuego del uso exclusivo de Ejército, Armada y Fuerza Aérea Nacional.

Los consignados fueron detenidos por elementos castrenses en la casa [REDACTED] en la colonia Florida, en Guasave, tras enfrentarse a balazos con elementos del Ejército.  
Tras someterlos, los militares aseguraron la vivienda donde estaban atrincherados, vehículos, armas, municiones y diversos artículos.

Presuntos sicarios



Lo asegurado

Fusil Colt calibre 5.56 mm  
 Fusil AR-15 Eagle Arms calibre 5.56mm  
 Fusil AR-15 calibre .223mm  
 Fusil AR-18 Armalite calibre 5.56mm  
 6 fusiles AK-47 sin marca calibre 7.62X39mm  
 27 cargadores para fusil AK-47 con capacidad para 30 cartuchos calibre 7.62X39mm  
 6 cargadores para fusil AR-15 con capacidad para 30 cartuchos  
 550 cartuchos útiles calibre 7.62X39 mm  
 50 cartuchos útiles calibre .223 mm  
 Una camioneta Kia modelo 2007  
 Una camioneta Ford Lobo  
 Siete chalecos portacargadores  
 Cuatro pasamontañas  
 Un inmueble

Sala de Prensa



**Martes, 23 de Febrero de 2010**  Boletín Estatal DPE/0911/10



AMPF CONSIGNA A SEIS PRESUNTOS RESPONSABLES DE LOS DELITOS DE ASOCIACIÓN DELICTUOSA Y VIOLACIÓN A LA LEY FEDERAL DE ARMAS DE FUEGO Y EXPLOSIVOS



El agente del Ministerio Público de la Federación consignó ante el Juzgado Tercero de Distrito, con sede en la ciudad de Culiacán, a Pascual Gerardo Juárez, Esteban Higuera Bórquez, Cosme Higuera Bórquez, Regino Pérez Juárez, Paul Alonso Robles García y José Carlos Ibarra Rascón, dentro de la averiguación previa AP/SIN/CLN/204/2010/M-III por la presunta responsabilidad en la comisión del delito de asociación delictuosa, y portación de arma de fuego del uso exclusivo de Ejército, Armada y Fuerza Aérea Nacional.

Los consignados fueron detenidos mediante un operativo efectuado por elementos castrenses, en la calle primera número 99 en la colonia Florida de la ciudad de Guasave, cuando uno de los indiciados armados, tras percatarse de la presencia de los elementos del Ejército alertaron al resto de los ocupantes de la vivienda y realizaron disparos.

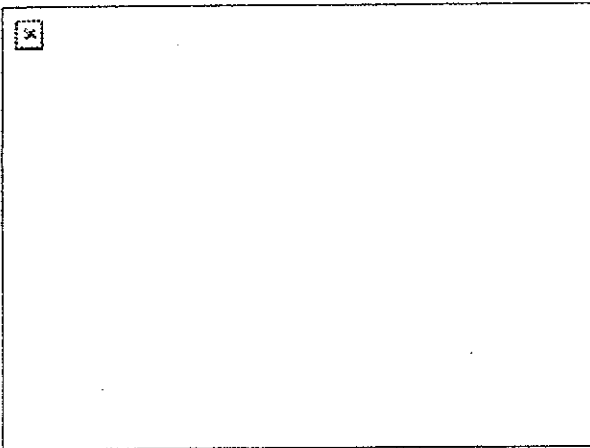
Derivado de ello fue asegurado lo siguiente:

- Un fusil Colt calibre 5.56 mm.
- Un fusil AR-15 Eagle Arms calibre 5.56 mm.
- Un fusil AR-15 calibre 2.23 mm.
- Un fusil AR-18 Armalite calibre 5.56 mm.
- Seis fusiles AK-47 sin marca calibre 7.62X39 mm.
- 27 cargadores para fusil AK-47 con capacidad para 30 cartuchos calibre 7.62X39 mm.
- Seis cargadores para fusil AR-15 con capacidad para 30 cartuchos.
- 550 cartuchos útiles calibre 7.62X39 mm.
- 50 cartuchos útiles calibre .223 mm.
- Una camioneta Kia modelo 2007.
- Una camioneta Ford Lobo.

- Siete chalecos portacargadores.
- Cuatro pasamontañas.

Lo asegurado quedó a disposición del Juzgado para la Fe Judicial, mientras que los indiciados fueron internados en el Centro de Ejecución de las Consecuencias Jurídicas del Delito, donde enfrentarán su proceso penal.

El Gobierno Federal seguirá trabajando para propiciar un ambiente de certidumbre jurídica en el que las personas tengan la certeza de que cuentan con instituciones y programas gubernamentales que garantizan el respeto a sus derechos y la preservación de su patrimonio mediante la aplicación de la ley.



Elementos del Ejército mexicano detuvieron en la colonia 2 de Octubre a siete individuos, luego de que presuntamente los atacaran a balazos y a quienes les decomisaron varios rifles de alto poder, cartuchos, vehículos y una vivienda.

