

APPENDIX N – NFA

Table N-02: Total NFA Applications Received by Submission Method, 2000 – 2020

Year	eForms	eForms % Total	Paper Forms	Paper Forms % Total	Total Applications Received
2000	0	0.0%	41,412	100.0%	41,412
2001	0	0.0%	42,329	100.0%	42,329
2002	0	0.0%	42,437	100.0%	42,437
2003	0	0.0%	44,502	100.0%	44,502
2004	0	0.0%	44,173	100.0%	44,173
2005	0	0.0%	43,838	100.0%	43,838
2006	0	0.0%	58,077	100.0%	58,077
2007	0	0.0%	70,423	100.0%	70,423
2008	0	0.0%	84,264	100.0%	84,264
2009	0	0.0%	89,036	100.0%	89,036
2010	0	0.0%	95,674	100.0%	95,674
2011	0	0.0%	124,199	100.0%	124,199
2012	0	0.0%	165,508	100.0%	165,508
2013	20,207	9.7%	187,846	90.3%	208,053
2014	39,151	16.8%	193,451	83.2%	232,602
2015	41,720	12.7%	285,953	87.3%	327,673
2016	78,766	15.0%	446,817	85.0%	525,583
2017	76,439	28.5%	192,150	71.5%	268,589
2018	138,139	39.4%	212,790	60.6%	350,929
2019	172,050	44.3%	216,015	55.7%	388,065
2020	264,400	48.0%	286,674	52.0%	551,074
Total	830,872	21.9%	2,967,568	78.1%	3,798,440

Table N-03: Total NFA Applications Received by Form Type, 2000 – 2020

Year	Form 1	Form 2	Form 3	Form 4	Form 5	Form 9	Form 10	Form 5320.20	Total
2000	697	4,080	12,146	11,541	8,560	531	1,902	1,955	41,412
2001	2,285	4,651	11,268	10,870	9,532	583	1,443	1,697	42,329
2002	643	4,435	11,225	11,660	8,441	571	3,521	1,941	42,437
2003	756	3,891	11,629	13,665	7,106	595	4,849	2,011	44,502
2004	1,025	4,103	12,296	14,778	5,729	428	3,905	1,909	44,173
2005	1,398	4,302	13,486	14,179	4,966	391	3,071	2,045	43,838
2006	2,445	5,332	17,520	19,167	7,156	395	3,325	2,737	58,077
2007	3,635	6,216	21,949	23,920	10,160	713	1,002	2,828	70,423
2008	4,833	6,799	26,809	30,011	10,008	1,424	1,417	2,963	84,264
2009	5,168	6,781	27,453	32,398	11,638	1,059	970	3,569	89,036
2010	5,291	7,569	31,558	34,243	10,568	1,037	1,453	3,955	95,674
2011	7,070	9,272	43,407	46,743	9,417	948	2,740	4,602	124,199
2012	9,687	10,323	60,995	65,084	9,658	1,271	3,163	5,327	165,508
2013	15,309	10,904	74,123	91,010	9,228	979	653	5,847	208,053
2014	20,438	10,605	83,463	97,925	10,293	1,011	1,244	7,623	232,602
2015	40,479	13,053	113,312	137,222	10,918	1,432	1,595	9,662	327,673
2016	73,911	15,926	172,063	237,567	12,608	1,439	847	11,222	525,583
2017	13,215	12,882	102,474	112,018	12,710	1,786	647	12,857	268,589
2018	17,855	13,643	125,478	164,402	12,668	1,768	355	14,760	350,929
2019	25,109	14,567	135,632	179,973	15,205	1,972	326	15,281	388,065
2020	45,205	15,996	203,527	251,936	14,352	2,571	429	17,058	551,074
Total	296,454	185,330	1,311,813	1,600,312	210,921	22,904	38,857	131,849	3,798,440

