

APPENDIX IR – INDUSTRY REGULATION

Table IR-01: Total IOI Activities by Year and Assignment Type, 2016 – 2020

Assignment Type	2016	% Subtotal	% Annual Total	2017	% Subtotal	% Annual Total	2018	% Subtotal	% Annual Total	2019	% Subtotal	% Annual Total	2020	% Subtotal	% Annual Total	Total (2016-2020)	% Subtotal	% of All (2016-2020)
Qualification - Firearms	11,772	52.9%	43.5%	10,675	48.3%	39.5%	10,276	47.0%	37.8%	9,738	42.4%	35.5%	12,036	68.2%	58.3%	54,497	51.0%	42.1%
Compliance - Firearms	9,574	43.0%	35.4%	10,431	47.2%	38.6%	10,612	48.5%	39.0%	12,789	55.7%	46.6%	5,039	28.5%	24.4%	48,445	45.3%	37.5%
Theft/Loss - Firearms	306	1.4%	1.1%	395	1.8%	1.5%	432	2.0%	1.6%	371	1.6%	1.4%	585	3.3%	2.8%	2,089	2.0%	1.6%
Administrative - Firearms	170	0.8%	0.6%	184	0.8%	0.7%	150	0.7%	0.6%	15	0.1%	0.1%	0	0.0%	0.0%	519	0.5%	0.4%
General - Firearms	427	1.9%	1.6%	404	1.8%	1.5%	400	1.8%	1.5%	44	0.2%	0.2%	0	0.0%	0.0%	1,275	1.2%	1.0%
Subtotal - Firearms	22,249	100.0%	82.3%	22,089	100.0%	81.8%	21,870	100.0%	80.4%	22,957	100.0%	83.7%	17,660	100.0%	85.5%	106,825	100.0%	82.6%
Qualification - Explosives	858	17.9%	3.2%	888	18.1%	3.3%	757	14.2%	2.8%	770	17.2%	2.8%	700	23.5%	3.4%	3,973	17.7%	3.1%
Compliance - Explosives	3,888	81.3%	14.4%	3,990	81.2%	14.8%	4,551	85.3%	16.7%	3,690	82.3%	13.4%	2,266	76.0%	11.0%	18,385	81.7%	14.2%
Theft/Loss - Explosives	18	0.4%	0.1%	24	0.5%	0.1%	21	0.4%	0.1%	22	0.5%	0.1%	17	0.6%	0.1%	102	0.5%	0.1%
Administrative - Explosives	6	0.1%	0.0%	7	0.1%	0.0%	4	0.1%	0.0%	1	0.0%	0.0%	0	0.0%	0.0%	18	0.1%	0.0%
General - Explosives	13	0.3%	0.0%	7	0.1%	0.0%	5	0.1%	0.0%	0	0.0%	0.0%	0	0.0%	0.0%	25	0.1%	0.0%
Subtotal - Explosives	4,783	100.0%	17.7%	4,916	100.0%	18.2%	5,338	100.0%	19.6%	4,483	100.0%	16.3%	2,983	100.0%	14.5%	22,503	100.0%	17.4%
Annual Total	27,032		100.0%	27,005		100.0%	27,208		100.0%	27,440		100.0%	20,643		100.0%	129,328		100.0%

Table IR-02: Total Completed Firearm Assignments by State/Territory, 2016 – 2020 (Excludes Type 03 FFLs)

State or Territory	2016	% Annual Total	2017	% Annual Total	2018	% Annual Total	2019	% Annual Total	2020	% Annual Total	Total	% 2016-2020 Total
(blank)	418	1.9%	401	1.8%	399	1.8%	50	0.2%	8	0.0%	1,276	1.2%
AK	111	0.5%	107	0.5%	79	0.4%	142	0.6%	93	0.5%	532	0.5%
AL	432	2.0%	416	1.9%	383	1.8%	409	1.8%	287	1.6%	1,927	1.8%
AR	329	1.5%	274	1.2%	270	1.2%	209	0.9%	241	1.4%	1,323	1.2%
AZ	727	3.3%	771	3.5%	675	3.1%	788	3.5%	773	4.4%	3,734	3.5%
CA	1,011	4.6%	1,060	4.8%	1,033	4.8%	844	3.7%	600	3.4%	4,548	4.3%
CO	366	1.7%	365	1.7%	433	2.0%	412	1.8%	370	2.1%	1,946	1.8%
CT	105	0.5%	128	0.6%	91	0.4%	109	0.5%	110	0.6%	543	0.5%
DC	1	0.0%	7	0.0%	4	0.0%	6	0.0%	8	0.0%	26	0.0%
DE	50	0.2%	34	0.2%	70	0.3%	57	0.3%	53	0.3%	264	0.2%
FL	1,307	5.9%	1,260	5.7%	1,322	6.1%	1,473	6.5%	1,257	7.2%	6,619	6.2%
GA	825	3.7%	708	3.2%	675	3.1%	556	2.4%	568	3.3%	3,332	3.1%
GU	13	0.1%		0.0%	5	0.0%	1	0.0%	6	0.0%	25	0.0%
HI	51	0.2%	37	0.2%	47	0.2%	28	0.1%	15	0.1%	178	0.2%
IA	235	1.1%	209	1.0%	212	1.0%	325	1.4%	271	1.6%	1,252	1.2%
ID	261	1.2%	229	1.0%	195	0.9%	172	0.8%	187	1.1%	1,044	1.0%
IL	657	3.0%	469	2.1%	500	2.3%	534	2.3%	276	1.6%	2,436	2.3%
IN	467	2.1%	352	1.6%	373	1.7%	380	1.7%	318	1.8%	1,890	1.8%
KS	400	1.8%	517	2.4%	521	2.4%	430	1.9%	300	1.7%	2,168	2.0%
KY	455	2.1%	466	2.1%	490	2.3%	437	1.9%	293	1.7%	2,141	2.0%
LA	424	1.9%	482	2.2%	431	2.0%	309	1.4%	281	1.6%	1,927	1.8%
MA	142	0.6%	152	0.7%	138	0.6%	208	0.9%	112	0.6%	752	0.7%
MD	201	0.9%	300	1.4%	332	1.5%	267	1.2%	180	1.0%	1,280	1.2%
ME	147	0.7%	136	0.6%	155	0.7%	185	0.8%	113	0.6%	736	0.7%
MI	433	2.0%	405	1.8%	376	1.7%	504	2.2%	427	2.5%	2,145	2.0%
MN	294	1.3%	253	1.2%	233	1.1%	259	1.1%	209	1.2%	1,248	1.2%
MO	1,072	4.8%	1,169	5.3%	1,138	5.2%	1,140	5.0%	743	4.3%	5,262	5.0%
MP	5	0.0%	1	0.0%	1	0.0%	3	0.0%	1	0.0%	11	0.0%
MS	321	1.5%	305	1.4%	242	1.1%	212	0.9%	188	1.1%	1,268	1.2%
MT	193	0.9%	223	1.0%	239	1.1%	227	1.0%	147	0.8%	1,029	1.0%
NC	883	4.0%	922	4.2%	861	4.0%	1,093	4.8%	797	4.6%	4,556	4.3%
ND	81	0.4%	73	0.3%	68	0.3%	90	0.4%	84	0.5%	396	0.4%
NE	153	0.7%	135	0.6%	116	0.5%	159	0.7%	162	0.9%	725	0.7%
NH	151	0.7%	147	0.7%	137	0.6%	159	0.7%	103	0.6%	697	0.7%
NJ	217	1.0%	239	1.1%	164	0.8%	204	0.9%	106	0.6%	930	0.9%
NM	166	0.8%	130	0.6%	171	0.8%	320	1.4%	188	1.1%	975	0.9%
NV	179	0.8%	194	0.9%	179	0.8%	178	0.8%	187	1.1%	917	0.9%
NY	410	1.9%	388	1.8%	385	1.8%	401	1.8%	347	2.0%	1,931	1.8%
OH	1,269	5.7%	1,263	5.7%	1,203	5.5%	1,284	5.6%	841	4.8%	5,860	5.5%
OK	404	1.8%	341	1.6%	399	1.8%	430	1.9%	385	2.2%	1,959	1.8%
OR	303	1.4%	279	1.3%	273	1.3%	273	1.2%	302	1.7%	1,430	1.3%
PA	843	3.8%	761	3.5%	665	3.1%	693	3.0%	508	2.9%	3,470	3.3%
PR	38	0.2%	47	0.2%	41	0.2%	44	0.2%	15	0.1%	185	0.2%
RI	24	0.1%	20	0.1%	26	0.1%	13	0.1%	19	0.1%	102	0.1%
SC	276	1.2%	340	1.5%	327	1.5%	268	1.2%	310	1.8%	1,521	1.4%
SD	69	0.3%	119	0.5%	110	0.5%	112	0.5%	99	0.6%	509	0.5%
TN	499	2.3%	598	2.7%	530	2.4%	600	2.6%	387	2.2%	2,614	2.5%
TX	2,821	12.7%	2,939	13.4%	3,083	14.2%	3,839	16.9%	2,554	14.7%	15,236	14.4%
UT	253	1.1%	225	1.0%	242	1.1%	337	1.5%	281	1.6%	1,338	1.3%
VA	539	2.4%	599	2.7%	721	3.3%	671	2.9%	489	2.8%	3,019	2.8%
VI	1	0.0%		0.0%	3	0.0%	8	0.0%	3	0.0%	15	0.0%