En el operativo fueron desplegados al menos 200 efectivos militares de los batallones 42 y 89, además de elementos de las Fuerzas Especiales, quienes se movilizaron en varias unidades tipo pick up y un helicóptero.

Noé Sandoval Alcázar, comandante de la Novena Zona Militar, informó que los hechos se registraron a las 4:40 horas de hoy por la calle Josefa Ortiz de Domínguez, del mencionado sector popular.

Explicó que los soldados realizaban labores de patrullaje cuando fueron atacados a balazos por varios sujetos que se encontraban en el interior de un domicilio marcado con el número 99.

Según el jefe militar, los efectivos castrenses no repelieron la agresión y le solicitaron a los agresores que se entregaran voluntariamente, lo cual hicieron 45 minutos después del ataque.

Sandoval Alcázar dio a conocer que a los supuestos delincuentes les fueron asegurados seis rifles AK-47 "cuernos de chivo", tres AR-15, un M-16, 33 cargadores, 560 cartuchos de diferentes calibres, una vivienda y dos vehículos. Manifestó que uno de los detenidos resultó herido aparentemente al balearse él mismo, pero no de gravedad; no hubo víctimas fatales.

El comandante de la Novena Zona Militar indicó que lo ocurrido la madrugada de ayer es parte de las acciones que realizan las Fuerzas Armadas en todo el estado contra la delincuencia organizada.

Estos hechos se dan a 4 días de que aparecieron en las principales ciudades de la entidad, entre ellas Guasave, varias mantas en las que hacían señalamientos contra el comandante del 42 Batallón de Infantería.

El aparatoso operativo militar causó expectación entre los vecinos de la 2 de Octubre, quienes aseguraron que hubo una

balacera que duró aproximadamente 45 minutos, los cuales pasaron refugiados en los rincones de sus casas. Ya entrada la mañana, algunos habitantes del mencionado sector salían tímidamente de sus casas para apreciar el fuerte despliegue militar.

Los detenidos, las armas, las municiones, el inmueble y los vehículos asegurados quedaron a disposición del Ministerio Público Federal.

Source: [www.narcotraficoenmexico.blogspot.com](http://www.narcotraficoenmexico.blogspot.com)

domingo 21 de febrero de 2010

### EJÉRCITO ASEGURA A 7 PERSONAS Y ARSENAL EN SINALOA

EL UNIVERSAL Elementos del Ejército mexicano aseguraron en dos acciones diferentes a siete personas e incautaron diferentes armas de fuego y material explosivo en Sinaloa, informó la Secretaría de la Defensa Nacional (Sedena). Detalló que en el municipio de Guasave, siete sujetos iniciaron una balacera contra personal militar que efectuaba un reconocimiento terrestre cerca de un inmueble ubicado en la colonia Florida, el cual presuntamente era utilizado como casa de seguridad.

El personal perteneciente a la 9 Zona Militar logró detener a los sospechosos, a quienes tenían en su poder 10 armas largas, 33 cargadores, 560 cartuchos de diversos calibres, dos camionetas y equipo diverso.

Por otra parte, los efectivos militares descubrieron otro inmueble que era utilizado como casa de seguridad en el municipio de Navolato.

En dicho lugar hallaron cinco armas largas y cinco cortas, una granada, 52 cargadores, cinco mil 532 cartuchos de diversos calibres, material explosivo y uniformes similares a los que usan los cuerpos de Seguridad Pública.

La Sedena puntualizó que los detenidos, los inmuebles, el armamento, los vehículos y los artículos encontrados quedaron a disposición de las autoridades correspondientes.

en domingo, febrero 21, 2010   Etiquetas: SINALOA

Source: <http://guerracontraelnarco.blogspot.com/2010/02/ejercito-asegura-7-personas-y-arsenal.html>

CLASSIFICATION: UNCLASSIFIED//FOUO//USO OFICIAL SOLAMENTE

(b) (7)(C)

ATF @ EPIC  
Intelligence Research Specialist  
Southwest Border Unit  
11339 539 Sims St  
El Paso Intelligence Center

(b) (7)(C)

ATF Research Specialist  
Project Gunrunner  
Gun Desk, El Paso Intelligence Center (EPIC)

From: (b) (7)(C)

Sent: Thursday, March 11, 2010 11:46 AM

To: (b) (7)(C)

Cc: (b) (7)(C)

Subject: Suspect Gun firearm recovered, MEXICO



**Subject:** Suspect Gun firearm recovered, MEXICO.

**Case Agents:** (b) (7)(C)

The National Tracing Center (NTC) has received a Trace request for the above listed firearm. To prevent the NTC from releasing information that may jeopardize your investigation, please choose one of the following. It is extremely important that if your case is still active that you state whether or not we may conduct a Trace on this firearm.

A written response (email communication is sufficient) is required within 7 days of initial notification regarding this email. If a response is not received within this time frame the NTC may be compelled to release trace summary information to the requestor.