Table N-04: Total NFA Applications Processed by Submission Method, 2000 – 2020

Year	eForms	eForms % Total	Paper Forms	Paper Forms % Total	Total
2000	0	0.0%	37,328	100.0%	37,328
2001	0	0.0%	41,101	100.0%	41,101
2002	0	0.0%	39,593	100.0%	39,593
2003	0	0.0%	42,861	100.0%	42,861
2004	0	0.0%	42,586	100.0%	42,586
2005	0	0.0%	45,697	100.0%	45,697
2006	0	0.0%	59,619	100.0%	59,619
2007	0	0.0%	67,944	100.0%	67,944
2008	0	0.0%	79,284	100.0%	79,284
2009	0	0.0%	88,001	100.0%	88,001
2010	0	0.0%	95,068	100.0%	95,068
2011	0	0.0%	108,697	100.0%	108,697
2012	0	0.0%	146,822	100.0%	146,822
2013	9,402	5.8%	152,199	94.2%	161,601
2014	47,757	17.9%	218,462	82.1%	266,219
2015	34,117	10.9%	279,228	89.1%	313,345
2016	65,500	16.3%	336,658	83.7%	402,158
2017	98,907	26.4%	275,959	73.6%	374,866
2018	135,378	42.7%	181,325	57.3%	316,703
2019	172,256	45.1%	209,941	54.9%	382,197
2020	256,453	47.8%	280,459	52.2%	536,912
Total	819,770	22.5%	2,828,832	77.5%	3,648,602

Table N-05: Total NFA Applications Processed by Form Type, 2000 – 2020

Year	Form 1	Form 2	Form 3	Form 4	Form 5	Form 9	Form 10	Form 5320.20	Total
2000	614	3,812	11,028	9,650	8,079	517	1,765	1,863	37,328
2001	2,006	4,506	11,019	10,698	9,243	573	1,483	1,573	41,101
2002	674	4,352	10,878	10,641	8,220	559	2,480	1,789	39,593
2003	712	3,791	11,283	13,080	6,853	577	4,694	1,871	42,861
2004	769	3,725	11,570	14,565	5,372	409	4,411	1,765	42,586
2005	1,449	4,537	14,379	14,497	5,149	403	3,153	2,130	45,697
2006	2,479	5,391	17,469	20,420	7,252	394	3,499	2,715	59,619
2007	3,447	6,103	21,484	22,263	10,162	701	943	2,841	67,944
2008	4,029	6,716	26,325	26,667	9,732	1,436	1,455	2,924	79,284
2009	5,256	6,798	27,390	31,637	11,516	1,008	908	3,488	88,001
2010	5,277	7,481	31,070	34,126	10,570	1,048	1,505	3,991	95,068
2011	5,305	9,213	42,900	33,812	9,383	959	2,605	4,520	108,697
2012	7,823	9,908	57,467	52,478	9,520	1,279	3,217	5,130	146,822
2013	9,246	10,064	70,166	57,282	7,866	884	707	5,386	161,601
2014	26,760	11,467	81,923	124,899	10,910	1,100	1,091	8,069	266,219
2015	32,862	13,154	113,294	129,876	11,323	1,392	1,732	9,712	313,345
2016	50,536	16,295	176,040	133,893	12,245	1,429	890	10,830	402,158
2017	40,141	13,018	108,578	184,258	13,363	1,853	635	13,020	374,866
2018	21,296	13,564	124,130	128,225	12,740	1,765	378	14,605	316,703
2019	27,208	14,561	137,132	170,170	15,272	1,973	312	15,569	382,197
2020	40,782	15,936	199,388	246,787	14,129	2,562	440	16,888	536,912
Total	288,671	184,392	1,304,913	1,469,924	208,899	22,821	38,303	130,679	3,648,602

Table N-06: Total Correctly Submitted NFA Applications Received, Processed, and Approved by Submission Method, 2000 – 2020

