### **U.S. Department of Justice**Bureau of Alcohol, Tobacco, Firearms and Explosives

## **Application for Tax Paid Transfer and Registration of Firearm**

ATF Control Number												
SUBMIT in DUPLICATE to: National Fireari Bureau of Alcoh			arms and	Explosives, P.	O. Box 50	015	5, Portland,	OR 97	208-501	5		
1. Type of Transfer (Check one)	1	2a. Tran	sferee's F	ull Legal Name	and Addr	res	s (Include tr	ade na	me, if any	) (See ins	truction 2a	1)
\$5 \$200												
Submit the appropriate tax payment with the appl	ication.											
The tax may be paid by credit or debit card, check, or	money											
order. Please complete item 20. Upon appro			Corpora	tion		٦,	Other Legal I	Entity				
the application, we will affix and cancel the re National Firearms Act stamp. (See instructions 2b, 2		L	_ ^			_		Jitity		2b. Cou	inty/Parish	
			Individu				Trust					
3a. Transferor's Full Legal Name and Address (Inc.	lude trade	e name, į	if any)	3b. E-mail ad	ldress			3c.	Transfero	's Telephor	ne (Area coa	e and number)
(Executors: see instruction 21)												
				3d. If Applica	ble: Dece	ede	nt's Name, A	ddress	, and Dat	e of Death	ı	
				3e. Number, S				ode of	Residence	(or fireari	ns business	premises)
				(if differen	t from Item	1 3a	1.)					
		41		11 0 4	1012 01		( i 1 Fi			2 1		
The above-named and undersigned transferor hereby below to the transferee.	makes ap	plication	as requii	red by Section 3	812 of the	e N	lational Firea	rms Ac	t to trans	fer and re	gister the f	irearm described
4. Description of Firearm (Complete items a throu	gh h) (See	instruct	tion 2n)					d.	Model			
a. Name and Address of Maker Manufacturer and/	_ , ,			arm <i>(See definit</i>	ions 1c) c.		Caliber or	1				
Importer of Firearm							Gauge	e.	Barrel Le	ength:	f. Ove	rall Length:
										8		Ü
								_	Serial Nu			
								g.	Seriai Ni	ımber		
I ATEC ID IS DIA I	(4)	1 1	1 1.1		\							
h. Additional Description or Data Appearing on Fig.	rearm (At	tach ada	litional sh	eet if necessary	")							
			4									
5. Transferee's Federal Firearms License (if any) or E	1		. D i4 N	Tron	cfaraa'c Si	na	cial (Occupat	ional)	Toy Statu	s (If am)		
5. Transferee's Federal Firearms License (if any) or E (Give complete 15-digit number) (See instruction 2c,		License o.	r Periiii N	<b>I</b>		_	ication Num		b. C			
	digits		5 digits		noyer raer		reation i vain	001	0. 0	IGSS		
That o digital	aigits		3 digits									
I I		1		8. Tran	sferor's S	Бре	cial (Occupat	tional)	Tax Statu	is (If any)		
7. Transferor's Federal Firearms License ( <i>If any</i> )	-	1				_	ication Num		b. C			
	2 digits	1	5 digits		,							
This ouigns 2 dights 2	digits		J digits									
		ı I										
Under Penalties of Perjury, I Declare that I have ex												
of the described firearm to the transferee and receipt at Title 26, United States Code, Chapter 53; or any prov					prohibited	by	the provisior	is of 11	tle 18, U	nited State	s Code, Cl	napter 44;
9. Signature of Transferor ( <i>Or authorized official</i> )	71310113 01	State of	iocai iaw.		ne and Ti	itle	of Authoriz	ed Offi	cial (Pri	nt or type	) 11. Date	2
of tumorized of transferor (or tumorized official)				10. 144	ne una 11	1110	or rumonz	ca om	ciai (177	ii or type,	, 111. 240.	
The Space De	low is for	. the wee	of the D	ureau of Alcoh	al Tahaar		Finaguma a	d Eve	losivos			
						_			p Denon	ination		
By Authority of The Director, This Application Has I Described Herein and the Interstate Movement of tha						tne	Firearm	Stair	p Denon	illiation		
		,	трричен	,, to the framer								
Approved (With the following conditions, if any	)			Dis	approved	(F	For the follow	ring red	isons)			
Signature of Authorized ATF Official											Date	
D. T. E.F. A. Ol. 1											ATE E-	4 (5220 4)
Previous Editions Are Obsolete				ATF Copy							Revised (	14 (5320. 4)