State or Territory	2016	% Annual Total	2017	% Annual Total	2018	% Annual Total	2019	% Annual Total	2020	% Annual Total	Total	% 2016 - 2020 Total
VT	57	0.3%	47	0.2%	55	0.3%	53	0.2%	63	0.4%	275	0.3%
WA	334	1.5%	295	1.3%	304	1.4%	271	1.2%	263	1.5%	1,467	1.4%
WI	371	1.7%	287	1.3%	262	1.2%	212	0.9%	205	1.2%	1,337	1.3%
WV	234	1.1%	251	1.1%	212	1.0%	275	1.2%	196	1.1%	1,168	1.1%
WY	105	0.5%	114	0.5%	145	0.7%	112	0.5%	94	0.5%	570	0.5%
Total	22,133	100.0%	21,989	100.0%	21,744	100.0%	22,775	100.0%	17,423	100.0%	106,064	100.0%

Table IR-03: Total Completed Firearm Assignments by Reported Business Premises, 2016 – 2020

Premises Type	2016	% Annual Total	2017	% Annual Total	2018	% Annual Total	2019	% Annual Total	2020	% Annual Total	Total (2016- 2020)	% 2016-2020 Total
Not Reported	3,818	17.2%	3,679	16.7%	3,782	17.3%	3,657	15.9%	1,346	7.6%	16,282	15.2%
Condo / Apartment	177	0.8%	150	0.7%	162	0.7%	174	0.8%	231	1.3%	894	0.8%
Military Base	24	0.1%	27	0.1%	38	0.2%	37	0.2%	22	0.1%	148	0.1%
Office	2,032	9.1%	2,056	9.3%	1,737	7.9%	1,756	7.6%	1,497	8.5%	9,078	8.5%
Other	1,370	6.2%	1,395	6.3%	1,258	5.8%	1,357	5.9%	1,219	6.9%	6,599	6.2%
Public Housing	5	0.0%	2	0.0%	3	0.0%	8	0.0%	2	0.0%	20	0.0%
Rod and Gun Club	52	0.2%	58	0.3%	70	0.3%	59	0.3%	58	0.3%	297	0.3%
Single Family Dwelling	8,110	36.5%	7,860	35.6%	7,928	36.3%	8,674	37.8%	8,866	50.2%	41,438	38.8%
Store Front	6,661	29.9%	6,862	31.1%	6,892	31.5%	7,235	31.5%	4,419	25.0%	32,069	30.0%
Total	22,249	100.0%	22,089	100.0%	21,870	100.0%	22,957	100.0%	17,660	100.0%	106,825	100.0%

Table IR-06: Percentage of FFLs with Compliance Inspections by State/Territory, 2019 (Excludes Type 03 FFLs)

State or Territory	# of FFLs	# of FFLs Inspected	% of FFLs Inspected
AK	735	76	10.3%
AL	1,688	190	11.3%
AR	1,726	87	5.0%
AZ	2,606	486	18.6%
CA	3,170	497	15.7%
CO	2,396	171	7.1%
CT	713	46	6.5%
DC	8	0	0.0%
DE	148	41	27.7%
FL	4,588	749	16.3%
GA	2,604	204	7.8%
GU	21	0	0.0%
HI	122	19	15.6%
IA	1,630	187	11.5%
ID	1,333	41	3.1%
IL	2,651	268	10.1%
IN	1,906	100	5.2%
KS	1,457	268	18.4%
KY	1,866	272	14.6%
LA	1,594	136	8.5%
MA	677	126	18.6%
MD	799	155	19.4%
ME	680	111	16.3%
MI	2,730	263	9.6%
MN	1,798	85	4.7%
MO	2,913	853	29.3%
MP	3	2	66.7%
MS	1,251	61	4.9%
MT	1,367	128	9.4%
NC	3,240	679	21.0%
ND	558	22	3.9%
NE	909	77	8.5%
NH	662	94	14.2%
NJ	423	147	34.8%
NM	829	244	29.4%
NV	881	27	3.1%
NY	2,226	172	7.7%
OH	3,272	887	27.1%
OK	2,001	230	11.5%
OR	1,752	109	6.2%
PA	3,323	371	11.2%
PR	71	33	46.5%
RI	129	7	5.4%
SC	1,483	101	6.8%
SD	686	45	6.6%
TN	2,094	362	17.3%
TX	8,917	2,635	29.6%
UT	1,375	151	11.0%
VA	2,281	407	17.8%
VI	13	4	30.8%
VT	396	22	5.6%
WA	1,646	98	6.0%

State or Territory	# of FFLs	# of FFLs Inspected	# of FFLs Inspected
WI	1,969	40	2.0%
WV	1,172	153	13.1%
WY	814	38	4.7%
Unknown		5	
Total	88,302	12,782	14.5%