Year	Total Correctly Submitted Applications Received	Processed and Approved eForm Applications	Processed and Approved Paper Form Applications	Total Processed and Approved Applications	Processed and Approved Rate
2000	41,332	0	39,712	39,712	96.1%
2001	42,236	0	40,354	40,354	95.5%
2002	42,382	0	40,421	40,421	95.4%
2003	44,320	0	42,264	42,264	95.4%
2004	43,950	0	41,820	41,820	95.2%
2005	43,642	0	42,257	42,257	96.8%
2006	57,896	0	56,688	56,688	97.9%
2007	70,269	0	69,070	69,070	98.3%
2008	83,951	0	81,731	81,731	97.4%
2009	88,905	0	84,165	84,165	94.7%
2010	95,604	0	91,896	91,896	96.1%
2011	124,198	0	120,648	120,648	97.1%
2012	165,504	0	160,395	160,395	96.9%
2013	207,996	18,542	181,104	199,646	96.0%
2014	231,491	34,505	185,517	220,022	95.0%
2015	326,505	32,653	274,414	307,067	94.0%
2016	524,410	70,391	430,549	500,940	95.5%
2017	264,352	70,336	177,322	247,658	93.7%
2018	345,620	129,104	198,400	327,504	94.8%
2019	383,304	161,726	204,135	365,861	95.4%
2020	540,639	241,261	271,839	513,100	94.9%
Total	3,768,506	758,518	2,834,701	3,593,219	95.3%

Table N-09: Pending NFA Applications Received by Form Type 2010 – 2021

Year	Form 1	Form 2	Form 3	Form 4	Form 5	Form 9	Form 10	Form 5320.20	Total
2010	0	0	0	0	0	0	0	0	0
2011	0	0	0	0	0	0	0	0	0
2012	0	0	0	0	1	0	0	0	1
2013	0	4	4	1	1	0	0	1	11
2014	3	34	80	5	49	0	18	76	265
2015	2	28	37	16	53	0	6	6	148
2016	3	11	57	17	20	1	12	11	132
2017	9	23	63	20	55	1	6	52	229
2018	13	14	13	9	19	1	2	30	101
2019	11	0	3	8	10	0	1	17	50
2020	6	1	4	19	3	0	4	8	45
2021	484	11	4	193,786	48	0	11	2	194,346
Total	531	126	265	193,881	259	3	60	203	195,328

Table N-10: NFA Applications Received and Associated Weapons by Form Type, 2016 – 2020

Year / Application Received / Associated Weapons	Form 1	Form 2	Form 3	Form 4	Form 5	Form 9	Form 10	Form 5320.20	Total
2016									
Application Received	73,911	15,926	172,063	237,567	12,608	1,439	847	11,222	525,583
Associated Weapons	73,792	1,013,676	534,937	237,295	146,302	539,158	3,052	22,631	2,570,843
2017									
Application Received	13,215	12,882	102,474	112,018	12,710	1,786	647	12,857	268,589
Associated Weapons	13,164	474,681	314,690	111,985	162,856	221,294	1,666	27,674	1,328,010
2018									
Application Received	17,855	13,643	125,478	164,402	12,668	1,768	355	14,760	350,929
Associated Weapons	17,838	558,432	358,979	164,279	164,810	328,919	1,031	30,195	1,624,483
2019									
Application Received	25,109	14,567	135,632	179,973	15,205	1,972	326	15,281	388,065
Associated Weapons	25,557	823,232	367,085	179,834	236,028	400,265	1,044	31,554	2,064,599
2020									
Application Received	45,205	15,996	203,527	251,936	14,352	2,571	429	17,058	551,074
Associated Weapons	45,197	880,261	637,223	251,851	270,006	365,827	1,723	34,945	2,487,033
Total (2016 - 2020)									
Application Received	175,295	73,014	739,174	945,896	67,543	9,536	2,604	71,178	2,084,240
Associated Weapons	175,548	3,750,282	2,212,914	945,244	980,002	1,855,463	8,516	146,999	10,074,968

Table N-11: Total Number of Weapons Listed on NFA Applications Received by Weapon Type, 2016 – 2020