*All information regarding this and any other trace requests can be obtained by using On-Line LEAD and/or eTrace. In the event that you do not have access, access can be requested by contacting the Law Enforcement Support Branch at 304-260-1500 or 800-788-7133.*

Please advise as to whether your case is:

- a) Active - NTC may release Trace disposition history to other Requestors for only this firearm.
- b) Active - NTC may release Trace disposition history to other Requestors. (This is to be applied to all remaining firearms in this Suspect Gun file)
- c) Active - NTC may not release Trace disposition history to other Requestors.
- d) Inactive - NTC will release Trace disposition to other Requestors.

**Suspect Gun Details:**

ATF IN Number: **785115-10-0004**

Suspect Gun File Number: [Redacted]

Suspect Gun submission request date: (b)(3) (Public Law 111-117) & (7)(C)

**Trace details regarding firearm recovery:**

Serial Number: [Redacted]  
 Manufacturer: (b)(3) (Public Law 111-117) & (7)(C)  
 Type: [Redacted]  
 Caliber: [Redacted]  
 Model: (b)(3) (Public Law 111-117) & (7)(A)  
 Recovered: [Redacted]  
 Location: [Redacted]  
 Suspects: [Redacted]

Trace Requestor: (b)(3) (Public Law 111-117) & (7)(A) [Redacted]  
 Requesting Agency: [Redacted]  
 Phone Number: [Redacted]

THIS US SOURCED FIREARM WAS RECOVERED IN MEXICO. FOR DETAILS RELATING TO THE SEIZURE, PLEASE CONTACT  
ATF-EPIC BRANCH CHIEF (b) (7)(C) VIA E-MAIL OR AT (b) (7)(C)

(b) (7)(C)

Program Analyst  
ATF National Tracing Center  
244 Needy Rd.  
Martinsburg, WV 25405-9481



From: (b) (7)(C)  
Sent: Monday, April 12, 2010 6:07:14 PM  
To: Gillett, George T. Jr.  
Subject: RE: DAD McMahon briefing

10-4

-----Original Message-----

From: Gillett, George T. Jr.  
Sent: Monday, April 12, 2010 3:07 PM  
To: (b) (7)(C); Quinonez, Louis A.  
Subject: DAD McMahon briefing

Gentlemen -

Please be in the conference room in the Division tomorrow at 10:00 AM.  
prepared to begin the briefing.

The DAD's flight arrives in Phoenix at 9:15 AM.

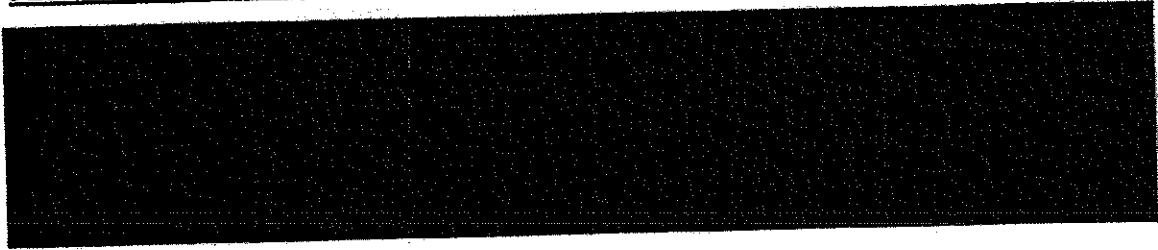
Thank you,

George

\*\*\*

NOTICE: This electronic transmission is confidential and intended only  
for the person(s) to whom it is addressed. If you have received this  
transmission in error, please notify the sender by return e-mail and  
destroy this message in its entirety (including all attachments).

**Phoenix Group VII (OCDETF Strike Force)**



**785115-10-0004** (b) (7)(C) This is an approved ATF OCDETF investigation (#  
SWAZP0496) (b) (7)(A)

Thus far in the investigation (b) (7)(A)

(b) (7)(A)  
(b) (7)(A)

(b) (7)(A) (b)(3) (Public Law 111-117) & (7)(A)



(b) (7)(A) (b)(3) (Public Law 111-117) & (7)(A)

(b) (7)(A) (b)(3) (Public Law 111-117) & (7)(A)



**785115-01-** [Redacted]

**785115-01-** [Redacted]

Bill Newell  
Special Agent in Charge  
ATF Phoenix Field Division (AZ and NM)

\*\*\*

NOTICE: This electronic transmission is confidential and intended only for the person(s) to whom it is addressed. If you have received this transmission in error, please notify the sender by return e-mail and destroy this message in its entirety (including all attachments).

----- Original Message -----

From: Chait, Mark R.  
To: Newell, William D.; (b) (7)(C)  
Cc: McMahon, William G.  
Sent: Wed Nov 25 15:38:22 2009  
Subject: FW: Gun seizure in (b) (7)(A)

I just heard from OSII regarding [REDACTED]

(b)(3) (Public Law 111-117) & (7)(A)

this info is I just wanted to make sure we put a all resources on this and get a solid interview with the transporter. Thx

Mark R. Chait  
Acting Assistant Director  
Field Operations  
[REDACTED]

-----Original Message-----

From: McMahon, William G.  
Sent: Tuesday, November 24, 2009 2:47 PM  
To: Chait, Mark R.  
Subject: FW: Gun seizure in (b) (7)(A)

fyi

William G. McMahon  
Deputy Assistant Director (West)  
Office of Field Operations  
[REDACTED]

-----Original Message-----

From: Newell, William D.  
Sent: Tuesday, November 24, 2009 11:35 AM  
To: McMahon, William G.; Martin, Steve K.  
Subject: Gun seizure in (b) (7)(A)

(b) (7)(A)

Mexican Customs dog alerted. Curious to see if it was one of our dogs.