Transferee Certification				
12. Law Enforcement Notification (See instruction 2f)				
The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this	Form 4 by prov	riding a copy of the completed form to the		
chief law enforcement officer in the agency identified below:				
Agency or Department Name Name and Title of O	Agency or Department Name  Nome and Title of Official			
Address (Street address or P.O. Box, City, State and ZIP Code to which sent (mailed or delivered))				
Information for the Chief Law Enforcement Officer				
This form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) fire you have information that may disqualify this person from acquiring or possessing a firearm, please contact the NF				
13. Transferee Necessity Statement (See instruction 2e)	-			
I,, have a reasonable necessity to, have a reasonable necessity to,	possess the mac	chinegun, short-barreled rifle,		
short-barreled shotgun, or destructive device described on this application for the following reason(s)				
and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(b) (4) as	and 27 CFR § 478	8.98).		
Transferee Questions (Complete only when transferee is an individual)	ů	,		
14. Answer questions 14.a. through 14.m. Answer questions 16, 17, 18, 19 and 20, if applicable. For any "Yes"	answer the trans	sferee shall provide details on a separate		
sheet. (See instruction 7b and definitions)				
a. Do you intend to make any firearm listed on this form for sale or other disposition to any person described	Yes No			
in questions 14.c through 14.l. or a person described in question 14.m. who does not fall under an exception?				
b. Do you intend to sell or otherwise dispose of any firearm listed on this form in futherance of any felon or other offense punishable by imprisonment for a term of more than one year, a Federal crime of terrorism, or a				
drug trafficking offense?	1	5. Photograph		
Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 1m)  Affix a 2" x 2" Photograph here.				
d. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition Im)				
e. Are you a fugitive from justice? (See definition 1s)  1. Photo must have been taken within				
f. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any				
other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.  2. Photo must have been taken in full for view without a hat or head covering obscures the hair or hairline.				
g. On or after the age of 16, have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See definition 1n and 10)		3. On back of photograph print full name,		
h. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?		last 4 of SSN.		
i. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 1p)				
j. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 1q)				
k. Have you ever renounced your United States citizenship?				
1. Are you an alien illegally or unlawfully in the United States?				
m.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?				
m.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the application				
16. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):				
17. Have you been issued a Unique Personal Identification Number (UPIN)? (See instruction 2h) Yes N	√o If yes pleasε	e list		
18. Social Security Number: (See instruction 2h)  Date of Birth:				
19a. Ethnicity Hispanic or Latino 19b. Race American Indian or Alaska Native	Black or /	African American White		
☐ Not Hispanic or Latino ☐ Asian ☐ Native Hawaiian or Other Pacific Islander				
20a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check	U.S.A.) (See defi	inition 1r)		
United States of America Other Country/Countries (Specify):				

20b. State of Birth			20c.Country of Birtl	n			
to the chief law enforc	Under penalties imposed by 18 U.S.C. § 924 a tement officer <i>(CLEO)</i> shown in item 12, that to f my knowledge and belief. NOTE: See in	the statements, as a	pplicable, contained in this cert	ification, and any a	ttached docur	nents in su	
Signature of Transf	eree		Date				
21. Number of Resp	ponsible Persons (see definitions) associate	d with the transfer	ee trust or legal entity				
can be listed on	name (printed or typed) below for each Re the form, attach a separate sheet listing th ble Person Questionnaire, must be submitt	e additional Respo	onsible Person(s)). Please no	te that a complete			1
Full Name			Full Name				
23. Method of Pay	ment (Check one) (See instruction 2j) (If	paying by credit/	debit card, complete the sect	ion below)			
Check (Enclose	d) Cashier's Check or Money Order (Enclosed)	Visa	Mastercard	American Express	Disc	over	Diners Club
Credit/Debit Card N	Jumber (No dashes)		Name as Printed on the Cred	it/Debit Card		Expiration	n Date (Month & year)
Credit/Debit Card	Address:			Ť			
Billing Address:	City:	State:		Zip	Code:		
					Tax Amoun	t:	
I Authorize ATF to	Charge my Credit/Debit Card the Tax An	nount.					
	Signature of Cardholder					Date	
	rd will be charged the above stated amou plication is NOT approved, the above am		11		on your cred	it/debit ca	ard statement.
	Impor	tant Information	for Currently Pogistored Fi	iroarms			

If you are the current registrant of the firearm described on this form, please note the following information.

Estate Procedures: For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405.

Change of Address: Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

Change of Description: The registrant shall notify the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

Interstate Movement: If the firearm identified in item 4 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF Form 5320. 20 can be used to request this permission.

Restrictions on Possession: Any restriction (see approval block on face of form) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited from possessing a firearm, please contact the NFA Division for procedures on how to dispose of the firearm.

Proof of Registration: A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

#### Paperwork Reduction Act Notice

This form meets the clearance requirements of the Paperwork Reduction Act of 1995. The information you provide is used in applying to transfer serviceable firearms taxpaid. Data is used to identify transferor, transferee, and firearm, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory (26 U.S.C. § 5812).

The estimated average burden associated with this collection of information is 3.78 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestion for reducing this burden should be addressed to Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

#### **Privacy Act Information**

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(e)(3)):

- 1. **Authority.** Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. § 5812). Disclosure of this information by the applicant is mandatory for transfer of an NFA firearm, unless the transfer is otherwise exempt from tax.
- 2. Purpose. To ensure payment of the tax imposed by 26 U.S.C. § 5811; to ensure that the transfer would not be in violation of law; and to effect registration of the firearm.
- 3. Routine Uses. The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, information as to the identification of the firearm, date of registration, and the identification and address of person entitled to possess the firearm will be entered into the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or records required to be submitted by an individual in order to comply with any provision of the National Firearms Act or regulation issued thereunder, shall, except in connection with prosecution or other action for furnishing information, be used, directly or indirectly, as evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities for purposes of prosecution for violation of the National Firearms Act.
- 4. Effects of not Supplying Information Requested. Failure to supply complete information will delay processing and may cause denial of the application.

#### **Definitions/Instructions**

#### 1. Definitions

- National Firearms Act (NFA). Title 26, United States Code, Chapter 53. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 479.
- b. Gun Control Act (GCA). Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 478.
- c. Firearm. The term "firearm" means: (1) a shotgun having a barrel or barrels of less than 18 inches in length; (2) a weapon made from a shotgun if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length; (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) any other weapon as defined in 26 U.S.C. § 5845(e); (6) a machinegun; (7) a muffler or silencer for any firearm whether or not such firearm is included within this definition; and (8) a destructive device.
- d. Person. A partnership, company, association, trust, corporation, including each responsible person associated with such an entity; an estate; or an individual.