**Table IR-09: All Violations Cited in Completed Compliance Inspections with Skewed Violations Included, 2016
– 2020**

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.123(a)	5,652,283	7,057,040	491,270	1,675,769	7,314	14,883,676	22.0%
27 CFR 478.92(a)(1)(ii)(D)	5,400,709	5,001,081	475	64,417	774	10,467,456	15.5%
27 CFR 478.92(a)(1)(i)	5,402,950	5,001,005	22,369	32,213	56	10,458,593	15.5%
27 CFR 479.102(a)	5,400,038	13	1,608	6		5,401,665	8.0%
27 CFR 479.102(a)(2)	5,400,090	235	26	20	22	5,400,393	8.0%
27 CFR 478.92(a)(1)(ii)(C)	3,779	5,001,142	2,687	50,251	746	5,058,605	7.5%
27 CFR 478.92(a)(1)(ii)(A)	2	5,000,203	34,060	39	3	5,034,307	7.4%
27 CFR 478.92(a)(1)(ii)(B)	275	5,000,037	2,635	71	15	5,003,033	7.4%
27 CFR 478.123(b)	95,995	761,256	249,598	1,019,137	5,538	2,131,524	3.1%
27 CFR 478.121(c)	1,330,949	121	1,524	144	116	1,332,854	2.0%
27 CFR 478.125(e)	117,088	114,109	136,991	87,503	26,662	482,353	0.7%
27 CFR 478.122(a)	36,605	1,594	434,736	848	44	473,827	0.7%
27 CFR 478.92(a)(1)	308,301	2,305	1,233	36,842	46	348,727	0.5%
27 CFR 478.92(a)(1)(ii)	1,788	279	13,983	261,946	27	278,023	0.4%
27 CFR 478.21(a)	33,057	27,779	35,285	34,738	12,208	143,067	0.2%
27 CFR 478.124(c)(1)	21,371	24,501	27,750	40,946	18,367	132,935	0.2%
27 CFR 479.103	151	92,167	1,693	472	15	94,498	0.1%
27 CFR 478.41(b)	22	76,512	103	63	67	76,767	0.1%
27 CFR 478.124(c)(3)(iv)	15,953	14,722	15,821	16,529	6,360	69,385	0.1%
27 CFR 478.124(c)(5)	12,656	12,633	14,893	13,867	5,101	59,150	0.1%
27 CFR 478.123(d)	9,671	15,993	8,841	9,275	3,222	47,002	0.1%
27 CFR 478.124(c)(3)(i)	10,298	9,575	11,678	9,081	3,711	44,343	0.1%
27 CFR 478.92(a)(2)	119	55	377	32,110	122	32,783	0.0%
27 CFR 478.124(c)(4)	3,996	5,225	5,477	10,052	4,185	28,935	0.0%
27 CFR 478.126a	5,819	6,035	6,911	6,609	3,334	28,708	0.0%
27 CFR 478.124(b)	2,467	5,661	9,308	866	1,290	19,592	0.0%
27 CFR 555.123(d)	8	21	18	15,986		16,033	0.0%
27 CFR 478.102(a)	2,774	2,463	3,091	1,959	815	11,102	0.0%
27 CFR 478.122(b)	8,811	391	1,188	111	30	10,531	0.0%
27 CFR 478.124(a)	2,630	2,893	2,316	1,673	665	10,177	0.0%
27 CFR 478.41(a)	9,616	63	70	25	108	9,882	0.0%
27 CFR 555.123(a)	9,181	75		4		9,260	0.0%
27 CFR 555.123(b)	9,176					9,176	0.0%
27 CFR 479.31(a)	6,682	6	3	12	19	6,722	0.0%
27 CFR 478.129(b)	847	2,385	1,276	963	558	6,029	0.0%
27 CFR 478.50	1,573	1,802	1,265	418	78	5,136	0.0%
27 CFR 478.113			3,735	2		3,737	0.0%
27 CFR 478.125(h)	3,427	148	5	5		3,585	0.0%
27 CFR 478.131(a)(2)	607	774	856	1,052	273	3,562	0.0%
27 CFR 478.103(a)	686	2,541	23	184	22	3,456	0.0%
27 CFR 478.92(a)(1)(ii)(E)	37	240	3,109			3,386	0.0%
27 CFR 478.128(c)	393	1,767	329	748	146	3,383	0.0%
27 CFR 478.121(a)	855	270	1,810	37	25	2,997	0.0%
27 CFR 479.131	228	216	875	182	1,240	2,741	0.0%
27 CFR 478.36	2	26	1,974	51	253	2,306	0.0%
27 CFR 478.102(a)(1)	995	519	329	162	62	2,067	0.0%
27 CFR 478.126(a)	104	872	789	156	8	1,929	0.0%
27 CFR 478.122(d)	916	483	231	25	3	1,658	0.0%
27 CFR 478.58	85	50	432	825	98	1,490	0.0%
27 CFR 478.123(c)	23	119	1,287	12		1,441	0.0%
27 CFR 478.99(a)	267	361	409	224	151	1,412	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.99(b)(2)	47	411	408	405	111	1,382	0.0%
27 CFR 478.102(c)	357	242	254	303	155	1,311	0.0%
27 CFR 478.99(c)	607	243	105	163	82	1,200	0.0%
27 CFR 479.88(b)	92	196	227	52	549	1,116	0.0%
27 CFR 478.100(a)(1)	328	167	132	232	135	994	0.0%
27 CFR 478.93	4	920				924	0.0%
27 CFR 478.103(c)	10	4	770	1	1	786	0.0%
27 CFR 478.22(a)	54	233	444	36		767	0.0%
27 CFR 478.102(a)(2)(ii)	131	268	175	126	64	764	0.0%
27 CFR 479.86		3	41	14	646	704	0.0%
27 CFR 478.112(d)(2)	600		60	1	1	662	0.0%
27 CFR 478.34	16	161	228	27	216	648	0.0%
27 CFR 478.102(d)(1)	1	24	26	402	175	628	0.0%
27 CFR 555.124(a)	1	555	13			569	0.0%
27 CFR 478.39a	59	153	142	110	32	496	0.0%
27 CFR 478.112(d)(1)	34	137	184	73	60	488	0.0%
27 CFR 478.39(a)	76	191	200			467	0.0%
27 CFR 478.100(c)	233	10	24	77	95	439	0.0%
27 CFR 478.103(d)	49	293	27	37	15	421	0.0%
18 U.S.C. 923(g)(5)(A)			14	243	156	413	0.0%
27 CFR 478.124(c)(3)(iii)	68	79	82	111	32	372	0.0%
27 CFR 478.102(b)	67	26	20	127	64	304	0.0%
27 CFR 478.125(f)	211	13	23			247	0.0%
27 CFR 478.92(a)(4)(iii)				1	241	242	0.0%
27 CFR 478.128(b)	4	11	2	4	220	241	0.0%
27 CFR 478.32(a)(3)	1		232	1		234	0.0%
27 CFR 478.99(b)	26	99	60	22	23	230	0.0%
27 CFR 479.105(a)	3	6	204	1		214	0.0%
27 CFR 478.129(d)	4	129	58	1		192	0.0%
27 CFR 478.124(c)(3)	29	108	47			184	0.0%
27 CFR 479.84	8	77	51	17	25	178	0.0%
27 CFR 478.125a	8	103	40	26	1	178	0.0%
27 CFR 478.125a(a)(4)	36	11	79	45	1	172	0.0%
27 CFR 478.22(a)(3)	9	5	143	1		158	0.0%
27 CFR 555.124(c)(5)	34	109	1		11	155	0.0%
27 CFR 478.134(a)		101	26	20	4	151	0.0%
27 CFR 479.101(e)		3	16	28	91	138	0.0%