Bill Newell  
Special Agent in Charge  
Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Phoenix Field  
Division (Arizona and New Mexico) Office - (602)776-5400

Lone Wolf Trading Company

February 1, 2011

In reviewing the news article in question concerning allegations of impropriety by either ATF or individual licensed Firearms Dealers in this matter concerning weapons purchased in Arizona that find their way into Mexico, We need to keep things in their proper perspective.

Let it be stated that while certain legislative officials not directly living here or directly familiar with this ongoing problem would suggest willful negligence on the part of federal Agencies charged with the enormous task of interdicting the flow of weapons crossing our border with Mexico, It should be known to the public that these Federal Agencies speaking strictly from my personal experience through many years act and conduct themselves in a very professional and proper manner. Any comparison to the contrary should be rejected completely.

On balance, The Mexican Cartels that use every available means at their disposal to procure any weapons to further their illegal drug trade do not run out of time or money. Federal Agencies have limited resources of money and manpower allotted to them by legislative officials who approve said budgets, While the Mexican Cartels are under no such restriction. Senator Grassley's office contacted us regarding "any" impropriety by ATF and we have stated that there exists no indication to that effect.

Lastly, It should be noted that our border is immense with Mexico and not every vehicle going into Mexico is stopped and searched for weapons, narcotics, or cash. Perhaps a more "Pro-Active" legislative approach would be to stop pointing blame at either Federal or State agencies attempting to do their job, And increase through legislation the budgets of all agencies on a more equitable level with the Drug Cartels and addition use all available means to secure our border. It appears that any State or Federal agency charged with said tasks are damned if they do, And damned if they don't. An appropriate response has to be to give them the tools to accomplish this monumental problem confronting them.

Lone Wolf Trading Company

January 26, 2011

So there remains no confusion to the accuracy regarding these events culminating with the Federal Indictment and arrests Monday, And to set the record straight and assure the public, We have issued the following statement.

We have worked closely in conjunction with several Federal agencies including the Phoenix office of ATF within the guidelines of both the Southwest Border Initiative and Operation Gunrunner, as well as within the guidelines of being an existing Federal Firearms Licensed dealer, as well as many other licensed Arizona dealers as well.

Due to the sensitivity and nature of any ongoing Federal Investigation we obviously are precluded from making any further statement other than we defer respectfully to the United States Attorney's Office and the Phoenix ATF field office on our behalf for any further comment they determine appropriate at this time.

Thank You.

Lone Wolf Trading Company

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Thank You.

**ALL DALLAS FIELD DIVISION OFFICES ADDRESSES AND ORG CODES****Dallas Field Division - 781000**

1114 Commerce Street, Room 303  
Dallas, TX 75242  
Phone: 469-227-4300  
Fax: 469-227-4302

**Dallas II Field Office - 781010**

1114 Commerce Street, Room 303  
Dallas, TX 75242  
Phone: 469-227-4370  
Fax: 469-227-4371

**Dallas III Field Office - 781015**

1114 Commerce Street, Room 303  
Dallas, TX 75242  
Phone: 469-227-4395  
Fax: 469-227-4396

**Plano Satellite Office - 781016**

101 E. Park, Suite 500  
Plano, TX 75074  
Phone: 972-509-1201  
Fax: 972-509-1706

**Dallas IV Field Office - 781020**

8404 Esters Blvd., Suite 100  
Irving, TX 75063  
Phone: 972-915-9570  
Fax: 972-915-9518

**Dallas V Field Office (IO) - 781025**

1114 Commerce Street, Room 303  
Dallas, TX 75242  
Phone: 469-227-4415  
Fax: 469-227-4416

**Tyler Satellite Office (IO) - 781027**

110 N. College  
Plaza Tower, Suite 1500  
Tyler, TX 75702  
Phone: 903-590-1475  
Fax: 903-590-1498

**ALL DALLAS FIELD DIVISION OFFICES ADDRESSES AND ORG CODES**

**El Paso Field Office - 781035**

310 N. Mesa, Room 800  
El Paso, TX 79901  
Phone: 915-534-6449  
Fax: 915-534-6453

**Ft. Worth Field Office - 781040**

6000 Western Place, Suite 400  
Ft. Worth, TX 76107  
Phone: 817-862-2800  
Fax: 817-862-2824

**Lubbock Field Office - 781045**

Sentry Plaza III  
5214 68th Street, Suite 300  
Lubbock, TX 79424  
Phone: 806-783-2700  
Fax: 806-783-2701