#### Section A.

e. Responsible Person. In the case of an unlicensed entity, including any trust, partnership, association, company (including any Limited Liability Company (LLC)), or corporation, any individual who possesses, directly or indirectly, the power or authority to direct the management and policies of the trust or entity to receive, possess, ship, transport, deliver, transfer or otherwise dispose of a firearm for, or on behalf of, the trust or legal entity.

#### Section B.

Trust: Those persons with the power or authority to direct the management and policies of the trust includes any person who has the capability to exercise such power and possesses, directly or indirectly, the power or authority under any trust instrument, or under State law, to receive, possess, ship, transport, deliver, transfer, or otherwise dispose of a firearm for, or on behalf of the trust. Examples of who may be considered a responsible person include settlors/grantors, trustees, partners, members, officers, directors, board members, or owners. An example of who may be excluded from this definition of responsible person is the beneficiary of a trust, if the beneficiary does not have the capability to exercise the enumerated powers or authorities.

- f. Employer Identification Number (EIN). Required of taxpayer filing special (occupational) tax returns under 27 CFR § 479.35.
- g. Special (Occupational) Tax. Required by the NFA to be paid by a
  Federal firearms licensee engaged in the business of manufacturing (Class
  2), importing (Class 1), or dealing (Class 3) in NFA firearms.
- h. **Federal Firearms License.** A license issued under the provisions of the GCA to manufacture, import or deal in firearms.
- ATF Officer. An officer or employee of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) authorized to perform any function relating to the administration of the NFA.
- Transfer. Selling, assigning, pledging, leasing, loaning, giving away, or otherwise disposing of a firearm.
- k. **Transferor.** The person selling or otherwise disposing of a firearm; including applying to transfer a firearm.
- 1. Transferee. The person acquiring the firearm.
- m. Prohibited Person. Generally, 18 U.S.C. § 922(g) prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who: has been convicted of a misdemeanor crime of domestic violence; has been convicted of a felony, or any other crime, punishable by imprisonment for a term exceeding one year (this does not include State misdemeanors punishable by imprisonment of two years or less); is a fugitive from justice; is an unlawful user of, or addicted to, marijuana or any depressant, stimulant, or narcotic drug, or any other controlled substance; has been adjudicated as a mental defective or has been committed to a mental institution; has been discharged from the Armed Forces under dishonorable conditions; has renounced his or her U.S. citizenship; is an alien illegally in the United States or an alien admitted to the United States under a nonimmigrant visa; or is subject to certain restraining orders. Furthermore, Section 922(n) prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a felony in any Federal, State or local court, or any other crime punishable by imprisonment for a term exceeding one year. An information is a formal accusation of a crime verified by a prosecutor.

**EXCEPTION:** A person who has been convicted of a felony, or any other crime, for which the judge could have imprisoned the person for more than one year, or who has been convicted of a misdemeanor crime of domestic violence, is not prohibited from purchasing, receiving, or possessing a firearm if: (1) under the law of the jurisdication where the conviction occurred, the person has been pardoned, the conviction has been expunged or set aside, or the person has had their civil rights (the right to vote, sit on a jury, and hold public office) taken away and later restored AND (2) the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing firearms. Persons subject to this exception should mark "no" in the applicable box.

- n. Adjudicated as a Mental Defective. A determination by a court, board, commission, or other lawful authority that a person, as a result of marked subnormal intelligence, or mental illness, incompetency, condition, or disease: (1) is a danger to himself or to others; or (2) lacks the mental capacity to contract or manage his own affairs. This term shall include: (1) a finding of insanity by a court in a criminal case; and (2) those persons found incompetent to stand trial or found not guilty by reason of lack of mental responsibility.
- o. Committed to a Mental Institution. A formal commitment of a person to a mental institution by a court, board, commission, or other lawful authority. The term includes a commitment to a mental institution involuntarily. The term includes commitment for mental defectiveness or mental illness. It also includes commitments for other reasons, such as for drug use. The term does not include a person in a mental institution for observation or a voluntary admission to a mental institution.

EXCEPTION NICS Improvement Amendments Act of 2007: A person who has been adjudicated as a mental defective or committed to a mental institution in a state proceeding is not prohibited by the adjudication or commitment if the person has been granted relief by the adjudicating/committing state pursuant to a qualifying mental health relief from disabilities program. Also, a person who has been adjudicated as a mental defective or committed to a mental institution by a department or agency of the Federal Government is not prohibited by the adjudication or commitment if either: (a) the person's adjudication or commitment was set-aside or expunged by the adjudicating/committing agency; (b) the person has been fully released or discharged from all mandatory treatment, supervision, or monitoring by the agency; (c) the person was found by the agency to no longer suffer from the mental health condition that served as the basis of the initial adjudication/commitment; or (d) the adjudication or commitment respectively, is based solely on a medical finding of disability, without an opportunity for a hearing by a court, board, commission, or other lawful authority, and the person has not been adjudication as a mental defective consistent with 922(g)(4) of title 18, United States code; or (e) the person was granted relief from the adjudicating/committing agency pursuant to a qualified mental health relief from disabilities program. Persons who fall within one of the above exceptions should mark "no" in the applicable box. This exception to an adjudication or commitment by a Federal department or agency does not apply to any person who was adjudicated to be not guilty by reason of insanity, or based on a lack of mental responsibility, or found incompetent to stand trial, in any criminal case or under the Uniform Code of Military Justice.