27 CFR 479.101(b)		5	14	109		128	0.0%
27 CFR 478.54	22	32	27	37	7	125	0.0%
27 CFR 478.102(a)(2)(i)	9	1	13	85	10	118	0.0%
27 CFR 479.21(a)	20	20	48	23	6	117	0.0%
27 CFR 478.52(a)	26	26	23	20	12	107	0.0%
27 CFR 478.25a	14	9	10	48	21	102	0.0%
27 CFR 478.112(a)	3	99				102	0.0%
27 CFR 478.124a	3	79	13	1	3	99	0.0%
27 CFR 478.51	6	9	77	3	3	98	0.0%
27 CFR 478.94	2	63	30	2		97	0.0%
27 CFR 478.125(a)	50	21	8	1		80	0.0%
27 CFR 478.124(c)(1)	4		70	5		79	0.0%
27 CFR 478.102(a)(3)	4	1	23	18	31	77	0.0%
27 CFR 478.99(c)(9)	55	6	9	6		76	0.0%
27 CFR 478.23(b)	8	46	13	5	4	76	0.0%
27 CFR 478.99(c)(5)	52	9	3	2		66	0.0%
27 CFR 478.124(e)	52	12				64	0.0%
27 CFR 479.101(b)				23	41	64	0.0%
27 CFR 478.129(e)	16	3	3	26	14	62	0.0%
27 CFR 478.99(c)(1)	40	11	5	3	1	60	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 555.124(c)(1)	15	14	27	3		59	0.0%
27 CFR 479.62	1	1		55		57	0.0%
27 CFR 447.45(b)			49			49	0.0%
27 CFR 478.92(c)(1)(ii)(A)			48			48	0.0%
27 CFR 478.129(c)	1	4	25	12	5	47	0.0%
27 CFR 478.124(a)(3)(iii)	3		43			46	0.0%
27 CFR 479.102(a)(1)		5	13	1	20	39	0.0%
27 CFR 478.124(c)(3)(ii)		2	36			38	0.0%
27 CFR 478.124(g)	35		1		2	38	0.0%
27 CFR 447.34(b)		37				37	0.0%
27 CFR 478.121(b)	3	1	27	4	2	37	0.0%
27 CFR 447.45(a)(2)(b)		37				37	0.0%
27 CFR 478.102(e)			1	19	15	35	0.0%
27 CFR 479.114	5	1	28			34	0.0%
27 CFR 478.132(a)(2)	7	14	10			31	0.0%
27 CFR 478.91	5	4	5	13	2	29	0.0%
27 CFR 478.103(b)	9		20			29	0.0%
27 CFR 478.124(c)(2)		29				29	0.0%
28 CFR 25.6(a)	9	6	11	2		28	0.0%
27 CFR 478.53	4	3	9	7	4	27	0.0%
27 CFR 478.125(g)	10		16			26	0.0%
27 CFR 478.96(c)(1)	19	7				26	0.0%
27 CFR 478.22(c)		22	3			25	0.0%
27 CFR 555.126(a)	24					24	0.0%
27 CFR 478.128(a)	6	5	3	4	5	23	0.0%
27 CFR 478.112(c)(2)(ii)		23				23	0.0%
27 CFR 478.125(i)	3	18				21	0.0%
27 CFR 555.124(b)	1		17			18	0.0%
18 U.S.C. 922(t)				10	8	18	0.0%
27 CFR 478.112(c)(2)				1	17	18	0.0%
27 CFR 479.112(a)	2	5	9			16	0.0%
27 CFR 478.102(d)(1)(i)		5	10			15	0.0%
27 CFR 478.99(c)(7)	8	1	3	3		15	0.0%
27 CFR 478.99(c)(6)	11	1	1	2		15	0.0%
27 CFR 479.26		14				14	0.0%
18 U.S.C. 922(m)				2	12	14	0.0%
27 CFR 478.127	5	3	3	2	1	14	0.0%
27 CFR 555.21(a)	13					13	0.0%
27 CFR 555.124(c)(4)	3	1	6	3		13	0.0%
27 CFR 478.57(a)	6	3	1	2	1	13	0.0%
27 CFR 479.48	2	9	1	1		13	0.0%
27 CFR 478.44(a)	1		8		3	12	0.0%
27 CFR 478.99(c)(2)	7	3		2		12	0.0%
27 CFR 555.102(a)			11			11	0.0%
27 CFR 478.99(a)(1)	3	4	4			11	0.0%
28 CFR 25.11(b)(2)			11			11	0.0%
27 CFR 478.112(c)(1)	2		9			11	0.0%
27 CFR 478.96(c)(1)(iv)	4	1	3	2	1	11	0.0%
27 CFR 479.105(f).			11			11	0.0%
27 CFR 478.99(b)(1)	3	2	6			11	0.0%
18 U.S.C. 2(a) & 18 U.S.C. 922(g)				5	6	11	0.0%
27 CFR 479.141	4		1	4	2	11	0.0%
27 CFR 479.88(A)				10		10	0.0%
27 CFR 479.142				10		10	0.0%
Unknown				7	3	10	0.0%
27 CFR 479.90(b)	4	1		1	3	9	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
26 USC 5861(d)					9	9	0.0%
27 CFR 479.61		3	6			9	0.0%
27 CFR 555.29		6	2			8	0.0%
27 CFR 478.99(c)(3)	3	1	1	3		8	0.0%
27 CFR 555.124(c)	8					8	0.0%
18 U.S.C. 922(z)				4	4	8	0.0%
18 U.S.C. 924(a)(3)				2	6	8	0.0%
27 CFR 478.102(d)(1)(ii)		1	7			8	0.0%
27 CFR 478.99(c)(4)	2	2	1	2		7	0.0%
27 CFR 555.26(a)		6	1			7	0.0%
27 CFR 479.49	7					7	0.0%
27 CFR 447.62		7				7	0.0%
27 CFR 555.201(f)		6				6	0.0%
27 CFR 447.41(a)			6			6	0.0%
27 CFR 478.99(c)(8)	3	1		2		6	0.0%
27 CFR 478.125(c)				5	1	6	0.0%
27 CFR 478.32(a)(1)	4		2			6	0.0%
27 CFR 478.36(a)		5				5	0.0%
27 CFR 478.134(c)	1	3				4	0.0%
27 CFR 478.96(c)	4					4	0.0%
27 CFR 478.112(c)(2)(iv)		4				4	0.0%
27 CFR 478.122(c)	1	1	1			3	0.0%
27 CFR 478.23(a)(2)	1			2		3	0.0%
27 CFR 479.102(f)(1)			2	1		3	0.0%
27 CFR 479.33(b)		2				2	0.0%
27 CFR 478.96(b)			1	1		2	0.0%
27 CFR 479.46		1	1			2	0.0%
27 CFR 478.92(c)		2				2	0.0%
18 U.S.C. Â§ 2 & 18 U.S.C. Â§ 922(a)(1)(A)					2	2	0.0%
27 CFR 479.83		2				2	0.0%
27 CFR 479.44			2			2	0.0%
27 CFR 478.33a		2				2	0.0%
27 CFR 478.33			2			2	0.0%
27 CFR 478.47(b)(5)	1					1	0.0%
27 CFR 478.124(h)			1			1	0.0%
28 CFR 25.11(a)			1			1	0.0%
27 CFR 479.88(c)				1		1	0.0%
27 CFR 478.32(c)			1			1	0.0%
27 CFR 479.89			1			1	0.0%
27 CFR 478.32(d)(1)		1				1	0.0%
27 CFR 479.118		1				1	0.0%
27 CFR 478.92(b)(1)					1	1	0.0%
27 CFR 478.124(c)(3)(i)				1		1	0.0%
27 CFR 555.124(c)(3)				1		1	0.0%
18 U.S.C. 923(a)(3)(A)				1		1	0.0%
27 CFR 478.47(b)(4)			1			1	0.0%
27 CFR 478.124(d)		1				1	0.0%
27 CFR 478.47(b)(6)(ii)	1					1	0.0%
27 CFR 478.37			1			1	0.0%
27 CFR 478.50(a)		1				1	0.0%
27 CFR 478.28				1		1	0.0%
27 CFR 478.103(e)		1				1	0.0%
27 CFR 478.112(c)			1			1	0.0%
27 CFR 478.124(f)	1					1	0.0%
27 CFR 478.32(a)(9)	1					1	0.0%
27 CFR 479.102	0					0	0.0%
Total	29,330,224	33,255,652	1,562,231	3,431,968	107,393	67,687,468	100.0%