**Amarillo Satellite Office - 781046**

Drug Enforcement Agency  
205 East 5th Street, Suite 12  
Amarillo, TX 79101  
Phone: (Not open yet)  
Fax:

**Oklahoma City I Field Office (IO) - 781050**

55 N. Robinson , Suite 229  
Oklahoma City, OK 73102  
Phone: 405-297-5073  
Fax: 405-297-5015

**Tulsa Satellite Office (IO) - 781054**

Pratt Towers  
125 W. 15th Street Suite 500  
Tulsa, OK 74119  
Phone:  
Fax:

**ALL DALLAS FIELD DIVISION OFFICES ADDRESSES AND ORG CODES**

**Oklahoma City II Field Office - 781060**

55 N. Robinson, Suite 229  
Oklahoma City, OK 73102  
Phone: 405-297-5060  
Fax: 405-297-5055

**Tulsa Field Office - 781065**

Pratt Towers  
125 W. 15th Street, Suite 500  
Tulsa, OK 74119  
Phone: 918-594-1800  
Fax: 918-594-1801

**Muskogee Satellite Office - 781066**

120 S. Edmond Place, Suite 131  
Muskogee, OK 74403  
Phone: 918-682-0957  
Fax: 918-682-4994

**Tyler Field Office - 781070**

110 N. College  
Plaza Tower, Suite 1500  
Tyler, TX 75702  
Phone: 903-590-1475  
Fax: 903-590-1498

**Dallas Group I Field Office - 781075**

1114 Commerce Street, Room 303  
Dallas, TX 75242  
Phone: 469-227-4350  
Fax: 469-227-4351

**Ft. Worth II Field Office (IO) - 781080**

6000 Western Place, Suite 400  
Ft. Worth, TX 76107  
Phone: 817-862-2850  
Fax: 817-862-2824

**Dallas VI Field Office (IO) - 781085**

114 Commerce Street, Room 303  
Dallas, TX 75242

**ALL DALLAS FIELD DIVISION OFFICES ADDRESSES AND ORG CODES**

Phone: 469-227-4430

Fax: 469-227-4431

**El Paso II Field Office (IO) - 781110**

310 N. Mesa, Room 800

El Paso, TX 79901

Phone: 915-534-6449

Fax: 915-534-6453

**Lubbock Satellite Office (IO) - 781111**

Sentry Plaza III

5214 68th Street, Suite 300

Lubbock, TX 79424

Phone: 806-783-2750

Fax: 806-783-2751

**El Paso III Field Office - 781115**

310 N. Mesa, Room 800

El Paso, TX 79901

Phone: 915-534-6449

Fax: 915-534-6453

**ALL PHOENIX FIELD DIVISION OFFICE ADDRESSES AND ORG CODES**

**PHOENIX FIELD DIVISION-785000**

201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5400  
(602) 776-5429 (fax)

**Albuquerque Field Office-785010**

201 Third St. NW, Ste 1550  
Albuquerque, New Mexico 87102  
(505) 346-6908  
(505) 346-6913 (fax)

**Phoenix Group I-785040**

201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5400  
(602) 776-5459 (fax)

**Yuma Satellite Office-785041**

780 E. 39th Place  
(Mail: PO Box 4145, Yuma 85366-4145)  
Yuma, Arizona 85365  
(928) 344-9550

**Phoenix Group II-785045**

201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5400  
(602) 776-5479 (fax)

**Phoenix Group III (IO)-785050**

201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5400  
(602) 776-5499 (fax)

**Yuma Satellite Office (IO)-785053**

780 E. 39th Place  
(Mail: PO Box 4145, Yuma 85366-4145)

Yuma, Arizona 85365  
(928) 344-9550

**Flagstaff Satellite Office (IO)-785054**

911 East Saw Mill  
Flagstaff, Arizona 86001  
(928) 799-4585  
(928) 556-2217 (fax)

**Albuquerque II Field Office (IO)-785055**

201 Third St. NW, Ste 1550  
Albuquerque, New Mexico 87102  
(505) 346-6910  
(505) 346-6918 (fax)

**Las Cruces Satellite Office (IO)-785056**

1140 Commerce Drive, Suite B  
Las Cruces, New Mexico 88011  
(505) 521-2300 Phone  
(505) 521-2301 Fax

**Roswell Satellite Office (IO)-785057**

Roswell Police Department (Temporary address for ATF)  
128 W. 2nd  
Roswell, NM 88201  
(575) 624-6770

**Tucson I Field Office-785065**

300 West Congress St., Rm 8-B/8-Y  
Tucson, Arizona 85701  
(520) 770-5100  
(520) 770-5111 (fax)

**Phoenix Group IV (Intelligence)-785070**

201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5500  
(602) 776-5519 (fax)

**Phoenix Group V (Arson & Explosives)-785080**

201 E. Washington St., Ste 940

Phoenix, Arizona 85004  
(602) 776-5400  
(602) 776-5549 (fax)