- p. Restraining Order. Under 18 U.S.C. § 922, firearms may not be sold to or received by persons subject to a court order that: (A) was issued after a hearing which the person received actual notice of and had an opportunity to participate in; (B) restrains such person from harassing, stalking, or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child; or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury. An "intimate partner" of a person is: the spouse or former spouse of the person, the parent of a child of the person, or an individual who cohabitates or has cohabitated with the person.
- q. Misdemeanor Crime of Domestic Violence: A Federal, State, local, tribal offense that is a misdemeanor under the Federal, State, or tribal law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person cohabitating with, or has cohabited

- with the victim as a spouse, parent, or guardian, or by a person similarly situated to a spouse, parent, or guardian of the victim, or by a person who has a current or recent former dating relationship with the victim (as defined in 18 U.S.C. § 921(a)(37)). The term includes all misdemeanors that have as an element the use or attempted use of physical force or the threatened use of a deadly weapon (e.g., assault and battery), if the offense is committed by one of the defined parties. (See Exception in the definition of "Prohibited Person"). A person who has been convicted of a misdemeanor crime of domestic violence also is not prohibited unless: (1) the person was represented by a lawyer or gave up the right to a lawyer; or (2) if the person was entitled to a jury, was tried by a jury, or gave up the right to a jury trial. Persons subject to this exception should mark "no" in the applicable box.
- Alien Admitted to the United States Under a Nonimmigrant Visa. An alien admitted to the United States under a nonimmigrant visa includes, among others, persons visiting the United States temporarily for business or pleasure, persons studying in the United States who maintain a residence abroad, and certain temporary foreign workers. These aliens must answer "yes" to question 16.d.1 and provide the additional documentation required under question 16.d.2. Permanent resident aliens and aliens legally admitted to the United States pursuant either the Visa Waiver Program or to regulations otherwise exempting them from visa requirements may answer "no" to this question and are not required to submit the additional documentation under 16.d.2. An alien admitted to the United States under a nonimmigrant visa is not prohibited from purchasing, receiving, or possessing a firearm if the alien: (1) is in possession of a hunting license or permit lawfully issued by the Federal Government, a State, or local government, or an Indian tribe federally recognized by the Bureau of Indian Affairs, which is valid and unexpired; (2) was admitted to the United States for lawful hunting or sporting purposes; (3) is an official representative of a foreign government who is accredited to the United States Government or the Government's mission to an international organization having its headquarters in the United States; (4) is an official representative of a foreign government who is enroute to or from another country to which that alien is accredited; (5) is an official of a foreign government or a distinguished foreign visitor who has been so designated by the Department of State; (6) is a foreign law enforcement officer of a friendly foreign government entering the United States on official law enforcement business; (7) has received a waiver from the prohibition from the Attorney General of the United States.
- s. Fugitives from Justice. Any person who has fled from any State to avoid prosecution for a felony or a misdemeanor, or any person who leaves the State to avoid giving testimony in any criminal proceeding. The term also includes any person who knows that misdemeanor or felony charges are pending against such person and who leaves the State of prosecution.

#### 2. Preparation of Application

- a. Authority. As provided by 26 U.S.C. §§ 5811 and 5812, any person seeking to transfer a firearm must submit, in duplicate, a separate application on this form for each firearm. The transferor must furnish all the information called for, except as noted by instructions within, on this application form. Please note that the form now contains a 3rd (CLEO) copy of the form for use in compliance with instruction 2f and item 12 of the form.
- b. **Transfer Tax Rates.** The transfer tax is \$200.00 except when the firearm is an 'any other weapon' where the tax rate is \$5.00.
- c. Federal Firearms Licensees. If the transferor or transferee is a Federal Firearms licensee (FFL) under the GCA, the licensee's complete name, trade name (if any), and address shall be entered in items 2a or 3a of this form, respectively. The complete license number for each shall be entered in items 5 and 7 of the form and if the licensee is a special (occupational) taxpayer under the NFA, the licensee's employer identification number (EIN) shall be entered in item 6 and 8 of the form, along with the class of special tax paid. IMPORTANT NOTE: The business structure of the licensee shall be described consistently. For example, if the transferor is a sole proprietor, item 3a shall reflect the FFL information for the sole proprietor business and item 7 shall reflect the FFL number for that sole proprietor business. Item 8 will reflect the EIN for the sole proprietor business. If the special tax is paid as a corporation, then it is not valid for the transaction. Similarly, a corporation name shown in item 3a with the FFL number and EIN of a sole proprietor will not be valid for the transaction.

**IMPORTANT NOTE:** The business structure of the licensee shall be described consistently. For example, if the transferor is a sole proprietor, item 3a shall reflect the FFL information for the sole proprietor business and item 7 shall reflect the FFL number for that sole proprietor business. Item 8 will reflect the EIN for the sole proprietor business. If the special tax is paid as a corporation, then it is not valid for the transaction. Similarly, a corporation name shown in item 3a with the FFL number and EIN of a sole proprietor will not be valid for the transaction.