Table IR-10: All Violations Cited in Completed Compliance Inspections with Skewed Violations Excluded, 2016 – 2020

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.125(e)	117,088	114,109	136,991	87,503	26,662	482,353	19.9%
27 CFR 478.123(a)	60,291	65,971	164,248	122,946	7,314	420,770	17.4%
27 CFR 478.123(b)	41,190	65,533	97,598	59,428	5,538	269,287	11.1%
27 CFR 478.21(a)	33,057	27,769	35,285	34,738	12,208	143,057	5.9%
27 CFR 478.124(c)(1)	21,371	24,495	27,750	40,946	18,367	132,929	5.5%
27 CFR 479.103	151	91,877	1,365	472	15	93,880	3.9%
27 CFR 478.41(b)	22	76,512	103	63	67	76,767	3.2%
27 CFR 478.124(c)(3)(iv)	15,953	14,722	15,821	16,529	6,360	69,385	2.9%
27 CFR 478.92(a)(1)(ii)(D)	709	1,081	475	64,417	774	67,456	2.8%
27 CFR 478.124(c)(5)	12,656	12,632	14,893	13,867	5,101	59,149	2.4%
27 CFR 478.92(a)(1)(ii)(C)	3,779	1,142	711	50,251	746	56,629	2.3%
27 CFR 478.92(a)(1)(i)	2,950	1,005	19,345	32,213	56	55,569	2.3%
27 CFR 478.92(a)(1)	7,912	2,305	633	36,842	46	47,738	2.0%
27 CFR 478.123(d)	9,671	13,649	8,841	9,275	3,222	44,658	1.8%
27 CFR 478.124(c)(3)(i)	10,298	9,573	11,678	9,081	3,711	44,341	1.8%
27 CFR 478.122(a)	36,605	1,594	1,055	848	44	40,146	1.7%
27 CFR 478.92(a)(2)	119	55	177	32,110	122	32,583	1.3%
27 CFR 478.92(a)(1)(ii)(A)	2	203	32,019	39	3	32,266	1.3%
27 CFR 478.124(c)(4)	3,996	5,217	5,477	10,052	4,185	28,927	1.2%
27 CFR 478.126a	5,819	6,035	6,911	6,609	3,334	28,708	1.2%
27 CFR 478.124(b)	2,467	5,661	9,308	866	1,290	19,592	0.8%
27 CFR 478.92(a)(1)(ii)	1,788	279	13,983	25	27	16,102	0.7%
27 CFR 555.123(d)	8	21	18	15,986		16,033	0.7%
27 CFR 478.102(a)	2,774	2,463	3,091	1,959	815	11,102	0.5%
27 CFR 478.122(b)	8,811	391	1,188	111	30	10,531	0.4%
27 CFR 478.124(a)	2,630	2,893	2,316	1,673	665	10,177	0.4%
27 CFR 478.41(a)	9,616	63	70	25	108	9,882	0.4%
27 CFR 555.123(a)	9,181	75		4		9,260	0.4%
27 CFR 555.123(b)	9,176					9,176	0.4%
27 CFR 479.31(a)	6,682	6	3	12	19	6,722	0.3%
27 CFR 478.129(b)	847	2,385	1,276	963	558	6,029	0.2%
27 CFR 478.50	1,573	1,802	1,265	418	78	5,136	0.2%
27 CFR 478.113			3,735	2		3,737	0.2%
27 CFR 478.125(h)	3,427	148	5	5		3,585	0.1%
27 CFR 478.131(a)(2)	607	774	856	1,052	273	3,562	0.1%
27 CFR 478.103(a)	686	2,541	23	184	22	3,456	0.1%
27 CFR 478.128(c)	393	1,767	329	748	146	3,383	0.1%
27 CFR 478.121(a)	855	270	1,810	37	25	2,997	0.1%
27 CFR 478.121(c)	907	121	1,524	144	116	2,812	0.1%
27 CFR 479.131	228	216	875	182	1,240	2,741	0.1%
27 CFR 478.102(a)(1)	995	519	329	162	62	2,067	0.1%
27 CFR 478.36	2	26	1,646	51	253	1,978	0.1%
27 CFR 478.126(a)	104	872	789	156	8	1,929	0.1%
27 CFR 479.102(a)	38	13	1608	6		1,665	0.1%
27 CFR 478.122(d)	916	483	231	25	3	1,658	0.1%
27 CFR 478.58	85	50	432	825	98	1,490	0.1%
27 CFR 478.123(c)	23	119	1,287	12		1,441	0.1%
27 CFR 478.99(a)	267	361	409	224	151	1,412	0.1%
27 CFR 478.92(a)(1)(ii)(E)	37	240	1,120			1,397	0.1%
27 CFR 478.99(b)(2)	47	411	408	405	111	1,382	0.1%
27 CFR 478.102(c)	357	242	254	303	155	1,311	0.1%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.99(c)	607	243	105	163	82	1,200	0.0%
27 CFR 479.88(b)	92	196	227	48	549	1,112	0.0%
27 CFR 478.92(a)(1)(ii)(B)	275	37	645	71	15	1,043	0.0%
27 CFR 478.100(a)(1)	328	167	132	232	135	994	0.0%
27 CFR 478.93	4	920				924	0.0%
27 CFR 478.103(c)	10	4	770	1	1	786	0.0%
27 CFR 478.22(a)	54	233	444	36		767	0.0%
27 CFR 478.102(a)(2)(ii)	131	268	175	126	64	764	0.0%
27 CFR 479.86		3	41	14	646	704	0.0%
27 CFR 478.112(d)(2)	600		60	1	1	662	0.0%
27 CFR 478.34	16	161	228	27	216	648	0.0%
27 CFR 478.102(d)(1)	1	24	26	402	175	628	0.0%
27 CFR 555.124(a)	1	555	13			569	0.0%
27 CFR 478.39a	59	153	142	110	32	496	0.0%
27 CFR 478.112(d)(1)	34	137	184	73	60	488	0.0%
27 CFR 478.39(a)	76	191	200			467	0.0%
27 CFR 478.100(c)	233	10	24	77	95	439	0.0%
27 CFR 478.103(d)	49	293	27	37	15	421	0.0%
18 U.S.C. 923(g)(5)(A)			14	243	156	413	0.0%
27 CFR 479.102(a)(2)	90	235	26	20	22	393	0.0%
27 CFR 478.124(c)(3)(iii)	68	79	82	111	32	372	0.0%
27 CFR 478.102(b)	67	26	20	127	64	304	0.0%
27 CFR 478.125(f).	211	13	23			247	0.0%
27 CFR 478.92(a)(4)(iii)				1	241	242	0.0%
27 CFR 478.128(b)	4	11	2	4	220	241	0.0%
27 CFR 478.32(a)(3)	1		232	1		234	0.0%
27 CFR 478.99(b)	26	99	60	22	23	230	0.0%
27 CFR 479.105(a)	3	6	204	1		214	0.0%
27 CFR 478.129(d)	4	129	58	1		192	0.0%
27 CFR 478.124(c)(3)	29	108	47			184	0.0%
27 CFR 479.84	8	77	51	17	25	178	0.0%
27 CFR 478.125a	8	103	40	26	1	178	0.0%
27 CFR 478.125a(a)(4)	36	11	79	45	1	172	0.0%
27 CFR 478.22(a)(3)	9	5	143	1		158	0.0%
27 CFR 555.124(c)(5)	34	109	1		11	155	0.0%
27 CFR 478.134(a)		101	26	20	4	151	0.0%
27 CFR 479.101(e)		3	16	28	91	138	0.0%
27 CFR 479.101(b).		5	14	109		128	0.0%
27 CFR 478.54	22	32	27	37	7	125	0.0%
27 CFR 478.102(a)(2)(i)	9	1	13	85	10	118	0.0%
27 CFR 479.21(a)	20	20	48	23	6	117	0.0%
27 CFR 478.52(a)	26	26	23	20	12	107	0.0%
27 CFR 478.25a	14	9	10	48	21	102	0.0%
27 CFR 478.112(a)	3	99				102	0.0%
27 CFR 478.124a	3	79	13	1	3	99	0.0%
27 CFR 478.51	6	9	77	3	3	98	0.0%
27 CFR 478.94	2	63	30	2		97	0.0%
27 CFR 478.125(a)	50	21	8	1		80	0.0%
27 CFR 478.124(c)(1)	4		70	5		79	0.0%
27 CFR 478.102(a)(3)	4	1	23	18	31	77	0.0%
27 CFR 478.99(c)(9)	55	6	9	6		76	0.0%
27 CFR 478.23(b)	8	46	13	5	4	76	0.0%
27 CFR 478.99(c)(5)	52	9	3	2		66	0.0%
27 CFR 478.124(e)	52	12				64	0.0%
27 CFR 479.101(b)				23	41	64	0.0%
27 CFR 478.129(e)	16	3	3	26	14	62	0.0%
27 CFR 478.99(c)(1)	40	11	5	3	1	60	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 555.124(c)(1)	15	14	27	3		59	0.0%
27 CFR 479.62	1	1		55		57	0.0%
27 CFR 447.45(b)			49			49	0.0%
27 CFR 478.92(c)(1)(ii)(A)			48			48	0.0%
27 CFR 478.129(c)	1	4	25	12	5	47	0.0%
27 CFR 478.124(a)(3)(iii)	3		43			46	0.0%
27 CFR 479.102(a)(1)		5	13	1	20	39	0.0%
27 CFR 478.124(c)(3)(ii)		2	36			38	0.0%
27 CFR 478.124(g)	35		1		2	38	0.0%
27 CFR 447.34(b)		37				37	0.0%
27 CFR 478.121(b)	3	1	27	4	2	37	0.0%
27 CFR 447.45(a)(2)(b)		37				37	0.0%
27 CFR 478.102(e)			1	19	15	35	0.0%
27 CFR 479.114	5	1	28			34	0.0%
27 CFR 478.132(a)(2)	7	14	10			31	0.0%
27 CFR 478.91	5	4	5	13	2	29	0.0%
27 CFR 478.103(b)	9		20			29	0.0%
27 CFR 478.124(c)(2)		29				29	0.0%
28 CFR 25.6(a)	9	6	11	2		28	0.0%
27 CFR 478.53	4	3	9	7	4	27	0.0%
27 CFR 478.125(g)	10		16			26	0.0%
27 CFR 478.96(c)(1)	19	7				26	0.0%
27 CFR 478.22(c)		22	3			25	0.0%
27 CFR 555.126(a)	24					24	0.0%
27 CFR 478.128(a)	6	5	3	4	5	23	0.0%
27 CFR 478.112(c)(2)(ii)		23				23	0.0%
27 CFR 478.125(i)	3	18				21	0.0%
27 CFR 555.124(b)	1		17			18	0.0%
18 U.S.C. 922(t)				10	8	18	0.0%
27 CFR 478.112(c)(2)				1	17	18	0.0%
27 CFR 479.112(a)	2	5	9			16	0.0%
27 CFR 478.99(c)(7)	8	1	3	3		15	0.0%
27 CFR 478.102(d)(1)(i)		5	10			15	0.0%
27 CFR 478.99(c)(6)	11	1	1	2		15	0.0%
18 U.S.C. 922(m)				2	12	14	0.0%
27 CFR 478.127	5	3	3	2	1	14	0.0%
27 CFR 555.21(a)	13					13	0.0%
27 CFR 555.124(c)(4)	3	1	6	3		13	0.0%
27 CFR 478.57(a)	6	3	1	2	1	13	0.0%
27 CFR 479.48	2	9	1	1		13	0.0%
27 CFR 478.44(a)	1		8		3	12	0.0%
27 CFR 478.99(c)(2)	7	3		2		12	0.0%
27 CFR 555.102(a)			11			11	0.0%
27 CFR 478.112(c)(1)	2		9			11	0.0%
28 CFR 25.11(b)(2)			11			11	0.0%
27 CFR 479.105(f).			11			11	0.0%
27 CFR 478.99(a)(1)	3	4	4			11	0.0%
18 U.S.C. 2(a) & 18 U.S.C. 922(g)				5	6	11	0.0%
27 CFR 478.99(b)(1)	3	2	6			11	0.0%
27 CFR 479.141	4		1	4	2	11	0.0%
27 CFR 478.96(c)(1)(iv)	4	1	3	2	1	11	0.0%
Unknown				7	3	10	0.0%
27 CFR 479.142				10		10	0.0%
27 CFR 479.88(a)				10		10	0.0%
26 USC 5861(d)					9	9	0.0%
27 CFR 479.90(b)	4	1		1	3	9	0.0%
27 CFR 479.61		3	6			9	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
18 U.S.C. 924(a)(3)				2	6	8	0.0%
18 U.S.C. 922(z)				4	4	8	0.0%
27 CFR 478.99(c)(3)	3	1	1	3		8	0.0%
27 CFR 555.29		6	2			8	0.0%
27 CFR 478.102(d)(1)(ii)		1	7			8	0.0%
27 CFR 555.124(c)	8					8	0.0%
27 CFR 478.99(c)(4)	2	2	1	2		7	0.0%
27 CFR 555.26(a)		6	1			7	0.0%
27 CFR 479.49	7					7	0.0%
27 CFR 447.62		7				7	0.0%
27 CFR 555.201(f)		6				6	0.0%
27 CFR 478.99(c)(8)	3	1		2		6	0.0%
27 CFR 478.125(c)				5	1	6	0.0%
27 CFR 478.32(a)(1)	4		2			6	0.0%
27 CFR 447.41(a)			6			6	0.0%
27 CFR 478.36(a)		5				5	0.0%
27 CFR 478.112(c)(2)(iv)		4				4	0.0%
27 CFR 478.134(c)	1	3				4	0.0%
27 CFR 478.96(c)	4					4	0.0%
27 CFR 478.122(c)	1	1	1			3	0.0%
27 CFR 479.102(F)(1)			2	1		3	0.0%
27 CFR 478.23(a)(2).	1			2		3	0.0%
27 CFR 479.44			2			2	0.0%
27 CFR 479.33(b)		2				2	0.0%
27 CFR 478.96(b)			1	1		2	0.0%
18 U.S.C. Â§ 2 & 18 U.S.C. Â§ 922(a)(1)(A)					2	2	0.0%
27 CFR 478.33a		2				2	0.0%
27 CFR 479.83		2				2	0.0%
27 CFR 479.26		2				2	0.0%
27 CFR 478.92(c)		2				2	0.0%
27 CFR 479.46		1	1			2	0.0%
27 CFR 478.33			2			2	0.0%
27 CFR 478.47(b)(5)	1					1	0.0%
27 CFR 478.124(h)			1			1	0.0%
28 CFR 25.11(a)			1			1	0.0%
27 CFR 479.88(c)				1		1	0.0%
27 CFR 478.32(c)			1			1	0.0%
27 CFR 479.89			1			1	0.0%
27 CFR 478.32(d)(1)		1				1	0.0%
27 CFR 479.118		1				1	0.0%
27 CFR 478.92(b)(1)					1	1	0.0%
27 CFR 478.124(c)(3)(i)				1		1	0.0%
27 CFR 555.124(c)(3)				1		1	0.0%
18 U.S.C. 923(a)(3)(A)				1		1	0.0%
27 CFR 478.47(b)(4)			1			1	0.0%
27 CFR 478.124(d)		1				1	0.0%
27 CFR 478.47(b)(6)(ii)	1					1	0.0%
27 CFR 478.37			1			1	0.0%
27 CFR 478.50(a)		1				1	0.0%
27 CFR 478.28				1		1	0.0%
27 CFR 478.103(e)		1				1	0.0%
27 CFR 478.112(c)			1			1	0.0%
27 CFR 478.124(f)	1					1	0.0%
27 CFR 478.32(a)(9)	1					1	0.0%
27 CFR 479.102	0					0	0.0%
Total	452,996	566,187	637,052	657,511	107,393	2,421,139	100.0%

