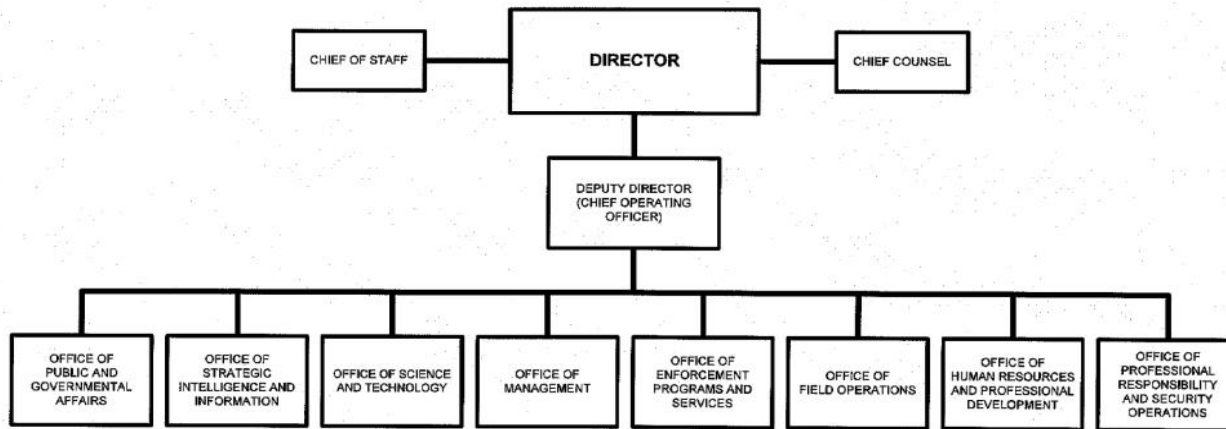



BUREAU OF ALCOHOL, TOBACCO, FIREARMS, AND EXPLOSIVES



Approved by:


ERIC H. HOLDER, JR.
Attorney General

Date: 5/16/13

B. Summary of Requirements

Summary of Requirements
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

	FY 2019 Request		
	Positions	Estimate FTE	Amount
2017 Enacted 1/	5,101	5,094	1,258,600
Total 2017 Enacted	5,101	5,094	1,258,600
2018 Continuing Resolution	5,059	4,986	1,250,053
Technical Adjustments			
CR Base Adjustment	0	0	23,723
Total Technical Adjustments	0	0	23,723
Base Adjustments			
Pay and Benefits	0	0	6,385
Domestic Rent and Facilities	0	0	6,770
Other Adjustments	-14	-14	-1,608
Foreign Expenses	0	0	343
Total Base Adjustments	-14	-14	11,890
Total Technical and Base Adjustments	-14	-14	35,613
2019 Current Services	5,045	4,972	1,285,666
Program Changes			
Increases:			
National Integrated Ballistics Information Network (NIBIN)	7	4	8,900
National Firearms Act (NFA)	25	13	13,200
National Public Safety Partnership (PSP)	46	23	8,912
Subtotal, Increases	78	40	31,012
Total Program Changes	78	40	31,012
2019 Total Request	5,123	5,012	1,316,678
2018 - 2019 Total Change	64	26	66,625

^{1/} FY 2017 FTE is actual

B. Summary of Requirements

Summary of Requirements
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Program Activity	FY 2017 Enacted			FY 2018 Continuing Resolution			FY 2019 Technical and Base Adjustments			FY 2019 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Law Enforcement Operations	4,250	4,184	1,048,036	4,249	4,187	1,049,795	-12	-12	29,908	4,237	4,175	1,079,703
Investigative Support Services	851	910	210,564	810	799	200,258	-2	-2	5,705	808	797	205,963
Total Direct	5,101	5,094	1,258,600	5,059	4,986	1,250,053	-14	-14	35,613	5,045	4,972	1,285,666
Balance Rescission			0			0			0			0
Total Direct with Rescission			1,258,600			1,250,053			35,613			1,285,666
Reimbursable FTE		52			52			0			52	
Total Direct and Reimb. FTE		5,146			5,038			-14			5,024	
Other FTE:												
LEAP		608			608			0			608	
Overtime		38			38			0			38	
Grand Total, FTE		5,792			5,684			-14			5,670	