#### d. Completion of Form

- (1) The transferor shall provide the transferee's full legal name to include middle and physical address (no Post Office boxes) in item 2a. If the transferee is a trust or legal entity, show only the complete name of the trust or legal entity and do not include any individual names (such as names of trustees or corporate officials). The address shall be the location within the particular state where the firearm will be maintained for a trust or legal entity. In the case of two or more locations for a legal entity, the address shown shall be the principal place of business within the particular state (or principal office, in the case of a corporation).
- (2) If the transferee is an individual, the entire Form 4 shall be completed except for items 21 and 22. In addition, the transferee must include his or her fingerprints on FBI Form FD-258 and his or her photos (see instruction 2g).
- (3) If the transferee is other than an individual, e.g., a trust or legal entity such as a corporation, the transferee shall not complete items 14, 15, 16, 17, 18, 19 and 20. All other items must be completed including the signing of the Transferee Certification statement by the transferee. See 2.d.5. for Responsible Person Requirements
- (4) Documentation of entity existence:
  - (a) If the transferee is other than an individual, the transferee must attach documentation evidencing the existence and validity of the entity, which includes complete and unredacted copies of partnership agreements, articles of incorporation, corporate registration, declarations of trust with any trust schedules, attachments, exhibits, and enclosures.
  - (b) If the transferee entity has had an application approved as a maker or transferee within the preceding 24 months of the date of filing this application, and there has been no change to the documentation evidencing the existence and validity of the entity previously provided, the entity may provide a certification that the information has not been changed since the prior approval and shall identify the application for which the documentation had been submitted by form number, serial number, and date approved.
- (5) If the transferee is other than an individual, each responsible person of the trust or legal entity (see definition 1e) must include a completed ATF Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, with the submitted Form 4.
  - **NOTE**: Each Responsible Person of an entity licensed under the Gun Control Act (GCA) that does not pay the Special (Occupational) Tax (SOT) must submit ATF Form 5320.23 with the application as instructed in the above paragraph 27CFR 479.63 (b)(2)(ii).
- (6) Item 22 (Method of Payment) is obscured on the ATF Copy 2 (Registrant) and the CLEO copy. In addition, item 4g (serial number) is obscured on the CLEO copy. These fields do not require completion on these copies.
- e. Transferee Necessity Statement. Item 13 must be completed by the transferee if:
  - the firearm to be transferred is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device;
  - (2) the transferor is licensed under the GCA to deal in such device or fire
  - (3) the transferee is **not** licensed under the GCA to deal in such device or
- f. Law Enforcement Notification. The transferee must provide a copy of the Form 4 to the chief law enforcement officer (CLEO) who has jurisdiction

- over the area of the transferee's address shown in item 2a of the Form 4. In addition, if the transferee is other than an individual, a copy of the Form 5320.23, National Firearms Act (NFA) Responsible Person Question naire, completed by each responsible person must be provided to their respective chief law enforcement officer. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police, or a State or local district attorney or prosecutor.
- g. **Photographs and Fingerprints.** An individual transferee, except if licensed as a manufacturer, importer, or dealer under the GCA, must (1) attach to item 15 of the ATF Form 4, except for the CLEO copy a 2 inch x 2 inch photograph of the frontal view of the transferee taken within 1 year prior to the date of the application and (2) submit two properly completed FBI Forms FD-258 (*Fingerprint Card with blue lines*) with the application. The fingerprints must be clear for accurate classification and taken by someone properly equipped to take them.
- h. Social Security and UPIN. The Social Security number and UPIN are not required. However, this information assists with the efficient completion of the NICS background check. Please be aware that refusal to provide this informatin may result in a delay in the NICS backgrund check process.
- Signatures. All signatures required on ATF Form 4 must be original in ink on both copies. Exceptions: In the case of eforms or where a variance has been granted a Digital/Electronic signature may be used.
  - (1) if the transferee is an individual, the applicant shall sign the form;
  - (2) if the transferee is the estate of a decedent where the firearm is being transferred to other than a beneficiary, the executor or administrator of the estate shall sign the form;
  - (3) if the transferee is a trust or legal entity, a responsible person of the trust or legal entity shall sign the form; or
  - (4) if the transferee is a Federal firearms licensee, a responsible person of the Federal firearms licensee or such other employee of the Federal firearms licensee as authorized by a responsible person shall sign the form
- j. Remittance. The applicant shall complete item 22. Please note that you may pay by credit/debit card, check, or money order. The check or money order is to be made payable to ATF. <u>Do not send cash</u>.
- k. **Photocopies, Computer Generated Versions, or Downloaded Version.** The form may be copied or downloaded *(for example, from the ATF website (www.atf.gov))*. The form does not have to be printed front to back.
- Estates, Trusts, and Other Transfers by Operation of Law. When a
  firearm is being transferred tax exempt from an estate by bequest or intestate
  succession (See 27 CFR § 479.90a), or by other operation of law to a
  beneficiary or other authorized recipient, ATF Form 5 is used to effect the
  transfer otherwise, Form 4 is used. See ATF Form 5 for additional
  information.
- m. Submission. The transferor shall submit 2 forms (ATF Copy 1 and Copy 2 (Registrant)) to the NFA Division at the address on the face of the form. All items on the form are to be completed except as noted in the instructions and any attachment included with the submission. The applicant shall direct the 3rd copy (CLEO) complete copy of the form to the chief law enforcement officer as provided in instruction 2f and item 12.
- n. Description of Firearm and Markings. (1) Item 4a. please provide the name and address of the maker, manufacturer or importer of the firearm. If there are additional makers, manufacturers, or importers, include this information in item 4h or on a separate sheet of paper. (2) Item 4b. the types of NFA firearm are listed in instruction 1c. (3) Item 4c. specify one caliber or gauge. If there are additional calibers associated with the description of the firearm, include this information in item 4h or on a separate piece of paper. (4) Item 4d. show the model designation (if any)(5) Item 4e. and 4f. specify the barrel and overall lengths as applicable. If there are additional barrel and overall lengths associated

with the description of the firearm, include this information in item 4h. or on a separate sheet of paper. (6) Item 4g. enter the serial number of the firearm as it appears on the firearm. (7) If there are differences between the description of the firearm on the form in comparison to the physical description of the firearm or in the markings on the firearm, including the serial number, contact the NFA Division in regard to these differences.