Table IR-11: All Violations Cited in Completed Compliance Inspections of Type 01 FFLs with Skewed Violations Excluded, 2016 – 2020

CFR Citation	2016	2017	2018	2019	2020	Total	% of Violations Cited
27 CFR 478.125(e)	94,587	81,246	109,817	73,409	21,110	380,169	52.4%
27 CFR 478.21(a)	22,199	14,043	17,344	20,716	7,099	81,401	11.2%
27 CFR 478.124(c)(1)	11,945	13,209	14,132	24,240	10,672	74,198	10.2%
27 CFR 478.124(c)(3)(iv)	9,629	7,764	9,537	9,641	3,805	40,376	5.6%
27 CFR 478.124(c)(5)	8,051	6,102	7,040	9,303	2,911	33,407	4.6%
27 CFR 478.124(c)(3)(i)	5,491	5,106	6,944	5,749	2,416	25,706	3.5%
27 CFR 478.126a	3,847	3,576	4,034	3,956	2,211	17,624	2.4%
27 CFR 478.124(c)(4)	2,733	3,117	3,381	5,464	2,219	16,914	2.3%
27 CFR 478.124(b)	1,741	2,171	3,293	67	25	7,297	1.0%
27 CFR 478.102(a)	1,534	1,598	2,055	1,368	536	7,091	1.0%
27 CFR 478.124(a)	1,811	1,768	1,021	1,086	348	6,034	0.8%
27 CFR 478.129(b)	468	1,807	765	663	348	4,051	0.6%
27 CFR 478.125(h)	3,422		2			3,424	0.5%
27 CFR 478.50	1,529	724	664	47	65	3,029	0.4%
27 CFR 478.103(a)	300	2,214	15	180	15	2,724	0.4%
27 CFR 478.121(c)	712	25	1,462	45	75	2,319	0.3%
27 CFR 478.131(a)(2)	332	423	512	653	167	2,087	0.3%
27 CFR 478.102(a)(1)	817	355	257	60	35	1,524	0.2%
27 CFR 479.131	57	11	105	45	1,189	1,407	0.2%
27 CFR 478.58	32	27	400	783	14	1,256	0.2%
27 CFR 478.126(a)	66	468	476	117	6	1,133	0.2%
27 CFR 478.99(b)(2)	34	276	398	339	84	1,131	0.2%
27 CFR 478.128(c)	253	132	183	446	116	1,130	0.2%
27 CFR 478.121(a)	823	65	35	30	17	970	0.1%
27 CFR 478.102(c)	154	130	164	195	120	763	0.1%
27 CFR 478.99(a)	145	225	156	157	72	755	0.1%
27 CFR 479.86		1		9	646	656	0.1%
27 CFR 478.99(c)	338	100	46	91	48	623	0.1%
27 CFR 555.124(a)	1	555				556	0.1%
27 CFR 479.88(b)	1	2	6	1	545	555	0.1%
27 CFR 478.100(a)(1)	73	56	124	199	98	550	0.1%
27 CFR 478.102(a)(2)(ii)	79	161	113	68	40	461	0.1%
27 CFR 478.102(d)(1)	1	21	16	255	130	423	0.1%
27 CFR 478.39(a)	73	33	143			249	0.0%
27 CFR 478.124(c)(3)(iii)	37	39	49	82	22	229	0.0%
27 CFR 478.39a	39	81	25	61	21	227	0.0%
27 CFR 478.41(b)	2	9	103	57	37	208	0.0%
18 U.S.C. 923(g)(5)(A)			11	98	85	194	0.0%
27 CFR 478.99(b)	18	82	41	16	16	173	0.0%
27 CFR 478.124(c)(3)	14	108	47			169	0.0%
27 CFR 478.102(b)	1	26	17	92	29	165	0.0%
27 CFR 555.124(c)(5)	33	109	1		11	154	0.0%
27 CFR 478.125a	7	103	13	25	1	149	0.0%
27 CFR 478.41(a)	42	51	43	10	1	147	0.0%
27 CFR 478.100(c)		8	24	73	41	146	0.0%
27 CFR 478.134(a)		100	26	12	3	141	0.0%
27 CFR 478.103(c)	8	2	96	1	1	108	0.0%
27 CFR 478.103(d)	42	9	17	31	8	107	0.0%
27 CFR 478.51	4	3	73	2	1	83	0.0%
27 CFR 479.101(e)		1	3	9	69	82	0.0%
27 CFR 478.124(c)(1)	4		70	5		79	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.125(a)	50	21	7			78	0.0%
27 CFR 478.25a	12	5	10	33	14	74	0.0%
27 CFR 478.23(b)	4	44	9	1	1	59	0.0%
27 CFR 478.52(a)	15	14	10	14	4	57	0.0%
27 CFR 478.54	10	17	12	14	4	57	0.0%
27 CFR 478.102(a)(2)(i)	6	1	2	40	6	55	0.0%
27 CFR 478.22(a)	38	3	6			47	0.0%
27 CFR 478.124(e)	36	9				45	0.0%
27 CFR 478.124(a)(3)(iii)			43			43	0.0%
27 CFR 478.129(e)	14	3	2	19	5	43	0.0%
27 CFR 478.102(a)(3)	3		12	14	12	41	0.0%
27 CFR 478.124(c)(3)(ii)		2	36			38	0.0%
27 CFR 478.129(c)	1	4	23	6	3	37	0.0%
27 CFR 478.121(b)	3	1	23	4	1	32	0.0%
27 CFR 479.21(a)		9	22			31	0.0%
27 CFR 478.124(c)(2)		29				29	0.0%
27 CFR 555.124(c)(1)	9	14	5			28	0.0%
27 CFR 478.102(e)				12	14	26	0.0%
27 CFR 478.125a(a)(4)	1	8	1	14		24	0.0%
27 CFR 478.124a	1	7	12	1	3	24	0.0%
27 CFR 555.126(a)	24					24	0.0%
27 CFR 478.99(c)(9)	15	2	3	4		24	0.0%
27 CFR 478.34	2	5	4	7	4	22	0.0%
27 CFR 478.36		18		3	1	22	0.0%
27 CFR 478.125(f)			22			22	0.0%
27 CFR 479.31(a)		2			18	20	0.0%
27 CFR 478.91	2	3	4	10		19	0.0%
27 CFR 478.99(c)(5)	8	6	2	2		18	0.0%
27 CFR 478.99(c)(1)	12	2		2	1	17	0.0%
27 CFR 478.132(a)(2)	2	6	8			16	0.0%
27 CFR 478.53	3	1	2	6	3	15	0.0%
28 CFR 25.6(a)	6		9			15	0.0%
27 CFR 478.102(d)(1)(i)		5	10			15	0.0%
27 CFR 479.101(b)		1	13			14	0.0%
27 CFR 555.21(a)	13					13	0.0%
18 U.S.C. 922(m)					12	12	0.0%
27 CFR 478.128(a)	4	2	2	2	2	12	0.0%
27 CFR 478.44(a)	1		8		2	11	0.0%
27 CFR 555.102(a)			11			11	0.0%
27 CFR 478.22(a)(3)	4	4	2	1		11	0.0%
27 CFR 478.128(b)	4	1	1	2	2	10	0.0%
27 CFR 478.125(g)	10					10	0.0%
18 U.S.C. 922(t)				3	7	10	0.0%
27 CFR 479.48		9				9	0.0%
27 CFR 478.124(g)	6				2	8	0.0%
27 CFR 479.102(a)	4	4				8	0.0%
27 CFR 479.84			3	2	3	8	0.0%
27 CFR 478.103(b)	8					8	0.0%
27 CFR 555.124(c)(4)	3	1	4			8	0.0%
27 CFR 479.49	7					7	0.0%
27 CFR 478.96(c)(1)(iv)	1	1	3	1	1	7	0.0%
27 CFR 478.96(c)(1)		7				7	0.0%
27 CFR 478.94	1	4	1	1		7	0.0%
27 CFR 478.99(a)(1)	1	2	4			7	0.0%
27 CFR 478.102(d)(1)(ii)			6			6	0.0%
27 CFR 478.99(c)(7)	1	1	2	2		6	0.0%
27 CFR 478.127	2	2	1		1	6	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.125(c)				5	1	6	0.0%
27 CFR 478.99(c)(6)	3		1	2		6	0.0%
27 CFR 478.32(a)(1)	4		1			5	0.0%
18 U.S.C. 922(z)				4	1	5	0.0%
Unknown				2	3	5	0.0%
27 CFR 478.99(c)(3)	1			3		4	0.0%
27 CFR 478.96(c)	4					4	0.0%
27 CFR 447.41(a)			4			4	0.0%
18 U.S.C. 2(a) & 18 U.S.C. 922(g)				1	3	4	0.0%
27 CFR 478.99(c)(2)	2			2		4	0.0%
27 CFR 478.134(c)	1	3				4	0.0%
27 CFR 479.141	4					4	0.0%
27 CFR 479.105(a)			4			4	0.0%
27 CFR 478.99(b)(1)	1	1	1			3	0.0%
27 CFR 478.57(a)	1	1			1	3	0.0%
27 CFR 478.99(c)(4)			1	2		3	0.0%
27 CFR 479.101(b)				2	1	3	0.0%
18 U.S.C. 924(a)(3)					3	3	0.0%
27 CFR 478.123(b)			2			2	0.0%
27 CFR 478.23(a)(2)	1			1		2	0.0%
27 CFR 478.33a		2				2	0.0%
18 U.S.C. Â§ 2 & 18 U.S.C. Â§ 922(a)(1)(A)					2	2	0.0%
27 CFR 478.123(a)		2				2	0.0%
27 CFR 478.99(c)(8)				2		2	0.0%
27 CFR 478.32(a)(3)	1			1		2	0.0%
27 CFR 478.96(b)			1	1		2	0.0%
27 CFR 555.26(a)			1			1	0.0%
27 CFR 478.47(b)(6)(ii)	1					1	0.0%
27 CFR 478.103(e)		1				1	0.0%
27 CFR 478.92(a)(1)(ii)(D)				1		1	0.0%
27 CFR 478.50(a)		1				1	0.0%
27 CFR 478.47(b)(4)			1			1	0.0%
27 CFR 478.92(a)(1)(ii)(C)				1		1	0.0%
27 CFR 555.29			1			1	0.0%
27 CFR 555.124(c)(3)				1		1	0.0%
27 CFR 478.129(d)			1			1	0.0%
27 CFR 478.112(c)			1			1	0.0%
27 CFR 478.92(a)(1)			1			1	0.0%
27 CFR 479.103		1				1	0.0%
27 CFR 479.89			1			1	0.0%
27 CFR 478.32(a)(9)	1					1	0.0%
26 USC 5861(d)					1	1	0.0%
27 CFR 478.124(c)(3)(i)				1		1	0.0%
27 CFR 478.32(d)(1)		1				1	0.0%
27 CFR 478.92(a)(1)			1			1	0.0%
27 CFR 478.92(a)(1)(ii)(C)				1		1	0.0%
Total	173,901	148,535	185,682	160,209	57,670	725,997	100.0%

Table IR-12: All Violations Cited in Completed Compliance Inspections of Type 02 FFLs with Skewed Violations Excluded, 2016 – 2020