Program Activity	2019 Increases			2019 Offsets			2019 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Law Enforcement Operations	46	23	8,912	0	0	0	4,283	4,198	1,088,615
Investigative Support Services	32	17	22,100	0	0	0	840	814	228,063
Total Direct	78	40	31,012	0	0	0	5,123	5,012	1,316,678
Balance Rescission			0			0			0
Total Direct with Rescission			31,012			0			1,316,678
Reimbursable FTE		5			0			57	
Total Direct and Reimb. FTE		45			0			5,069	
Other FTE:									
LEAP		0			0			608	
Overtime		0			0			38	
Grand Total, FTE		45			0			5,715	

FY 2019 Program Increases/Offsets by Decision Unit

Bureau of Alcohol, Tobacco, Firearms, and Explosives

Salaries and Expenses

(Dollars in Thousands)

Program Increases	Location of Description by Program Activity	Law Enforcement Operations				Investigative Support Services				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
National Integrated Ballistics Information Network (NIBIN)		0	0	0	0	7	0	4	8,900	7	0	4	8,900
National Firearms Act (NFA)		0	0	0	0	25	0	13	13,200	25	0	13	13,200
National Public Safety Partnership (PSP)		46	36	23	8,912	0	0	0	0	46	36	23	8,912
Total Program Increases		46	36	23	8,912	32	0	17	22,100	78	36	40	31,012

Program Offsets	Location of Description by Program Activity	Investigative Support Services				Law Enforcement Operations				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets													
Total Program Offsets													

Resources by Department of Justice Strategic Goal and Objective

Bureau of Alcohol, Tobacco, Firearms, and Explosives

Salaries and Expenses

(Dollars in Thousands)

Performance Materials will be provided at a later date.

Justifications for Technical and Base Adjustments
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

	Positions	Estimate FTE	Amount
Technical Adjustments			
1 <u>CR Base Adjustment</u> Because no final 2018 appropriations bills have been enacted, this technical adjustment is to reflect the difference between the 2018 President's Budget and the annualized amounts provided in the Continuing Appropriations Act, 2018 (P.L. 115-56) (CR).	0	0	23,723
Subtotal, Technical Adjustments	0	0	23,723
Pay and Benefits			
1 <u>Annualization of 2018 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2018 pay increase of 1.9 percent included in the 2018 President's Budget. The amount requested, <u>\$3,752</u> , represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (<u>\$2,814</u> for pay and <u>\$938</u> for benefits).	0	0	3,752
2 <u>Employees Compensation Fund</u> The <u>-\$353</u> request reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.	0	0	-353
3 <u>Health Insurance</u> Effective January 2019, the component's contribution to Federal employees' health insurance increases by 4.0 percent. Applied against the 2018 estimate of <u>\$50,465</u> , the additional amount required is <u>\$1,998</u> .	0	0	1,998
4 <u>Retirement</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of <u>\$988</u> is necessary to meet our increased retirement obligations as a result of this conversion.	0	0	988
Subtotal, Pay and Benefits	0	0	6,385

Justifications for Technical and Base Adjustments
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