- o. State or Local Permit. If a State or local permit or license is required for the transferee prior to acquisition of the firearm, a copy of the permit must be included with the application. If the transferee is a trust or legal entity, when the State of residence for any responsible person requires a State or local permit or license, a copy of the permit or license must be submitted with Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire.
- 3. Approval of Application. Upon approval of an application, the NFA Division will return the approved copy to the transferor for delivery with the firearm to the transferee. Since the approval of the application effectuates registration of the firearm to the transferee, the physical transfer of the firearm must be completed immediately; however, the transferor must not transfer the firearm until the application has been approved and received. If the physical transfer of the firearm cannot be completed immediately, the transferor must contact the NFA Division with the specifics.
- Withdrawal of Application. The application may be withdrawn prior to approval by the submission of a signed, written request to the Chief, NFA Division either by mail to 244 Needy Road, Martinsburg, WV 25405 or by emailing a signed copy to nfafax@atf.gov.
- 5. Cancellation of Approved Application. The transferor may cancel an approved application only if the physical transfer of the firearm has not been completed. The transferor must return the approved application with the original tax stamp affixed with a written request for cancellation, citing the need and that the physical transfer of the firearm did not take place. The request shall be directed to the Chief, NFA Division, 244 Needy Road, Martinsburg, WV. 25405. The NFA Division will arrange for a refund of the tax paid.
- Disapproval of Application. If the application is disapproved, the NFA Division
  will note the reason for disapproval on the application and return one copy of the ATF
  Form 4 to the transferor.
- Reasons for Disapproval. 26 U.S.C. § 5812 provides that applications shall be denied if the transfer, receipt, or possession of the firearm would place the transferee in violation of law.
  - a. Violation of Law. Applications shall be denied if the receipt or possession of the firearm would place the person receiving or possessing the firearm in violation of law.
  - b. Persons Prohibited from Receiving a Firearm. The application will be disapproved if the transferee is a person prohibited from receiving a firearm. For information regarding persons prohibited from receiving a firearm, refer to definitions 1m through 1r.
- Status Inquiries and Questions. Information relating to the NFA and other firearms laws is available at the ATF Internet website at www.atf.gov. Any inquiry relating to the status of an application to transfer an NFA firearm or about procedures in general should be directed to the NFA Division at (304) 616-4500 or emailed to <a href="mailto:nfa@atf.gov">nfa@atf.gov</a>. Please be aware that any dissemination by ATF of information relating to the application to register an NFA firearm must conform with the restrictions in 26 U.S.C. § 6103.
- 9. Penalties. Any person who violates or fails to comply with any of the requirements of the NFA shall, upon conviction, be fined not more than \$10,000 or be imprisoned for not more than 10 years, or both. Any firearm involved in a violation of the NFA shall be subject to seizure and forfeiture. It is unlawful for any person to make or cause the making of a false entry on any application or record required by the NFA knowing such entry to be false.
- Compliance with the Gun Control Act. Persons must also comply with all relevant portions of the GCA.

### U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

# **Application for Tax Paid Transfer and Registration of Firearm**

ATF Control Number							
SUBMIT in DUPLICATE to:	National Firearms Act D Bureau of Alcohol, Toba		l Explosives, P.O. Bo	x 5015, Portland,	OR 97208-501	15	
1. Type of Transfer (Check one)  \$5 \$\sum_\$ \$200  Submit the appropriate tax payr. The tax may be paid by credit or dependent on the property of the property	nent with the application.		Full Legal Name and A				uction 2d)
order. Please complete item the application, we will affix National Firearms Act stamp. (See	and cancel the required	Corpora		Other Legal l	Entity	2b. Count	ty/Parish
3a. Transferor's Full Legal Name and Address (Include trade name, if any) (Executors: see instruction 2l)			3b. E-mail address	11450	3c. Transfero	r's Telephone	(Area code and number)
			3d. If Applicable: D  3e. Number, Street, C  (if different from I	City, State and ZIP Cotem 3a.)	ode of Residenc	e (or firearms	
The above-named and undersigne below to the transferee.	d transferor hereby makes a	pplication as requi	red by Section 5812 of	the National Firea	rms Act to tran	sfer and regi	ster the firearm described
Description of Firearm (Con     Name and Address of Maker     Importer of Fi	Manufacturer and/or		earm (See definitions 1c	c. Caliber or Gauge	d. Model e. Barrel L	ength:	f. Overall Length:
					g. Serial N	umber	
Additional Description or Da     Transferee's Federal Firearms     (Give complete 15-digit number)     First 6 digits	License (if any) or Explosives		Number 6. Transferee'a. Employer I	s Special (Occupat dentification Num			
I				s Special (Occupa			
7. Transferor's Federal Firearm First 6 digits 2	s License (If any) digits 2 digits	5 digits		dentification Num	ber b. C	Class	
Under Penalties of Perjury, I Do of the described firearm to the tran Title 26, United States Code, Cha	sferee and receipt and posses	ssion of it by the tra	ansferee are not prohibi				
9. Signature of Transferor (Or a	authorized official)		10. Name and	Title of Authoriz	ed Official (Pr	int or type)	11. Date
By Authority of The Director, Thi Described Herein and the Intersta		amined, and the Tra	ansfer and Registration	of the Firearm	Stamp Denor	nination	
Approved (With the following conditions, if any)  Disapproved (For the following reasons)							
Signature of Authorized ATF Offi	cial						Date
Previous Editions Are Obsolete		ATF Copy 2 - '	To Be Returned to Reg	istrant			ATF Form 4 (5320. 4) Revised ( )