CFR Citation	2016	2017	2018	2019	2020	Total	% of Violations Cited
27 CFR 478.125(e)	16,747	27,371	23,878	13,942	5,535	87,473	37.8%
27 CFR 478.124(c)(1)	6,426	6,551	8,888	11,358	3,726	36,949	16.0%
27 CFR 478.21(a)	5,814	6,513	8,907	10,148	2,698	34,080	14.7%
27 CFR 478.124(c)(3)(iv)	3,841	3,843	4,275	4,761	1,506	18,226	7.9%
27 CFR 478.124(c)(5)	2,315	2,442	4,992	3,043	1,104	13,896	6.0%
27 CFR 478.124(c)(3)(i)	3,425	2,087	2,541	2,279	670	11,002	4.8%
27 CFR 478.124(c)(4)	751	1,015	1,175	3,736	1,388	8,065	3.5%
27 CFR 478.124(b)	23	1,726	4,267	164	8	6,188	2.7%
27 CFR 478.126a	957	1,094	1,372	1,308	486	5,217	2.3%
27 CFR 478.102(a)	364	245	376	181	116	1,282	0.6%
27 CFR 478.129(b)	240	285	348	201	130	1,204	0.5%
27 CFR 478.124(a)	143	117	494	153	145	1,052	0.5%
27 CFR 478.103(c)	2		674			676	0.3%
27 CFR 478.131(a)(2)	92	86	215	165	35	593	0.3%
27 CFR 478.126(a)	10	262	167	34		473	0.2%
27 CFR 478.22(a)		1	434	1		436	0.2%
27 CFR 478.99(c)	195	114	48	44	23	424	0.2%
27 CFR 478.128(c)	30	88	16	280	2	416	0.2%
27 CFR 478.102(c)	161	49	52	62	21	345	0.1%
27 CFR 478.103(a)	277	4	5	2	3	291	0.1%
27 CFR 478.102(a)(1)	94	36	23	67	4	224	0.1%
27 CFR 478.99(a)	43	57	46	21	31	198	0.1%
27 CFR 478.100(a)(1)	169	19		1	8	197	0.1%
27 CFR 478.102(a)(2)(ii)	38	40	40	43	10	171	0.1%
27 CFR 478.34	3	153	5	4		165	0.1%
27 CFR 478.39(a)	3	153	1			157	0.1%
27 CFR 478.125(h)	3	146	3	5		157	0.1%
27 CFR 478.39a	14	15	78	42	5	154	0.1%
27 CFR 478.41(a)	1	9	25	4	107	146	0.1%
27 CFR 478.102(d)(1)		3		103	22	128	0.1%
27 CFR 479.131	60	2	20			82	0.0%
27 CFR 478.50	1	77	2		1	81	0.0%
27 CFR 478.121(c)	2	34	19	6	12	73	0.0%
27 CFR 478.99(b)(2)	11	6	1	43	5	66	0.0%
27 CFR 478.125a(a)(4)	34			31		65	0.0%
27 CFR 478.102(b)			2	28	22	52	0.0%
27 CFR 478.124(c)(3)(iii)	13	11	8	10	5	47	0.0%
27 CFR 478.99(c)(9)	36	1	5	2		44	0.0%
27 CFR 478.58	1		19	2	17	39	0.0%
18 U.S.C. 923(g)(5)(A)				30	7	37	0.0%
27 CFR 478.102(a)(2)(i)	3		11	20	2	36	0.0%
27 CFR 478.99(c)(1)	21	4	5	1		31	0.0%
27 CFR 478.100(c)	29			1	1	31	0.0%
27 CFR 478.41(b)		2		2	27	31	0.0%
27 CFR 479.21(a)		4	26			30	0.0%
27 CFR 478.99(b)	5	7	7	2	5	26	0.0%
27 CFR 555.124(c)(1)	1		22	3		26	0.0%
27 CFR 478.54	2	4	9	7	2	24	0.0%
27 CFR 478.125a			24			24	0.0%
27 CFR 478.103(b)	1		20			21	0.0%
27 CFR 479.84		3	15	1		19	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.124(e)	16	3				19	0.0%
27 CFR 478.99(c)(5)	16	1	1			18	0.0%
27 CFR 478.125(g)			16			16	0.0%
27 CFR 478.103(d)	3	1	5	4	2	15	0.0%
27 CFR 478.102(a)(3)	1	1	11			13	0.0%
27 CFR 478.36			10	3		13	0.0%
27 CFR 478.132(a)(2)	5	3	2			10	0.0%
27 CFR 478.129(c)			2	5	2	9	0.0%
27 CFR 478.99(c)(7)	6		1	1		8	0.0%
27 CFR 478.102(e)			1	6	1	8	0.0%
27 CFR 478.128(b)		2	1	2	3	8	0.0%
18 U.S.C. 922(t)				7	1	8	0.0%
28 CFR 25.6(a)	1	3	2	2		8	0.0%
27 CFR 555.124(c)	8					8	0.0%
27 CFR 478.99(c)(6)	7	1				8	0.0%
27 CFR 478.99(c)(2)	5	2				7	0.0%
27 CFR 478.121(a)	2	1	2	2		7	0.0%
27 CFR 478.129(e)	1		1	4		6	0.0%
27 CFR 478.57(a)	5	1				6	0.0%
27 CFR 478.51	2	1	1		1	5	0.0%
27 CFR 478.127	3	1	1			5	0.0%
27 CFR 478.53	1	1	3			5	0.0%
27 CFR 478.128(a)		1	1	2		4	0.0%
18 U.S.C. 2(a) & 18 U.S.C. 922(g)				3	1	4	0.0%
27 CFR 478.99(c)(8)	3	1				4	0.0%
27 CFR 478.99(c)(3)	2	1	1			4	0.0%
27 CFR 478.99(c)(4)	2	2				4	0.0%
27 CFR 478.22(a)(3)			3			3	0.0%
27 CFR 479.101(e)		2			1	3	0.0%
27 CFR 478.99(b)(1)	1	1	1			3	0.0%
27 CFR 478.91	1	1		1		3	0.0%
27 CFR 478.96(c)(1)(iv)	2			1		3	0.0%
27 CFR 478.124a	2	1				3	0.0%
Unknown				2		2	0.0%
27 CFR 478.33			2			2	0.0%
27 CFR 478.25a	1			1		2	0.0%
27 CFR 478.99(a)(1)	2					2	0.0%
27 CFR 478.124(a)(3)(iii)	2					2	0.0%
27 CFR 479.48	2					2	0.0%
27 CFR 479.101(b)				2		2	0.0%
27 CFR 478.22(c)			2			2	0.0%
27 CFR 478.113				2		2	0.0%
18 U.S.C. 922(m)				2		2	0.0%
28 CFR 25.11(b)(2)			2			2	0.0%
18 U.S.C. 922(z)					2	2	0.0%
27 CFR 478.52(a)		1	1			2	0.0%
27 CFR 478.47(b)(5)	1					1	0.0%
27 CFR 478.129(d)	1					1	0.0%
27 CFR 479.86		1				1	0.0%
27 CFR 479.102(a)	1					1	0.0%
27 CFR 555.124(c)(5)	1					1	0.0%
27 CFR 479.105(a)		1				1	0.0%
26 USC 5861(d)					1	1	0.0%
27 CFR 478.123(c)				1		1	0.0%
27 CFR 555.124(b)	1					1	0.0%
27 CFR 479.141				1		1	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.102(d)(1)(ii)			1			1	0.0%
18 U.S.C. 923(a)(3)(A)				1		1	0.0%
28 CFR 25.11(a)			1			1	0.0%
27 CFR 479.31(a)			1			1	0.0%
18 U.S.C. 924(a)(3)				1		1	0.0%
27 CFR 478.124(h)			1			1	0.0%
27 CFR 478.32(c)			1			1	0.0%
Total	42,508	54,714	63,638	52,397	17,904	231,161	100.0%

Table IR-13: All Violations Cited in Completed Compliance Inspections of Type 07 FFLs with Skewed Violations Excluded, 2016 – 2020