	Positions	Estimate FTE	Amount
Domestic Rent and Facilities			
1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of <u>\$1,712</u> is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2019 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.	0	0	1,712
2 <u>Guard Service</u> This includes Department of Homeland Security (DHS) Federal Protective Service charges, Justice Protective Service charges and other security services across the country. The requested increase of <u>\$3</u> is required to meet these commitments.	0	0	3
3 <u>Moves</u> GSA requires all agencies to pay relocation costs associated with lease expirations. This request provides for the costs associated with new office relocations caused by the expiration of leases in FY 2019.	0	0	9,604
4 <u>Moves - Non-Recur</u> Non-recurring office move costs from FY 2018.	0	0	-4,549
Subtotal, Domestic Rent and Facilities	0	0	6,770
Other Adjustments			
1 <u>Administrative Reduction/HQ</u> This budget reflects a focus on critical field operations and our field locations, while being frugal with taxpayer dollars. To this effect, this budget includes a 2 percent headquarters reduction.	-14	-14	-2,335
2 <u>Working Capital Fund - Trusted Internet Connection (TIC)</u> This request continues efforts to provide additional cybersecurity to our IT infrastructure and systems.	0	0	727
Subtotal, Other Adjustments	-14	-14	-1,608

Justifications for Technical and Base Adjustments
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

	Positions	Estimate FTE	Amount
Foreign Expenses			
1 <u>Capital Security Cost Sharing</u> Per P.L. 108-447 and subsequent acts, "all agencies with personnel overseas subject to chief of mission authority...shall participate and provide funding in advance for their share of costs of providing new, safe, secure U.S. diplomatic facilities, without offsets, on the basis of the total overseas presence of each agency as determined by the Secretary of State." Originally authorized for FY 2000-2004, the program has been extended annually by OMB and Congress and has also been expanded beyond new embassy construction to include maintenance and renovation costs of the new facilities. For the purpose of this program, State's personnel totals for DOJ include current and projected staffing. The estimated cost to the Department, as provided by State, for FY 2019 is \$_____. ATF currently has 20 positions overseas, and funding of <u>\$262</u> is requested for this account. [CRM, USMS, FBI, DEA, ATF, CIV only]	0	0	262
2 <u>ICASS</u> The Department of State charges agencies for administrative support provided to staff based overseas. Charges are determined by a cost distribution system. The FY 2019 request is based on the projected FY 2018 bill for post invoices and other ICASS costs. [CRM, USMS, FBI, DEA, ATF, CIV only]	0	0	81
Subtotal, Foreign Expenses	0	0	343
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	-14	-14	35,613

Crosswalk of 2017 Availability
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Program Activity	FY 2017 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2017 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
Law Enforcement Operations	4,250	4,184	1,048,036	0	0	27,895	192,024	1,976	4,250	4,184	1,269,930
Investigative Support Services	851	910	210,564	0	0	5,321	36,630	377	851	910	252,893
Total Direct	5,101	5,094	1,258,600	0	0	33,216	228,654	2,353	5,101	5,094	1,522,823
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			1,258,600			33,216	228,654	2,353			1,522,823
Reimbursable FTE		52			0					52	
Total Direct and Reimb. FTE		5,146			0					5,146	
Other FTE:											
LEAP FTE		608			0					608	
Overtime		38			0					38	
Grand Total, FTE		5,792			0					5,792	

Reprogramming/Transfers:

ATF received a transfer of \$8.5M from prior year S&E accounts to the No-Year account.

ATF transferred \$41.716M to DEA and JIST for Spectrum AWS-3.

Carryover:

Carryover funding was \$3.288M from ATF's No-Year Account and \$225.367M from the Spectrum Relocation Fund (AWS-3).

Recoveries/Refunds:

ATF received \$2.353M in recoveries in ATF's No-Year account.

1/ ATF received a transfer of \$0.019M from ONDCP in 15 16/17 0700 accounts for approved HIDTA programs within ATF Field Divisions.

Crosswalk of 2018 Availability
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Program Activity	FY 2018 Continuing Resolution			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2018 Availability		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Amount	Amount	Positions	Est. FTE	Amount
Law Enforcement Operations	4,249	4,187	1,049,795	0	0	6,718	149,409	3,359	4,249	4,187	1,209,281
Investigative Support Services	810	799	200,258	0	0	1,282	28,501	641	810	799	230,682
Total Direct	5,059	4,986	1,250,053	0	0	8,000	177,910	4,000	5,059	4,986	1,439,963
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			1,250,053			8,000	177,910	4,000			1,439,963
Reimbursable FTE		52			0					52	
Total Direct and Reimb. FTE		5,038			0					5,038	
Other FTE:											
LEAP FTE		608			0					608	
Overtime		38			0					38	
Grand Total, FTE		5,684			0					5,684	

Reprogramming/Transfers:

ATF anticipates a transfer of \$8M from prior year S&E accounts to the No-Year account.