Year	AOW	Destructive Device	Machinegun	Silencer	SBR	SBS	Total
2016	5,052	1,078,364	229,101	1,100,778	144,651	12,897	2,570,843
2017	4,245	421,716	268,960	525,243	99,392	8,454	1,328,010
2018	3,442	672,924	225,592	613,335	99,519	9,671	1,624,483
2019	7,383	869,767	338,470	745,303	95,234	8,442	2,064,599
2020	10,162	849,106	271,680	1,228,828	116,498	10,759	2,487,033
Total	30,284	3,891,877	1,333,803	4,213,487	555,294	50,223	10,074,968
% Total	0.3%	38.6%	13.2%	41.8%	5.5%	0.5%	100.0%

Table N-12: Total Number of Weapons Listed by Form and Weapon Type, 2016 – 2020

Year / Form Type	AOW	Destructive Device	Machinegun	Silencer	SBR	SBS	Total
2016							
Form 1	91	182	38	7,830	63,660	1,991	73,792
Form 2	1,572	537,696	96,847	346,011	27,680	3,870	1,013,676
Form 3	1,690	9,139	7,280	495,435	19,417	1,976	534,937
Form 4	1,252	357	7,622	215,117	11,828	1,119	237,295
Form 5	137	99,084	21,116	14,682	8,445	2,838	146,302
Form 9	66	431,682	89,333	14,480	3,237	360	539,158
Form 10	2	7	2,698	80	110	155	3,052
Form 5320.20	242	217	4,167	7,143	10,274	588	22,631
Subtotal	5,052	1,078,364	229,101	1,100,778	144,651	12,897	2,570,843
2017							
Form 1	36	86	59	696	11,941	346	13,164
Form 2	1,205	209,928	99,119	131,150	30,219	3,060	474,681
Form 3	1,609	4,947	33,653	256,779	16,314	1,388	314,690
Form 4	836	220	5,313	98,427	6,532	657	111,985
Form 5	182	97,144	32,702	18,386	12,721	1,721	162,856
Form 9	147	109,184	92,264	10,434	8,745	520	221,294
Form 10	19	14	1,320	54	155	104	1,666
Form 5320.20	211	193	4,530	9,317	12,765	658	27,674
Subtotal	4,245	421,716	268,960	525,243	99,392	8,454	1,328,010
2018							
Form 1	45	161	28	1,532	15,461	611	17,838
Form 2	740	323,201	88,392	116,854	26,501	2,744	558,432
Form 3	1,020	22,932	9,405	310,487	13,409	1,726	358,979
Form 4	794	241	5,383	150,184	6,950	727	164,279
Form 5	523	87,196	45,775	14,925	13,640	2,751	164,810
Form 9	67	238,944	71,188	8,334	10,146	240	328,919
Form 10	1	32	807	20	111	60	1,031
Form 5320.20	252	217	4,613	10,999	13,302	812	30,195
Subtotal	3,442	672,924	225,591	613,335	99,520	9,671	1,624,483
2019							
Form 1	58	579	52	5,205	18,882	781	25,557
Form 2	2,964	447,342	166,640	179,542	24,451	2,293	823,232
Form 3	1,416	10,622	11,218	332,229	10,267	1,333	367,085
Form 4	631	225	5,286	167,762	5,291	639	179,834
Form 5	1,215	156,269	31,140	29,851	15,230	2,323	236,028
Form 9	806	254,223	118,810	18,569	7,517	340	400,265
Form 10	8	32	849	24	121	10	1,044
Form 5320.20	285	475	4,475	12,121	13,475	723	31,554
Subtotal	7,383	869,767	338,470	745,303	95,234	8,442	2,064,599
2020							
Form 1	155	573	34	14,702	28,350	1,383	45,197
Form 2	4,098	398,265	121,065	328,473	26,807	1,553	880,261
Form 3	1,401	18,811	10,299	587,875	15,412	3,425	637,223
Form 4	772	220	5,256	237,350	7,010	1,243	251,851
Form 5	2,213	194,097	20,618	36,118	15,190	1,770	270,006
Form 9	1,300	236,784	108,716	9,522	9,235	270	365,827