CFR Citation	2016	2017	2018	2019	2020	Total	% of Violations Cited
27 CFR 478.123(a)	48,787	65,235	162,111	107,685	7,283	391,101	29.6%
27 CFR 478.123(b)	29,055	65,420	95,642	49,442	5,516	245,075	18.6%
27 CFR 479.103	59	91,381	922	286	15	92,663	7.0%
27 CFR 478.41(b)		75,000		4	2	75,006	5.7%
27 CFR 478.92(a)(1)(ii)(D)	448	1,080	475	64,416	774	67,193	5.1%
27 CFR 478.92(a)(1)(ii)(C)	3,378	902	265	50,250	746	55,541	4.2%
27 CFR 478.92(A)(1)(i)	2,950	1,001	18,887	32,213	56	55,107	4.2%
27 CFR 478.92(a)(1)	5,571	2,088	256	36,818	45	44,778	3.4%
27 CFR 478.123(d)	9,111	13,572	8,182	7,844	3,219	41,928	3.2%
27 CFR 478.92(a)(2)	119	55	177	32,109	122	32,582	2.5%
27 CFR 478.92(a)(1)(ii)(A)	2	47	32,019	39	3	32,110	2.4%
27 CFR 478.21(a)	4,554	6,962	6,406	3,781	2,395	24,098	1.8%
27 CFR 478.124(c)(1)	2,858	4,489	4,444	5,209	3,927	20,927	1.6%
27 CFR 555.123(d)	8	21	18	15,986		16,033	1.2%
27 CFR 478.92(A)(1)(ii)	1,788	279	13,730	25	27	15,849	1.2%
27 CFR 478.125(e)	5,413	5,466	2,017	49	17	12,962	1.0%
27 CFR 478.124(c)(5)	2,056	3,010	2,800	1,465	1,079	10,410	0.8%
27 CFR 478.124(c)(3)(iv)	2,356	2,988	1,902	2,040	1,040	10,326	0.8%
27 CFR 555.123(a)	9,181	75		4		9,260	0.7%
27 CFR 555.123(b)	9,176					9,176	0.7%
27 CFR 478.124(c)(3)(i)	1,268	2,334	2,046	1,032	624	7,304	0.6%
27 CFR 479.31(a)	6,680	4	2	12	1	6,699	0.5%
27 CFR 478.124(b)	700	1,762	1,681	635	1,257	6,035	0.5%
27 CFR 478.126a	955	1,282	1,022	1,254	633	5,146	0.4%
27 CFR 478.124(c)(4)	478	1,059	870	821	578	3,806	0.3%
27 CFR 478.113			3,735			3,735	0.3%
27 CFR 478.124(a)	619	982	606	432	170	2,809	0.2%
27 CFR 478.102(a)	828	535	441	406	160	2,370	0.2%
27 CFR 478.50	22	989	596	371	12	1,990	0.2%
27 CFR 478.36	1	8	1,636	20	242	1,907	0.1%
27 CFR 478.128(c)	106	1,547	130	22	28	1,833	0.1%
27 CFR 478.121(a)	29	11	1,770	5	8	1,823	0.1%
27 CFR 478.123(c)	23	119	1,287	10		1,439	0.1%
27 CFR 478.131(a)(2)	167	265	119	234	71	856	0.1%
27 CFR 479.102(A)	32	9	805	6		852	0.1%
27 CFR 478.92(a)(1)(ii)(B)	13	37	645	71	15	781	0.1%
27 CFR 478.129(b)	138	292	160	98	78	766	0.1%
27 CFR 479.131	102	146	97	137	51	533	0.0%
27 CFR 478.34	7	3	219	3	212	444	0.0%
27 CFR 478.103(a)	109	323	2	2	4	440	0.0%
27 CFR 478.121(c)	193	60	43	91	28	415	0.0%
27 CFR 478.99(a)	53	72	186	45	48	404	0.0%
27 CFR 478.102(a)(1)	82	128	48	35	23	316	0.0%
27 CFR 478.126(a)	23	141	141	5	2	312	0.0%
27 CFR 478.103(d)	4	282	5	2	5	298	0.0%
27 CFR 478.100(c)	201	2		3	53	259	0.0%
27 CFR 479.88(b)	1	193	11	46	4	255	0.0%
27 CFR 478.92(a)(4)(iii)				1	241	242	0.0%
27 CFR 478.100(a)(1)	86	86	8	31	29	240	0.0%
27 CFR 479.102(a)(2)	90	78	26	20	22	236	0.0%
27 CFR 478.32(a)(3)			232			232	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 479.105(a)	3	5	200	1		209	0.0%
27 CFR 478.58	51	23	12	37	67	190	0.0%
27 CFR 478.99(b)(2)	2	128	9	23	22	184	0.0%
27 CFR 478.102(c)	40	58	37	31	14	180	0.0%
18 U.S.C. 923(g)(5)(A)			3	109	62	174	0.0%
27 CFR 479.84	8	74	33	14	22	151	0.0%
27 CFR 478.99(c)	70	29	9	28	11	147	0.0%
27 CFR 478.22(a)(3)	5	1	138			144	0.0%
27 CFR 478.102(a)(2)(ii)	12	56	22	15	14	119	0.0%
27 CFR 479.101(b).		4	1	109		114	0.0%
27 CFR 478.39a	5	57	25	7	6	100	0.0%
27 CFR 478.124(c)(3)(iii)	18	25	23	19	5	90	0.0%
27 CFR 478.94	1	59	29	1		90	0.0%
27 CFR 478.102(b)	66			7	13	86	0.0%
27 CFR 478.125a(a)(4)	1	3	78		1	83	0.0%
27 CFR 478.128(b)		8			73	81	0.0%
27 CFR 478.22(a)	6	35	4	33		78	0.0%
27 CFR 478.102(d)(1)			10	44	23	77	0.0%
27 CFR 478.39(a)		4	56			60	0.0%
27 CFR 479.62	1	1		55		57	0.0%
27 CFR 479.101(b)				17	40	57	0.0%
27 CFR 479.21(a)	20	7		23	6	56	0.0%
27 CFR 479.101(e)			13	19	21	53	0.0%
27 CFR 478.92(c)(1)(ii)(A)			48			48	0.0%
27 CFR 479.86		1	41	5		47	0.0%
27 CFR 479.102(a)(1)		5	13	1	20	39	0.0%
27 CFR 478.52(a)	8	10	7	6	7	38	0.0%
27 CFR 447.34(b)		37				37	0.0%
27 CFR 447.45(a)(2)(b)		37				37	0.0%
27 CFR 478.54	7	9	6	12	1	35	0.0%
27 CFR 478.99(b)	3	10	12	4	2	31	0.0%
27 CFR 478.102(a)(2)(i)				25	2	27	0.0%
27 CFR 478.25a	1	4		14	7	26	0.0%
27 CFR 478.102(a)(3)				4	19	23	0.0%
27 CFR 478.22(c)		22	1			23	0.0%
27 CFR 478.125(i)	3	18				21	0.0%
27 CFR 478.124(g)	20		1			21	0.0%
27 CFR 555.124(b)			17			17	0.0%
27 CFR 478.23(b)	3	2	4	4	3	16	0.0%
27 CFR 478.41(a)		2	2	11		15	0.0%
27 CFR 478.124(c)(3)	15					15	0.0%
27 CFR 478.124a		13	1			14	0.0%
27 CFR 555.124(a)			13			13	0.0%
27 CFR 478.129(d)	2	2	8			12	0.0%
27 CFR 478.99(c)(1)	7	5				12	0.0%
27 CFR 479.105(f)			11			11	0.0%
27 CFR 478.129(e)	1			1	9	11	0.0%
27 CFR 478.134(a)		1		8	1	10	0.0%
27 CFR 479.142				10		10	0.0%
27 CFR 479.61		3	6			9	0.0%
28 CFR 25.11(b)(2)			9			9	0.0%
27 CFR 478.51		4	3	1	1	9	0.0%
27 CFR 479.90(b)	4	1		1	3	9	0.0%
27 CFR 478.99(c)(9)	4	3	1			8	0.0%
27 CFR 447.62		7				7	0.0%
26 USC 5861(d)					7	7	0.0%
27 CFR 478.91	2		1	2	2	7	0.0%

CFR Citation	2016	2017	2018	2019	2020	Total	% of All Violations Cited
27 CFR 478.128(a)	1	2			3	6	0.0%
27 CFR 555.26(a)		6				6	0.0%
27 CFR 479.141			1	3	2	6	0.0%
27 CFR 478.53		1	3	1	1	6	0.0%
27 CFR 555.201(f)		6				6	0.0%
27 CFR 555.29		6				6	0.0%
27 CFR 478.125a	1		3	1		5	0.0%
28 CFR 25.6(a)	2	3				5	0.0%
27 CFR 555.124(c)(4)			2	3		5	0.0%
27 CFR 478.36(a)		5				5	0.0%
27 CFR 478.132(a)(2)		5				5	0.0%
27 CFR 555.124(c)(1)	5					5	0.0%
18 U.S.C. 924(a)(3)				1	3	4	0.0%
27 CFR 478.99(b)(1)	1		3			4	0.0%
27 CFR 478.125(h)	2	2				4	0.0%
27 CFR 478.121(b)			3		1	4	0.0%
27 CFR 478.127			1	2		3	0.0%
27 CFR 479.102(f)(1)			2	1		3	0.0%
27 CFR 478.57(a)			1	2		3	0.0%
Unknown				3		3	0.0%
18 U.S.C. 2(a) & 18 U.S.C. 922(g)				1	2	3	0.0%
27 CFR 478.125(a)			1	1		2	0.0%
27 CFR 479.48			1	1		2	0.0%
27 CFR 478.99(a)(1)		2				2	0.0%
27 CFR 479.83		2				2	0.0%
27 CFR 479.26		2				2	0.0%
27 CFR 478.99(c)(5)		2				2	0.0%
27 CFR 479.44			2			2	0.0%
27 CFR 479.46		1	1			2	0.0%
27 CFR 478.92(c)		2				2	0.0%
27 CFR 478.124(f)	1					1	0.0%
27 CFR 478.124(d)		1				1	0.0%
27 CFR 478.99(c)(6)	1					1	0.0%
27 CFR 478.124(a)(3)(iii)	1					1	0.0%
27 CFR 478.122(b)			1			1	0.0%
27 CFR 478.102(e)				1		1	0.0%
27 CFR 478.99(c)(7)	1					1	0.0%
27 CFR 479.88(c)				1		1	0.0%
27 CFR 478.23(a)(2)				1		1	0.0%
27 CFR 478.92(b)(1)					1	1	0.0%
27 CFR 478.28				1		1	0.0%
27 CFR 478.129(c)				1		1	0.0%
18 U.S.C. 922(z)					1	1	0.0%
27 CFR 478.103(c)		1				1	0.0%
27 CFR 478.32(a)(1)			1			1	0.0%
27 CFR 478.99(c)(2)		1				1	0.0%
27 CFR 478.102(d)(1)(ii)		1				1	0.0%
27 CFR 479.102	0					0	0.0%
Total	150,285	352,644	369,755	416,241	31,363	1,320,288	100.0%

Table IR-18: Total Field IOIs Assigned to States/Territories with IOI to FFL Ratio, 2020 (Excludes Type 03 FFLs)¹⁴⁰

State or Territory	# FFLs	# Field IOIs	Field IOI to FFL Ratio
AK	728	3	1:243
AL	1,631	11	1:148
AR	1,693	10	1:169
AZ	2,756	19	1:145
CA	3,016	36	1:84
CO	2,402	12	1:200
CT	710	5	1:142
DC ¹⁴¹	10	0	N/A
DE	153	3	1:51
FL	4,585	37	1:124
GA	2,625	17	1:154
GU	18	0	N/A
HI	117	1	1:117
IA	1,625	3	1:542
ID	1,322	4	1:331
IL	2,145	17	1:126
IN	1,849	14	1:132
KS	1,428	3	1:476
KY	1,824	14	1:130
LA	1,576	12	1:131
MA	669	6	1:112
MD	793	7	1:113
ME	658	4	1:165
MI	2,685	21	1:128
MN	1,780	10	1:178
MO	2,841	30	1:95
MP	3	0	N/A
MS	1,241	7	1:177
MT	1,330	5	1:266
NC	3,296	23	1:143
ND	575	3	1:192
NE	903	5	1:181
NH	651	4	1:163
NJ	407	7	1:58
NM	773	10	1:77
NV	863	5	1:173
NY	2,219	11	1:202
OH	3,268	27	1:121
OK	1,936	9	1:215
OR	1,711	5	1:342
PA	3,335	21	1:159
PR	75	2	1:38
RI	118	1	1:118
SC	1,505	8	1:188
SD	675	4	1:169
TN	2,033	18	1:113
TX	8,980	74	1:121
UT	1,423	8	1:178
VA	2,294	25	1:92
VI	14	0	N/A
VT	396	3	1:132
WA	1,599	10	1:160

State or Territory	# FFLs	# Field IOIs	Field IOI to FFL Ratio
WI	1,922	6	1:320
WV	1,140	11	1:104
WY	805	1	1:805
Total	87,129	612	1:142