Carryover:

Carryover funding was \$1.496M from ATF's No-Year Account and \$176.414M from the Spectrum Relocation Fund (AWS-3).

Recoveries/Refunds:

ATF anticipates \$4M in recoveries from No-Year Account.

Summary of Reimbursable Resources
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Collections by Source	2017 Actual			2018 Estimate			2019 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
All Other Sources	4	4	71,907	2	2	66,062	3	3	66,492	1	1	430
Asset Forfeiture Fund	3	3	45,100	0	0	0	4	4	0	4	4	0
Organized Crime Drug Enforcement Task Force	50	50	10,711	50	50	10,938	50	50	10,508	0	0	-430
Budgetary Resources	57	57	127,718	52	52	77,000	57	57	77,000	5	5	0

Obligations by Program Activity	2017 Actual			2018 Estimate			2019 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Law Enforcement Operations	56	56	127,527	52	52	66,062	57	57	66,492	5	5	430
Investigative Support Services	1	1	191	0	0	10,938	0	0	10,508	0	0	-430
Budgetary Resources	57	57	127,718	52	52	77,000	57	57	77,000	5	5	0

Detail of Permanent Positions by Category
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Category	FY 2017 Enacted		FY 2018 Continuing Resolution		FY 2019 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Miscellaneous Operations (001-099)	524	1	517	1	-14	32	0	535	5
Security Specialists (080)	26	0	26	0	0	0	0	26	0
Intelligence Series (132)	180	0	179	0	0	0	0	179	0
Personnel Management (0200-0260)	61	0	60	0	0	0	0	60	0
Clerical and Office Services (0300-0399)	545	0	541	0	0	0	0	541	0
Accounting and Budget (500-599)	125	0	124	0	0	0	0	124	0
Paralegals / Other Law (900-998)	60	0	60	0	0	0	0	60	0
Attorneys (905)	81	0	80	0	0	0	0	80	0
Information & Arts (1000-1099)	38	0	38	0	0	0	0	38	0
Business & Industry (1100-1199)	34	0	34	0	0	0	0	34	0
Equipment/Facilities Services (1600-1699)	1	0	1	0	0	0	0	1	0
Inspection, Investigation, Enforcement Analyst(1801)	834	0	834	0	0	10	0	844	0
Misc. Inspectors/Investigative Assistants (1802)	35	0	28	0	0	0	0	28	0
Criminal Investigative Series (1811)	2,485	51	2,465	51	0	36	0	2,501	52
Supply Services (2000-2099)	16	0	16	0	0	0	0	16	0
Information Technology Mgmt (2210-2299)	56	0	56	0	0	0	0	56	0
Total	5,101	52	5,059	52	-14	78	0	5,123	57
Headquarters Washington D.C.	713	1	707	1	-14	0	0	693	5
US Fields	4,368	51	4,332	51	0	78	0	4,410	52
Foreign Field	20	0	20	0	0	0	0	20	0
Total	5,101	52	5,059	52	-14	78	0	5,123	57

Financial Analysis of Program Changes
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Grades	Law Enforcement Operations				Investigative Support Services				Total Program Changes	
	Program Increases		Program Decreases		Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount	Positions	Amount	Positions	Amount		
GS-9	46	0	0	0	32	0	0	0	78	0
Total Positions and Annual Amount	46	0	0	0	32	0	0	0	78	0
Lapse (-)	-23	8511	0	0	-15	2,001	0	0	-38	10,512
11.5 - Other personnel compensation		0		0		0		0		0
Total FTEs and Personnel Compensation	23	8,511	0	0	17	2,001	0	0	40	10,512
21.0 - Travel and transportation of persons		0		0		500		0		500
25.2 - Other services from non-federal sources		401		0		16,899		0		17,300
31.0 - Equipment		0		0		2,700		0		2,700
Total Program Change Requests	23	8,912	0	0	17	22,100	0	0	40	31,012