Transferee Certification  12. Law Enforcement Notification (See instruction 2f)	on			
	and the description of the second discountry of the second stands of the			
The transferee is to provide notification of the proposed acquisition and possession of the firearm dehief law enforcement officer in the agency identified below:	escribed on this Form 4 by providing a copy of the completed form to the			
Agency or Department Name Nam	e and Title of Official			
Address (Street address or P.O. Box, City, State and ZIP Code to which sent (mailed or delivered))				
Information for the Chief Law Enfor				
This form provides notification of the transferee's intent to acquire and possess a National Firearms you have information that may disqualify this person from acquiring or possessing a firearm, please	s Act (NFA) firearm. No action on your part is required. However, should			
13. Transferee Necessity Statement (See instruction 2e)				
I,, have a reasonal (Name and Title of Transferee)	ble necessity to possess the machinegun, short-barreled rifle,			
short-barreled shotgun, or destructive device described on this application for the following r	eason(s)			
and my possession of the device or weapon would be consistent with public safety (18 U.S.C	2. § 922(b) (4) and 27 CFR § 478.98).			
Transferee Questions (Complete only when transferee is an individual)				
14. Answer questions 14.a. through 14.m. Answer questions 16, 17, 18, 19 and 20, if applicable.	For any "Yes" answer the transferee shall provide details on a separate			
sheet. (See instruction 7b and definitions)				
	Yes No			
a. Do you intend to make any firearm listed on this form for sale or other disposition to any person in questions 14.c through 14.l. or a person described in question 14.m. who does not fall under				
b. Do you intend to sell or otherwise dispose of any firearm listed on this form in futherance of an	y felon or			
other offense punishable by imprisonment for a term of more than one year, a Federal crime of drug trafficking offense?				
Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 1m)  Affix a 2" x 2" Photograph he				
d. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition Im)  No Stapling. Tape Sides of Photo Application.				
Are you a fugitive from justice? (See definition 1s)				
f. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational nurposes in the state where you reside.				
g. On or after the age of 16, have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See definition 1n and 10)  3. On back of photograph print ful				
h. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?	last 4 of SSN.			
. Are you subject to a court order restraining you from harassing, stalking, or threatening your chintimate partner or child of such partner? (See definition 1p)	ild or an			
. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See	definition 1q)			
k. Have you ever renounced your United States citizenship?				
l. Are you an alien illegally or unlawfully in the United States?				
m.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?				
m.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to	the application N/A			
16. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194	l#):			
17. Have you been issued a Unique Personal Identification Number (UPIN)? (See instruction 2h)	Yes No If yes please list			
Date of H	Birth:			
19a. Ethnicity Hispanic or Latino 19b. Race American Indian or Alas	ska Native Black or African American White			
Not Hispanic or Latino Asian	Native Hawaiian or Other Pacific Islander			
20a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United Sta	ates may check U.S.A.) (See definition 1r)			
United States of America Other Country/Countries (Specify):				

20b. State of Birth	20c.Country of Birth
	861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed as applicable, contained in this certification, and any attached documents in support thereof, are true and 2.d(3) for the items to be completed depending on the type of transferee.
Signature of Transferee	Date
21. Number of Responsible Persons (see definitions) associated with the transf	feree trust or legal entity
	on associated with the applicant trust or legal entity (If there are more Responsible Persons than sponsible Person(s)). Please note that a completed Form 5320.23, National Firearms Act m 4 application for each Responsible Person.
Full Name	Full Name

**Important Information for Currently Registered Firearms** 

If you are the current registrant of the firearm described on this form, please note the following information.

Estate Procedures: For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405.

Change of Address: Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

Change of Description: The registrant shall notify the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

Interstate Movement: If the firearm identified in item 4 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF Form 5320. 20 can be used to request this permission.

Restrictions on Possession: Any restriction (see approval block on face of form) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited from possessing a firearm, please contact the NFA Division for procedures on how to dispose of the firearm.

