

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0043

National Tracing Center Trace Request

Phone: 1-800-788-7113 Martinsburg, WV 25405 Fax: 1-800-578-7223

FOR NTC DATA ENTRY ONLY Page 1 of 2 - Trace Request Information

NOTE: \* - Required Entry Field (Must be completed for trace processing)  
\*\* - Required Entry With Listed Data Response (See back for codes and options)

Part I - Trace Initiation Information

1a. Date of Request 1b. Priority\*\* Routine  Urgent (Justification required)  For NTC Data Entry Only

1c. Special Instructions

2a. Project Code, Project Title, or other Initiative (If you wish to obtain a Project Code, contact the Chief, Firearms Tracing Branch, ATF National Tracing Center)\*\*:

Trace

2b. Phone Number: 2c. ATF Special Agent's Name (Last, first, middle)  
Fax Number:  
E-Mail:

3a. Name (Last, first, middle)

Part V - Firearm Information

5a. Serial Number\* (From Manufacturer's Record) 5b. Manufacturer\*

5d. Type\* 5e. Country of Origin\* (Importer required if other than U.S.)

5h. Importer\*

Part VI - Possessor Information

6a. Name (Last, First, Middle, Suffix)

6b. Alias (If given) (Last, First, Middle, Suffix) 6c. Alias Date of Birth

6d. Height 6e. Weight 6f. Sex 6g. Race (Check one)  
American Indian or Alaska Native  
Black or African American  
Native Hawaiian or Other Pacific Islander  
Other (Specify)

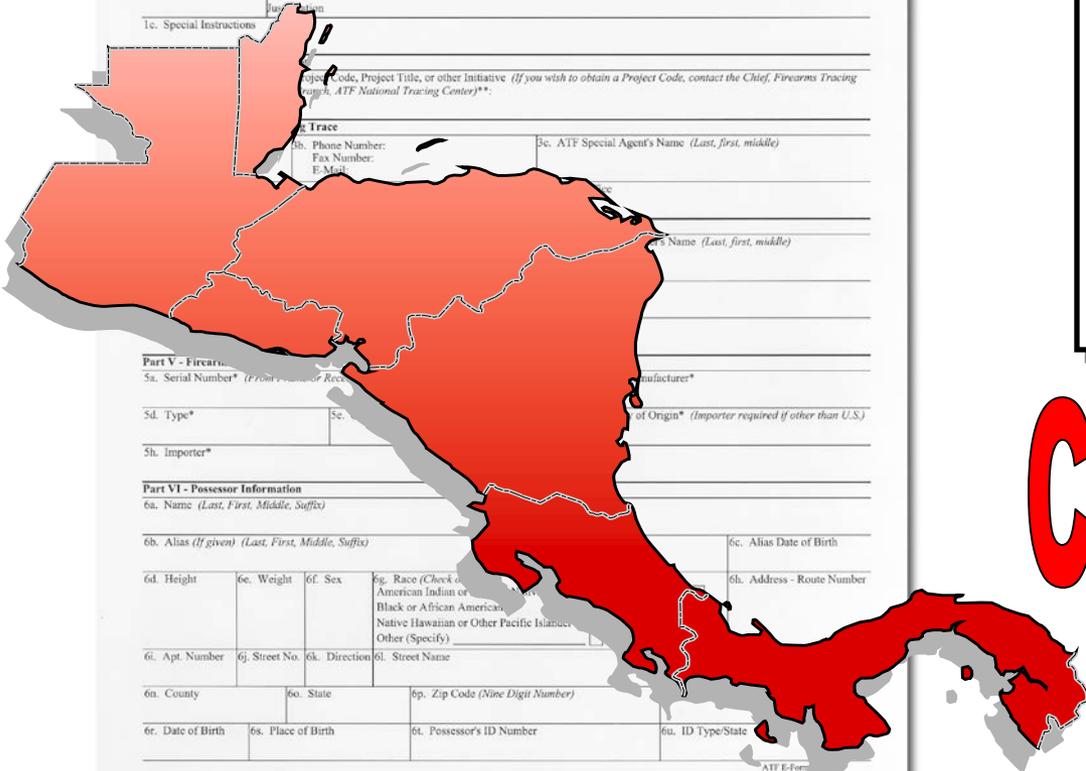
6i. Address - Route Number

6j. Apt. Number 6k. Street No. 6l. Direction 6m. Street Name

6n. County 6o. State 6p. Zip Code (Nine Digit Number)

6r. Date of Birth 6s. Place of Birth 6t. Possessor's ID Number 6u. ID Type/State

ATF Form 1  
Revised January 2007



# ATF

# Central America

Department of Justice  
Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Office of Strategic Intelligence and Information  
Data Source: Firearms Tracing System