K. Summary of Requirements by Object Class

Summary of Requirements by Object Class
 Bureau of Alcohol, Tobacco, Firearms, and Explosives
 Salaries and Expenses
 (Dollars in Thousands)

Object Class	FY 2017 Actual		FY 2018 Continuing		FY 2019 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	5,094	463,979	4,986	488,142	5,012	499,125	26	10,983
11.3 - Other than full-time permanent	0	44,860	0	42,185	0	42,185	0	0
11.5 - Other personnel compensation	646	70,488	646	67,169	646	66,069	0	-1,100
<i>Overtime</i>	38	0	38	0	38	0	0	0
<i>Other Compensation</i>	608	0	608	0	608	0	0	0
11.8 - Special personal services payments	0	114	0	0	0	20	0	20
Total	5,740	579,441	5,632	597,496	5,658	607,399	26	9,903
Other Object Classes								
12.1 - Civilian personnel benefits		260,772		249,325		254,898	0	5,573
13.0 - Benefits for former personnel		33		0		15	0	15
21.0 - Travel and transportation of persons		28,487		30,256		32,250	0	1,994
22.0 - Transportation of things		4,597		5,355		5,355	0	0
23.1 - Rental payments to GSA		90,268		89,915		91,915	0	2,000
23.2 - Rental payments to others		572		580		695	0	115
23.3 - Communications, utilities, and miscellaneous charges		20,908		23,505		24,505	0	1,000
24.0 - Printing and reproduction		1,577		1,828		2,000	0	172
25.1 - Advisory and assistance services		12,444		16,830		16,955	0	125
25.2 - Other services from non-federal sources		114,342		127,950		163,800	0	35,850
25.3 - Other goods and services from federal sources		23,835		7,260		7,500	0	240
25.4 - Operation and maintenance of facilities		8,892		1,293		1,315	0	22
25.6 - Medical care		1,325		7,850		7,900	0	50
25.7 - Operation and maintenance of equipment		68,021		68,230		68,230	0	0
25.8 - Subsistence and support of persons		447		1,175		1,300	0	125
26.0 - Supplies and materials		20,912		21,561		22,615	0	1,054
31.0 - Equipment		29,395		51,933		46,950	0	-4,983
32.0 - Land and structures		9,472		8,525		8,535	0	10
42.0 - Insurance claims and indemnities		1,576		1,576		1,600	0	24
Total Obligations		1,277,316		1,312,443		1,365,732	0	53,289
Net of:								
Unobligated Balance, Start-of-Year		-228,654		-177,910		-127,070	0	50,840
Transfers/Reprogramming		33,216		-8,000		-5,000	0	3,000
Recoveries/Refunds		-2,353		-4,000		-1,000	0	3,000
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		177,910		127,020		83,516	0	-43,504
Unobligated End-of-Year, Expiring		1,166		500		500	0	0
Total Direct Requirements		1,258,600		1,250,053		1,316,678		66,625
Reimbursable FTE								
Full-Time Permanent	52		52		57		5	0

Status of Congressionally Requested Studies, Reports, and Evaluations

Bureau of Alcohol, Tobacco, Firearms, and Explosives

1. The Senate Report associated with the FY 2018 appropriations act directs ATF to submit an annual report on U.S. and Mexico firearms trafficking and trace data.
2. The Senate Report associated with the FY 2018 appropriations act directs ATF to provide details of the of co-locating the canine training operations for explosives and accelerant detection with NCETR, as part of the Department's Spend Plan.
3. The House Report associated with the FY 2018 appropriations act directs ATF to report to the Committee on Appropriations on how the funding will be allocated relative to ATF's Investigative Support Services, including firearms licensing, import applications and eForms, as part of the Department's Spend Plan.