

SURVEY FORMS

ATF Field Division:

ATF Investigation Number:

Investigation Title:

1. How was the investigation initiated?

1= confidential informant

2= referral from another state, local, or federal agency

3= FFL reported suspicious activity

4= developed from another investigation

5= review of multiple sales forms

6= Project LEAD or other local tracing project

7= gun recovered and traced to origins

8= ATF initiated investigation of suspicious activity (e.g. ATF Gun Show Task Force)

9= ATF Regulatory inspection of FFL records

10= anonymous tip

11= other Please specify: _____

2. What were the violations in the investigation?

Please use the space below to write all violations associated with the traffickers in the investigation (e.g., trafficking, straw purchasing, dealing by non-licensed individuals, "off paper" sales, sales to prohibited persons, obliterating firearms, etc.)

3. Were there any Title II violations in this investigation?

0= NO

1= YES

Please use the space below to describe the Title II violations (e.g., machine guns, converted guns, conversion kits, silencers, grenades, short barreled firearms, etc.).

4. Please describe the trafficking enterprise.

Circle all appropriate trafficking channels listed below. For example, if a FFL was trafficking firearms at a flea market, choices 1 and 7 would be selected.

1= Firearms trafficked by licensed dealer, including pawnbroker.

2= Firearms trafficked by straw purchaser or straw purchasing ring.

3= Trafficking in firearms stolen from FFL.

4= Trafficking in firearms stolen from common carrier.

5= Trafficking in firearms stolen from residence.

6= Trafficking in firearms by unregulated private sellers.

7= Trafficking in firearms at gun shows, flea markets, auctions, or want ads and gun magazines.

8= Other. Please specify: _____

5. Were there NEW guns being trafficked?

0= NO

1= YES

6. Were there OLD guns being trafficked?

0= NO

1= YES

7. Were there USED guns being trafficked?

0= NO

1= YES

8. Were the firearms being trafficked INTERSTATE?

0= NO

= YES

9. Were the firearms being trafficked INTRASTATE?

0= NO

1= YES

10. Were the firearms being trafficked INTERNATIONALLY?

0= NO

1= YES

11. Please describe the number and types of firearms involved in the investigation.

Please provide as much detail as possible; if the number of handguns and long guns are not known, only fill in the number of firearms.

Total number of firearms: _____

Total number of handguns: _____

Total number of long guns: _____

Total number of rifles: _____

Total number of shot guns: _____

Beyond the number of firearms known to be involved in the investigation, please estimate the number of firearms that may have been trafficked by the individual(s) under investigation.

Estimated firearms: _____

12. Did this investigation involve YOUTH (person ages 18-24)?

0= NO

1= YES

If yes, how were youth involved? Circle all that apply

1= straw purchaser(s)

2= trafficker(s)

3= possessor(s) of trafficked firearms

4= thief/robber(s)

5= other.

Please specify: _____

13. Did this investigation involve JUVENILES (person ages 17 and under)?

0= NO

1= YES

If yes, how were juveniles involved? Circle all that apply

1= straw purchaser(s)

2= trafficker(s)

3= possessor(s) of trafficked firearms

4= thief/robber(s)

5= other.

Please specify: _____

14. Were the trafficked firearms known to be recovered in subsequent crimes?

0= NO

1= YES

If yes, please enter the number for each crime below. If exact numbers are unknown, please simply put a check in the space provided.

Homicide: _____

Assault: _____

Robbery: _____

Sexual assault/ rape: _____

Property crime: _____

Felon in possession: _____

Juvenile in possession: _____

Illegal possession: _____

Drug offense: _____

Other (please specify): _____

15. Please provide information on the defendant(s) in the investigation. Please use the space below to describe their role in the case (e.g. straw purchaser, FFL, trafficker, etc.), age, sex, race, and whether the person had a felony record.

16. If there were straw purchasers involved in this investigation, please provide any information you may have on the relationship between the straw purchaser and the actual trafficker. Please circle all that apply.

1= Friend

2= Intimate

3= Relative

4= Business relationship (paid with money or drugs to buy guns for the trafficker)

5= Straw purchaser is the trafficker.

6= Other

Please specify: _____

17. Has this case been adjudicated?

0= NO

1= YES

18. Please use this page to summarize any other pertinent information on this investigation.

Bureau of Alcohol, Tobacco, and Firearms
Supplementary Survey of Recent Gun Trafficking Investigations

INSTRUCTIONS:

We would like to know some additional information regarding the disposition of the firearms trafficking investigation listed below that your Field Division submitted to ATF Headquarters. Please select the appropriate response or write your response to the following questions in the space provided. Thanks for your time and consideration in this important matter.

Field Division:

ATF Investigation Number:

ATF Investigation Title:

- 1) Was this investigation recommended for prosecution? YES NO
- 2) Who was the investigation recommended to for prosecution?
- US Attorney's Office
- State/ local prosecutor

If the investigation was not or has not been recommended, please briefly state why.

- 3) If this investigation was recommended for prosecution, was the submission declined by the prosecutor?
- YES NO
- 4) If the investigation was accepted for prosecution, please list the defendants (name, DOB) in the case and the charges under which they were prosecuted.

- 5) Has the case been fully adjudicated?
 YES NO ON APPEAL
 Some defendants have been adjudicated, some not

For the adjudicated defendants, please list the sentence for each individual.

- 6) Please name the prosecutor(s) of the case and identify which U.S. Attorney District or local court he/she works.

- 7) Did this investigation involve the cooperation of a local or state police department?

YES NO

- 8) Was the tracing of firearms used in this investigation?

YES NO

If yes, what was the role of tracing? (please select all that apply)

- Investigation was initiated through firearms tracing
 Recovered firearms were traced after the investigation was initiated
 Other, please describe:

This report is also accessible via the Internet on the ATF website:

<http://www.atf.treas.gov>

For further information or additional copies of this report, contact ATF's
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(202) 927-8500