Year / Form Type	AOW	Destructive Device	Machinegun	Silencer	SBR	SBS	Total
Form 10	4	37	1,160	26	81	415	1,723
Form 5320.20	219	319	4,532	14,762	14,413	700	34,945
Subtotal	10,162	849,106	271,680	1,228,828	116,498	10,759	2,487,033
Total (2016-2020)							
Form 1	385	1,581	211	29,965	138,294	5,112	175,548
Form 2	10,579	1,916,432	572,063	1,102,030	135,658	13,520	3,750,282
Form 3	7,136	66,451	71,855	1,982,805	74,819	9,848	2,212,914
Form 4	4,285	1,263	28,860	868,840	37,611	4,385	945,244
Form 5	4,270	633,790	151,351	113,962	65,226	11,403	980,002
Form 9	2,386	1,270,817	480,311	61,339	38,880	1,730	1,855,463
Form 10	34	122	6,834	204	578	744	8,516
Form 5320.20	1,209	1,421	22,317	54,342	64,229	3,481	146,999
Total	30,284	3,891,877	1,333,802	4,213,487	555,295	50,223	10,074,968

Table N-13: Total Number of SOT Payers by Tax Class and Year, 2016 – 2020

Tax Year	Class 1 - Importers	Class 2 - Manufacturers	Class 3 - Dealers	Total
2016	424	4,543	5,546	10,513
2017	439	5,078	6,252	11,769
2018	438	5,402	6,620	12,460
2019	470	5,716	7,806	13,992
2020	476	6,310	10,537	17,323
Total	2,247	27,049	36,761	66,057

Table N-14: SOT Payers by State and Tax Class, 2020

State	Class 1 - Importers	Class 2 - Manufacturers	Class 3 - Dealers	Total	% Total
Alabama	26	118	272	416	2.6%
Alaska	1	33	112	146	1.1%
Arizona	30	407	276	713	2.6%
Arkansas	15	132	168	315	1.6%
California	14	122	86	222	0.8%
Colorado	6	157	343	506	3.3%
Connecticut	3	88	114	205	1.1%
Delaware	0	0	3	3	0.0%
District of Columbia	1	0	0	1	0.0%
Florida	66	462	595	1,123	5.6%
Georgia	13	203	380	596	3.6%
Hawaii	0	0	1	1	0.0%
Idaho	2	130	155	287	1.5%
Illinois	11	96	37	144	0.4%
Indiana	6	103	310	419	2.9%
Iowa	1	65	226	292	2.1%
Kansas	4	80	268	352	2.5%
Kentucky	18	95	254	367	2.4%
Louisiana	2	85	220	307	2.1%
Maine	3	48	95	146	0.9%
Maryland	8	77	152	237	1.4%
Massachusetts	5	118	27	150	0.3%
Michigan	12	134	300	446	2.8%
Minnesota	13	109	228	350	2.2%
Mississippi	11	77	172	260	1.6%
Missouri	15	147	255	417	2.4%
Montana	4	72	148	224	1.4%
Nebraska	0	33	132	165	1.3%
Nevada	12	173	135	320	1.3%
New Hampshire	6	101	121	228	1.1%
New Jersey	1	7	21	29	0.2%
New Mexico	10	70	133	213	1.3%
New York	4	91	19	114	0.2%
North Carolina	2	231	407	640	3.9%
North Dakota	1	14	119	134	1.1%
Ohio	6	239	379	624	3.6%
Oklahoma	1	138	211	350	2.0%
Oregon	1	110	210	321	2.0%
Pennsylvania	17	210	457	684	4.3%
Rhode Island	1	0	1	2	0.0%
South Carolina	10	109	236	355	2.2%
South Dakota	0	31	131	162	1.2%
Tennessee	6	131	321	458	3.0%
Texas	39	748	1,035	1,822	9.8%
Utah	5	148	151	304	1.4%
Vermont	4	26	71	101	0.7%
Virginia	45	197	363	605	3.4%
Washington	5	140	176	321	1.7%
West Virginia	7	43	140	190	1.3%
Wisconsin	1	115	252	368	2.4%
Wyoming	2	47	119	168	1.1%
Total	476	6,310	10,537	17,323	100.0%