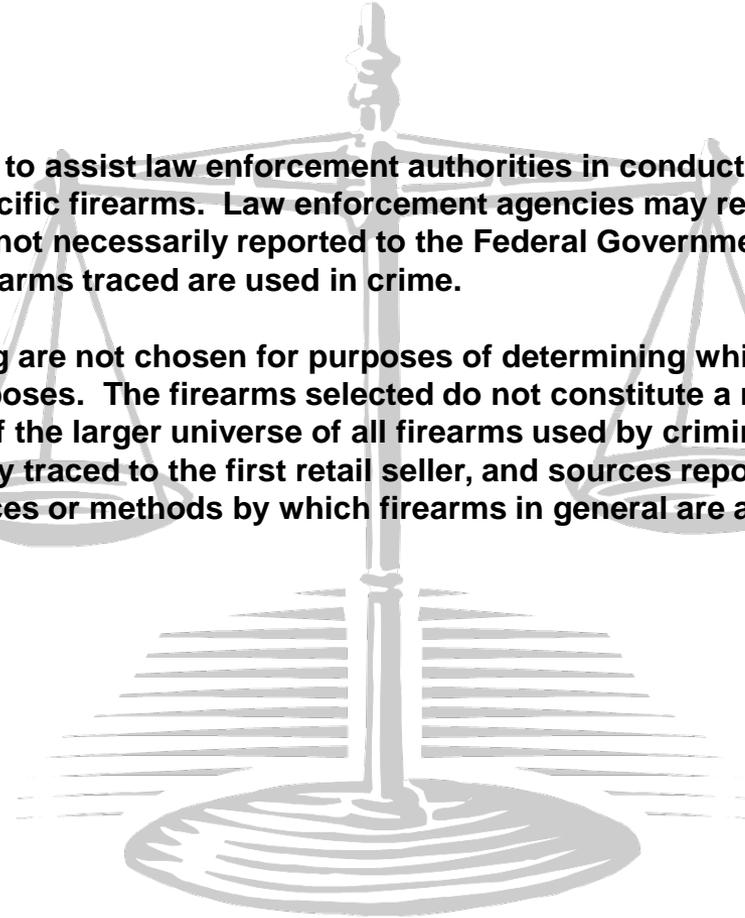
**Calendar Year 2013**  
*(As of March 10, 2014)*



# **ATF Firearms Trace Data Disclaimer**

**(1) Firearm traces are designed to assist law enforcement authorities in conducting investigations by tracking the sale and possession of specific firearms. Law enforcement agencies may request firearms traces for any reason, and those reasons are not necessarily reported to the Federal Government. Not all firearms used in crime are traced and not all firearms traced are used in crime.**

**(2) Firearms selected for tracing are not chosen for purposes of determining which types, makes or models of firearms are used for illicit purposes. The firearms selected do not constitute a random sample and should not be considered representative of the larger universe of all firearms used by criminals, or any subset of that universe. Firearms are normally traced to the first retail seller, and sources reported for firearms traced do not necessarily represent the sources or methods by which firearms in general are acquired for use in crime.**





# Introduction



The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) National Tracing Center (NTC) is the nation's only crime gun tracing facility. As such, the NTC provides critical information that helps domestic and international law enforcement agencies solve firearms crimes, detect firearms trafficking and identify trends with respect to intrastate, interstate and international movement of crime guns. For calendar year (CY) 2008, the NTC traced over 288,000 firearms; CY 2009, over 354,000 firearms; CY 2010, over 285,000 firearms; CY 2011, over 319,000 firearms; CY 2012, over 332,000 firearms; and CY 2013, over 336,000 firearms. Over this time period the NTC has traced firearms for the United States and 123 countries.

Firearms tracing is the systematic tracking of the movement of a firearm from its first sale by a manufacturer or importer through the distribution chain in an attempt to identify the first retail purchaser in order to provide investigative leads for criminal investigations. After the firearm is recovered and the identifiers are forwarded to the NTC, ATF contacts the manufacturer or importer to ascertain the sale or transfer of the firearm. ATF will attempt to contact all ensuing Federal firearms licensees (wholesale/retail) in the distribution chain until a purchaser is identified or the trace process cannot continue due to a lack of accurate or incomplete information on the trace request or in the Federal firearms licensee's records. The success of a trace result, whether domestic or international, relies upon the accuracy of the supplied firearm identifiers. The necessary identifiers for a trace include manufacturer, importer (if applicable), model, caliber and serial number.