Proof of Registration: A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

### **U.S. Department of Justice**

Bureau of Alcohol, Tobacco, Firearms and Explosives

### **Application for Tax Paid Transfer and Registration of Firearm**

ATF Control Number			
National Firearms Act I	Division		
CHDMIT : DIDLICATE to.		losives, P.O. Box 5015, Portland,	OR 97208-5015
1. Type of Transfer (Check one)  \$5 \$\sum \\$200\$	2a. Transferee's Full L	egal Name and Address (Include tr	ade name, if any) (See instruction 2d)
Submit the appropriate tax payment with the application. The tax may be paid by credit or debit card, check, or money order. Please complete item 20. Upon approval of			
the application, we will affix and cancel the required National Firearms Act stamp. (See instructions 2b, 2j and 3)	Corporation Individual	Other Legal I	Entity 2b. County/Parish
3a. Transferor's Full Legal Name and Address (Include transference): see instruction 21)	de name, if any) 3b.	E-mail address	3c. Transferor's Telephone (Area code and number)
	3d.	If Applicable: Decedent's Name, A	address, and Date of Death
	20	Number Street City State and ZID C	ode of Residence (or firearms business premises)
		(if different from Item 3a.)	ode of residence (or juvarius business premises)
The above-named and undersigned transferor hereby makes below to the transferee.	application as required b	y Section 5812 of the National Firea	arms Act to transfer and register the firearm described
<ul> <li>Description of Firearm (Complete items a through h) (Sa.</li> <li>Name and Address of Maker Manufacturer and/or</li> </ul>	<u> </u>	(See definitions 1c) c. Caliber or	d. Model
a. Name and Address of Maker Manufacturer and/or Importer of Firearm	b. Type of Firearm	Gauge	e. Barrel Length: f. Overall Length:
h. Additional Description or Data Appearing on Firearm (	Attach additional sheet i	f necessary)	
5. Transferee's Federal Firearms License (if any) or Explosive	s License or Permit Number		
(Give complete 15-digit number) (See instruction 2c) First 6 digits 2 digits 2 digits	5 digits	a. Employer Identification Number	b. Class
I list o digits 2 digits 2 digits	3 digits	_	
		0. T. C. 1.G. : 1/0	
		Transferor's Special (Occupat     Employer Identification Number	/ (0 )/
7. Transferor's Federal Firearms License (If any)  First 6 digits 2 digits 2 digits	5 digits	a. Employer racinmeation runn	U. Class
		_	
Under Penalties of Perjury, I Declare that I have examined of the described firearm to the transferee and receipt and posses. Title 26, United States Code, Chapter 53; or any provisions of	ession of it by the transfer		
9. Signature of Transferor ( <i>Or authorized official</i> )	of State of focal law.	10. Name and Title of Authorize	ed Official (Print or type) 11. Date

Transferee Certification  12. Law Enforcement Notification (See instruction 2f)				
The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this	Form 4 by providing a copy of the completed form to the			
chief law enforcement officer in the agency identified below:	71 8 17 1			
Agency or Department Name Name and Title of O	fficial			
Address (Street address or P.O. Box, City, State and ZIP Code to which sent (mailed or delivered))				
Information for the Chief Law Enforcement Officer				
This form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) fire you have information that may disqualify this person from acquiring or possessing a firearm, please contact the NF	arm. No action on your part is required. However, should			
13. Transferee Necessity Statement (See instruction 2e)				
I,, have a reasonable necessity to, have a reasonable necessity to,	possess the machinegun, short-barreled rifle,			
short-barreled shotgun, or destructive device described on this application for the following reason(s)				
and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(b) (4) as	nd 27 CER 8 478 98)			
	iiu 27 CFR § 476.90).			
Transferee Questions (Complete only when transferee is an individual)  14. Answer questions 14.a. through 14.m. Answer questions 16, 17, 18, 19 and 20, if applicable. For any "Yes"	outerview the transferred shall mustiful datails on a computer			
sheet. (See instruction 7b and definitions)	answer the transferee shall provide details on a separate			
	Yes No			
a. Do you intend to make any firearm listed on this form for sale or other disposition to any person described in questions 14.c through 14.l. or a person described in question 14.m. who does not fall under an exception?				
b. Do you intend to sell or otherwise dispose of any firearm listed on this form in futherance of any felon or other offense punishable by imprisonment for a term of more than one year, a Federal crime of terrorism, or a				
drug trafficking offense?  c. Are you under indictment or information in any court for a felony, or any other crime, for which the judge				
could imprison you for more than one year? (See definition 1m)				
d. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 1m)				
e. Are you a fugitive from justice? (See definition 1s)				
f. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.				
g. On or after the age of 16, have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See definition In and 10)				
h. Have you been discharged from the Armed Forces under <b>dishonorable</b> conditions?				
i. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 1p)				
j. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 1q)				
k. Have you ever renounced your United States citizenship?				
l. Are you an alien illegally or unlawfully in the United States?				
m.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?				
m.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the application				
16. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):				
17. Have you been issued a Unique Personal Identification Number (UPIN)? (See instruction 2h) Yes N	0 If yes please list			
Date of Birth:	y p			
19a. Ethnicity Hispanic or Latino 19b. Race American Indian or Alaska Native	Rlack or African American White			
19a. Ethnicity Hispanic or Latino 19b. Race American Indian or Alaska Native  Not Hispanic or Latino Asian	Black or African American White  Native Hawaiian or Other Pacific Islander			
20a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check to	U.S.A.) (See definition Ir)			
United States of America Other Country/Countries (Specify):				

ATF Form 4 (5320. 4) Revised ( )

20b. State of Birth	20c.Country of Birth
to the chief law enforcement officer (CLEO) shown in item 12, that the statement	C. $\S$ 5861, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed ents, as applicable, contained in this certification, and any attached documents in support thereof, are true $2.d(2)$ and $2.d(3)$ for the items to be completed depending on the type of transferee.
Signature of Transferee	Date
21. Number of Responsible Persons (see definitions) associated with the	transferee trust or legal entity
	Person associated with the applicant trust or legal entity ( <i>If there are more Responsible Persons than al Responsible Person(s)</i> ). Please note that a completed Form 5320.23, National Firearms Act e Form 4 application for each Responsible Person.
Full Name	Full Name

#### **Important Information for Currently Registered Firearms**

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Change of Description: The registrant shall notify the NFA Division, National Service Center, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

Interstate Movement: If the firearm identified in item 4 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF Form 5320. 20 can be used to request this permission.

Restrictions on Possession: Any restriction (see approval block on face of form) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited from possessing a firearm, please contact the NFA Division for procedures on how to dispose of the firearm.

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