**Flagstaff Satellite Office-785081**

911 East Saw Mill  
Flagstaff, Arizona 86001  
(928) 799-4585  
(928) 556-2217 (fax)

**Tucson II Field Office-785085**

300 West Congress St., Rm 8-B/8-Y  
Tucson, Arizona 85701  
(520) 770-5120  
(520) 770-5121(fax)

**Tucson III (IO) Field Office- 785090**

300 W. Congress St., Rm 8-B/8-Y  
Tucson, Arizona 85701  
(520) 770-5100  
(520) 770-5111

**Las Cruces I Field Office-785095**

1140 Commerce Drive, Suite B  
Las Cruces, NM 88011  
(505) 521-2300  
(505) 521-2301 (fax)

**Roswell Satellite Office-785096**

Roswell Police Department (Temporary address for ATF)  
128 W. 2nd  
Roswell, NM 88201  
(575) 624-6770

**Phoenix Group VI (IO) Field Office-785110**

201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5400  
(602) 776-5429 (fax)

**Phoenix Group VII Field Office-785115**



201 E. Washington St., Ste 940  
Phoenix, Arizona 85004  
(602) 776-5480  
(602) 776-5499 (fax)

**Las Cruces II Field Office-785120**  
1140 Commerce Drive, Suite B  
Las Cruces, NM 88011  
(505) 521-2300  
(505) 521-2301 (fax)

**Tucson IV Field Office- 785125**  
300 W. Congress St., Rm 8-B/8-Y  
Tucson, Arizona 85701  
(520) 770-5100  
(520) 770-5111 (fax)

**Dallas Field Division-El Paso Offices  
Phoenix Field Division-Tucson Offices**

**Staffing**

Dallas and Phoenix Field Division snapshots are attached.

**Phoenix GRIT**

In order to have an immediate and significant impact on the escalating violence related to the trafficking of firearms from the U.S. into Mexico, ATF has temporarily deployed special agents, industry operations investigators, and support personnel to the Phoenix Field Division. Details began between May 1 and May 10, and will last up to 100 days.

(b) (7)(E) ATF employees from across the country are detailed to GRIT locations in Phoenix, Tucson and Albuquerque:

- (b) (7)(E) group supervisors
- (b) (7)(E) special agents
- (b) (7)(E) area supervisors
- (b) (7)(E) industry operations investigators (to include 1 IOI/SOO)
- (b) (7)(E) intelligence research specialists
- (b) (7)(E) industry operations analysts
- (b) (7)(E) investigative analysts

The first GRIT performance report is attached.

**Current Space Projects and Proposals**

ATF is seeking temporary and permanent expansion space in both El Paso and Tucson to accommodate personnel growth in both offices. RBOB's Director's Report, which contains status information for these projects, is attached.

**Industry Operations Perspective**

*Phoenix Field Division FFLs:*

	<u>Collectors</u>	<u>Licensees</u>	<u>Total</u>
Arizona	1071	1575	2646
New Mexico	342	674	1016
			3662

*Dallas Field Division FFLs:*

	<u>Collectors</u>	<u>Licensees</u>	<u>Total</u>
(Texas +OK)	2129	3835	5964

*Phoenix Field Division FEL/FEPs:*

Arizona	154
New Mexico	114
	268

*Dallas Field Division FEL/FEPs:*

(TX + OK)	498
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*IOI Staffing and Offices:*

***Phoenix Field Division***

<u>Office</u>	<u>IOIs</u>
Phoenix Group III (IO)	(b) (7)(E)
Yuma (IO) Satellite Office	
Phoenix VI (IO)	
Tucson III (IO)	
Albuquerque II (IO)	
Las Cruces (IO) Satellite Office	
<b>Total Phoenix Field Division:</b>	<b>32</b>

- DIO Nancy Cook is set to retire at the end of the calendar year.

***Dallas Field Division***

<u>Office</u>	<u>IOIs</u>
Dallas V (IO)	(b) (7)(E)
Tyler (IO) Satellite	
Dallas VI (IO)	
Lubbock II (IO) Satellite	
El Paso II (IO)	
Oklahoma City I (IO)	
Ft. Worth II (IO)	
Tulsa (IO) Satellite	
<b>Total Dallas Field Division:</b>	<b>51</b>

Bureau of Alcohol, Tobacco, Firearms and Explosives

**ATF****Media Advisory**

Public Affairs Division - Washington, DC

FY-10-23

September 16, 2010

Contact: Office of Public Affairs

[www.atf.gov](http://www.atf.gov)

(202) 648-8500

S/A Thomas Mangan, PIO

(602) 776-5408

**ATF ANNOUNCES 7 NEW GUNRUNNER GROUPS AND  
PHOENIX GUN RUNNER IMPACT TEAMS' SUCCESSES**

PHOENIX — Deputy Director Kenneth E. Melson of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) and Dennis K. Burke, United States Attorney, District of Arizona will hold a news conference to announce the opening of seven new national ATF Gunrunner groups and the closeout and results of the Gun Runner Impact Team (GRIT) initiative, a nearly 100-day surge of ATF resources to the Phoenix Field Division in support of ATF's Southwest Border strategy, Project Gunrunner, at **9:00 a.m., Friday, Sept. 17.**