The report relates only to firearms recovered in Central America and traced by ATF. The firearm trace results are based upon the supplied firearm identifiers on the Central America trace requests. The Central American trace data in this report is organized by the calendar year in which the respective authorities report the firearm was recovered, not the year in which the trace was initiated. For example, if El Salvadorian authorities recovered a firearm in 2013 but the trace was not initiated until 2014, the data will be organized in the year of recovery, 2013. Organization by recovery date provides valuable investigative leads as well as more specific trend data. Therefore, using this example, if a firearm for which a trace is initiated in later years is determined to have been recovered in 2013, the raw trace numbers for 2013 will increase in subsequent trace data reports. As a result, the trace numbers for each calendar year may fluctuate, necessitating changes to prior years' trace numbers in future reports. If a recovery date is not provided for a firearm, trace data for that firearm is organized by the calendar year in which the trace was entered into the Firearms Tracing System by ATF.



## ***Analytical Criteria Used to Compile the Central America Trace Statistics***

All of the attached trace statistics had the following selection criteria in common:

- Traces with a recovery country in Central America were included.
- Traces with a recovery date between January 1, 2013 and December 31, 2013 were included.
  - If a recovery date was not provided, traces with an entered date between January 1, 2013 and December 31, 2013 were included.
    - In CY 2013, 99.9% of the Central America traces listed a recovery date.
- Duplicate traces were not included. A duplicate is a trace request for the same recovery of a firearm that was previously traced.
- All traces may not have been submitted or completed at the time of this analysis.
- Statistics are based on a query of the Firearms Tracing System on March 10, 2014.

**Firearms Recovered in Central America and Submitted to ATF for Tracing  
Calendar Year 2013**

Country Name	Guatemala			El Salvador			Honduras			Panama			Belize			Costa Rica		
	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category
<b>Category - U.S. Sourced Firearms</b>																		
Manufactured in the United States	498	24.4	29.6	504	46.7	52.1	323	38.3	45.8	167	42.3	51.2	39	42.4	60.9	62	74.7	75.9
Imported into the United States	107	5.2		58	5.4		63	7.5		35	8.9		17	18.5		1	1.2	
<b>Subtotal</b>	<b>605</b>			<b>562</b>			<b>386</b>			<b>202</b>			<b>56</b>			<b>63</b>		
<b>Category - Undetermined Source Country for Firearms</b>																		
Non-U.S. Manufacturer	1,437	70.3	70.4	478	44.3	47.9	446	53.0	54.2	181	45.8	48.8	34	36.9	39.1	13	15.7	24.1
Undetermined Country of Origin	3	0.1		39	3.6		10	1.2		12	3.0		2	2.2		7	8.4	
<b>Subtotal</b>	<b>1,440</b>			<b>517</b>			<b>456</b>			<b>193</b>			<b>36</b>			<b>20</b>		
<b>Total</b>	<b>2,045</b>	<b>100</b>		<b>1,079</b>	<b>100</b>		<b>842</b>	<b>100</b>		<b>395</b>	<b>100</b>		<b>92</b>	<b>100</b>		<b>83</b>	<b>100</b>	

This chart is based upon firearms recovered in Central America in a particular year and submitted to ATF for tracing. In CY 2013, there were no firearms recovered in Nicaragua and submitted to ATF for tracing.

The category "U.S. Sourced Firearms" refers to those firearms that were determined by ATF to be manufactured in the U.S. or legally imported into the U.S. by a Federal firearms licensee.

"Manufactured in the United States" refers to those firearms that were determined by ATF to be manufactured in the U.S. by a Federal firearms licensee (manufacturer).

"Imported into the United States" refers to those firearms that were determined by ATF to be legally imported into the U.S. by a Federal firearms licensee (importer). Foreign manufactured firearms that are legally imported into the U.S. require that the importer name be stamped (impressed) on the firearm (27 C.F.R. Section 478.92). The firearm is traced by ATF through the U.S.-based importer, not the foreign manufacturer.

The category "Undetermined Source Country for Firearms" refers to those firearms in which the trace request did not provide sufficient information in order for ATF to determine a source country.

"Non-U.S. Manufacturer" refers to those firearms in which the trace request indicated a non-U.S. (foreign) manufacturer and a U.S. firearms importer was either not listed (possible omission of the trace requestor) or not required (a foreign manufactured firearm that never legally entered U.S. commerce and therefore would not bear the identifying stamp of a U.S. firearms importer). ATF is unable to determine if these non-U.S. (foreign) manufactured firearms were imported directly into Central America, or if the firearms were legally imported into the U.S. or went to another country and then made their way to Central America by legal or illegal means.

"Undetermined Country of Origin" refers to those firearms in which the trace request was unclear as to the manufacturer, country of origin and the importer. ATF is unable to determine the firearm's manufacturer, or if the firearms were imported directly into Central America, or if the firearms were legally imported into the U.S. or went to another country and then made their way to Central America by legal or illegal means.

"Total" refers to all firearms recovered in Central America in a particular calendar year and submitted to ATF for tracing.

Please note, changes to the trace data will occur in the future as result of the statistics being based upon the recovery date of the firearm.

**U.S. Sourced Firearms Recovered in Central America and Submitted to ATF for Tracing  
Calendar Year 2013**

Country Name	Guatemala		El Salvador		Honduras		Panama		Belize		Costa Rica	
	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces
<b>Category - U.S. Sourced Firearms</b>												
Traced to a Retail Purchaser	133	22.0	76	13.5	108	28.0	32	15.8	20	35.8	22	34.9
Traced to a Foreign Country	243	40.2	284	50.5	84	21.8	99	49.0	18	32.1	22	34.9
Unable to Determine a Purchaser	229	37.8	202	36.0	194	50.2	71	35.2	18	32.1	19	30.2
<b>Total</b>	<b>605</b>	<b>100</b>	<b>562</b>	<b>100</b>	<b>386</b>	<b>100</b>	<b>202</b>	<b>100</b>	<b>56</b>	<b>100</b>	<b>63</b>	<b>100</b>

This chart is based upon the **U.S. sourced firearms** from the page entitled "Firearms Recovered in Central America and Submitted to ATF for Tracing". The total does not include trace numbers from the category "Undetermined Source Country for Firearms".

The category "U.S. Sourced Firearms" refers to those firearms that were determined by ATF to be manufactured in the U.S. or legally imported into the U.S. by a Federal firearms licensee.

"Traced to a Retail Purchaser" refers to those traces in which ATF could determine the first retail purchaser, that is, a sale executed on an ATF Firearms Transaction Record (ATF Form 4473) from a U.S. Federal firearms licensee to a purchaser in the U.S. (As a comparison, for firearms recovered in the U.S. and traced to a retail purchaser, the average percentage for firearms traced for the time period CY 2013 is approximately 67%).

"Traced to a Foreign Country" refers to those firearms that were determined by ATF to be transferred from a U.S. Federal firearms licensee to a foreign government, law enforcement, dealer or entity.

"Unable to Determine a Purchaser" refers to those firearms that were manufactured in / imported into the U.S. but ATF was unable to determine the first retail purchaser through the trace process.

- Common reasons ATF is unable to identify a purchaser:

- Incomplete firearms identifying data on the trace request form
- Incomplete or never received out-of-business Federal firearms licensee records (upon discontinuance of business by a Federal firearms licensee, the records shall be delivered within 30 days to ATF or other Attorney General stipulated location (Title 18 U.S.C. 923(g)(4))
- Altered or obliterated firearm serial numbers
- The firearm is considered to be too old to trace
- Current Federal firearms licensee records are incomplete or missing, or the Federal firearms licensee was unresponsive to ATF request for trace information

Please note, changes to the trace data will occur in the future as result of the statistics being based upon the recovery date of the firearm.

**Types of Firearms Recovered in Central America and Submitted to ATF for Tracing  
Calendar Year 2013**

Country Name	Guatemala		El Salvador		Honduras		Panama		Belize		Costa Rica	
	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces
<b>Category - Types of Firearms</b>												
Pistols	1,376	67.3	407	37.7	466	55.3	252	63.8	59	64.1	28	33.7
Revolvers	384	18.8	368	34.1	255	30.3	116	29.4	22	23.9	10	12.1
Rifles	105	5.1	40	3.7	73	8.7	8	2.0	5	5.5	28	33.7
Shotguns	171	8.4	259	24.0	31	3.7	16	4.0	6	6.5	12	14.5
Other	9	0.4	5	0.5	17	2.0	3	0.8	0	0.0	5	6.0
<b>Total</b>	<b>2,045</b>	<b>100</b>	<b>1,079</b>	<b>100</b>	<b>842</b>	<b>100</b>	<b>395</b>	<b>100</b>	<b>92</b>	<b>100</b>	<b>83</b>	<b>100</b>

This chart is based upon firearms recovered in Central America in a particular year and submitted to ATF for tracing.

The "Other" category can refer to machineguns, destructive devices, derringers, tear gas launchers, combination guns, flare guns, receivers/frames, silencers, any other weapons, and unknown types (to include trace requests that do not annotate a firearm type).

Please note, changes to the trace data will occur in the future as result of the statistics being based upon the recovery date of the firearm.