**WHO:** ATF Deputy Director Kenneth E. Melson  
United States Attorney Dennis K. Burke

**WHAT:** News Conference  
Open Media Event

**WHEN:** 9:00 a.m., Friday, Sept. 17, 2010

**WHERE:** ATF Phoenix Field Division  
201 E. Washington Street  
Suite 940  
Phoenix, Ariz. 85004

*Note: Spanish language translation / interview available*

*Note: Media attending the event will need to present valid media credentials for entrance to the news conference. It is requested that the media be in place by 8:30 a.m. Contact ATF PIO Thomas Mangan (602) 776-5408, mobile (602) 859-6317.*

###

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



**Bureau of Alcohol, Tobacco, Firearms and Explosives  
Gun Runner Impact Team (GRIT)  
Phoenix Field Division  
May 1 – August 6, 2010**



## Gun Runner Impact Team



- The U.S. Department of Justice's Southwest Border (SWB) Initiative is a focused and comprehensive approach to address the violence inflicted by the Mexican Drug Cartels on both sides of the U.S.-Mexico Border. ATF's part in this initiative is ***Project Gunrunner***
- Project Gunrunner's primary goal is to stem the trafficking of firearms to Mexican Drug Cartels by identifying and investigating those responsible and to combat firearms related violence affecting communities on both sides of the border
- Gun Runner Impact Teams (GRIT) were developed as a supplement of ATF resources along the SWB and from May 1 through August 6, 2010 a GRIT was deployed to ATF's Phoenix Field Division. The Phoenix GRIT consisted of 84 ATF personnel including Special Agents, Industry Operations Investigators, and Support Staff from around the country.



# GRIT Impact



- 174 investigations were initiated by the Phoenix GRIT.
- To date 66 defendants have been referred for prosecution.
- Follow up on all investigative leads is ongoing.

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



# Completed FFL Inspections (GRIT)



Warning Letters / Warning Conferences / Revocation  
110



Voluntary Surrender  
69



## Total FFL Inspections – 806

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

HOCR ATF 000518





# Completed FFL Inspections



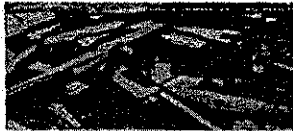
When violations of the law and regulations are disclosed during an inspection, a report of violations is issued to the licensee that outlines the discrepancy and the requirements for corrective action. ATF also works to gain cooperation and compliance from federal firearms licensees (FFLs) by issuing warning letters and holding warning conferences. Despite these remedial actions, on rare occasions ATF encounters a licensee who fails to comply with the law and regulations and demonstrates a lack of commitment to improving his or her business practices. In such cases where willfulness is demonstrated, ATF's obligation to protect public safety may require revocation of the federal firearms license.

- There were 806 completed FFL inspections in the Phoenix Field Division during GRIT.
- 69 FFLs voluntarily surrendered their licenses.
- ATF took administrative action against 110 FFLs:
  - 1 Revocation was recommended
  - 109 warning letters or warning conferences were completed.

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives



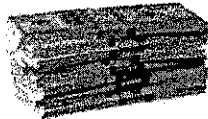
# PHOENIX GRIT SEIZURES



**1285** Firearms Seized



**71,774** Rounds of Ammunition Seized



**\$7763** in Currency Seized



**676.2** Grams of Cocaine Seized

Source – Bureau of Alcohol, Tobacco, Firearms and Explosives

HOCR ATF 000520

Bureau of Alcohol, Tobacco, Firearms and Explosives

**ATF**

## Director's Remarks

Public Affairs Division - Washington, DC



Remarks of Deputy Director Melson  
at the  
GRIT Close Out Press Conference  
Phoenix, Arizona  
Friday, September 17, 2010

- Good Morning, I am very pleased to be here today to discuss with you the results of our second Gun Runner Impact Team initiative and announce the formation of

seven (7) new Project  
Gunrunner firearms  
trafficking groups.

- Nothing is more important to ATF and to those who reside along the Southwest Border than stemming violence that has plagued this region during the past several years.

- As a result of drug trafficking organizations and their thirst for money and power, lives are being lost to violent crime every day on both sides of the U.S.-Mexico border. ATF, along with our Mexican counterparts, embraces the urgent need to cut off the

supply routes of illegal firearms traveling south into Mexico.

- The Administration has looked to ATF to find solutions to shut down the firearms trafficking organizations and reduce the related violence. ATF has unique expertise in

investigating gun trafficking, and unparalleled ability to develop intelligence and leads concerning the illegal commerce in firearms and explosives.

- ATF developed *Project Gunrunner* in 2005 as our comprehensive strategy to

deny firearms to the drug trafficking organizations that bring violence to Mexico and our cities.

- With congressional and ATF funding we have been able to establish Gunrunner groups. Gun trafficking to Mexico and other countries is a nation-



wide problem, one which ATF is attacking strategically as well as tactically.

- As a result of the 2010 emergency supplemental appropriation for border security, ATF received \$37.5 million. With this funding, **I am announcing**

**today** the formation of  
seven (7) additional  
Gunrunner groups  
dedicated to the  
comprehensive and  
strategic disruption of  
firearms trafficking into  
Mexico. These groups will  
be placed along traditional  
and newly-discovered

# firearms trafficking routes and hubs:

- Sierra Vista, Ariz.
- Brownsville, Texas
- Dallas
- Las Vegas
- Oklahoma City
- Atlanta
- Miami

- With this increased footprint, ATF will be in a better position to stop the illegal flow of firearms from a national perspective and protect our citizens from the related violence.
- Some of the supplemental funding will also provide

upgrades to our National Tracing Center – the focus of ATF’s eTrace activity.

- The eTrace system, which is central to ATF’s gun trafficking campaign, provides for the electronic exchange of information of crime gun incident-related data in a secure web-based environment.

- The electronic tracing of seized illegal firearms by ATF and the entire law enforcement community provide **valuable** leads to disrupt trafficking patterns and jail those responsible for violent crimes here in Arizona and around the country.

- In 2009, ATF developed Spanish eTrace, a Spanish language version deployed to Mexico and other Central American countries to expedite the tracing request process and retrieval of trace results that prove crucial to solving trafficking cases.

- In 2009 a Gun Runner Impact Team (GRIT) - a surge of ATF personnel for a finite time period - was deployed to south Texas with great success.
- Today, we also announce the results of ATF's second, high impact GRIT initiative, focused in Arizona and New Mexico. The GRITs are the tactical portion of our



comprehensive strategy to attack gun trafficking.

- For 97 days, more than 80 ATF personnel were stationed in Phoenix, Tucson and Albuquerque from May 1st to August 6th. They used a combination of traditional and innovative violent crime-fighting techniques,

coupled with ATF  
regulatory authority to  
identify firearms  
traffickers.

- As a result of these  
techniques, ATF seized  
almost 1,300 firearms and  
over 71,000 rounds of  
ammunition; initiated 174  
new federal criminal cases;

and referred 66 defendants,  
thus far, for prosecution.

And we expect that  
number to rise.

- During this time, ATF  
Industry Operations  
Investigators (IOIs)  
completed over 800  
Federal Firearms Licensee  
(FFL) inspections that

initiated over 160 referrals to law enforcement or to other state and local agencies for further investigation.

- Through review of FFL records and inventories, ATF reduced the number of unaccounted for firearms from over 3,000

to just over 300. This is important because unaccounted for inventories diminish the effectiveness of eTrace.

- This operation underscores the importance of FFL records being maintained properly. These inspections **DO** detect and

prevent the diversion of  
firearms to illegal sources.

- I also want to recognize  
the gun dealers and the  
firearms industry for their  
cooperation with ATF in  
areas of inspections,  
intelligence, and  
community service  
announcements warning of

the seriousness of being a straw purchaser. Our common goal of deterring crime and keeping guns out of criminal's hands makes the partnership between ATF, the dealers, and the firearms industry an important component of making sure that every law abiding citizen can

exercise their right to purchase and possess firearms.

- During our GRIT in the Phoenix Division, ATF agents collaborated extensively with local and state law enforcement and many task force officers directly contributed to the



initiative. More than a dozen law enforcement agencies assisted ATF with tactical support, enforcement activities, suspect interviews, and referrals. For all those involved, please accept our thanks.

- I want to thank ATF's Phoenix Field Division and all the ATF men and women from across the country who participated in Phoenix GRIT. Special Agent in Charge Bill Newell and his team provided the leadership required to keep America safe from illegal firearms

and drug trafficking  
organizations.

- ATF's commitment to reducing violent crime and stemming the flow of firearms across the southwest border is shared with our law enforcement partners in Mexico.

- Since 2009, ATF has tripled its personnel in Mexico, to include opening five additional offices in U.S. consulates.
  
- We are there to support the GOM with intelligence, eTrace, training and our forensic science expertise.

It is an unfortunate fact that the tools of the trade used by cartels in Mexico are expanding to the more frequent use of improvised explosive devices and vehicle borne improvised explosive devices. ATF has a highly developed and sophisticated expertise in explosives

identification and post blast investigations.

- In the last couple years, ATF, along with Government of Mexico personnel, formed the Combined Explosives Investigation Team whereby a joint response to explosives scenes and

recoveries in Mexico is conducted in order to identify the explosive material, collect evidence and conduct a post blast investigation, when necessary.

- ATF continues to provide Government of Mexico personnel training in the

explosives detection canine  
field, crisis negotiation and  
post blast investigation.

- I want to commend the  
Government of Mexico,  
President Calderon, AG  
Chavez Chavez and our  
law enforcement  
counterparts across the  
border for their bravery



and thank them for their help and strong desire to end this violence that is affecting both our countries.

- ATF appreciates the hard work and cooperation of our federal law enforcement partners: DEA, FBI, ICE, CBP and

of course, the assistance of  
U.S. Attorney Dennis  
Burke and his office....